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To: T10 SAS Protocol Working Group  
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Subject: [SPL](#), Low power transceiver options, phy states

## 1 Related documents

SAS-2r15 - Serial Attached SCSI - 2, revision [15](#)  
 T10/08-015r6, SAS: Add low power transceiver options  
[T10/08-249r5, SAS 2.1 / SPL+: Link Layer Power Management](#)

## 2 Introduction

This proposal is a first pass at adding what is required in proposal 08-015 for the phy layer state diagrams to include the low power transceiver options of partial and slumber conditions for SAS.

[Revision 1 of this proposal removes all link layer state elements, as those are now described in 08-249. These deletions are not shown in this revision.](#)

[Revision 2 of this proposal includes resolution to the comments received since revision 1 was posted.](#)

[Revision 3 of this proposal includes input from the SAS Protocol working group on 3 November 08 and all clause, figure, and table numbers were updated to be consistent with SAS-2r15.](#)

[Revision 4 of this proposal includes input from the SAS Protocol working group on 12 January 2009. The additions based on this input are in green undelined text.](#)

## 3 Proposal

[The following are the proposed changes based on the heading numbers in SAS-2r15:](#)

### 6.7.1 Phy reset sequences overview

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A phy shall originate a phy reset sequence after:

- a) power on;
- b) hard reset (i.e., receiving a HARD\_RESET primitive sequence before an IDENTIFY address frame) (see 4.4.2);
- c) management application layer request (see 6.8.1);
- d) losing dword synchronization and not attempting to re-acquire dword synchronization (see 6.8.4.9 and 6.8.5.8);
- e) Receive Identify Timeout timer expires (see 7.10); ~~or~~
- f) ~~for expander phys, after~~ a hot-plug timeout [occurs for an expander phy](#) (see 6.7.5);

- g) [a hot-plug timeout occurs while in a SAS phy power management state \(see 6.8.5\); or](#)
- h) [the SNLT timer expires while in a SAS phy power management state \(see 6.8.5\).](#)

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**Change Table 96 — Phy reset sequence timing specifications as follows:**

Parameter	Minimum	Maximum	Comments
Hot-plug timeout	10 ms	500 ms	The time after which: a) an expander phy shall retry an unsuccessful phy reset sequence; b) a SAS initiator phy should retry an unsuccessful phy reset sequence (see 6.7.5); <u>or</u> c) <a href="#">a phy shall initiate a phy reset sequence if the phy does not receive a COMWAKE Completed message while in a SAS phy power management state (see 6.8.5).</a>
<a href="#">Phy wakeup (partial) time</a>	<a href="#">na</a>	<a href="#">10 us</a>	<a href="#">When a phy is in the partial phy power condition, the time within which a phy shall transmit a COMWAKE after detecting a COMWAKE.</a>
<a href="#">Phy wakeup (slumber) time</a>	<a href="#">na</a>	<a href="#">10 ms</a>	<a href="#">When a phy is in the slumber phy power condition, the time within which a phy shall transmit a COMWAKE after detecting a COMWAKE.</a>

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**6.7.4.2.2 SAS speed negotiation sequence timing specifications**

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**In Table 98 — SAS speed negotiation sequence timing specifications, change the wording in the “Speed negotiation lock time” row to be as follows:**

Parameter	Acronym	Time <sup>a</sup>	Comments
.....	.....	.....	.....
Speed negotiation lock time	SNLT	153 60 0 OOBI	a) The maximum time for a phy to reply with ALIGN (1) during SNW-1, SNW-2, and Final-SNW; <u>or</u> b) <a href="#">The maximum time for a phy to reply with an ALIGN (0) or ALIGN (1) while in a SAS phy power management state (see 6.8.5).</a>
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**6.8.1 SP state machine overview**

The SP state machine controls the phy reset sequence. This state machine consists of ~~three~~[four](#) sets of states:

- c) OOB sequence (OOB) states;
- d) SAS speed negotiation (SAS) states;~~;~~
- e) [SAS phy power management \(PS\) states; and](#)
- f) SATA host emulation (SATA) states;~~and.~~

This state machine consists of the following states

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- ad) SP29:SAS\_Train (see 6.8.4.12); ~~and~~
- ae) SP30:SAS\_TrainingDone (see 6.8.4.13);
- [af\) SP31:SAS PS Phy Low Power state \(see 6.8.5.2\);](#)
- [ag\) SP32:SAS PS ALIGN0 state \(see 6.8.5.3\); and](#)
- [ah\) SP33:SAS PS ALIGN1 state \(see 6.8.5.4\).](#)

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[If the phy supports SAS phy power management, then this state machine shall maintain a SASPhyPwrMgmt state machine variable to determine the current power condition of the phy.](#)

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## 6.8.2 SP transmitter and receiver

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The SP transmitter receives the following messages from the SP state machine:

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- k) Transmit TRAIN\_DONE Pattern; ~~and~~
- l) Transmit MUX Sequence;
- [m\) Enter Partial Power Condition; and](#)
- [n\) Enter Slumber Power Condition.](#)

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The SP receiver receives the following messages from the SP state machine:

- a) Set Physical Link Rate with an argument specifying the physical link rate (e.g., 1.5 Gbps, 3 Gbps, or 6 Gbps);
- b) Receive Phy Capabilities Bits;
- c) Start Training; ~~and~~
- d) Abort Training;
- [e\) Enter Partial Power Condition; and](#)
- [f\) Enter Slumber Power Condition.](#)

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### 6.8.3.1 OOB sequence states overview

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[In figure 166 – SP \(phy layer\) state machine - OOB sequences states, add a transition arrow from all SAS phy power management states to the SP0:OOB\\_COMINIT state.](#)

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### 6.8.3.2 SP0:OOB\_COMINIT state

#### 6.8.3.2.1 State description

This state is the initial state for this state machine.

Upon entry into this state, the phy shall:

- a) set the COMWAKE\_Received state machine variable to zero;
- [b\) set the SASPhyPwrMgmt state machine variable to Active;](#)
- c) send a Stop DWS message to the SP\_DWS state machine;
- d) send a Phy Layer Not Ready confirmation to the link layer;
- e) set the ATTACHED SATA DEVICE bit to zero in the SMP DISCOVER response (see 10.4.3.10);
- f) if this state was entered due to power on, then set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response (see 10.4.3.10); and
- g) if this state was not entered because of a Disable Phy request, then send a Transmit COMINIT message to the SP transmitter.

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#### 6.8.4.1 SAS speed negotiation states overview

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In figure 167 – SP (phy layer) state machine - SAS speed negotiation states, add:

- a) a Phy Power Management request to the SP15:SAS\_PHY\_Ready state;
- b) a transition arrow from the SP15:SAS\_PHY\_Ready state to the SP31:SAS\_PS\_Phy\_Low\_Power state; and
- c) a transition arrow from the SP33:SAS\_PS\_ALIGN1 state to the SP15:SAS\_PHY\_Ready state.

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#### 6.8.4.2 SP8:SAS\_Start state

##### 6.8.4.2.1 State description

This is the ~~initial~~ state ~~for~~ in which the SAS speed negotiation sequence begins.

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#### 6.8.4.9 SP15:SAS\_Phy\_Ready state

##### 6.8.4.9.1 State description

This state waits for:

- a) a COMINIT Detected message;
- b) a DWS Lost message; ~~or~~
- c) a DWS Reset message; or
- d) a Phy Power Management request.

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##### 6.8.4.9.3 Transition SP15:SAS\_Phy\_Ready to SP31:SAS\_PS\_Phy\_Low\_Power

This transition shall occur after this state receives a Phy Power Management (Partial) request or a Phy Power Management (Slumber) request.

If this transition is the result of this state receiving a Phy Power Management (Partial) request, then the transition shall include a Partial argument.

If this transition is the result of this state receiving a Phy Power Management (Slumber) request, then the transition shall include a Slumber argument.

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#### 6.8.5 SAS phy power management states

[Editor's note: this is a new clause with a new figure. The numbers of the clauses regarding SATA that follow increment by one (e.g., 6.8.5 becomes 6.8.6), and the numbers of all subsequent figures increment by one.]

##### 6.8.5.1 SAS phy power management states overview

Figure a shows the SAS phy power management states. These states are entered when a phy is requested to enter a phy low power condition (i.e., the partial phy power condition or the slumber phy power condition) and process the actions that return a phy from a phy low power condition to the active phy power management condition (i.e., participating in an operational logical link).

These states are indicated by state names with a prefix of SAS\_PS.

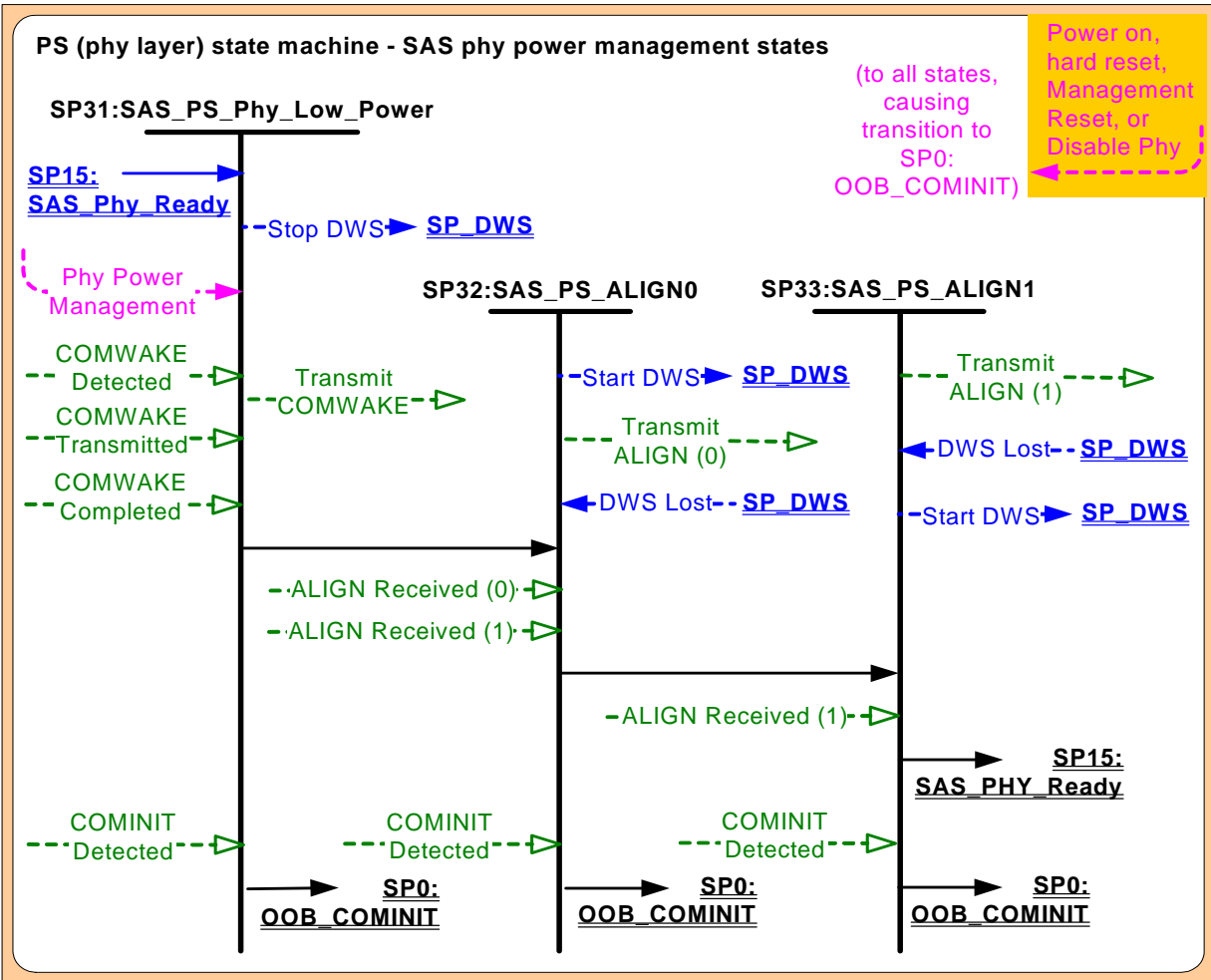


Figure a — PS (phy layer) state machine - SAS phy power management states

6.8.5.2 SP31:SAS PS Phy Low Power state

6.8.5.2.1 State description

Upon entry into this state, this state shall send a Stop DWS message.

If this state is entered with a Partial argument, then:

- a) this state shall send an Enter Partial Power Condition message to the SP transmitter and receiver;
- b) the phy shall enter the partial phy power condition (see [add a cross reference to a clause where this is defined in 08-015]); and
- c) the state shall set the SASPhyPwrMgmt state machine variable to Partial.

If this state is entered with a Slumber argument, then:

- a) this state shall send an Enter Slumber Power Condition message to the SP transmitter and receiver;
- b) the phy shall enter the slumber phy power condition (see [add a cross reference to a clause where this is defined in 08-015]); and
- c) the state shall set the SASPhyPwrMgmt state machine variable to Slumber.

**[editor's note: I think these should be called "phy power conditions" in this and all related proposals as opposed to "power states" to minimize confusion in the state diagrams and descriptions.]**

If this state receives a Phy Power Management (Exit) request or a COMWAKE Detected message, and the phy is in partial phy power management state then this state shall send a Transmit COMWAKE message within a phy wakeup (partial) time (see table 96).

If this state receives a Phy Power Management (Exit) request or a COMWAKE Detected message, and the phy is in slumber phy power management state then this state shall send a Transmit COMWAKE message within a phy wakeup (slumber) time (see table 96).

#### **6.8.5.2.2 Transition SP31:SAS PS Phy Low Power to SP0:OOB COMINIT**

This transition shall occur if:

- a) this state:
  - A) receives a COMWAKE Transmitted message; and
  - B) does not receive a COMWAKE Completed message within a hot-plug timeout (see table 96 in 6.7.1);
- or
- b) this state receives a COMINIT Detected message.

#### **6.8.5.2.3 Transition SP31:SAS PS Phy Low Power to SP32:SAS PS ALIGN0**

This transition shall occur after this state:

- a) receives a COMWAKE Transmitted message; and
- b) receives a COMWAKE Completed message.

#### **6.8.5.3 SP32:SAS PS ALIGN0 state**

##### **6.8.5.3.1 State description**

Upon entry into this state, the phy shall:

- 1) initialize and start the SNLT timer;
- 2) send a Set Physical Link Rate message to the SP transmitter and to the SP receiver and send a Set SSC message to the SP transmitter with the arguments set to those determined from the last speed negotiation window;
- 3) if applicable, restore any vendor-specific information for the SP receiver (e.g., determined from the previous Train-SNW speed negotiation window with the arguments set to the same values as those for the previous entry into the SP28:SAS TrainSetup state (see 6.8.4.11));
- 4) send a Start DWS message; and
- 5) repeatedly send Transmit ALIGN (0) messages.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to re-acquire dword synchronization without running a new link reset sequence.

##### **6.8.5.3.2 Transition SP32:SAS PS ALIGN0 state to SP0:OOB COMINIT**

This transition shall occur after this state:

- a) receives a DWS Lost message, if this state does not send a Start DWS message;
- b) receives a COMINIT Detected message; or
- c) does not receive an ALIGN Received (0) message or an ALIGN Received (1) message before the SNLT timer expires.

##### **6.8.5.3.3 Transition SP32:SAS PS ALIGN0 to SP33:SAS PS ALIGN1**

This transition shall occur:

- a) if this state receives an ALIGN Received (0) message or an ALIGN Received (1) message before the SNLT timer expires; and
- b) after this state has sent at least three Transmit ALIGN (0) messages.

#### **6.8.5.4 SP33:SAS PS ALIGN1 state**

##### **6.8.5.4.1 State description**

Upon entry into this state, the phy shall:

- 1) initialize and start the SNLT timer; and
- 2) repeatedly send Transmit ALIGN (1) messages.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to re-acquire dword synchronization without running a new link reset sequence.

#### **6.8.5.4.2 Transition SP33:SAS PS ALIGN1 state to SP0:OOB COMINIT**

This transition shall occur after this state:

- a) receives a DWS Lost message, if this state does not send a Start DWS message;
- b) receives a COMINIT Detected message; or
- c) does not receive an ALIGN Received (1) message before the SNLT timer expires.

#### **6.8.5.4.3 Transition SP33:SAS PS ALIGN1 state to SP15:SAS PHY Ready**

This transition shall occur:

- a) if this state receives an ALIGN Received (1) message before the SNLT timer expires;
- b) after this state has sent at least three Transmit ALIGN (1) messages; and
- c) after this state sets the SASPhyPwrMgmt state machine variable to Active.

NOTE 1 - Receipt of the ALIGN Receive (1) message indicates that the connected phy has been able to achieve dword synchronization with the previously negotiated settings.

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