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Subject: OBJECT BASED STORAGE DEVICES Command Set Proposal

The attached proposal is offered for an initial draft of a SCSI OBSD command set. Dave Anderson expects to be at the November working group to present an overview of the proposal and answer questions regarding the initial draft. Gerry Houlder is preparing the project proposal for the OBSD command set.

As I previously communicated to you, I will only be able to attend the sessions on 11/1 and 11/2 due to a high priority conflict. But I am volunteering to serve as technical editor for the project. I am also providing this statement as a written Yes vote should it be needed for any votes accepting the draft as an initial draft as submitted or as modified by T10 and/or any votes to approve the OBSD project proposal as submitted or as modified by T10.

This initial draft was edited by Dave Anderson of Seagate and is the product of work by NSIC including contributions by Carnegie Mellon University, IBM, Quantum, and StorageTek.

Gene E. Milligan  
Director, Development Strategy



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# **OBJECT BASED STORAGE DEVICES:**

## **A Command Set**

### **Proposal**

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Version 1.3    10/26/1999

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## Foreword

(This foreword is not part of American National Standard NCITS XXX-200X.)

This SCSI command set is designed to provide efficient peer-to-peer operation of input/output logical units by an operating system using Object Based Storage commands. The SCSI command set assumes an underlying command-response protocol.

This SCSI command set provides multiple operating systems concurrent control over one or more input/output logical units. However, the multiple operating systems are assumed to properly coordinate their actions to prevent data corruption. This SCSI standard provides commands that assist with coordination between multiple operating systems. However, details of the coordination are beyond the scope of the SCSI command set.

This standard defines a logical unit model for Object Based Storage Device logical units. Also defined are SCSI commands that apply to Object Based Storage Device logical units.

Objects designate entities in which computer systems store data. The purpose of this abstraction is to assign to the storage device the responsibility for managing where data is located on the device.

This standard was developed by T10 in cooperation with industry groups during 1999 through 200X. Most of its features have been tested in pilot products implementing these concepts in conjunction with standard transport protocols.

This standard contains four informative annexes that are not considered part of this standard.

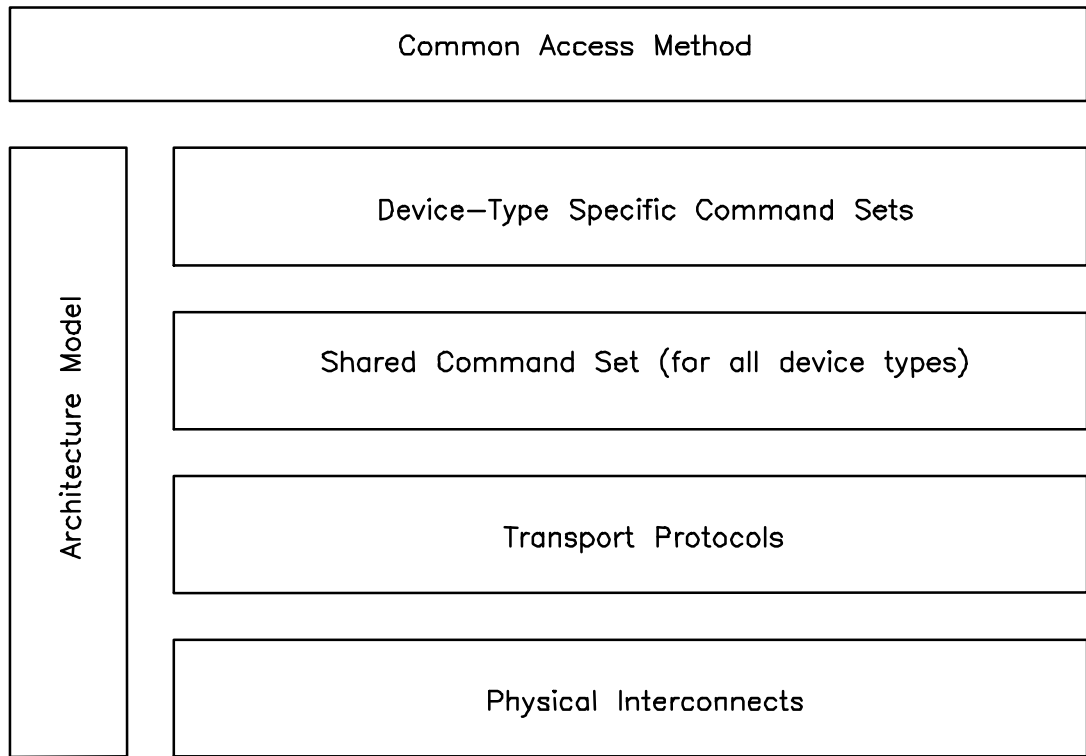
Requests for interpretation, suggestions for improvement or addenda, or defect reports are welcome. They should be sent to the National Committee for Information Technology Standards (NCITS, ITI, 1250 Eye Street, NW, Suite 200, Washington, DC 20005.

# 1 Scope

This standard defines the command set extensions to facilitate operation of Object Based Storage devices. The clause(s) of this standard pertaining to the SCSI Object Based Storage Device class, implemented in conjunction with the applicable clauses of the ANSI NCITS XXX -200X SCSI Primary Commands 2 (SPC-2), fully specify the standard command set for SCSI Object Based Storage devices.

The objective of this standard is to provide the following:

- a) Permit an application Requester to communicate with a logical unit that declares itself to be a Object Based Storage device in the device type field of the INQUIRY command response data over an SCSI service delivery subsystem;
- b) Enable construction of a shared storage processor cluster with equipment and software from many different vendors.
- c) Define commands unique to the type of SCSI Object Based Storage devices;
- d) Define commands to manage the operation of SCSI Object Based Storage devices;



• Figure 1 - SCSI standards - general structure

Figure 1 is intended to show the general structure of SCSI standards. The figure is not intended to imply a relationship such as a hierarchy, protocol stack, or system architecture. It indicates the applicability of a standard to the implementation of a given transport.

At the time this standard was generated examples of the SCSI general structure included:

Physical Interconnects:

Fibre Channel Arbitrated Loop -2 [ANSI NCITS XXX 499X or 200X]  
Fibre Channel - Physical and Signaling Interface [ANSI X3.230-1994]  
High Performance Serial Bus [IEEE 1394-1995]  
SCSI Parallel Interface -2 [ANSI NCITS 302-1999]  
SCSI Parallel Interface -3 [ANSI NCITS XXX-200X]

Serial Storage Architecture Physical Layer 1 [ANSI X3.293-1996]  
Serial Storage Architecture Physical Layer 2 [ANSI NCITS 307-1998]

Transport Protocols:

Serial Storage Architecture Transport Layer 1 [ANSI X3.295-1996]  
SCSI-3 Fibre Channel Protocol [ANSI X3.269-1996]  
SCSI-3 Fibre Channel Protocol - 2 [NCITS T10/1144D]  
SCSI Serial Bus Protocol -2 [NCITS T10/1155D]  
Serial Storage Architecture SCSI-2 Protocol [ANSI X3.294-1996]  
Serial Storage Architecture SCSI-3 Protocol [ANSI NCITS 309-1998]  
Serial Storage Architecture Transport Layer 2 [ANSI NCITS 308-1998]

Shared Command Set:

SCSI-3 Primary Commands [ANSI NCITS 301-1997]  
SCSI Primary Commands -2 [ANSI NCITS XXX-200X]

Device-Type Specific Command Sets:

SCSI Object Based Storage Device Commands (this standard)  
SCSI-3 Block Commands [ANSI NCITS 306-1998]  
SCSI-3 Enclosure Services [ANSI NCITS 305-1998]  
SCSI-3 Stream Commands [NCITS T10/997D]  
SCSI-3 Medium Changer Commands [NCITS T10/999D]  
SCSI-3 Controller Commands [ANSI X3.276-1997]  
SCSI Controller Commands - 2 [ANSI NCITS 318-1998]  
SCSI-3 Multimedia Command Set [ANSI NCITS 304-1997]  
SCSI Multimedia Command Set - 2 [NCITS T10/1228D]

Architecture Model:

SCSI-3 Architecture Model [ANSI X3.270-1996]  
SCSI Architecture Model - 2 [NCITS T10/1157D]

Common Access Method:

SCSI Common Access Method [ANSI X3.232-1996]  
SCSI Common Access Method - 3 [NCITS T10/990D]

The Small Computer System Interface -2 (ANSI X3.131-1994) is referred to herein as SCSI-2. The term SCSI in this standard refers to versions of SCSI defined since SCSI-2.

## 2 Normative References

The following standards contain provisions that, through reference in the text, constitute provisions of this American National Standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this American National Standard are encouraged to investigate the possibility of applying the most recent editions of the standards listed below.

Copies of the following documents can be obtained from ANSI: Approved ANSI standards, approved and draft international and regional standards (ISO, IEC, CEN/CENELEC, ITU-T), and approved standards of other countries (including BSI, JIS, and DIN). For further information, contact ANSI's Customer Service Department at 212-642-4900 (telephone), 212-302-1286 (fax) or via the World Wide Web at <http://www.ansi.org>.

Additional availability contact information is provided below as needed.

### 2.1.1 Approved references

ANSI NCITS XXX.200X , *Information technology - SCSI Primary Commands –2*  
ANSI NCITS XXX.200X , *Information technology - SCSI Architecture Model –2*

### 2.1.2 References under development

At the time of publication, the following referenced standard was still under development. For information on the current status of the document, or regarding availability, contact the relevant standards body as indicated.

Editor's Note: This subclause may not be needed.

Note 1 - For more information on the current status of the document, contact the NCITS Secretariat at 202-737-8888 (telephone), 202-638-4922 (fax) or via Email at [ncits@itic.org](mailto:ncits@itic.org). To obtain copies of this document, contact Global Engineering at 15 Inverness Way East Englewood, CO 80112-5704 at 800-854-7179 (telephone), 303-792-2181 (telephone), or 303-792-2192 (fax).

## 2.2 Definitions

### 2.2.1 Heterogeneous.

A computing environment characterized by the presence of multiple computer systems, at least two of which run operating systems employing non mutually intelligible file systems.

### 2.2.2 Object.

An ordered set of bytes within a storage device and associated with a unique identifier. Data is referenced by the identifier and an offset into the object. It is allocated and placed on the media by the storage device.

### 2.2.3 Object Based Storage Devices (OBSD).

A storage device in which data is organized and accessed as objects.

### 2.2.4 Object Based Storage (OBS).

This term is used to describe a storage architecture employing OBSD.

### 2.2.5 Object Group.

A proper subset of the Objects on a single OBSD. The set may have a capacity quota associated with it<sup>1</sup>.

### 2.2.6 Requester.

A node in a cluster or network of systems that submits a request for action on a storage device. The term Requester is used as a general description for systems including both clients and servers, as either could be directly connected to OBSD and impose workloads on it. A client putting its workload on a server, which in turn submits I/O requests to storage, is not a Requester. The client's server is, as the server is the element actually engaging in I/O with the OBSD.

### 2.2.7 Sector Based Storage device (SBSD).

A storage device that manages space as an ordered set of fixed length sectors. This is the mode used almost universally prior to the introduction of OBSD.

### 2.2.8 Session.

A set of I/O operations, subscribing to a set of previously specified quality of service characteristics, submitted by a Requester to an OBSD<sup>2</sup>. A session is initiated by an OPEN on an object and terminated by a CLOSE on the object.

### 2.2.9 Storage device.

A secondary storage unit that preserves a non-volatile copy of data sent to it and a means for retrieving any subset of that data. Discs, tapes, CD-ROMs and storage subsystems are examples of storage devices. An Object Based Storage device may be any of these.

### 2.2.10 Storage Management.

The task of enabling, controlling and maintaining physical storage (e.g., disk, tape, optical storage) to store, retain and deliver data. Storage Management also includes selecting the appropriate storage device considering activity, cost of storage, and requirements for quality of service.

### 2.2.11 Storage Area Network (SAN).

A peer connection between one or more storage devices and one or more computers.

## 2.3 Keywords

### 2.3.1 Keywords to differentiate levels of requirements and optionality

#### 2.3.1.1 expected:

Used to describe the behavior of the hardware or software in the design models assumed by this standard. Other hardware and software design models may also be implemented.

#### 2.3.1.2 mandatory:

Indicates items required to be implemented as defined by this standard.  
may: Indicates flexibility of choice with no implied preference.

#### 2.3.1.3 obsolete:

Indicates items that were defined in prior SCSI standards but have been removed from this standard. (Editor's note: Probably this one will be omitted since this is a first standard and therefore is not obsoleting anything in a prior standard.)

#### 2.3.1.4 optional:

Describes features that are not required to be implemented by this standard. However, if any optional feature defined by the standard is implemented, it shall be implemented as defined by this standard.

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<sup>1</sup> (See A.1.10 for a more lengthy discussion of object groups.)

<sup>2</sup> See A.1.15 for further description of sessions.



### 2.3.1.5 reserved:

Refers to bits, bytes, words, fields, and code values that are set aside for future standardization. Their use and interpretation may be specified by future extensions to this or other standards. A reserved bit, byte, word, or field shall be set to zero, or in accordance with a future extension to this standard. The recipient may not check reserved bits, bytes, words, or fields. Receipt of reserved code values in defined fields shall be treated as an error.

### 2.3.1.6 shall:

Indicates a mandatory requirement. Designers are required to implement all such mandatory requirements to ensure interoperability with other standard conformant products.

### 2.3.1.7 should:

Indicates flexibility of choice with a strongly preferred alternative. Equivalent to the phrase It is

### 2.3.1.8 vendor-specific:

Items (e.g., a bit, field, code value, etc.) that are not defined by this standard and may be vendor defined.

## 2.3.2 Conventions

Lower case is used for words having the normal English meaning. Certain words and terms used in this standard have a specific meaning beyond the normal English meaning. These words and terms are defined either in clause 2.3.1 or in the text where they first appear.

Listed items in this standard do not represent any priority. Any priority is explicitly indicated. Formal lists (e.g., (a) red; (b) blue; (c) green) connoted by letters are in an arbitrary order. Formal lists (e.g., (1) red; (2) blue; (3) green) connoted by numbers are in a required sequential order.

If a conflict arises between text, tables, or figures, the order of precedence to resolve conflicts is text; then tables; and finally figures. Not all tables or figures are fully described in text. Tables show data format and values.

The ISO/IEC convention of numbering is used (i.e., the thousands and higher multiples are separated by a space and a comma is used as the decimal point as in 65 536 or 0,5).

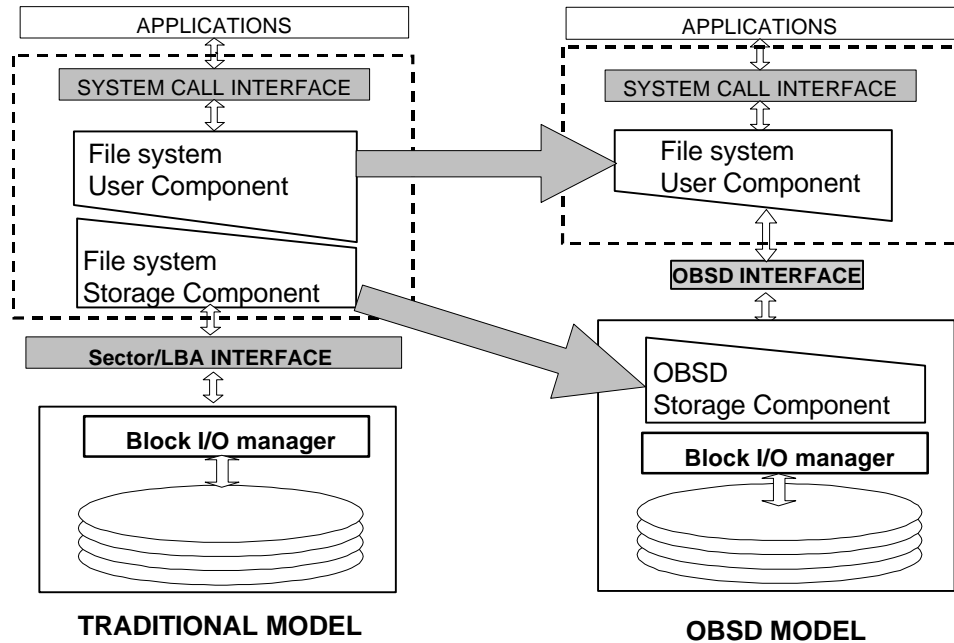
The additional conventions are:

- a) The names of abbreviations, commands, and acronyms used as signal names are in all uppercase (e.g., IDENTIFY DEVICE);
- b) Fields containing only one bit are referred to as the "NAME" bit instead of the "NAME" field;
- c) Field names are in SMALL CAPS to distinguish them from normal English;
- d) Numbers that are not immediately followed by lower-case b or h are decimal values;
- e) Numbers immediately followed by lower-case b (xxb) are binary values;
- f) Numbers immediately followed by lower-case h (xxh) are hexadecimal values;
- g) The most significant bit of a binary quantity is shown on the left side and represents the highest algebraic value position in the quantity;
- h) If a field is specified as not meaningful or it is to be ignored, the entity that receives the field shall not check that field.

### 3 SCSI OBSD Model

#### 3.1 Overall Architecture

The object abstraction is designed to re-divide the responsibility for managing the access to data on a storage device by assigning to the storage device additional activities in the area of space management. The following diagram illustrates this.



The user component of the file system contains such functions as:

1. Hierarchy management
2. Naming
3. User access control.

While the storage management component is focused on mapping the file system logical constructs to the physical organization of the storage media. The file system will continue to have the ability to influence the location of data through the specification of attributes. These can, for instance, direct the location of an object to be in close proximity to another object or to be in some part of the available space that has some higher performance characteristic –such as on the outer zone of a disc drive to get higher data rate.

We have seen over the last several years many sub-components of storage management move to the storage device, such as geometry mapping, media flaw re-vectoring and media error correction. The model extends this trend to include the decisions as to where to allocate storage capacity for individual data entities and managing free space.

#### 3.2 Elements of the example configuration

The objective of Object Based Storage (OBS) is to enable the sharing of storage in a heterogeneous processor cluster. This is more complex than simply defining a new protocol. The following illustrates how a protocol supporting the object abstraction might fit into an overall architecture that meets this objective.

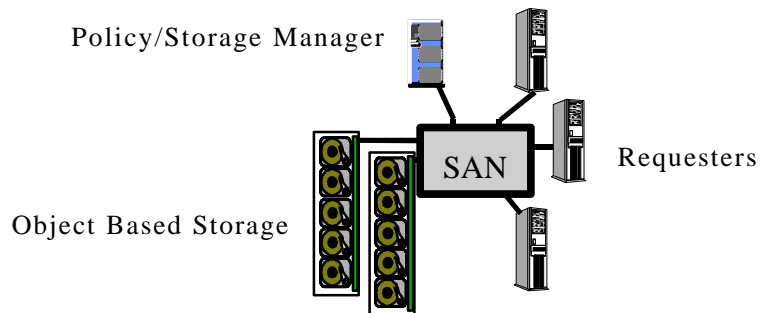
In this example, the OBS architecture has three constituents: OBSD, Network and Requesters. A possible fourth element is a dedicated Policy/Storage Manager. The CMU work<sup>3</sup> illustrates this with an independent machine as the Policy/Storage manager. Feedback has pointed out that in high availability applications it could be a single point of failure or –with multiple instances of the Manager – require strong concurrency control and coherency mechanisms. (Not that they would necessarily not be required with other manager schemes, such as being distributed among the Requesters.)

The Object Based Storage Devices are the storage components of the system. They include disc drives, RAID subsystems, tape drives, tape libraries, optical drives, jukeboxes, or other storage device to be shared. They shall have a Network attachment to the Requesters that will access them.

The Requesters are the servers or clients sharing and directly accessing the OBSD via a Network. All I/O activity is between the Requesters and the OBSD. (A Policy/Storage Manager would also be a Requester.)

The Network is the Storage Area Network (SAN) or other interconnect over which all OBS components intercommunicate. It is assumed the SAN shall have the properties of both networks and channels.

A Policy/Storage Manager, if present, may perform management and security functions such as request authentication and aggregation management<sup>4</sup>. It could relieve the Requesters of some storage management. In other instances of OBS systems, a dedicated Policy/Storage Manager may not be called for; the equivalent function may be distributed among the Requesters. This model ignores the role of the Policy/Storage Manager as it is not needed to describe how the commands work.



• Figure 1: OBS Configuration

### 3.3 Description of Architecture

#### 3.3.1 Storage device organization

The following description suggests one approach to implementing the OBSD architecture. It is by no means the only and perhaps not even the best. These constructs are not part of the standard as it was thought that there should be much more work done to determine what structures would be the most effective.

The fields listed in each section are also for illustration purposes only. The associated lengths are likewise given to help clarify what is meant. It was pointed out that this level of detail wrongly

<sup>3</sup> Gibson, G, et al, "Filesystems for Network-Attached Secure Disks". March 1997.

<sup>4</sup> See B.3.4

insinuates a degree of specificity, and that it would be better to omit this detail. If it turns out that they are misleading, they can be removed.

### 3.3.1.1 Objects

Data is stored in abstracted subsets of the available capacity on the storage device. By abstracted it is meant that the data is not accessible at block or sector addresses relative to the capacity of the storage device, but rather, the storage device allocates space for data and supplies to the requester a unique identifier<sup>5</sup> which the requester will use to access the data. This identifier is an unsigned integer that the storage device uses to connect an I/O request with the data to which it applies. It is not intended or expected that the object abstraction be a complete file system. There is no notion of naming, hierarchical relationships, streams or file system style ownership and access control done within the object abstraction. These are assumed still to be the responsibility of the OS file system.

There are four parameter fields needed to establish access to data in an object. The following table lists these.

Field	Size	Usage
OBJECT GROUP ID	4	Identifies in which subset of the Device's Objects' the desired object resides
OBJECT ID	8	Calls out the object being accessed
DISPLACEMENT	8	Identifies the starting location within the object of the data transfer
LENGTH	8	Number of bytes to be transferred

• Figure 2. Addressing bytes in an object

The above table reveals a couple of aspects of the object abstraction. First, addressing is in bytes. (There is a way to get guidance from the storage device so that transfers can be aligned to physical boundaries of recorded data.) Second, the objects on a storage device are grouped into sets. (There could well be only group for the entire device.)

### 3.3.1.2 Object Organization

Objects on an OBSD are collected into groups, called Object Groups. Optionally, there may be a capacity quota associated with a group, such that the OBSD will ensure that the sum of storage capacity occupied by all the objects in a group does not exceed the capacity quota. It can be directed to reject WRITE, CREATE or APPEND operations to an object that would result in an object group consuming more storage capacity than it is entitled to. The capacity quota lets several independent requesters, for instance, be at work filling an OBSD with objects, without the danger of any consuming an unreasonable percentage of the available capacity<sup>6</sup>.

### 3.3.1.3 Well Known Objects

A well-known Object is one that always has a specific object ID<sup>7</sup>. It shall exist on every storage device or in every Object Group. These objects serve as landmarks for a Requester navigating the OBSD organization. The following list has possible Object identifiers associated with each for illustration purposes. Well-known Objects would not need to be opened before accessing them.

Object Group ID	Object ID	Usage
0	1	Storage Device Control Object
0	2	Object Group List
N	1	Object Group Control Object
N	2	Object Group Object List

<sup>5</sup> See B.1.2 for a discussion of which entity should supply the ID for a newly created object.

<sup>6</sup> (See A.1.10 for a more lengthy discussion of object groups.)

<sup>7</sup> Because uninitialized variables frequently contain zero, it is recommended that zero not be a valid identifier for objects.

- Figure 3. Well Known Objects

### 3.3.1.4 Object Group Object List (GOL) Object 1 in an Object Group

When an Object Group is created, a second well-known Object will also be built - the point of departure for navigating through the Objects. It will have the same identifier in every Object Group. This Object consists of a list of the Object ID's for all Objects resident in this Object Group. One of the capabilities not addressed in this document is that of being able to do list directed functions, such as getting the attributes of a set of objects<sup>8</sup>. This would be much more efficient than using a separate command for each object. It was thought that the GOL might be a basis for this.

## 3.4 Overview of OBS Operation

### 3.4.1 Preparing a device for OBSD operation

In order for a storage device to accept and process OBSD commands, it shall have been initialized as an OBSD. A Requester issues the following commands to accomplish this.

Operation	Parameters	Notes
Format OBSD	LENGTH (optional)	Construct OBSD control structures
CREATE OBJECT GROUP	Capacity Quota (optional)	Initialize Set into which Objects can be created

- Figure 4: Initialization Sequence

Upon completion of these two commands the storage device is an OBSD and can accept other OBSD commands.

### 3.4.2 Startup – Discovery and Configuration

This topic is expected to be heavily influenced by interconnect protocols and is sketched here only to anticipate the implications of interconnect standards. When the OBS is powered up all storage devices shall identify themselves either to each other or to a common point of reference, such as the Policy/Storage Manager or a name service on the Interconnect. This discovery process is expected to be a capability of the interface and is not specially defined for OBSD. (Interconnects usually offer this function.) For instance, in a Fibre Channel fabric based OBS, the OBSD, Policy/Storage Manager and Requesters would log onto the fabric. From the fabric they could learn of the existence of all other OBSD components. They could use these fabric services to identify all other components. The Requesters learn of the existence of the OBSD they could have access to, while the OBSD learn where to go when they need to locate another storage device. Similarly the Policy/Storage Manager learns of the existence of OBSD from the fabric services.

At the same time each OBS component can identify to the Policy/Storage Manager any special considerations it would like known. Any storage device level service attributes could be communicated once to the Policy/Storage Manager, where all other components could learn of them. For instance a Requester may wish to be informed of the introduction of additional storage subsequent to startup, this being triggered by an attribute set when the Requester logs onto the Policy/Storage Manager. The Policy/Storage Manager could do this automatically whenever new OBSD are added to the configuration, including conveying important characteristics, such as it being RAID 5, mirrored, etc.

#### 3.4.2.1 Object Based Storage devices

OBSD have two important functions at start up. First, they shall sign on as citizens of the NETWORK. Second, they shall insure that no unlawful activity takes place before the Policy/Storage Manager has authorized it<sup>9</sup>. It might be possible for both a requester and an OBSD to complete startup before the Policy/Storage Manager has had time to provide to the OBSD access control information. This leaves the OBSD exposed to otherwise prohibited access. To prevent this, the OBSD shall retain in

<sup>8</sup> This issue is noted in C.1.3

<sup>9</sup> See C.2 for a discussion of OBSD security protocols.

persistent memory (perhaps on the media) sufficient information to prevent this unauthorized early access.

The OBSD will also be informed of the installation security policy when it logs into the Policy/Storage Manager. Based on this they will not allow an I/O request unless it is properly constructed - including encoded with a valid permission. All OBS elements collaborate to enforce the security of the system.

3.4.2.2 Requesters

Requesters shall identify themselves to the NETWORK so that the Policy/Storage Manager can locate them and communicate to them sufficient direction to start storage access. Depending on the security practice of a particular installation, A Requester may be denied access to some equipment. From the set of accessible storage devices it can then chase down the files, databases, and free space available.

3.4.3 Accessing data on the OBSD

Assume a file system shall create a simple file system and will do it on an OBSD. File system function is beyond the scope of this document, but for the sake of illustration a simple PC/UNIX-like file system is assumed in this example. The file system will consist of a single file in a single subdirectory:

**/father/son**

Where "father" is name of the directory to be created and "son" is the file. The table below lists the sequence of OBSD commands that will result in the file system being created. It is assumed that the OBSD and Object Group are known.

Step	Operation	Group, Object	Notes – What file system does with the data
1	Get Attribute	n,1	Get Object ID of root object = object id "r"
2	READ	n,r	Make sure "father" does not already exist.
3	CREATE	n	Returns object "s", which will hold file "son"
4	CREATE	n	Returns object "f", which will hold directory "father"
5	WRITE	n,s	Write contents of "son" – one or more WRITES involved
6	WRITE	n,f	Write contents of "father" - one or WRITES involved
7	Write	n,r	Root directory revised to contain "father"

• Figure 5. OBSD command sequence for creating file

In the following example the version of the CREATE action used includes the actual transfer of data to the new object. Thus the separate WRITES are not need to fill "son" or "father" with data.

Step	Operation	Group, Object	Notes – What file system does with the data
1	Get Attribute	n,1	Get OBJECT ID of ROOT OBJECT = OBJECT ID "r"
2	READ	n,r	Make sure "father" does not already exist.
3	CREATE	n	Returns object "s", which holds file "son"
4	CREATE	n	Returns object "f", which holds directory "father"
5	WRITE	n,r	Root directory revised to contain "father"

• Figure 6. OBSD command sequence using CREATE with data

The following example includes OPEN and CLOSE actions. These could be used to "lock" the root directory while it is being updated with the new directory "father".

Step	Operation	Group, Object	Notes – What file system does with the data
1	Get Attribute	n,1	Get OBJECT ID of root object = object id “r”
2	OPEN	n,r	Returns SESSION ID, QoS is “lock” this object
3	READ	n,r	Make sure “father” does not already exist.
4	CREATE	n	Returns object “s”, which will hold file “son”
5	CREATE	n	Returns object “f”, which will hold directory “father”
6	WRITE	n,s	Write contents of “son” – one or more WRITES involved
7	WRITE	n,f	Write contents of “father” - one or WRITES involved
8	WRITE	n,r	Root directory revised to contain “father”
9	CLOSE	n,r	Unlock root directory

• Figure 7. OBSD command sequence with OPEN and CLOSE actions

### 3.4.4 OBSD Mandatory Actions template

The above example shows the use of the CREATE, OPEN, CLOSE, READ and WRITE OBSD actions. The following table lists the mandatory OBSD actions:

Action	Field	Options	Object Group	Object ID	Session ID	Starting byte	Byte Length	Attribute Mask	Reserved
Format OBSD		2					8		4
CREATE Object		2	4		4 (o)	8	8	8 (o)	
OPEN		2	4	8	4 (o)			8 (o)	
READ		2	4	8	4 (o)	8	8		
WRITE		2	4	8	4 (o)	8	8		
APPEND		2	4	8	4 (o)		8		
FLUSH Object		2	4	8					
CLOSE		2	4	8	4 (o)				
REMOVE		2	4	8					
CREATE OBJECT GROUP		2							
REMOVE Object Gr.		2							
Get Attributes		2	4	8	4 (o)			8	
Set Attributes		2	4	8	4 (o)			8	

• Figure 8. OBSD Mandatory commands with field lengths

The (o) indicates fields that have optional meaning depending on a specified option.

Note that the length field on the Format OBSD action is the amount of capacity to be allocated to the OBSD. For the most part the commands should be self-explanatory. The OPEN, CLOSE and APPEND may be exceptions.

OPEN and CLOSE as an object frame a session composed of a set of actions requiring additional management support or quality of service.

APPEND is a write command with no starting byte. The OBSD determines the last byte of an object and puts the data accompanying the command at the end of the object, then updates the OBJECT\_LOGICAL\_LENGTH. This command allows multiple requesters to contribute to a log file without each in turn having to gain control of the object and lock it from access by others.

The GET ATTRIBUTE and SET ATTRIBUTE actions are like mode sense and mode select commands for the OBSD environment in that they are used to interrogate and supply operating mode parameters for objects, object groups, and OBSD themselves.

### 3.4.5 OBSD Optional Action

The Import Object command enables a Requester to instruct an OBSD to read an Object from a second OBSD creating and writing a copy onto itself<sup>10</sup>.

Action	Field	Options	Object Group	Object ID	Session ID	Starting byte	Byte Length	Source OBSD	Source Group
IMPORT OBSD		2	4	8	4	8	8	16	4

• Figure 9. OBSD Optional Action with field lengths

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<sup>10</sup> One example of this command's value lies in backup operations. If a storage device is aware that it holds an object that has been updated and must be backed up, it could inform a backup agent of the object status. The backup agent could, in turn, direct a backup device such as a tape library to import the object from the OBSD that reported the backup need in the first place. No Requester would have to participate to get the object backed up.



## 4 Data fields

### 4.1 Background, the Command format

The standard method for issuing SCSI I/O commands to storage devices involves a set of data grouped together in what is called a Command Descriptor Block (CDB). In order to convey sufficient information to initiate the action that will be called for in the architecture, the long CDB is used. This new CDB provides for an always clear 10-byte header, followed by a body section that could either be encrypted or not depending on the content of a controlling field in the header.

#### 4.1.1 Long CDB Definition

Bit Byte	7	6	5	4	3	2	1	0
0	Long Command Op Code (7Fh)							
1	Control byte							
2	Reserved							
3	Reserved							
4	Reserved							
5	ENCRYPTION IDENTIFICATION							
6	Reserved							
7	Additional CDB Length (n-7)							
8	(MSB)	Action Code						(LSB)
9								
10								
-	Action code specific fields							
N								

• Figure 10 Long CDB

The encryption identification field indicates whether CDB bytes 8 through n are encrypted. The value also indicates which encryption key to use for decryption. A value of zero indicates no encryption. The other values are yet to be determined<sup>11</sup>.

The additional CDB length field indicates the number of additional CDB bytes. This number shall be a multiple of 4.

The action code field indicates the action being requested by the application client. This is equivalent in handling to the operation code field in all other commands today.

Each action code will define a number of action code specific fields that are needed for that action. These will be described in the clauses defining each action code.

#### 4.1.2 Fields used in Actions and Responses

The following fields will be used in the CDBs that follow. As most will be used several times, they are defined once here rather than repeatedly throughout the action descriptions.

##### 4.1.2.1 ACTION CODE.

This is a two byte field that uniquely identifies the operation to be performed.

<sup>11</sup> To protect against accidental changes or malicious tampering with bytes 0-8, as well as all the other bytes in the CDB or transferred data, it is recommended that a (preferably cryptographic) checksum of all CDB (And data) fields is computed and transmitted with each CDB and data transfer. See the security discussion in Annex C.2

## 4.1.2.2 ATTRIBUTE MASK

This 64-bit field is a bit mask, with one bit for each page of attributes to be read or written. A bit set to one indicates to the OBSD that the corresponding attribute is to be written or supplied by the OBSD.

## 4.1.2.3 LENGTH.

(1) an unsigned 64 bit integer representing the length of the data transfer supplied in the action by the requester, (2) the actual length of the transfer supplied by the OBSD in the response to the action or (3) the size in bytes of the storage device to be formatted as an OBSD.

## 4.1.2.4 OBJECT ID.

This is an unsigned 64 bit integer assigned by the OBSD<sup>12</sup>.

## 4.1.2.5 OPTION BYTE 1.

This is a set of fields used to modify or control the Action. The DPO, FUA and LUN bits fields are as defined already in the SCSI standard.

Bit	7	6	5	4	3	2	1	0
Byte 0	Reserved	Reserved	Reserved	DPO	FUA	Reserved	Reserved	Reserved

• Figure 11 Option byte 1

## 4.1.2.6 OPTION BYTE 2.

This is a set of fields used to modify or control the Action. O2-0 through O2-7 are available for each action to specify unique conditions or qualifiers for each action<sup>13</sup>.

Bit	7	6	5	4	3	2	1	0
Byte 0	O2-7	O2-6	O2-5	O2-4	O2-3	O2-2	O2-1	O2-0

• Figure 12 Option byte 2

## 4.1.2.7 OBJECT GROUP ID.

This is an unsigned 32-bit integer assigned by the OBSD. There is a need with some actions (GET ATTRIBUTES, SET ATTRIBUTES, FLUSH and REMOVE OBJECT GROUP) to reference the entire OBSD, not just a single Object Group. A special value in this field (perhaps 0) could indicate a reference to the entire storage device.

## 4.1.2.8 OBJECT GROUP REMAINING CAPACITY.

This is an unsigned 64-bit integer supplied by the OBSD in response to WRITE, APPEND, IMPORT or CREATE actions and indicating the amount of capacity remaining in the space quota for the referenced Object Group.

## 4.1.2.9 SOURCE STORAGE DEVICE.

This 16 byte field contains an OBSD name (used externally to address the named OBSD). It is used in the IMPORT action to identify the source for an object whose data is to be imported into the requesting OBSD. Interconnect addressing conventions govern the translation of an OBSD name into an interconnect-specific address.

## 4.1.2.10 SESSION ID.

This four-byte field binds a request to a previously granted quality of service agreement<sup>14</sup>.

<sup>12</sup> See B.1.2 for additional discussion on Object ID's.

<sup>13</sup> See A.1.1 through A.1.13 for possible values of these option bits.

<sup>14</sup> See A.1.15 for more information on where the session ID is used.

## 4.1.2.11 STARTING BYTE ADDRESS.

This is an unsigned 64-bit integer supplied by the requester and indicating the location in the specified object relative to the first byte (byte 0) where the read or write is to commence.

## 4.2 Attributes

Attributes are characteristics associated with objects to prescribe desired behaviors and/or effects upon object access, or to assign intrinsic properties to objects for use as metadata. Since OBSD objects are intended to contain data, an objects attributes may apply to its data as well. Indeed, as we shall see, this use of attributes can enable the exploitation of the OBSD architecture by applications running at a remote host or within the OBSD itself.

In some cases attributes specify OBSD performance expectations. Benchmarks will be used to enable OBSD manufacturers to specify attribute ranges that are meaningful for their devices. An auditing mechanism will need to exist to measure the devices actual performance for compliance purposes.

## 4.2.1 Data Storage Attributes vs. Policies

There is often confusion over the distinction between these two concepts. For the purposes of this document, we will use the term **attribute** as defined in 4.2. **Policy** refers to the set of conditions and subsequent actions (to be performed in the event the associated condition(s) is/are met) connected to the management of data and/or storage. Policies are typically time- or event-driven, are independent of storage geometry, and frequently occur independently of any application processes. Common examples of policies include conditional or time-based backup, archive, and delete processing, data movement, and device maintenance<sup>15</sup>.

Policies, though relevant to the management and disposition of objects and their contents, are beyond the scope of this document.

## 4.2.2 Classes of Object Attributes

Four classes of attributes are manifest: OBSD-determined, static, session, and extended. *The device as a result of an operation on the object sets OBSD-determined attributes.* These are particularly useful to policy-driven space maintenance processes, and include

- **Nearby Object** –Object ID of an Object as close as possible to which this object should be located
- **Depending Object** –Object ID of an Object to which this object is dependent
- **Copied Object** –Object ID of Object of which this object is a copy
- **Object logical length** –The highest byte address that will return data on a READ request.

*Static attributes* are persistent characteristics that are set by the process creating the object. These attributes are ideally suited for use by host file systems, and include such object metadata as

- **OBJECT\_SIZE** - The amount of storage space the OBSD associates with this object.
- **CREATED\_TIME** –the time the object was initially created, based on the OBSD's clock<sup>16</sup>
- **DATA\_MODIFIED\_TIME** –the time the object was last written into or edited, based on the OBSD's clock<sup>17</sup>
- **DATA\_ACCESS\_TIME** –the time the object was last read or written into, based on the OBSD's clock<sup>18</sup>
- **ATTRIBUTE\_MODIFIED\_TIME** –the time the attributes of the object were last modified.
- **EXPIRATION\_TIME\_STAMP** –The time when the object is no longer required<sup>19</sup>

<sup>15</sup> This use of policy is consistent with the DMTF definition .

<sup>16</sup> This value may be used by auditing and/or storage management processes for object tracking and aging

<sup>17</sup> Important for backup services use

<sup>18</sup> Useful for space management wherein whole objects may be moved, temporarily (*migration*) or in part (*percolation*), to other storage within a storage hierarchy; it is not necessary for this timestamp to be precise, i.e. it can be maintained in volatile memory and written to the device when opportunity permits

- **INDELIBILITY** –a non-modifiable indicator<sup>20</sup>.
- **TRANSIENT\_OBJECT** – This identifies an object that the file system does not expect to survive power failures.
- **FILE SYSTEM** –Identification of the file system under which the object was created.
- **FILE SYSTEM SPECIFIC ATTRIBUTES** - File system-specific information, uninterpreted by the OBSD.

Type	Name	Set by	Length	Semantics
Clustering	NEARBY_OBJECT	Set Attribute	16	Locate this Object near another
Depending Object	DEPENDING_OBJECT	Set Attribute	16	This Object is dependent on the named object
Cloning	COPIED_OBJECT	OBSD	8	Object was created Copy Object
	OBJECT_LOGICAL_LENGTH	OBSD, Set Attr.	8	Largest offset written
Size	OBJECT_SIZE	OBSD, Set Attr.	8	Number of Bytes Allocated for Object
	ACCESS CONTROL STATE	Set Attr	2	Access version
			2	Reserved
	CREATED_TIME	OBSD, CREATE	8	Timestamp of object creation
	DATA_MODIFIED_TIME	OBSD, CLOSE	8	Timestamp of last object data modification
Time	DATA_ACCESSED_TIME	OBSD, OPEN	8	Timestamp of last data access
	ATTRIBUTE_MODIFIED_TIME	OBSD, Set Attr.	8	Timestamp of last attribute modification
	EXPIRATION_TIME_STAMP	CREATE, Set Attr.	8	Timestamp after which object is not required
Miscellaneous	OBJECT_ATTRIBUTES	OBSD, Set Attr.	8	Bits of Object properties for self-mgmt 00: INDELIBILITY 01: TRANSCIENT_OBJECT
File system	FILE_SYSTEM_ID	Set Attribute	2	Identification of the OS creating the object
	FS-SPECIFIC	Set Attribute	256	256 bytes uninterpreted by OBSD

• Figure 13: Possible Object Attributes

*Session attributes* specify changeable characteristics that may be directly modified by the accessing process. The attributes may be set when the process establishes a session with the OBSD. The value set of the process-object dynamic attributes is maintained independently of other process-object sets, even if the same object is used; hence, the OBSD needs to relate a unique session-id to the value set associated with each process-object pair. Dynamic attributes include:

- **TIME TO INITIAL ACCESS (TIA)** –The average time delay (in milliseconds) that can be tolerated until the first byte of data from the object is delivered.<sup>21</sup>
- **SUSTAINED ACCESS RATE (SAR)** –The on-going average data rate (in bytes per second) that data needs to be read from or written to the object.<sup>22</sup>
- **FREQUENCY OF ACCESS (IOR)** –The average number of requests per second that can be expected to read data from or write data into the object.<sup>23</sup>
- **BANDWIDTH OF ACCESS (BOA)** –The average request size of data to be read from or written into the object.<sup>24 25</sup>

Each of these dynamic attributes can be specified separately for:

- **READ VS. WRITE BIAS** –indicates the specification is for read requests or for write requests<sup>26</sup>
- **DIRECT VS. SEQUENTIAL ACCESS** –indicates the specification is for direct access requests or for sequential requests.<sup>27</sup>

<sup>19</sup> If an object is known to have a limited life-span, independent storage management techniques can be employed to retire the content of the object when its usefulness has expired. Note, however, that this could cause a serious problem with file systems: an object that expires and is deleted by the OBSD may leave the file system with dangling links.

<sup>20</sup> This can be set after an object is created to prevent any further writes to the object. Once set, this attribute cannot be turned off. Potentially necessary for legal acceptance of electronically-stored documents as 'originals'.

<sup>21</sup> TIA enables intelligent OBS systems to stage object data within a storage hierarchy or to store objects on sequential and/or mountable media.

<sup>22</sup> SAR represents the data rate desired by the requestor, not the sustainable data rate of the device. An intelligent OBS system with multiple sustainable data rates available to it may have more latitude in satisfying this request than a single device.

<sup>23</sup> IOR may be particularly useful to transaction-based requesting environments wherein a desired transaction rate can be mapped into a predicted I/O rate.

<sup>24</sup> BOA may guide an OBS device in apportioning its buffer space and/or prioritizing I/Os.

<sup>25</sup> We recognize that TIA, SAR, IOR, and BOA are not independent. It is believed that only some subset of these would be specified for any specific object, and are likely to default to 'best' for most objects.

<sup>26</sup> This enables different values to be set depending on the potential use of the data. For example, real-time data capture might have very strict values for WRITES and less constrained values for READS, while video stream delivery might be the opposite.

*Extended attributes* are not defined at this time, but the capability is provided to enable new and/or higher level attributes to be provided in the future. Provision is made in the command structure for supporting these attributes in the future (cf. Section 4.2.4.1.).<sup>28</sup>

#### 4.2.3 Other Attributes

It is recognized that both object groups and the OBSD themselves could have attributes associated with them. They could have default values for the contained objects as well as special properties that pertain to the larger entities. Access to these attributes is achieved by associating special, well known object IDs with object groups and the OBSD. In these cases the interpretation of an attribute mask and its values changes as below.

##### 4.2.3.1 OBSD Control Object (DCO)

This object contains the attributes the OBSD shall maintain that relate to the storage device itself or that relate to all objects on the storage device. The attributes are maintained by the SET ATTRIBUTE function. Each storage device has one DCO. Control objects are intended to serve for OBS systems a function similar to SCSI mode sense and select.

Name	Length	Semantics
NAME	8	Immutable identifier
USER NAME	8	Installation supplied name
CLOCK	8	Monotonic counter
MASTER KEY	16	master key, controlling storage device key <sup>29</sup>
STORAGE DEVICE KEY	16	storage device key, controlling Object Group keys
PROTECTION LEVEL	n	defines protection options
OBJECT_GROUP_COUNT	4	Number of Object Groups on storage device
ATTRIBUTES	4	Properties of this Storage device 00 00 00 01: Over-subscription of capacity
OBJECT_ATTRIBUTES	n	Properties common to all objects on storage device

• Figure 14: Possible Storage Device Control Object Attributes

**OVER-SUBSCRIPTION** –This attribute, if set, allows the Object Group quota to exceed the capacity of the device.

##### 4.2.3.2 Object Group Control Object (GCO)

This object contains the properties of a single Object Group. It describes not only the Object Group but also any object attributes that pertain to all objects in the Object Group. The OBSD will have one PCO for each Object Group defined on the storage device. Optional quotas can be set to put limits on space associated with each ID or the space used by all objects of the Object Group. Control objects are intended to serve for OBS systems a function similar to SCSI mode sense and select.

<sup>27</sup> It is often the case that data may require random access during high use periods or by specific applications, and serial processing at other times or by other applications (e.g. reporting).

<sup>28</sup> Two immediate considerations for extended attributes come to mind: the first supports the increased intelligence potentially available within OBS devices and systems, and the second explores the use of these attributes to denote functional methods stored in the OBS itself. Increased intelligence enables the OBS to 'raise the level' of the interface it provides to requestors. Thus, for example, applications and/or file systems could create hierarchies of objects with their own management attributes, or enable qualitative or relative parameters for performance and availability, or exploit proprietary application or OBS characteristics. The second consideration implies the offloading – either dynamically or statically – of procedures from the requestor to the OBS device, with subsequent execution in the device itself rather than in the host (either asynchronously or by command). Such procedures could range from cryptographics and format conversions to intra-object sorting to complete object-oriented programming methods. While we do not foresee this occurring in the near future, we would be remiss if we did not provide for these levels of extensibility.

<sup>29</sup> See C.2 for a discussion on the roles of keys in security.

Name	Length	Semantics
GROUP_KEY	16	Encryption keys
CURRENT_WORKING_KEY	16	
PREVIOUS_WORKING_KEY	16	
OBJECT_GROUP_CAPACITY_QUOTA	8	Limit on sum of sizes of objects in this Object Group
REMAINING_CAPACITY	8	Available Capacity in Group remaining against quota
ROOT_OBJECT	8	Object ID of starting point for navigating objects
OBJECT_SIZE_LIMIT	8	No object can extend beyond this length
OBJECT_ATTRIBUTES	n	defines properties associated with all objects in Object Group

• Figure 15: Object Group Control Object Attributes

#### 4.2.4 Setting Session Attribute Values

##### 4.2.4.1 General Structure

The general command modifiers for setting attributes can be viewed as

Attribute Identifier	Comparator	Low/Only Value	High Value
Attribute Identifier	Comparator	Low/Only Value	High Value
Attribute Identifier	Comparator	Low/Only Value	High Value

• Figure 16 Attribute Modifiers

Where

- **Attribute Identifier** - indicates the particular attribute being set; the identifier enables discriminating among static, dynamic, and extended attributes
- **Comparator** - is one of 'value', 'less than', 'greater than', or 'Inclusive'. *value* indicates a specific desired amount (specified by **Low/Only Value** below) is given. *less than* and *greater than* indicate that the **Low/Only Value** is to be viewed as the maximum or minimum values (respectively) for the attribute. *inclusive* indicates that the attribute is to lie within the range specified by **Low/Only Value** and **High Value** (see below).
- **Low/Only Value** – an integer representing the bottom of a range, if one is indicated by the comparator; otherwise, it is the unique value
- **High Value** – an integer representing the top of a range, if one is indicated by the comparator; it is absent, otherwise.<sup>30</sup>

Attribute retrieval command(s) only specify the attribute identifier.

##### 4.2.4.2 Attribute-Setting Commands

The following commands may be used to set object attributes:

- **CREATE** -sets static and dynamic attributes; dynamic attributes, if specified, become the defaults for any session that subsequently accesses this object
- **OPEN** -sets dynamic attributes; if attributes are specified, this command creates a new session-identifier for the object
- **IMPORT OBJECT** -sets static and dynamic attributes; dynamic attributes, if specified, become the defaults for any session that subsequently accesses this object
- **SET OBJECT ATTRIBUTES** -sets static and dynamic attributes; if a non-null session-identifier is specified, sets dynamic attributes for that session.

Return codes are used to communicate the success/failure of setting the appropriate attributes.

##### 4.2.4.3 Attribute-Retrieving Commands

The following commands may be used to retrieve object attribute settings:

<sup>30</sup> It is anticipated that **Low/Only Value** and **High Value** may be other than integers (e.g. character strings) in the future.

- GET OBJECT ATTRIBUTES -all attributes may be specified  
The attributes and corresponding values are returned as a result of the commands.

## 5 Actions (Commands)

The following are the operations that an OBSD will have to perform as its contribution to the OBS environment. The action field in the CDB uniquely identifies the operation to take place. Each section describes the service provided by that operation and the information that shall be passed to the OBSD in order for it to perform that function. The information that could be returned by the storage device to the Requester is also listed to develop a clearer idea of what the function entails.

Only the unencrypted versions of the commands are described. It is felt that more work must be done to define the security architecture before the encryption and authentication fields can be defined<sup>31</sup>.

In the CDB descriptions some fields are described as optional. The fields are always present. An optional field is one that may have a value of zero to indicate that it is not to be used.

### 5.1.1 Format OBSD

This action causes the Storage Device to set itself up for OBSD operation. It results in metadata structures being constructed that support the creation and access of objects.

Bit	7	6	5	4	3	2	1	0
8	(MSB) FORMAT OBSD ACTION CODE							
9	(LSB)							
10	OPTION BYTE 1							
11	OPTION BYTE 2							
24	(MSB)							
25	Reserved							
26								
27	(LSB)							
28	(MSB)							
29								
30	LENGTH							
31	(OBSD_CAPACITY)							
32								
23								
34								
35	(LSB)							

• Figure 17. Format OBSD

If the OBSD Length is set to 0, the entire device is formatted as an OBSD device.

Bit	7	6	5	4	3	2	1	0
0	SCSI STATUS BYTE							

• Figure 18. Format OBSD Response

### 5.1.2 CREATE

The CREATE causes the OBSD to allocate an unused OBJECT ID. The Requester uses this when issuing WRITES to the new object. In addition, the Requester can specify several options it wants for the object<sup>32</sup>.

A special instance of this command includes all data associated with an Object, so that in one command an object can be created, written and closed. The Length field is always present, though its content may be meaningful only when certain options are invoked.

<sup>31</sup> See C.2 for a discussion on security.

<sup>32</sup> See A.1.2 for additional material on the Create action.



Bit	7	6	5	4	3	2	1	0
8	<b>(MSB)</b> CREATE ACTION CODE							
9								
10								
11	OPTION BYTE 1							
12	OPTION BYTE 2							
13	<b>(MSB)</b>							
14	OBJECT GROUP ID							
15								
16								
17								
18								
19	STARTING BYTE							
20	ADDRESS							
21								
22								
23								
24	<b>(MSB)</b>							
25								
26								
27								
28	LENGTH							
29	(optional)							
30								
31								
32	<b>(MSB)</b>							
33								
34	ATTRIBUTE							
35	MASK							
36	(optional)							
37								
38								
39								
	<b>(LSB)</b>							

• Figure 19 CREATE Object Action

The information transfer includes the attributes, followed by object data, if present.

The response to the CREATE action includes the OBJECT ID allocated for the new Object. This is returned by the OBSD upon completion of the CREATE Action.

Bit	7	6	5	4	3	2	1	0
0	SCSI STATUS BYTE							
1	(MSB)							
2								
3								
4								
5								
6								
7								
8								(LSB)
9	(MSB)							
10								
11								
12								
13								
14								
15								
16								(LSB)
17	(MSB)							
18								
19								
20								(LSB)

• Figure 20 Response to CREATE Object Action

### 5.1.3 OPEN

This will communicate to the OBSD the fact that a certain object is to be accessed. It will also indicate the kind of operations permitted on the object. The OPEN will allow the Requester to read and write the specified Object. The Requester can optionally start an I/O session via the OPEN<sup>33</sup>. All parameters are supplied. The LENGTH and SESSION ID fields may be set to zero, which indicates they are not to be used. If non-zero, LENGTH indicates the number of bytes to be pre-allocated for this object. This allows the Requester to cache writes, confident that there will be available storage capacity to store that data when it is eventually sent to the OBSD. The OBSD pre-allocates additional capacity in this amount, each time this much is consumed by writes to the object.

The SESSION ID establishes that I/O to this object are to have specific quality of service properties, those associated with this SESSION ID, which had been returned to the Requester in a response to a previous OPEN Object<sup>34</sup>. This lets a Requester associate the IO activity on several objects with a common session.

<sup>33</sup> See **Error! Reference source not found.** for more on the Open Action

<sup>34</sup> See A.1.15 for more information on session ID's.

Bit	7	6	5	4	3	2	1	0	
8	<b>(MSB)</b>							<b>OPEN ACTION CODE</b>	
9								<b>(LSB)</b>	
10								<b>OPTION BYTE 1</b>	
11								<b>OPTION BYTE 2</b>	
12	<b>(MSB)</b>								
13									
14								<b>OBJECT GROUP ID</b>	
15								<b>(LSB)</b>	
16	<b>(MSB)</b>								
17								<b>OBJECT ID</b>	
18									
19									
20									
21									
22									
23								<b>(LSB)</b>	
24	<b>(MSB)</b>								
25									
26									
27								<b>LENGTH</b>	
28									
29									
30									
31								<b>(LSB)</b>	
32	<b>(MSB)</b>								
33									
34									
35								<b>ATTRIBUTE MASK</b>	
36								<b>(optional)</b>	
37									
38									
39								<b>(LSB)</b>	
40	<b>(MSB)</b>							<b>SESSION ID</b>	
41								<b>(optional)</b>	
42									
43								<b>(LSB)</b>	

• Figure 21 OPEN Object Action

Bit	7	6	5	4	3	2	1	0
0	<b>SCSI STATUS BYTE</b>							
1	(MSB)							
2								
3								
4								
5								
6								
7								
8								(LSB)
9	(MSB)							
10								
11								
12								(LSB)
13	(MSB)							
14								
15								
16								
17								
18								
19								
20								(LSB)

• Figure 22 Response to OPEN Object Action

#### 5.1.4 READ

The storage device is requested to return data to the Requester from a specified object. A priority mechanism to aide the OBSD in reordering queued commands is an option<sup>35</sup>.

<sup>35</sup> See A.1.4

Bit Byte	7	6	5	4	3	2	1	0
8	<b>(MSB)</b> READ ACTION CODE							
9	(LSB)							
10	OPTION BYTE 1							
11	OPTION BYTE 2							
12	<b>(MSB)</b>							
13								
14	OBJECT GROUP ID							
15	(LSB)							
16	<b>(MSB)</b>							
17								
18								
19	OBJECT ID							
20								
21								
22								
23	(LSB)							
24	<b>(MSB)</b>							
25								
26								
27	STARTING BYTE							
28	ADDRESS							
29								
30								
31	(LSB)							
32	<b>(MSB)</b>							
33								
34								
35	TRANSFER LENGTH							
36								
37								
38								
39								
40	<b>(MSB)</b>							
41	SESSION ID							
42	(optional)							
43	(LSB)							

• Figure 23 Read Action

Data is returned as an information transfer.

Bit Byte	7	6	5	4	3	2	1	0
0	SCSI STATUS BYTE							
1	<b>(MSB)</b>							
2								
3								
4	LENGTH							
5	OF DATA							
6	RETURNED							
7								
8	(LSB)							

• Figure 24 Response to Read Object Action

### 5.1.5 WRITE

This will cause the specified number of bytes to be written to the designated object at the relative location also specified. Information required is similar to that for a READ. A WRITE to a byte that is greater than the object logical length will implicitly increase the logical length of the object. If there is a capacity quota on the Object Group to which this object belongs, the OBSD tests to make sure the WRITE does not exceed the quota. If it does, the operation is rejected.

Bit Byte	7	6	5	4	3	2	1	0
8	<b>(MSB)</b> WRITE ACTION CODE							
9	(LSB)							
10	OPTION BYTE 1							
11	OPTION BYTE 2							
12	<b>(MSB)</b>							
13								
14	OBJECT GROUP ID							
15	(LSB)							
16	<b>(MSB)</b>							
17								
18								
19								
20	Object ID							
21								
22								
23	(LSB)							
24	<b>(MSB)</b>							
25								
26								
27	STARTING BYTE ADDRESS							
28								
29								
30								
31	(LSB)							
32	<b>(MSB)</b>							
33								
34								
35	LENGTH							
36								
37								
38								
39	(LSB)							
40	<b>(MSB)</b>							
41								
42	SESSION ID (optional)							
43	(LSB)							

• Figure 25 WRITE Object Action

Data is supplied in an information transfer.

Bit Byte	7	6	5	4	3	2	1	0
0	SCSI STATUS BYTE							
1	<b>(MSB)</b>							
2								
3								
4	OBJECT GROUP							
5	REMAINING CAPACITY							
6								
7								
8	(LSB)							

• Figure 26 Response to WRITE Object Action

#### 5.1.6 APPEND

This will cause the specified number of bytes to be written to the designated object starting immediately after the object logical length. The information required is similar to that for a READ or WRITE except that no starting location is provided. The OBSD is responsible for determining this. The APPEND will also cause the logical length of the Object to be updated reflecting the data added by the APPEND command. (This action could easily be constructed as an option on the WRITE action rather than as a separate action.)

Data is sent as an information transfer.

Bit	7	6	5	4	3	2	1	0
Byte								
8	(MSB) APPEND ACTION CODE							
9	(LSB)							
10	OPTION BYTE 1							
11	OPTION BYTE 2							
12	(MSB)							
13								
14	OBJECT GROUP ID							
15	(LSB)							
16	(MSB)							
17								
18								
19								
20								
21								
22	OBJECT ID							
23	(LSB)							
24	(MSB)							
25								
26								
27	TRANSFER LENGTH							
28								
29								
30								
31	(LSB)							
32	(MSB)							
33	SESSION ID							
34	(optional)							
35	(LSB)							

• Figure 27 APPEND

Bit	7	6	5	4	3	2	1	0
Byte								
0	SCSI STATUS BYTE							
1	(MSB)							
2								
3								
4	STARTING BYTE							
5	ADDRESS							
6	(of APPEND action)							
7								
8	(LSB)							
9	(MSB)							
10								
11								
12	OBJECT GROUP							
13	REMAINING CAPACITY							
14								
15								
16	(LSB)							

• Figure 28 APPEND to Object Response

### 5.1.7 FLUSH Object

This ensures all data and attribute bytes for the specified object are on non-volatile storage.

Bit	7	6	5	4	3	2	1	0
Byte								
8	<b>(MSB)</b> FLUSH OBJECT ACTION CODE							
9								<b>(LSB)</b>
10	OPTION BYTE 1							
11	OPTION BYTE 2							
12	<b>(MSB)</b>							
13								
14	OBJECT GROUP ID							
15								<b>(LSB)</b>
16	<b>(MSB)</b>							
17								
18	OBJECT ID							
19								
20								
21								
22								
23								<b>(LSB)</b>

• Figure 29 FLUSH Object Operation

Bit	7	6	5	4	3	2	1	0
Byte								
0	SCSI STATUS BYTE							

• Figure 30 FLUSH Object Response

### 5.1.8 CLOSE

This will cause the Object to be identified as no longer in use by a given session<sup>36</sup>.

<sup>36</sup> See A.1.8



Bit	7	6	5	4	3	2	1	0	
8	<b>(MSB)</b> CLOSE ACTION CODE								
9								<b>(LSB)</b>	
10	OPTION BYTE 1								
11	OPTION BYTE 2								
12	<b>(MSB)</b>								
13									
14	OBJECT GROUP ID								
15								<b>(LSB)</b>	
16	<b>(MSB)</b>								
17	OBJECT ID								
18									
19									
20									
21									
22								<b>(LSB)</b>	
23	<b>(MSB)</b>								
24	SESSION ID								
25									
26									
27								<b>(LSB)</b>	

• Figure 31 CLOSE Object Action

Bit	7	6	5	4	3	2	1	0
0	SCSI STATUS BYTE							

• Figure 32 Response to CLOSE Object Action

### 5.1.9 REMOVE

Delete an Object.

Bit	7	6	5	4	3	2	1	0	
8	<b>(MSB)</b> REMOVE ACTION CODE								
9								<b>(LSB)</b>	
10	OPTION BYTE 1								
11	OPTION BYTE 2								
12	<b>(MSB)</b>								
13									
14	OBJECT GROUP ID								
15								<b>(LSB)</b>	
16	<b>(MSB)</b>								
17									
18									
19									
20									
21									
22									
23	OBJECT ID								<b>(LSB)</b>

• Figure 33 REMOVE Object Action

Bit	7	6	5	4	3	2	1	0
Byte 0	SCSI STATUS BYTE							
1	(MSB)							
2								
3								
4								
5								
6								
7								
8								(LSB)

• Figure 34 REMOVE Object Response

5.1.10 CREATE OBJECT GROUP

Allocate on the storage device a new set of objects. The operation would implicitly establish an object list and group control object for the Object Group<sup>37</sup>.

Bit	7	6	5	4	3	2	1	0	
Byte 8	(MSB)	CREATE OBJECT GROUP ACTION CODE							
9								(LSB)	
10									
11									
12	(MSB)								
13									
14									
15									
16									
17									
18									
19								(LSB)	

• Figure 35 CREATE OBJECT GROUP Action

The response to the CREATE OBJECT GROUP action includes the Object Group ID assigned by the OBSD.

Bit	7	6	5	4	3	2	1	0
Byte 0	SCSI STATUS BYTE							

• Figure 36 Response to CREATE OBJECT GROUP

5.1.11 REMOVE OBJECT GROUP

This is the function that will delete an Object Group from the OBSD. Any Objects it contains will be deleted.

<sup>37</sup> See A.1.10 and B.1.1 for additional discussion of Groups

Bit	7	6	5	4	3	2	1	0
Byte								
8	<b>(MSB)</b> REMOVE OBJECT GROUP ACTION CODE							
9								<b>(LSB)</b>
10	OPTION BYTE 1							
11	OPTION BYTE 2							
12	<b>(MSB)</b>							
13								
14	OBJECT GROUP ID							
15								<b>(LSB)</b>

• Figure 37 REMOVE OBJECT GROUP Action

Bit	7	6	5	4	3	2	1	0
Byte								
0	SCSI STATUS BYTE							
1	<b>(MSB)</b>							
2								
3								
4	OBJECT GROUP							
5	REMAINING CAPACITY							
6								
7								
8								<b>(LSB)</b>

• Figure 38 Response to REMOVE OBJECT GROUP Action

#### 5.1.12 IMPORT Object (optional)

The IMPORT function will enable the OBSD to access another OBSD to retrieve a specified object and create another copy of it on the requesting OBSD<sup>38</sup>.

This function will copy an object from another storage device by issuing OPEN, READs and a CLOSE to that storage device, transferring the object. This command effectively issues a CREATE, sufficient WRITEs and a CLOSE to create the object on the addressed OBSD.

<sup>38</sup> See A.1.16 and A.1.11 for additional thoughts on the Import action.

Bit	7	6	5	4	3	2	1	0	
8	<b>(MSB)</b> IMPORT ACTION CODE								
9								<b>(LSB)</b>	
10	OPTION BYTE 1								
11	OPTION BYTE 2								
12	<b>(MSB)</b>								
13	DESTINATION								
14	OBJECT GROUP ID								
15								<b>(LSB)</b>	
16	<b>(MSB)</b>								
17									
18	SOURCE OBJECT ID								
19									
20									
21									
22									
23								<b>(LSB)</b>	
24	<b>(MSB)</b>								
25									
26									
27									
28									
29									
30	SOURCE OBSD								
31									
32									
33									
34									
35									
36									
37									
38									
39								<b>(LSB)</b>	
40	<b>(MSB)</b>								
41									
42	ATTRIBUTE MASK								
43									
44									
45									
46									
47								<b>(LSB)</b>	
48	<b>(MSB)</b>								
49	SOURCE OBJECT GROUP ID								
50									
51								<b>(LSB)</b>	

• Figure 39 Import Object Action

Bit	7	6	5	4	3	2	1	0
Byte								
0	<b>SCSI STATUS BYTE</b>							
1	<b>(MSB)</b>							
2								
3								
4								
5								
6				<b>OBJECT ID</b>				
7								
8								<b>(LSB)</b>
9	<b>(MSB)</b>							
10								
11								
12				<b>OBJECT GROUP</b>				
13				<b>REMAINING CAPACITY</b>				
14								
15								
16								<b>(LSB)</b>

• Figure 40 Response to Import Object Action

### 5.1.13 GET ATTRIBUTES

The function retrieves for the specified object the metadata associated with the object. It is also used to interrogate Object Group default and storage device wide attributes. The bit mask identifies the attributes being interrogated. An inbound (OBSD to requester) information transfer transmits the attributes to the requester<sup>39</sup>. Security keys are not returned.

<sup>39</sup> See A.1.12

Bit	7	6	5	4	3	2	1	0
8	<b>(MSB)</b> GET ATTRIBUTE ACTION CODE							
9								
10								
11	OPTION BYTE 1							
12	OPTION BYTE 2							
13	<b>(MSB)</b>							
14								
15	OBJECT GROUP ID							
16								
17								
18								
19	OBJECT ID							
20								
21								
22								
23								
24	<b>(MSB)</b>							
25								
26								
27	ATTRIBUTE MASK							
28								
29								
30								
31								
32	<b>(MSB)</b>							
33	SESSION ID							
34	(optional)							
35								
	<b>(LSB)</b>							

- Figure 41 GET ATTRIBUTE Action

Bit	7	6	5	4	3	2	1	0
Byte								
0	SCSI Status Byte							

- Figure 42 Response to GET ATTRIBUTE Action

#### 5.1.14 SET ATTRIBUTES

The function sets attributes for a specified Object<sup>40</sup>. The attributes values are sent via an outbound (from requester to OBSD) information transfer. The Security keys (See 4.2.3.1 for a description of these attributes) are the only attributes that are set by the SET ATTRIBUTE action but cannot be read by the GET ATTRIBUTE action<sup>41</sup>. If the session ID field is non-zero, then the attributes being set apply to the session and not to the (static) object.

<sup>40</sup> See A.1.13

<sup>41</sup> Some believe a useful variant of this action would return the resulting attributes (as if the same mask was sent with a Get Attributes action).

Bit	7	6	5	4	3	2	1	0
8	<b>(MSB)</b> SET ATTRIBUTE ACTION CODE							
9								
10								
11	OPTION BYTE 1							
12	OPTION BYTE 2							
13	<b>(MSB)</b>							
14								
15	OBJECT GROUP ID							
16								
17								
18								
19	OBJECT ID							
20								
21								
22								
23								
24	<b>(MSB)</b>							
25								
26	SESSION ID							
27	(optional)							
28								
29								
30								
31								
32	ATTRIBUTE MASK							
33								
34								
35								
	<b>(LSB)</b>							

• Figure 43 SET ATTRIBUTE Action

Bit	7	6	5	4	3	2	1	0
0	SCSI Status Byte							

• Figure 44 Response to Set Attribute Action

## Annex A : Research Notes (informative)

This section attempts to capture some of the more important discussion concerning the commands. There was not unanimity in the above definitions, and the following may serve useful in helping a wider audience understand the rationale for the choices that were made.

### A.1.1 FORMAT OBSD

It should not be possible to just start using a storage device in LBA mode after it has been initialized as an OBSD. To return device to LBA mode after it has been initialized as an OBSD, a SET ATTRIBUTE command could turn the OBSD operation off. This should cause the entire contents of the storage device to be destroyed.

### A.1.2 CREATE

Possible options:

Bit	7	6	5	4	3	2	1	0
Byte 0	reserved	reserved	reserved	reserved	reserved	ATTR	SESS	CMPL

• Figure 45 CREATE Action Option byte 2

CMPL is set to one when the CREATE action is to cause a complete object to be created, written and closed. A data phase associated with the CREATE action will convey the object data to the OBSD. The LENGTH field in the CREATE Action will contain the length of this data. This is intended to improve performance in environments where many small objects are being created.

SESS is set to one if the requester requires the OBSD to set up an I/O session. This will require the OBSD to maintain state for the duration of the OPEN session. It is made an option because it was thought that many or most I/O would not have quality of service requirements attached to them. In this case no state is maintained.

ATTR is set to one to indicate that the information transfer includes attribute data for the object to be created.

The CREATE action was thought to be the appropriate time to establish any object specific attributes, including directing an object to be located near another, locating it with respect to the data rate possibilities on the storage device or establishing any other management policy associated with it.

A possible option (not included yet) is a degree-of-contiguity field. For instance, if a new object shall have a minimum degree of contiguity, this parameter could direct the storage device to allocate space in units of that size to ensure that degree of contiguity. Another view holds that this is too "physical" a specification and more appropriate would be a quality of service indicator that specified the performance level required. In other words, have the requester describe the requirement rather than the solution.

Some of the object attributes discussed, in addition to those in 4.2, include:

Make this file password protected. Rejected as not the right place to put a password.

- Encrypt this object. This is probably too expensive to put in a disc drive. While it could be done in a subsystem, the security enthusiasts who looked at it thought this was not the right place to do encryption anyhow.
- Specify if sub object level locking is required<sup>42</sup>. This is still too ill-defined.
- Specify versioning. This was rejected -as more appropriately done by the OS.

<sup>42</sup> See Amir99, CMU-CS-99-111, [www.pdl.cs.cmu.edu](http://www.pdl.cs.cmu.edu)



- Mirror support - cause all updates to be mirrored onto another object. No one could come up with a concrete requirement for this.
- Allocate space in units of a specified minimum size. The motive for this attribute was to allow the requester to specify a minimum degree of contiguity as mentioned above.
- Set rights (as in UNIX)
- Create an object to emulate an SBSD storage device. Assign a LUN to it. (LUN shall be returned upon completion.)

### A.1.3 OPEN

Possible Options:

Bit	7	6	5	4	3	2	1	0
Byte 0	reserved	reserved	reserved	RDNLY	WRNLY	ATTR	SESS	SEQ

• Figure 46 OPEN Action Options

SEQ is set to indicate that this session will access the object sequentially.

SESS is set to one if the requester requires the OBSD to set up an I/O session. This will require the OBSD to maintain state for the duration of the open session. It is made an option because it was thought that many or most I/O would not have quality of service requirements attached to them. In this case no state is maintained.

ATTR is set to one to indicate that the information transfer includes attribute data for the object to be created.

WRNLY is set to identify a session that is to consist of WRITES only.

RDNLY is set to one, the OBSD is instructed to only allow Reads to the referenced object. WRONLY and RDNLY cannot both be set.

There was much discussion on the merits of an OPEN action. Some felt that OPEN was not needed at all. Some participants felt strongly it should not equate to an application file open. That is, it should not be expected that an OBSD OPEN need be issued just because the application submitted a file open to the Operating System. The OBSD OPEN provides a point at which quality of service requirements can be expressed to the OBSD. The storage device can, in turn, reject the OPEN if the desired service level cannot be supplied. If no special attributes –such as Quality of Service requirements –were needed, the OPEN could be implicit with the first READ on an object.

One view of the OPEN and CLOSE commands is that they can frame the usage of an object. The storage device could use the awareness of an object not being open as the signal that it can take management action on the object. This might include starting a backup action by informing a backup agent of the candidate object. The OBSD conceivably could also profit from the OPEN and CLOSE by better managing its cache.

Since an important benefit of the OBSD is storage management, especially performance, the ability to establish quality of service attributes associated with a particular access of an object is deemed valuable. Thus, if a video object is to be read sequentially on one occasion for delivery to a customer, the quality of service requirements might be quite a bit more important than if it is being read sequentially simply for backup. It should be possible to express to the OBSD this difference in requirements.

It is thought that a session ID will be required to identify under which set of quality of service attributes a given I/O is submitted. For instance a single requester could have both operations underway simultaneously. The OBSD would have no way of knowing for a given read request, whether it had to meet the stringent requirements of the video delivery application or only had to get the data out to

satisfy the backup operation. A session ID could let the OBSD discriminate between multiple sets of quality of service requests.

Were SESSION IDs were always used, there would be no need for both a SESSION ID and the [OBJECT ID, OBJECT GROUP ID] set on READ, WRITE and APPEND commands. This would simplify the fast path operations, but always require the OBSD to keep state for each OPEN. Since the OBSD's ability to hold OPEN state is finite, it could result in the OBSD being unable to accept an OPEN due to not having space to hold any more state. Since most operations would not have any QoS requirements, it seems desirable to make the session an option. This lets the OBSD handle most requests it receives, without having to keep state for all tasks that desire to access an object. This also means that any requester can simply READ an object without first issuing an OPEN (again, assuming no QoS requirements).

The optional length parameter on the OPEN is to allow a Requester to pre-allocate and hold space in anticipation of WRITES. This is a quality of service feature, persists only for the lifetime of the session and might be specified with the size attributes in 4.2 rather than an explicit argument on this action. The requester can cache I/O, with the confidence that there will be space available when the cache is flushed. There might be a better way of doing this; but, clearly, something is needed to support requester (client or host, if you like) caching.

A.1.4 READ

Possible options:

Bit	7	6	5	4	3	2	1	0
Byte 0	Reserved	reserved	reserved	reserved	PRIORITY			

• Figure 47 WRITE Action Option byte 2

PRIORITY is a 4 bit integer that puts a relative time criticality on the submitted request. A lower value is a higher priority. The OBSD could use this to help order the execution of the requests in its queue. The intent of priority is to identify classes of relative performance in the I/O queue. A system could, for instance, decide to define class 4 as normal I/O, with class 5 being background work and class 3, exceptional request that shall be put ahead of all normal requests.

It could be argued (and was unendingly!) that a priority capability is redundant to the quality of service attributes, which all agreed would be a more powerful vehicle for managing performance. Still, both are included so that the industry can decide if PRIORITY has a value in the presence of QoS attributes.

There was also a request to have a PRIORITY designation for requests that are not to be executed until a certain amount of idle time has passed. This has not been defined, but could be supported with a set of Priority values, such as 12 -14. A time attribute defining the delay interval would be needed to support this.

A READ can return the entire contents of an object by supplying a length longer than that of the object. The OBSD will return all data and, in the response, the actual length of the data sent to the requester. For instance, a READ with a length of 64K can be used to read any object having a length less than or equal to 64K. The READ will send back as much data as the object contains, with the length of that data supplied in the response.

A.1.5 WRITE

Possible options:

<b>Bit</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
<b>Byte 0</b>	reserved	reserved	reserved	Reserved	PRIORITY			

• Figure 48 WRITE Action Option byte 2

An attribute on the Object to which the WRITE has been issued could cause other events to occur. If mirroring support was called for on the target storage device (as indicated by an attribute on each object), a WRITE could automatically cause the WRITE to be propagated to another OBSD to keep it in sync with the written to OBSD.

#### A.1.6 APPEND

Possible options:

<b>Bit</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
<b>Byte 0</b>	reserved	reserved	reserved	Reserved	PRIORITY			

• Figure 49 APPEND Action Option byte 2

The idea behind the APPEND command is that it leaves to the OBSD the task of concurrency control for a certain class of objects. That is, several requesters could be logging data to an object. If each had to determine the length of the object, acquire an exclusive access rights and write its data, the performance would be far poorer than if each could just issue a WRITE. This feature does not work in all cases, of course, but logging and similar operations can be supported where the precise ordering of the log entries is not a concern.

#### A.1.7 FLUSH Object

This command can also be used for synchronizing the object group or the storage device by specifying the appropriate value to indicate that one or all Object Groups are to be flushed.

#### A.1.8 CLOSE

The discussions on CLOSE were similar to those on OPEN. Many felt that CLOSE is not needed. Most felt, however, that there was value in identifying to the OBSD that an Object was not going to be used by the Requester any longer. The OSD could take action based on this knowledge. One possible action would be to direct an agent to back up the object. This might be desirable if the object had just been updated and had an attribute that called for backing up any time the object was updated. There was stronger support for CLOSE than OPEN.

Any changes as a result of writing to the Object, if not already written to the media, could be committed at this time.

It was recognized that an object being created should not be left in an ambiguous state if the CLOSE is not received. The OBSD could either discard the partially created object or it could establish the existence with the data that has been written to it so far. Which of these to actions to take could be a matter of policy, established at the OBSD, Object Group or object level.

Some believe that Requester failure recovery is made harder by requiring a CLOSE in order to not lose written data, especially as the FLUSH operations can be used for ensuring written data is on stable media. An alternative view of CLOSE is that, independent of zero or multiple proceeding OPEN actions, a CLOSE action on an object is an assertion that Requester activity with this object has ceased.

#### A.1.9 REMOVE Object

Possible options:

Bit	7	6	5	4	3	2	1	0
Byte 0	reserved	reserved	reserved	reserved	reserved	reserved	reserved	DESTR

• Figure 50 REMOVE Object Action Option byte 2

DESTR is set to one to instruct the OBSD that it should obliterate the data in the object to be removed so that no trace is left on the media.

One issue that must be resolved is the issuance of a REMOVE action when the object is still open as a result of some other activity. The prevailing view was that it should be rejected. This would only work in an environment that consistently issued OPEN and CLOSE actions.

#### A.1.10 CREATE OBJECT GROUP and REMOVE OBJECT GROUP

There was considerable disagreement on the need for Object Groups, and what form they should take. The great majority of responses was that they were not needed. Others pointed out how an Object Group concept could be useful, especially in support of legacy OSs because it is quite likely that different file systems, databases, volume managers and virtual memory systems will not be coded to use distributed capacity allocation protocol among themselves. The operation supporting Object Groups are included not to necessarily endorse the need for them. It is hoped that, first, they will serve to flag the question as to whether they are needed, and, second, to suggest how they might be implemented should Object Groups be deemed a requirement. The preferred definition was that an Object Group defined a collection of objects. There would not be a physical segmentation of the storage device tied to the Object Group. It does not describe a subset of the storage capacity. There can be a capacity quota associated with an Object Group, which could be used in legacy OSs as a limit on the amount of space consumed by the objects in an Object Group. This of course leaves open the whole question of over-commitment. There probably should be a choice of policy as to whether the capacity of the storage device can be oversubscribed or not.

This function will also create a well-known object holding Object Group attributes, which cannot be removed as long as the Object Group exists. This object will serve as the starting point for navigating the objects in the Object Group.

The OBJECT ID length was a subject of some discussion. While most felt the longer i.e. 64 bit -field was appropriate, an argument for efficiency held that 32 bit was sufficient. The outcome was that the field was defined as 64 bits, with the possibility of defining an option bit that would restrict the length to 32 bits.

#### A.1.11 IMPORT Object

Bit	7	6	5	4	3	2	1	0
Byte 0	reserved	reserved	reserved	reserved	PRIORITY			

• Figure 51 APPEND Action Option byte 2

It was not unequivocal that IMPORT is necessary –or even a very good idea. While the value of moving objects between storage devices without host intervention has value, it was not clear that the oversight of such an operation should be left to the storage devices. Nevertheless, the command was left in because some saw it usable, especially in smaller system environments.

#### A.1.12 GET OBJECT ATTRIBUTES

GET ATTRIBUTES and SET ATTRIBUTES are used to retrieve or update object characteristics. Originally a 32-bit mask was defined. Some felt that any field mask was just too restrictive at this point. With the prospect of QoS attributes being extremely complex, there probably should be a more open ended mechanism for communicating attribute information between the requester and the OBSD, For example, 4.2 suggests a keyword driven mechanism. A 64-bit mask is the implementation described, but this certainly needs more work.

It should be possible to retrieve attributes for multiple Objects with a single GET ATTRIBUTE operation. For instance, a single request could return the storage device Object and all Object Group Object attributes if there was a convention for describing a list of target objects.

There was some discussion about a list directed operation, whereby the attributes for a set of objects could retrieve or set. There was no consensus on the format that the list should take. There still needs to be a lot of work on the set attributes an object may have.

#### A.1.13 SET OBJECT ATTRIBUTES

The OBSD itself can be addressed as an object. This property is used with special instances of this command to set certain OBSD characteristics. The drive key, which is used to manage the OBSD enforcement of authentication and security, is set using this command.

The installation-supplied name is also passed to the OBSD by this command. Since many installations will want to ensure that names are not ambiguous, the thought is that setting the name should be a closely controlled operation.

#### A.1.14 GET, SET STORAGE DEVICE ASSOCIATIONS

Though not included in the commands, a method for defining and interrogating sets of OBSD could be useful, especially in support of RAID configurations.

These actions would define or interrogate relationships among storage devices. This would be necessary for inter-storage device communications. (A possible implementation would be one of the storage devices being identified as the "master" or first of set, with the others being dependent members of the set. The first of set would be responsible for disseminating to the other members changes in set attributes. Other members would reject attribute settings if they were not from the first of set.)

The motive for defining OBSD sets that the storage devices themselves are aware of, is to enable an implementation of a RAID function or mirroring at the OBSD level. This corresponds to a software RAID on a string of discs. It was not clear how a RAID function could be provided across OBSD without the storage devices knowing the number of storage devices in the RAID group, the arrangement (i.e. order) of the group and the addresses.

Storage device associations, in combination with object based XOR commands make it possible to have controller independent array configurations.

#### A.1.15 Sessions

Sessions are sets of I/O requests that are to be honored by the OBSD in the same way. That is, the Requester can use the Set Attribute action to establish the quality of service characteristics associated with the session. The OBSD is expected to accept the contract –by virtue of not rejecting the Set Attribute action with session attributes –and process the I/O requests per those quality of service requirements. The session ID is returned by the OBSD in the response to an OPEN or CREATE action. The Requester then supplies this session ID in each Read, WRITE, or APPEND request. In addition the Requester can supply the ID of a previously set up session in an OPEN to require that the OBSD also process the I/Os for this object with the same quality of service as requested for the object with which the session was originally established.

#### A.1.16 Scatter-Gather operations

To maximize transfer efficiency, scatter-gather variants of the READ and WRITE commands should be considered. The scatter-gather variants should take as arguments a number of {offset,len} tuples describing the sets of bytes to be read/written. The data should be transferred on the wire in the order

that it is described in the access. Thus, a READ of { {27,3}, {0,4} } would transfer bytes in the following order: {27, 28, 29, 0, 1, 2, 3}.

The spec should probably describe the atomicity of READ and WRITE operations, as well as the scatter-gather variants. It does not seem necessary to implement "extra" guarantees of atomicity for the scatter-gather variants. That is, "READ-SG { obj 37, { {16384, 8192}, {32768, 8192} } }" does not seem to require atomicity not also provided by performing separately "READ { obj 37, offset 16384, len 8192}" and "READ { obj 37, offset 32768, len 8192}", although implementations of the OBSD spec could certainly feel free to provide additional guarantees.

IMPORT should be modified to move a range of bytes within an object rather than whole objects. This can be done by adding two offsets and a length to the description of an IMPORT operation. One offset would be the offset in the source object, the other the offset in the destination object, and the third is the number of bytes to transfer. A scatter-gather variant, IMPORT-SG, should take a list of such byte ranges to import. Utilizing the scatter-gather variant, a storage manager could perform a restriping operation from an m disk array to an n disk array with at most  $n*m$  total IMPORT directives without forcing multiple reads or writes of the same bytes.

#### A.1.17 Attributes

The distinction between Object logical Length and Object Size is that the former defines the range of addresses in which read actions return data and the second reports the real capacity consumed by representing the object's data. Some believe that Set Attribute should take a new value of Object Logical Length which, if smaller than the current value, truncates the object (destroying data with addresses beyond the new value) and if larger than the current value, extends the range of addresses that responds to reads, implicitly defining the value of newly addressable addresses to be zeros.

The attribute, Data\_Access\_Time, was discussed extensively. Many felt that the performance cost for maintaining this was excessive, therefore not to be included. Others held that it is necessary to the OBSD intelligently participating in HSM functions.

There was some sentiment for the interpretation of the Last\_Access\_Time being the time of the last OPEN action on the object.

**Policy** refers to the set of conditions and subsequent actions (to be performed in the event the associated condition(s) is met) connected to the management of data and/or storage. Policies are typically time- or event-driven, are independent of storage geometry, and frequently occur independently of any application processes. Common examples of policies include conditional or time-based backup, archive, and delete processing, data movement, and storage device maintenance.

Policies, though relevant to the management and disposition of objects and their contents, are beyond the scope of this document.

Some felt that even though eliminating a sector dependency was valuable, there still would be a need for a blocksize attribute to give the requesters good guidance for laying out objects and transferring on wise boundaries. This can eliminate or at least minimize the storage device's need to do read-modify-write sequences due to mis-aligned transfers. Blocksize here refers to the modulus that will align transfers to desirable boundaries. Every object is assumed to begin on such a boundary.

#### A.1.18 Rationale and Justification

The use of attributes to characterize OBSD objects stems from the desire to reduce device dependencies within accessing applications, achieve a higher (i.e. simpler and more application pertinent) level of specification, and enable more powerful asynchronous or semi-autonomous functions at the device. In order to achieve these goals, we have examined similar concepts embodied in system-managed storage, QoS, and policy management, and applied them to the object-based device environment. Accordingly, it is felt that this design supports the desired objectives:

- Reduced device dependencies -the attributes contain no device specifics; indeed, an OBSD is free to self-manage and optimize itself as long as the result continues to achieve the parameter specifications.
- Higher level of specification -the attributes are biased in the direction of processing and/or data requirements, rather than device capabilities.
- Function enablement -functions such as data movement, querying, and performance optimization that are often performed at the host can be performed elsewhere in the network (e.g. by a storage management processor) or by the OBSD itself; additionally, the extended attribute capability may be used to enable more powerful functions (e.g. data base assists, cryptographics, format translation) to be performed outboard of the host.

## Annex B : OBSD related Topics (informative)

### B.1 Relationship to file systems

Data is stored in fully self-defined and self-contained objects, which the storage device on which they reside is responsible for maintaining. Within the constraints of the security policy in place, the object-based architecture makes it possible for any requester to inspect a storage device to discover what objects exist there and access them. It need not know anything about the OBSD's physical characteristics or even the operating system that created the objects.

While objects might appear to look and work very much like traditional files, they are not the same. There is no hierarchical organization as in most file systems. (It could reasonably be argued that the OBSD-Object Group-object organization is, in fact, a hierarchy.) There is no naming function. An object might contain several files, or an object may only be part of a file. It is hoped that Objects can be operating system independent, with (almost) any OS able to superimpose its file system structures on the object abstraction. The OS files, directories and metadata -other than space management

The object abstraction shall make available information valuable to the optimal exploitation of the storage. For quality of service and management reasons the object semantics should expose enough of the storage devices capabilities to do so without infringing on its sovereign self-management. Capabilities are not meant here to be a description of hardware, but rather, performance characteristics, such as the data rate, time to first byte, and other attributes described above. For instance, a typical disc drive today has several strata of transfer rates. The specifics of these should be known to a degree sufficient to allow a file allocation policy to take advantage of them. This could take the form of service levels and capacity quotas for each.

Reliability characteristics would also be attributes. A disc array could include mirror, RAID and JBOD storage. An object could be created on the type of storage that provides the appropriated reliability level as a result of a reliability attribute supplied with a create action.

#### B.1.1 Object Groups

Operating systems commonly provide for allocating disc space into one or more mutually exclusive regions, called partitions. A similar facility is available on an OBSD with this significant difference: the OBSD Object Groups are not divisions of the storage space, but simply logical collections of objects.

One use of the Object Groups is to segregate the name space of an OBSD so that multiple, non-cooperating managers (such as file systems, VM pagers, LVMs, or database managers) can use the same OBSD without conflict, since they usually assume that others do not exist.

There may optionally be a capacity quota associated with an Object Group to enable management of space consumption by the objects in the Object Group. Each Object Group may have a capacity quota associated with it for this purpose. This can be used to protect against objects consuming space unreasonably. Should an Object Group fill, and there still be available space on the storage device, space could be added to the Object Group quota by subtracting from another Object Group quota.

Not tying Object Groups to specific amounts or segments of the storage device capacity has another benefit. Every disc drive and many disc arrays have zones offering different data rates. For some applications, such as video or image processing, it is important to be able to put certain files in the high data rate zones of the storage. If Object Groups actually divided up the space, it might be that only the first Object Group could hold objects that would have the property of the highest data rate a storage device offered. By not tying Object Groups to storage location, multiple Object Groups could contain objects in the high data rate zones (possibly by specifying a quality of service attribute that called for such allocation).



This makes it possible for objects to be grouped into Object Groups for management purposes, with each of the Object Groups able to offer high data rate allocation –up to the capacity limit of those zones, of course. A video processing shop might have several projects underway simultaneously. The objects for each project could be stored in a separate Object Group with the video images being allocated in the outer zones and the program files or control information being put in lower data rate zones.

The storage device will maintain an object list for each of its Object Groups and space management information for the entire storage device.

### B.1.2 Identifiers (ID's)

The identifier associated with an object is chosen by the storage device and returned to the Requester in response to the command to create an object. The ID will be an unsigned 64-bit integer. The length could be set to a smaller size by defining a storage device attribute that directs the OBSD to only issue ID values less than 64 bits –perhaps 32 bits. Specific IDs could be reserved for well-known objects. Experience to date suggests some well known objects will be needed to enable a file system to start navigating through the objects on the OBSD.

There were a couple of suggestions for the Requester to supply OBJECT ID at CREATE time, instead of having the OBSD assign and return it. This makes garbage collection easier for the Requester, but introduces a severe performance penalty on CREATE. The OBSD would have to verify that the OBJECT ID was not already in use, which could take a very long time on a storage device that had hundreds of thousand of Objects. So, it has been left with the OBSD assigning it, with the recognition that it is a subject needing further investigation.

### B.1.3 Relationship to Sector Based Storage devices

#### B.1.3.1 Emulating a SBSBD on an OBSD

While there will likely be a continuing need to support SBSBD and relative sector addressing, there is a security problem with allowing a storage device to switch from OBSD to SBSBD and back. To ensure data security the change from SBSBD to OBSD shall - and the change from OBSD to SBSBD should - obliterate the contents of the storage device.

It should be possible to emulate a SBSBD storage device on an OBSD. The OBSD can allocate an object matching the desired size of the logical SBSBD. It assigns this a LUN, letting a Requester that cannot support the OBS build its file system and access data in this special object as though it were a separate physical storage device.

#### B.1.3.2 SBSBD SCSI commands

The response to the following SCSI commands would be invalid operations in OBSD mode:

FORMAT UNIT	SEEK	READ EXTENDED	VERIFY	REBUILD
REASSIGN BLOCKS	RESERVE	WRITE EXTENDED	READ LONG	XDWRITE
RELEASE	READ	SEEK EXTENDED	WRITE LONG	XPWRITE
READ CAPACITY	WRITE	WRITE AND VERIFY	WRITE SAME	XDREAD
READ DEFECT	REGENERATE	PRE-FETCH		

The following SCSI commands would be valid on an OBSD:

MODE SELECT	PREVENT/ALLOW MEDIUM REMOVAL	INQUIRY
MODE SENSE	SYNCHRONIZE CACHE	REQUEST SENSE
START & STOP	TEST UNIT READY	SEND DIAGNOSTIC

## B.2 Data Sharing and Concurrent Update

Some who have participated in the NASD research think that scalability could be improved with an optimistic control scheme where Requesters can act as though there is no conflict unless there are

actually simultaneous attempts to access the same records by multiple Requesters<sup>43</sup>. They could learn this from the OBSD themselves when attempting to gain control of objects for the purpose of updating them. An attribute set by a Requester establishes that it intends to update certain data. The attribute is reset after completion of the WRITES. Only if another Requester attempts to set the same attribute is there a need to resolve a conflict. This approach seems to have the potential to greatly reduce the inter- system traffic servers generate today to maintain data consistency.

A flexible but simple extension to the action set of Clause 5 to support concurrency control at the OBSD is to make some actions conditional on attribute values and allow successful conditional actions to set attributes atomically. For example the attribute modifier structure of 4.2.4.1 can be applied to OPEN, Read, WRITE, APPEND and SET ATTRIBUTE actions before these actions make any change to OBSD state or transmit any data. Specifically, if each of a sequence of attribute modifiers shall evaluate successfully before the rest of the action is enacted, then attribute values can be tested and modified and action can be conditional. In this scenario, the semantics of Clause 4.2.4.1 should be extended with a byte offset and bit length (because some attributes, such as the FS-specific field, are large enough to be unwieldy to manipulate as a primitive value) and the Comparator values should be extended to differentiate between test current value and overwrite current value (which always succeeds).

The feedback from data base community has consistently been that they do not want any help in this area. That is fine; anyone with a concurrency control technique can continue to use it. Any new work in this area would only be another option that could be used if found beneficial. It is expected that more work will be done in this area.

### B.3 OBSD and aggregation

Aggregation is used here to describe data structures that span storage devices. Three common uses are redundancy, performance and capacity. Traditional storage architectures implement these on a block level interface. An OBSD hides the block specifics, so the equivalent functions shall be made available at the object interface of the OBSD.

In the case of capacity spanning, for instance, there is often a need to have files allocated across storage devices. A large database might span several drives. There shall be a means by which a file system can create, access and manage a collection of objects located on several storage devices as if they constituted a single file.

When a Requester solicits permission to access a file that is held in multiple objects, it shall also have mapping information that will let the Requester direct its I/O commands to the appropriate storage device. OBSD and the objects they contain are assumed to be unaware that they may be only parts of larger storage or data constructs. (The still undefined Storage device Association being the exception.) This does not preclude an OBSD subsystem from internally aggregating among OBSDs it manages.

#### B.3.1 Aggregation for redundancy – RAID and mirroring

Mirroring and RAID are used to protect against the loss of a storage device by making possible to recover the data located on the lost storage device using data stored on other storage devices. The XOR functionality can be adapted to work on the object interface. In fact, it is possible for the XOR operations to be done implicitly whenever a WRITE command addresses an OBSD that is parity protected. The OBSD can cause the additional steps necessary to maintain the parity protection take place by converting the WRITE to the equivalent of an XDWRITE, either standard or third party version. It could cause the following:

1. The old data to be read
2. The new data to be written
3. The two above to be XOR'd together
4. The target OBSD on which the parity is to be updated to be calculated
5. Both #3 and #4 above to be returned to the requester.

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<sup>43</sup> See Amiri99, CMS-CS-99-111, [www.pdl.cs.cmu.edu](http://www.pdl.cs.cmu.edu).

The requester could then issue a WRITE to the parity object on the destination storage device, resulting in the equivalent of an XPWRITE. Note that this is a possible exception to the rule of OBSD not being cognizant of other OBSD. This implementation of RAID support is more efficient if each storage device in the parity set being aware of its membership in the set including the identity of each other member.

In an alternative method, the OBSD could issue a third party WRITE command to the appropriate parity drive to complete the XPWRITE part of the parity protection.

Mirroring can be handled in at least two ways. The requesters can be responsible for insuring the WRITES are issued to both storage devices, or the mirror storage devices can take responsibility for propagating WRITES to the other. Determining which of these is the more desirable may depend on the needs of a particular installation, but both could be possible as each method has its advantage.

### B.3.2 Aggregation for capacity - spanning

As was described above, for databases and other large files that cannot fit on a single storage device, there shall be a method for spreading them across several. This is done today by creating logical volumes that span disc drives. When a Requester wishes to access such a database, the volume manager is responsible for directing the request to the appropriate drive, based on the displacement of the request into the data. The OBS would use a similar method.

The Requester needs a mapping function similar to that of the volume manager in a SBSB environment. When the requester goes to the Policy/Storage Manager to get authorization to access the database, the spanning information is also returned. The Requester will use this to transform a file request into an object request to the appropriate OBSD.

### B.3.3 Aggregation for performance – striping

Striping is handled in the same way as spanning, except that a single large-data request will result in an object request to each of the storage devices in the stripe group.

### B.3.4 Accessing Aggregated Objects

The following is a description of one method for accessing aggregated objects. It is included to illustrate one method for supporting aggregated objects. It is by no means the only possibility. It assumes an option in Option byte 1:

Bit	7	6	5	4	3	2	1	0
Byte 0	Reserved	Reserved	Reserved	DPO	FUA	Reserved	Reserved	NO-REDIRECT

• Figure 52 Option byte 1 support for aggregation

When NO-REDIRECT is set to one, the Requester does not support mapping of an object onto multiple OBSD and will not accept mapping information.

When a Requestor accesses an object, it shall first obtain a valid capability (see C.2.3) for doing so. One way that the Requestor might accomplish this is that it might possess the necessary keys to compute a valid capability for accessing the given object on the given OBSD. Another is that it might negotiate with an external service (such as a file system policy manager) to obtain this capability. The ways in which a Requestor might perform such a negotiation are beyond the scope of this document, but in this discussion it is assumed that a Policy/Storage Manager is supplying capabilities.

The essence of this suggested method for accessing aggregated objects is that a Requester discover the nature of an aggregated object (that is, its mapping) as a side effect of trying to access the aggregated object as if it was a simple (single OBSD) object. An aggregated object that is being accessed as a simple object is referred to as a virtual object and the OBSD that is named in accessing a virtual object is referred to as a Storage Manager (which may actually be a Policy/Storage Manager). The term capability in this context refers to a Security token described in C.2.3. For the purposes of this section, a Capability may be considered an opaque set of bytes provided for security

purposes. However, because a privileged key may be included in a Capability, it is recommended that Storage Managers encrypt responses that include maps.

The Storage Manager named by a virtual object shall respond to requests as any OBSD would. However, to realize the scaling benefits possible with network-based storage, it is desirable that Requesters be able to directly access individual OBSDs providing backing store for virtual (aggregated) objects. To do this, Requesters must become aware that the object they are addressing as {OBSD-NAME, OBJECT GROUP ID, OBJECT ID} is in fact mapped over {{OBSD-NAME1, OBJECT GROUP ID1, OBJECT ID1}, {OBSD-NAME2, OBJECT GROUP ID2, OBJECT ID2}, ...} for example.

In responding to a virtual object request, the Storage Manager may choose to include in its response the mapping of the virtual object onto member OBSDs or not. It may also choose to act as a proxy on behalf of the requestor or not. If the NO-REDIRECT bit is set in OPTION BYTE 1 of the request, the Storage Manager shall act as a proxy on behalf of the Requestor, and may not include the mapping of the object onto the aggregate members.

#### B.3.4.1 Description of Aggregate Layouts

In this subsection a possible structure for virtual object maps is described. To ensure the ability of all Requesters to be able to at least throw away layout descriptions that they cannot parse, layout descriptions shall begin with the number of bytes used to describe the following layout (excluding the length field). After the layout descriptor length field (4 bytes) is the first byte of the layout, which shall be a layout type field (1 byte). If the layout type is unfamiliar, the Requester can use the descriptor length field to discard the entire layout description, and by remembering to set the no-redirect bit for future accesses to that virtual object, rely on the Storage Manager to proxy all accesses on that virtual object.

The following description uses these abstract data types:

- An Obj-Descrip is a tuple containing {OBSD-NAME, OBJECT GROUP ID, OBJECT ID, SIGNED CAPABILITY}, where Signed Capabilities are described in C.2.3,
- A fully-qualified layout description is a tuple containing {layout descriptor length, layout type, type-specific layout description} where a type-specific layout description may contain one or more embedded fully-qualified layout descriptions.

The following are defined for type-specific layout descriptions:

- Simple mirrored set (type=1). The first 16 bits of the type-specific layout description represent the number of elements in the mirrored set, and the remaining bits contain a list of the length specified by the number of elements of Obj-Descrips. Note that this may also be used to represent the "trivial" storage management option of a non-mirrored, non-striped object by describing a mirrored set with only one member.
- Mirrored set (type=2). The first 16 bits of the type-specific layout description represent the number of elements in the mirrored set. The remaining bits contain a list, of length as specified in the preceding field, of fully-qualified layout descriptions. This layout type differs from a simple mirrored set in that where the simple mirrored layout is a set of Objects, the mirrored set allows the elements of its set to be virtual objects, each possibly having different layouts.
- Striped set (type=3). The first 16 bits are a count of the number of (virtual) objects that the described object's data is striped over. The next 32 bits are the stripe unit size (the number of contiguous bytes in the described object mapped to one constituent object). The remaining bits contain a list, of length given by the first field, of fully-qualified layout descriptions. The order that data in the described object is striped over constituent objects is the order of constituent fully-qualified layout descriptions in this list.
- RAID-5 left symmetric set (type=4). The first 16 bits contain the number of stripe units in the parity-protected group. That is, the first field's value is one more than a count of the number of (virtual) objects that the described object's data is striped over. The next 32 bits are the stripe unit size (the number of contiguous data bytes in the described object mapped to one constituent object). In each parity-protected group, one constituent object stores a bit-wise parity of the stripe units of the rest of the group. The assignment of parity to constituent objects follows from the RAID level 5 parity rotation called left-symmetric (cite Edward Lees ACM Transactions on

Computers paper on parity distributions). The rest of the bits contain a list, of length specified by the first field, of fully-qualified layout descriptions.

- Concatenated set (type=5). The first 16 bits are a count of the number of objects that are used to contain the data. The remaining bits are a list, of length given by the first field, of fully-qualified layout descriptions of constituent objects which, if concatenated in the given order, represent the content of the described virtual object. The constituent objects may have arbitrary sizes individually, requiring a Requester to obtain attributes of each constituent object before issuing re-directed object accesses.
- Vendor-specific set (type=6). The first 32 bits of the description are a vendor id, followed by a 16-bit value representing a vendor-specific layout type and the (arbitrarily long) vendor-type-specific layout arguments. This allows storage system vendors to sell both Storage Manager and Requester software with specialized layout algorithms.

Note that most layout description types can be nested. This allows the description of layouts such as "mirrored, striped" without rapidly consuming the layout type namespace. The "Simple mirrored set" type is the only one defined to disallow nesting. Most layout descriptions will utilize this as the root type to describe the layout. It is also possible that individual vendors might define non-nested layout types, although this is not recommended.

#### B.3.4.1.1 Other Type Values

Other layout type values that should possibly be considered for standardization: RAID level 6 (XOR parity and Reed-Solomon parity); EvenOdd; non-rotating XOR parity (that is, RAID level 4); parity declustering (cite Peter Chens 1994 ACM Computing Surveys article).

#### B.3.4.1.2 Example 1: Simple mirrored pair

In this example, a virtual object is mapped on a pair of constituent objects on two different OBSDs. Each object is a mirror of the other. Capabilities and their size (capability-sz) are discussed in C.2.3.

Value	Size (bytes)	Description
$3 + 2 * (16+4+8+ \text{capability-sz})$	4	size of subsequent layout description
1	1	layout type (1=simple mirrored set)
2	2	Number of objects in mirrored set
Obj-Descrip-1	$16+4+8+\text{capability-sz}$	Descriptor for a non-virtual object
Obj-Descrip-2	$16+4+8+\text{capability-sz}$	Descriptor for a non-virtual object

- Figure 53 Aggregation: Simple Mirroring Descriptor

#### B.3.4.1.3 Example 2: Simple striping

In this example, a virtual object is striped over four simple objects with a stripe unit size of 4 KB. For convenience the following refers to the size of an Obj-Descrip as obj-descrip-sz (which, by the preceding example, is  $16+4+8+\text{capability-sz}$  bytes).

Value	Size (bytes)	Description
$7 + 4 * (4+3+\text{obj-descrip-sz})$	4	size of subsequent layout description
3	1	layout type (3=striped)
4	2	count of objects in striped set
4096	4	stripe unit size, in bytes, for striped set
obj-descrip-sz+3	4	size of layout description of stripe member 1
1	1	layout type (1=simple mirrored set)
1	2	number of items in mirrored set
obj-descrip1	obj-descrip-sz	object 1 description (OBSD-NAME, GROUP, OBJECT, CAPABILITY)
obj-descrip-sz+3	4	size of layout description of stripe member 2
1	1	layout type (1=simple mirrored set)
1	2	number of items in mirrored set
obj-descrip2	obj-descrip-sz	object 2 description (OBSD-NAME, GROUP, OBJECT, CAPABILITY)
obj-descrip-sz+3	4	size of layout description of stripe member 3
1	1	layout type (1=simple mirrored set)

1	2	number of items in mirrored set
obj-descrip3	obj-descrip-sz	object 3 description (OBSD-NAME, GROUP, OBJECT, CAPABILITY)
obj-descrip-sz+3	4	size of layout description of stripe member 4
1	1	layout type (1=simple mirrored set)
1	2	number of items in mirrored set
obj-descrip4	obj-descrip-sz	object 4 description (OBSD-NAME, GROUP, OBJECT, CAPABILITY)

• Figure 54 Aggregation: Striping Descriptor

### B.3.4.2 Storage Managers Responding to Requests

There are four possibilities for what a Requestor might find included in the response from a Storage Manager: If both a map and a command response are included, the response is sent first. Hence, a Requester shall allocate at least 4 bytes more than the expected response size to be able to determine if a valid map was received (because a Requester does not necessarily know the size of the included map).

Response Type	MAP Included	RESPONSE Included	DESCRIPTION
1	Y	Y	The Storage Manager completes the request on behalf of the requestor, and forwards the result back. Additionally, the mapping of the object onto the aggregate members is included in the response. This response is disallowed when NO-REDIRECT is specified in the request.
2	Y	N	The Storage Manager responds with only the mapping of the object onto the aggregate members. The Requester shall repeat the request, either by mapping the request onto the members of the aggregate, or back to the Storage Manager after setting the NO-REDIRECT bit in Option Byte 1. This is disallowed when NO-REDIRECT is specified.
3	N	Y	The Storage Manager transparently completes the request on behalf of the Requester, and forwards the result to the Requester. To the Requester, it appears as if the object named by Obj-Descrip is merely a single simple object on a single OBSD.
4	N	N	The Storage Manager does not complete the request, and no mapping for the object is provided to the Requester. This result is considered an error, and as such, the Storage Manager shall provide an error code indicating why the request was not completed (for example, an argument was invalid).

• Figure 55 Aggregation: Responses

When NO-REDIRECT is specified for a request, response type=3 is the only valid successful result of an operation.

#### B.3.4.2.1 Example 1

In this example, a Requester already holds a valid capability for performing Get Attributes and Read operations on a virtual (aggregated) object (V\_obj\_id). The capability was provided by a possibly separate Policy/Storage Manager that may not know the mapping for the virtual object. The Storage Manager which backs the virtual object is assumed to have in its cache the attributes of the virtual object and knows that the virtual object is striped over simple objects on OBSD1, OBSD2, and OBSD3. In the example, the Requester acquires the virtual object's attributes, providing sufficient space to receive the map, then reads at least three times the virtual object's stripe unit size.

Step	Operation	Key Parameters	Source	Destination	Options
1	Get Attribute	V_obj_id	Requester	Storage Manager	-
2	Get Attribute response	Attributes, map	Storage Manager	Requester	Response, map included
3	Read	obj_id-1	Requester	OBSD1	-
4	Read	obj_id-2	Requester	OBSD2	-
5	Read	obj_id-3	Requester	OBSD3	-
6 <sup>44</sup>	Read response	data	OBSD1	Requester	-

<sup>44</sup> Note that the responses may not come back in the order the actions were issued.

7	Read response	data	OBSD2	Requester	-
8	Read response	data	OBSD3	Requester	-

• Figure 56 Example 1: Read Aggregated Object

If the Requestor did not understand the map provided in Step 2, or is not able to implement the layout specified by the map, one might see:

Step	Operation	Key Parameters	Source	Destination	Options
1	Get Attribute	V_obj_id	Requester	Storage Manager	-
2	Get Attribute response	attributes, map	Storage Manager	Requester	response, map included
3	Read	V_obj_id	Requester	Storage Manager	NO-REDIRECT
4	Read response	data	Storage Manager	Requester	-

• Figure 57 Example 1: Read Aggregated Objects without mapping support

#### B.3.4.2.2 Example 2

This example is identical to the previous, except that the Requestor does not perform a GET ATTRIBUTES operation before performing the READ and the Storage Manager chooses to not provide any data with the map it returns on the first read of the virtual object.

Step	Operation	Key Parameters	Source	Destination	Options
1	Read	V_obj_id	Requester	Storage Manager	-
2	Read response	map	Storage Manager	Requester	map included
3	Read	obj_id-1	Requester	OBSD1	-
4	Read	obj_id-2	Requester	OBSD2	-
5	Read	Obj_id3	Requester	OBSD3	-
6	Read response	data	OBSD1	Requester	-
7	Read response	data	OBSD2	Requester	-
8	Read response	data	OBSD3	Requester	-

• Figure 58 Example 2: Read Aggregated Objects

In this example, if the Requestor could not perform the mapping itself, this would look like:

Step	Operation	Key Parameters	Source	Destination	Options
1	Read REQ	V_obj_id	Requester	Storage Manager	-
2	Read REP	map	Storage Manager	Requester	map included
3	Read REQ	V_obj_id	Requester	Storage Manager	NO-REDIRECT
2	Read REP	Data	Storage Manager	Requester	Response incl.

• Figure 59 Example 2: Read Aggregated Objects without mapping support

## B.4 Booting From an OBSD

Initial loading of the OS and starting configuration is possible with OBSD. In fact one of the OBS goals is to make that process even more efficient.

### B.4.1 Cold Boot

This sequence should not be much different from a sequence on SBSD. The same files will have to be located and read. The page or swap files will have the same function and be used in the same way. To find boot blocks and other bootstrapping data a boot EPROM uses attributes on well-known objects such as an Object Groups Group Control Object, instead of fixed sector addresses used by SBSDs.

### B.4.2 Warm Boot

The warm boot process exposes a fundamental conflict between the desires of minimized boot up time versus full security. OBSD can provide several choices on how to achieve balance or compromise among the choices.

During the shutdown of some systems, it could be fairly easy for a system to define a quick boot image object and write the required state to it. This could be a well-known object or one defined for this purpose and named as an attribute to a well-known object. Alternatively, many systems have the ability to keep a small amount of state information, such as a boot object ID, through shutdowns. It would only require a very small amount of BIOS code to OPEN and READ this object. No directory would have to be searched, no location information would have to be kept. The system could read this object to recover its running state and be up and going quite quickly. The requester writing the boot image could embed in the image a security code (signature) that only it could decipher. This would let the system identify a boot image that has been corrupted.

Another possibility is that the SAN discovery process could reveal to the system starting up, the list of boot storage devices in some priority order. The low level code in the system could go down this list to find a valid, well-known boot object. The access control on this object could be a special case allowing for anonymous READs. That is, it could be read without the need for authentication (which admittedly has not been defined yet!). It is conceivable that a denial of service attack could be used on this object. Since anyone can read it with no special permission, an intruder could continuously read to disrupt the other systems that have a valid requirement to do so. Some solutions were proposed, but nothing seems to quite fill the bill yet.

Note that the object abstraction offers several advantages here. There is no need to keep track of any physical disc location. In fact even if the Boot Object were moved on the storage device, the system could just as readily read it. Also the recorded state may include several open files. The object attributes could identify if any of these were changed or invalidated since the shutdown, making it possible to either quickly come up or to identify right away that the state information is outdated and a cold boot shall be done.

## B.5 External Dependencies

OBSD is an enabling technology. OBSDs provide robust, platform-independent access to storage objects that are designed so that almost any file system could be built using their capabilities. The NSIC/NASD working group believes that OBSDs can result in the benefits enumerated in Section Annex D. However, other I/O subsystem components must evolve to take advantage of OBSDs' properties in order for those benefits to be realized. The following table summarizes the evolution of other system components that the NSIC believes to be necessary in order for consumers to realize the benefits of OBSDs.



<b>Component</b>	<b>What's Required</b>
File system and other data managers	<p>File systems typically manage their own storage capacity. To effectively use the capabilities of an OBSD, a file system would have to be rewritten to use the OBSD's storage management functions, while retaining its own naming conventions, directory structure, and access semantics.</p> <p>A further possibility arises when OBSD is combined with user mode network access, as with the Virtual Interface Architecture (VIA). VIA would allow file systems and database managers to communicate directly with OBSDs without traversing the system I/O or network stack once a connection was established. The low latency achieved thereby would enable clusters of computers to share access to storage resources (for "shared nothing" clusters) or to share data with minimal inter-node locking traffic. Exploitation of this feature would require modification of the data managers to use a VIA interface, in addition to the modifications listed above.</p>
I/O software stack	<p>OBSDs require commands and responses that are not part of typical storage device driver stacks today. Drivers would have to evolve to issue OBSD commands and respond appropriately. APIs would have to be developed to allow file systems, database managers and applications to issue these commands.</p>
Cluster software	<p>An OBSD is aware of both the data constituting a data Object and the attributes of data Objects. It can therefore perform certain management functions (e.g., defragmentation, shuffling for performance optimization) autonomously, and in a host-independent way.</p>
Management tools	<p>Depending upon the extent to which management features are implemented in OBSDs, data management tools (e.g., backup managers and hierarchical storage management products) will have to be modified to take advantage of those features. In general, the change required to for management tools to utilize OBSD capabilities is a change from being the data movement engine to being the director of the OBSD's data movement engine.</p>

• Figure 60 OBSD Dependencies

## Annex C : Known Unresolved Issues or Uncompleted Topics (informative)

### C.1.1 Audit Trails

An audit trail is a history of all instances of all (or of a subset of) operations applied to the OBSD. Audit trails are used by security analysis and performance management tools. However, the necessity of logging an audit trail of OBS activity to itself could pose capacity, throughput and fault tolerance problems. These could be ameliorated by having the option to log the audit trail on another network storage device dedicated to that function or by including in the OBSD non-magnetic NVRAM to delay and group audit records before transfer to OBSD media. Even so, the bandwidth consumed by a sizable number of storage devices logging records would obviously reduce the application bandwidth.

It might seem that a single extra message for each I/O is not a big deal, but in a transaction environment it is a BIG deal! All I/O is essentially a message and the I/O subsystem is typically message bound. We already have some experience with this kind of thing on a drive where the SMART feature causes logging of environmental data. It can have a significant impact on performance. And the SMART log data is only summary information.

### C.1.2 Clocks

Each storage device will have a readable monotonically incrementing clock to be used for timestamping secure messages and object attributes. Either this clock must be synchronized securely system wide, or the server will have to accommodate the discrepancies in values from storage device to storage device. The system will have to provide a mechanism to reload the clock, or the servers' accommodation, should the storage device lose power.

It is possible that time stamps on object attributes will not have the accuracy that the same stamps would have if they were constructed by server-based Policy/Storage Manager software or Requesters. Such server systems can be accommodated by OBS because the server software can construct their own time stamps and record them in the FS-specific attribute space (which is uninterpreted by the OBSD). However, very inaccurate (low resolution) OBSD clocks will still have significant impact on timestamp-based security protocols (detection and elimination of overheard and replayed commands is often based on message timestamps).

The representation of time should perhaps be larger; 32 bits will be inadequate for a fine-grained timer that records elapsed time since some canonical epoch (such as midnight Jan 1 1970 GMT) and is required to never wrap around. Also, the definition of the clock as a counter fails to specify a resolution, which allows implementations that increment the clock in a non-temporal manner (for example, if every arriving message increments the clock, it is monotonically non-decreasing). One recommendation calls for a 64-bit clock that represents nanoseconds elapsed since the canonical epoch. This allows enough resolution to bind the value represented by this clock to elapsed time, and still guarantee uniqueness of timestamps without fear of wrapping (that clock would not wrap until June of AD 2554). Another common 64-bit representation of time utilizes the high 32-bits for the number of seconds from the epoch, and the low 32-bits for the number of nanoseconds since the last second tick. This suffers from three problems. One is that the 32-bit second clock will wrap in 2038. The other is that a large number of values that this 64-bit number may contain are invalid, necessitating extra sanity checks. The third is that simple arithmetic and comparisons involving these values requires additional complexity, whereas comparing, adding, and subtracting 64-bit integers is comparatively simple.

### C.1.3 List directed operations

Almost all file systems offer the ability to get the attributes of a set of files and, often, to change the attributes on a set. There is not yet provision for a similar capability on OBS objects, but this will have to be added. It would not be difficult to define, but it is thought that file systems requirements need to be taken into consideration in determining the most appropriate approach. It will have to include a means for getting the status of all sessions by SESSION ID.

### C.1.4 Responses

A single byte has been allocated to hold the standard SCSI response. It may well be that this is insufficient; the OBSD may have need of more error reporting capability than is possible in a single byte. This should be looked at but has not yet been adequately investigated.

### C.1.5 Addressing

The limits on node addressing is seen as too restrictive. In addition, the fixed association of Requester with a single network address is too restricting. A Requester could easily have two or more NICs. It should be possible to take advantage of this without explicit direction from the Requester to change from using one address to using another.

## C.2 Security

There are several schools of thought concerning data security. One view is that we cannot depend on the entire network being secure, so some type of cryptographic protocol is needed to build data security upon. (The distribution of Policy/Storage Manager keys to Requesters and OBSDs throughout the addressable network requires some secure distribution method outside the scope of this proposal.)

Signing and encrypting data at the application before sending it to OBS systems solves one part of the problem. It prevents unauthorised agents which are able to snoop messages on the interconnect from gathering much useful information from the transmissions. In this scheme the storage device (OBSD or SBSD) is unaware of whether the data is encrypted or not and simply stores it or retrieves it as requested. This doesn't require any new features in the storage. However, this approach does not prevent an unauthorised agent from sabotaging (vandalising?) data as it is transferred so that later access is not able to recover the appropriate data and it does not prevent unauthorised agents from consuming storage capacity or deleting (and overwriting) previously storage data.

### C.2.1 Encryption Considerations in the long CDB format

To protect OBS resources, in addition to user data, commands shall be verified by an OBSD to be authentic and unaltered. The inclusion of a digital signature in the action-specific fields or the inclusion of a weaker verification code (such as CRC) in the action-specific fields of an encrypted command provides the needed verifiability. If encryption is (also) employed, then data privacy is also provided.

The ANSI-approved long CDB format has provision for indicating that cryptographic operations shall be executed by a receiving OBSD before it accepts a command. The encryption identification field in the long CDB format provides this indication. The first 8 bytes of the CDB are never encrypted. When the encryption identification field (which is within the 8 unencrypted bytes) indicates that the CDB is encrypted, the storage device shall apply cryptography to the rest of the CDB bytes. The action-specific fields should include CRC, digital signature or other verification bytes so the target OBSD can verify that the command has not been modified in transfer.

When the CDB is encrypted, it may be important that all commands be the same size. If they aren't, an unauthorised entity could learn information about the commands based on the transferred sizes. This is where the filler bytes come in. Each command will have enough filler bytes added so that all encrypted commands will be a standard size.

The remaining sections of this Clause and all of the next describe one strategy for defining the action-specific payload or data content using this long CDB. The security scheme described was developed for OBS based on experience from the CMU NASD implementation<sup>45</sup>.

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<sup>45</sup> See Gobioff97, CMS-CS-97-185, [www.pdl.cs.cmu.edu](http://www.pdl.cs.cmu.edu).

In the long term, the CMU team assert, security shall be ensured by mechanisms that protect the integrity and privacy of OBSD communications. To avoid specialization to any particular file system or server application, OBSD security mechanisms shall correctly enforce a wide range of possible access policies whose details will not be determined until OBSD systems are available to the software designers, users and administrators of a specific application system. Thus, the details of access control policy and user authentication/authorization are beyond the scope of this proposal. Instead, this proposal defines mechanisms for an OBSD to authenticate that a command has been authorized by a Policy/Storage Manager and for encrypting and decrypting these commands according to Policy/Storage Manager specifications.

Authentication is implemented by utilizing a secure hash function to provide digital signatures on requests, responses, and capabilities. Privacy is implemented by using an encryption function to permute action and data bits to ensure that an eavesdropper who does not possess a secret shared by both communication endpoints cannot interpret the transferred bits.

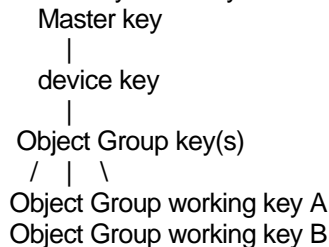
The keyed secure hash function in this proposal is defined to be HMAC-SHA-1, and the encryption function is Triple-DES.

Security is achieved through the sharing of secrets. Fundamentally, to perform an access, a Requester shall demonstrate that either it shares a secret with the OBSD, or that the access was previously authorized by a Storage/Policy Manager, which shares a secret with the OBSD. Key management is a critical security function, but as it is inherently a system-wide function, it is properly a function of a higher level than the storage system. For our purposes, keys shall be distributed privately to Requesters by Storage/Policy Managers, valid for a lifetime that is inversely proportional to their frequency of use, and protected against disclosure by a device's owner and users.

### C.2.2 Secrets / OBSD Key Hierarchy

The secrets shared between OBSDs and other members of the distributed storage system are known as Keys. Keys are 128-bit values. Keys shall be transmitted from time to time, so any operation transmitting a key shall be encrypted with a different key. Because each use of a key exposes that key to a degree of attack, keys that are used regularly should be changed regularly. To facilitate this rotation of keys, a hierarchy of keys is provided:

OBSD Key hierarchy:



When an OBSD ships from the factory, it has a single key preinstalled. This is known as the MASTER KEY. The master key may only be modified by a command encrypted with the previous value of the master key. It is recommended that this key only be changed when the OBSD is attached to a physically secured private network without any gateway to Requester systems (that is, on a two-port network on the desk of an administrator).

The DEVICE KEY is set infrequently using the master key or the previous value of the device key. This key exists to provide a more frequently used variant of the master key. With this key, the master key need only be used in operations which modify this key, which helps protect the master key from attack.

Each Object Group has a unique OBJECT GROUP KEY. An Object Group Key is set at Object Group creation time, and is modified with the device key or the previous value of the Object Group key. In this manner, two file system managers utilizing different Object Groups on the same OBSD

can be provided separate Object Group keys, and thus be totally denied access to one another's Object Groups. Each Object Group also has two OBJECT GROUP WORKING KEYS, A and B, which are set using the Object Group key. As with the master and device keys, the working keys are intended to limit the use of the Object Group Key.

It is expected that most operations which require the use of a key in this key hierarchy will use Object Group working keys, and that Policy/Storage managers will change the Object Group working keys regularly (for instance, daily). Two Object Group working keys are provided in each Object Group because OBSD capabilities, authenticated by a working key signature, are reusable over an extended (e.g., 12 hour) time period. By having a second working key to use in future capability generation, the first of these working keys can be changed without invalidating capabilities signed with the other, which allows capabilities generated shortly before a working key is changed to continue to work until they gracefully time out according to their expiration time.

### C.2.3 Capabilities

Requesters (that is, not Policy/Storage Managers) will never possess one of the keys in the OBSD key hierarchy. Instead, they will be issued individual CAPABILITIES by storage/policy managers. A capability describes the accesses which Requesters are allowed to perform by proving that they have been given that capability. Capabilities are validated by means of a secure hash of the capability, which is available to the Requester, and a key from the key hierarchy, which is not available to the Requester but is available to both the Policy/Storage manager and the targeted OBSD. This computation is known as signing a hash, or a signature, because only the holder of the key can generate the hash. Although a Requester cannot generate this hash, it can hold the hash and use it to prove to the OBSD that the Policy/Storage Manager granted the associated capability. Because a Requester cannot generate a correct hash and because the correct hash includes the capability fields as well as the secret key, a Requester that has been granted a specific capability cannot change any values in the capability's fields and use this new capability for interactions with the OBSD.

A central feature of this OBSD capability system is that these signed hashes can themselves be treated as a key, provided that the Policy/Storage Manager has distributed them securely. By using the signed hash distributed to it as a secret in the computation of a derived signed hash, a Requester can prove to an OBSD that it holds the first signed hash. Hence we call these signed hashes CAPABILITY KEY-SIGNATURES to emphasize their roles as keys. A SIGNED CAPABILITY is the union of a capability and its capability key-signature.

This section is concerned only with defining capabilities; Section C.2.5 discusses binding of capabilities to actions.

#### C.2.3.1 Capability format

Capabilities are 95 bytes long and contain the following fields:

Permissions	( 32 bits)
Expiration time	( 64 bits)
Device name	( 64 bits)
Flavor select	( 4 bits)
Key identifier	( 4 bits)
Object Group	( 8 bits)
Minimum security	( 8 bits) (see C.2.5)
Flavor-specific	(288 bits)

As described above, the permissions word is a bit-wise OR of the operations permitted by this capability. The expiration time is a timestamp beyond which the OBSD should no longer honor this capability. The device name is the unique identifier of the OBSD. This ensures that a capability intended for use on one OBSD cannot be used on another, even in the (unlikely) event of key duplication. The key identifier indicates what key in the OBSD key hierarchy was used to generate the

capability key-signature that will be used to prove that the Requester has been granted this capability. The Object Group field indicates what Object Group this capability is valid for (some operations, such as Set Device Association, do not need to check for a match with this field). Like the keys in the OBSD key hierarchy, the capability key-signature should never be transmitted over the network without encrypting it. The minimum security requirement mandates a minimum set of security requirements that any operation performed using this capability shall meet (see section C.2.6). Capability flavors (a type specifier) allow different definitions of the scope of a capability.

### C.2.3.2 Permissions

Every capability includes an enumeration of what operations are to be permitted by it. This is in the form of a 32-bit word, where each bit represents an operation. If the bit is 1, the operation is permitted. If the bit is 0, this capability does not permit the operation.

Permission bits:  
 Bit 31 CREATE  
 Bit 30 OPEN / CLOSE  
 Bit 29 READ  
 Bit 28 WRITE  
 Bit 27 APPEND  
 Bit 26 FLUSH OBJECT  
 Bit 25 FLUSH OBJECT GROUP  
 Bit 24 REMOVE  
 Bit 23 CREATE OBJECT GROUP  
 Bit 22 REMOVE OBJECT GROUP  
 Bit 21 GET OBJECT ATTRIBUTES  
 Bit 20 SET OBJECT ATTRIBUTES  
 Bit 19 GET DEVICE ASSOCIATION  
 Bit 18 SET DEVICE ASSOCIATION  
 Bits 17..0 Reserved

### C.2.3.3 Key identifier values

Values for the key identifier are:

0 Master key  
 1 Device key  
 2 Object Group key  
 3 Object Group working key A  
 4 Object Group working key B  
 5..7 reserved

The remaining eight values (i.e., bit 3 set in the key identifier field) are defined here for use in Section C.2.5. These values are not valid for the key identifier field of a capability.

8 capability key-signature with signature basis key=master key  
 9 capability key-signature with signature basis key=device key  
 10 capability key-signature with signature basis key=object group key  
 11 capability key-signature with signature basis key=object group working key A  
 12 capability key-signature with signature basis key=object group working key B  
 13..15 reserved

### C.2.3.4 Flavors

A capability shall also indicate on what object(s) the operations indicated by its permissions are authorized. There is more than one way in which a capability may do so. These ways are known as FLAVORS. This proposal offers three object defining schemes: no object (OBSD only), one object,

and a set of objects determined by matching against attribute fields that are uninterpreted by the OBSD.

The flavor of a capability is determined by the flavor-select field. Valid values for this field are:

- 0 Null
- 1 Single-range
- 2 Match
- 3..15 Reserved

Each individual flavor defines the meaning of the bits in the flavor-specific field of the capability. All bits of the flavor-specific field, including those that are not used by the individual flavor, are included in the computation of the capability key-signature.

#### C.2.3.4.1 Null flavor

The flavor-specific field is entirely unused. This flavor is primarily intended for operations (such as CREATE OBJECT GROUP, get/set device association, etc) which do not refer to a particular object.

Definition: The operations enumerated in the permissions word are permitted on the named device, restricted to the named Object Group (when applicable), before the specified expiration time.

#### C.2.3.4.2 Single-range flavor

The single-range flavor is designed to allow access to a single object, or a range of bytes within a single object.

The flavor-specific field of the single-range flavor contains:

- object identifier (64 bits)
- offset (64 bits)
- length (64 bits)
- access control state (16 bits)
- object creation time (64 bits)

Definition: The accesses enumerated in the permissions word are permitted, provided that:

- All requirements of the Null flavor are met.
- The access control state in the capability matches the access control state in the object's attributes. This enables simple revocation of a capability by a Policy/Storage Manager.
- If the length is nonzero, operations upon the data contents of the object, such as READ, WRITE, and APPEND, may only operate upon bytes in the range [offset, offset+length-1]. In the case of append, the object may be extended from its current length but may not be extended to a length exceeding offset+length-1.
- If the length is 0, operations may not operate on bytes in the range [0, offset-1]. This makes no restriction if offset is also 0.
- If the object creation time of the capability is non-zero, then the creation time attribute of the object shall be equal to the object creation time field of the capability. With this restriction, this definition of a capability is not adversely impacted by changing the designator of an object's ID from the OBSD, as it is in this document, to the Requester, as some have advocated.

#### C.2.3.4.3 Match flavor

The match flavor is designed to allow access to multiple objects satisfying predetermined properties. This may dramatically reduce the frequency that Requesters shall obtain new capabilities from Policy/Storage Managers. Because this flavor allows the capability creator to specify four separate values that shall be found in an object's attributes, it subsumes the access control state and creation time restrictions of the single-range capability flavor. However, this flavor offers no analog to the range restrictions of the single-range capability flavor; it grants no access or access to any range of an object.

The flavor-specific field of the match flavor contains:

offset0 ( 8 bits)  
 offset1 ( 8 bits)  
 offset2 ( 8 bits)  
 offset3 ( 8 bits)  
 mask0 (32 bits)  
 mask1 (32 bits)  
 mask2 (32 bits)  
 mask3 (32 bits)  
 match0 (32 bits)  
 match1 (32 bits)  
 match2 (32 bits)  
 match3 (32 bits)

Definition: The accesses enumerated in the permissions word are permitted, provided that

- all requirements of the null flavor are met, and
- for each N {0,1,2,3}: the bitwise AND of maskN and the 4 bytes starting at byte offsetN of the FS-specific field of the object's attributes is equal to matchN. This allows file managers to issue capabilities that are valid for objects satisfying certain properties that the file manager has pre-configured by setting specific values in the FS-specific field of each object.

#### C.2.4 Revisiting byte 5 of the long CDB, the encryption identifier

Some assert that the size of the encryption identifier, byte 5 of the long CDB, is too small. For example, since the Object Group ID is 8 bits in size, it is not possible for each Object Group to have a distinct key and name this key in the encryption identifier without consuming all the information space of this identifier, which should also specify at least the absence of encryption. It is desirable to have a separate key for each Object Group in systems in which Object Groups are assigned to non-cooperating application managers which each believe they have a dedicated OBSD at their disposal.

In the sub-sections that follow byte 5 of the long CDB is ignored and its functions are redundantly specified. One of the 256 values of byte 5 of the long CDB could indicate that the following scheme is to be employed, for example. Moreover, for completeness, the security description of C.2 does not how CDBs (and action arguments) are to be increased to accommodate the security arguments. This shall be done before security mechanisms requiring more than 8 bits of parameter values can be employed by OBS systems.

#### C.2.5 Securing operations

When a Requester sends a CDB to an OBSD, it sets bits in a security-type parameter in the CDB describing the security provided on the request. This security-type also serves to specify the minimum security requirement for the response from the OBSD. The security-type parameter shall be transmitted in cleartext, since it specifies the keys and algorithms that apply to the rest of the command.

The security-type parameter contains the following fields:

Key identifier ( 4 bits)  
 Object Group ( 8 bits)  
 Security level (16 bits)

The key identifier field is identical to the key identifier specified in C.2.3.3 and allows all 16 values. The key specified by the key identifier field will be referred to as the OPERATION KEY. Note that the operation key (including the capability key-signature, if that is the key being used) itself is not transmitted in most commands. The OBSD either computes it from the information included in the capability (for a capability key-signature) or retrieves it from local key storage (for one of the other keys).



The object group field indicates to which object group an object-group-specific key applies. This is significant only when the key identifier indicates a object group key, object group working key, or capability key-signature which uses the object group key or object group working key.

Requests to the OBSD may be authorized either directly by one of the keys from the OBSD's key hierarchy, which we will refer to as a DIRECT KEY, or by specifying a capability. Since Requesters will typically not hold any key in the OBSD key hierarchy, it is likely that only Policy/Storage will ever issue requests that use a direct key. However, this facility is provided to allow a manager to issue requests directly to the OBSD without the overhead associated with constructing a capability. A request specified with a direct key should be treated by the OBSD as equivalent to a null-flavored capability with the permission bits set to all ones.

The security level field of the security-type indicates which bytes transferred are signed and/or encrypted. If a transferred byte is signed, then its value is included in a signed hash computation and the resulting hash value (signature) is also sent in order for the receiver to verify it. The signed hash size is 128 bits and it is sent after the fields it verifies.

The key used for signatures or encryption is the operation key, as defined above. The only exception to this is an encrypted capability, when the specified operation key is a capability key-signature. In this case, an OBSD needs to be able to read the capability in order to compute the capability key-signature, so the capability cannot be encrypted with the yet-to-be-determined key. Instead, the BASIS KEY for the capability key-signature is used to encrypt and decrypt the capability. That is, if the operation key is "capability key-signature from object group working key A", then the capability is encrypted with object group working key A. Once the capability has been decrypted, the OBSD can compute the corresponding capability key-signature and use that as the operation key for all subsequent encryption and signature operations. Capabilities do not need to be signed separately from the rest of the command arguments because signatures do not obscure the capability's values.

The bits in the security level field are defined as:

- 9..15 Reserved
- 8 Capability is encrypted
- 7 Arguments are signed
- 6 Arguments are encrypted
- 5 Data-in are signed
- 4 Data-in are encrypted
- 3 Data-out shall be signed
- 2 Data-out shall be encrypted
- 1 Result shall be signed
- 0 Result shall be encrypted

When arguments are signed, the data-in phase shall begin with a signature of all the bits (including the first 8 bytes) in the CDB. When data-in is signed, the data-in phase ends with a signature of all the bytes in the CDB and the data-in phase, excluding the signature of the CDB (if present).

If arguments are encrypted, all bytes in the CDB following first 8 cleartext bytes and excluding the security-type parameter field are encrypted. If data-in is encrypted, all bytes transferred as part of the data-in phase.

The data-out phase shall begin with a byte of security information, which is defined as:

- Bits 7..4 Reserved
- Bit 3 Data-out is signed
- Bit 2 Data-out is encrypted
- Bit 1 Result is signed
- Bit 0 Result is encrypted

If data-out is signed, the data-out phase ends with a signature of all the bytes in the data-out phase, including the security information byte. If the result is signed, the data-out signature is followed by a

signature of the result block. If data-out is encrypted, all bytes transferred during the data-out phase, including the data-out and result signatures, are encrypted.

Note that when sending large amounts of data in the data-in and data-out phases, it may be necessary to add intermediate signatures to validate portions of the data to avoid buffering problems. One approach is to mandate a signature every N bytes in the data stream. Another approach is to mandate a signature every time the data stream for a Read, WRITE, or Append crosses a logical boundary; for example, the end of a scatter/gather component, or the offset within the object associated with the current byte in the stream crosses an M byte boundary. The union of these signature requirements is what was used in CMUs prototype.

### C.2.6 Minimum Security Requirements

A MINIMUM SECURITY REQUIREMENT is an enumeration of what security shall be present for a request to be accepted and potentially succeed. The minimum security requirement field defines the same values as the security level field (see C.2.5).

Each object group has a minimum security requirement as an attribute. Actions within that object group are only permitted if they meet at least the minimum security requirement.

Each capability also has a minimum security requirement. This allows policy/storage managers to force Requesters to use protection when performing certain operations.

### C.2.7 SET KEY Operation

Since it is desirable to change the keys in the key hierarchy on a regular basis to avoid key compromise, it is necessary to provide some mechanism for changing keys. We recommend adding a new operation, SET KEY, for this purpose, though it is conceivable that the SET ATTRIBUTE operation could be overloaded to provide this functionality.

The SET KEY operation has a minimum security requirement of all 1s (i.e., encryption and authentication are mandatory). It shall be authorized by a null-flavored capability signed with a key that is at the same level or higher in the key hierarchy.

Because the master key is the first key to be set on the drive, and its secrecy is the most critical, it is recommended that alteration of the master key only be performed when the drive is attached to a small, secure network. Some discussion has taken place as to whether alteration of the master key should ever be allowed, or whether it should be immutable. In this argument, loss of the master key should be considered a catastrophic failure and the device destroyed, or at least reformatted, obliterating all data.

It is recommended that the lower-level keys in the hierarchy be changed more frequently than the upper-level keys, and that the lowest-level key possible be used for all operations. This avoids unnecessary exposure of high-level keys.

## Annex D : Motivation for the NSIC OBSD

NSIC uses the term NASD to mean *Network Attached Storage device*. In this context, a *storage device* is anything that provides persistent storage and represents itself to hosts as a single entity for the purpose of transmitting or receiving commands and data. By a strict interpretation of this definition, any storage device that attaches directly to a network could be called a NASD. In practice, however, the NSIC/NASD Working Group uses the term to denote storage devices whose general character is similar to the Carnegie Mellon University Parallel Data Laboratory's **Network Attached Secure Disk**. See references at end of Clause.

The NSIC/NASD Working Group has chosen a subset of the full potential functionality of NASD for its first standardization effort. This subset is the Object Based Storage Device (OBSD) described in this document.

### D.1 Potential OBSD Products

The NSIC/NASD Working Group is defining an architecture for object-based storage devices. The definition deliberately encompasses the concept of *virtual* OBSDs exported by storage aggregators (e.g., RAID controllers) as well as actual storage devices (e.g., disk and tape drives, and solid state disks). It is entirely possible that the first OBSD "storage devices" introduced to the market will in fact be virtual storage devices instantiated by controllers managing conventional disks. While sacrificing the fine scaling granularity that would result from physical OBSDs, the controller approach would have the advantage of deferring the necessity to implement host- or Policy/Storage Manager-assisted aggregation in order to gain OBSD benefits.

### D.2 Benefits of OBSD

The NSIC/NASD Working Group is motivated to develop an architecture for OBSDs by the expectation that OBSDs will deliver value to consumers of storage subsystems. The properties are believed to be particularly attractive for clustered computing. The expected benefits are as follows:

It might seem that there is nothing in the problems of clustering or Storage Area Networks that dictates the use of Object based storage to solve them. In fact object based storage does so much to improve the cluster architecture that NSIC feels it is essential to realize the full benefits of the clustered system architecture. Though this is far from doing the subject justice, here are several reasons for this position.

#### 1. Higher quality storage management operations with less host effort

Objects really make the self-management of storage possible. Without the storage device having sufficient knowledge of the resident data, it cannot assume the responsibility for managing space. Storage devices could not participate in any attribute management without the knowledge of what constitutes a meaningful subset of its space or when it is appropriate to take action. More effective management will result from the storage devices able to participate intelligently.

If management policies can be communicated to the storage device so that it can act independently to execute them, the result will be not only less human intervention required but also tighter and more timely control.

Consider the case of weekly backup. Systems are usually backed up during an idle period on weekends, so that the system availability is not interrupted during the business week. This also produces a backup that is guaranteed to be consistent. This window has been gradually shrinking at

the same time system capacities have been exploding. Trying to find time to interrupt a system long enough to backup possibly terabytes of data has become an almost insoluble problem.

By taking action on an object based on attributes assigned to it, The OBSD could inform a backup function whenever an object has reached the correct state for its backup to be taken. The backup of all files could be spread over a longer period - during which others are still being updated -without affecting data integrity.

Often, it is not quite so simple. It may be that several objects constitute an interdependent set that must be backed up together and only when all have reached a consistent state. Consider a database consisting of 6 files, none of which can be backed up until either all have been closed or until one designated as the object on which all of the others are dependent has been closed. A Policy/Storage Manager may be needed to manage this kind of relationship between Objects. In this case, when one of the objects has been updated, an attribute could cause a signal to a this manager that would result in an attribute set in a different object. If each of the other five objects in this set were to do this, the OBSD could act on all the required attributes being set in the sixth to initiate a backup process.

Other attributes that could invoke action by the OBSD include encryption, compression, versioning, parity redundancy and HSM style migration. In each of these, the storage device would only have to be informed of the policy with respect to a specific object or set of objects. It could then perform the function itself or inform an agent designated to provide that service.

Compression and encryption could be done right on the OBSD, so that only the fact that one is required for an object need be communicated to the storage device. For a management function that must go off the drive, such as HSM, not only the policy is needed but also the identification of an agent to perform the function.

2. The sharing of data can be controlled more efficiently when the storage device knows what constitutes an entity.

If two systems were to share a SBSD, all the metadata activity would have to be serialized for concurrent access. In an OBSD much of the metadata activity is opaque to the systems, which need only concern themselves with access conflicts to user data or file directories. Also space management being done by the storage device eliminates any contention or confusion that could arise from two systems trying to allocate space on the same storage device at the same time.

3. Heterogeneous computing should be made much easier by an object abstraction.

There is essentially no commonality among OS's metadata structures. OBSDs should make it possible to have common foundation on which any OS can overlay its file system. An OBSD enforces a host-independent concept of storage objects. It is therefore possible for any host with a file system supporting OBSD storage to share storage devices with other interconnected hosts, even if their file structures are incompatible

4. Minimizes data access synchronization requirements for clustered servers.

Since each read or WRITE to an OBSD is within the context of an object, the OBSD itself enforces the isolation of servers' data accesses in "shared nothing" clusters. In shared data clusters, OBSDs can minimize inter-server lock traffic by allowing the use of optimistic locking protocols.

5. While there are a lot of issues revolving around performance in a clustered system architecture, some obvious performance benefits come with the Objects.
  - a. The metadata never leaves the storage device, eliminating a certain amount of I/O.
  - b. The storage device knows which objects are closed or open, and is able to use that information to more effectively manage its cache.
  - c. Prefetching can be much more effective as the storage device knows the layout of the object being read. The storage device can more effectively determine sequential access patterns -or could be told of sequentiality.
  - d. The cache in the storage device can hold metadata once for multiple systems accessing it.

- e. The storage device can participate in quality of service decisions, such as where to locate data most appropriately. It can only do this if it has responsibility for allocating storage. By comparison, few OSs can allocate data by zone on a disc drive.
- f. Adding a storage device also adds an engine to manage its space. This should contribute to better scalability by not burdening a server with additional processing requirements for each storage device attached.

### D.3 References

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