

Western Digital Corporation 20511 Lake Forest Drive Lake Forest, CA 92630

To: T10 CAP Working Group Contact: Curtis E. Stevens Phone: 949-672-7933 Email: Curtis.Stevens@wdc.com Date: 15 January 2008

Subject: SPC-4, SAT-2, Proposal to add the ATA device password security feature

1 Related documents

ATA8-ACSr4b, AT Attachment 8 - ATA/ATAPI Command Set (ATA8-ACS) revision 4b SPC-4r11, SCSI Primary Command Set – 4 (SPC-4) revision 11 SAT-r09, SCSI / ATA Translation (SAT) revision 09

2 Introduction

There are a variety of devices that are being bridged from a bus that uses a SCSI functional protocol to a bus that uses an ATA functional protocol. The SAT working group is defining methods for translating SCSI functions into ATA function sequences. One ATA capability with no translation is the ATA Security feature set. Many ATA devices have this capability, but systems are unable to take advantage of this level of security because they do not have access to the ATA capability via a bridging device. This purpose of this proposal is to enable password security in ATA devices as it is defined in ATA8-ACS via the SECURITY PROTOCOL OUT command defined in SPC-4.

3 Already approced addition to SPC-4

A new additional sense code has been requested (and granted) for SPC-4: SECURITY CONFLICT IN TRANSLATED DEVICE. This applies to block devices only.

4 Proposed additions to SAT-2

The following are the proposed additions to SAT-2.

12.a ATA Device Server Password Security Protocol

12.a.1 SECURITY PROTOCOL IN command

12.a.1.1 SECURITY PROTOCOL IN command overview

The SECURITY PROTOCOL IN command is used by the application client to cause the SATL to return ATA Security feature set data extracted from the IDENTIFY DEVICE data from the ATA device. See ATA8-ACS for a description of the ATA Security feature set and all of the functions defined therein.

When the SECURITY PROTOCOL field is set to EFh in a SECURITY PROTOCOL IN command, the SECURITY PROTOCOL SPECIFIC field shall be set to zero. All other values of the SECURITY PROTOCOL SPECIFIC field are reserved.

The INC_512 bit shall be set to zero. If a SECURITY PROTOCOL IN command is received with the INC_512 bit

is set to one, then the SECURITY PROTOCOL IN command shall be terminated with CHECK CONDITION status, with the sense key set to ILLEGAL REQUEST, and the additional sense code set to INVALID FIELD IN CDB.

All other CDB fields for SECURITY PROTOCOL IN command shall meet the requirements stated in SPC-4.

12.a.2 SECURITY PROTOCOL IN parameter data

Table 6 defines the parameter data sent in response to for the set password function.

Bit Byte	7	6	5	4	3	2	1	0
0		Reserved						
1				PARAMETER	LIST LENGTH			
2	(MSB)							
3			(LSB)					(LSB)
4	(MSB)	– ENHANCED SECURITY ERASE TIME (LSB)						
5							(LSB)	
6	(MSB)		N40			ED		
7		- MASTER PASSWORD IDENTIFIER (LSB)					(LSB)	
8		Reserved					MAXSET	
9	Rese	erved EN_ER_SUP PWCNTEX FROZEN LOCKED S_ENABLD					S_SUPRT	
10				Rese	erved			
15				Rese	erved			

Table 1 — SECURITY PROTOCOL	IN parameter data
-----------------------------	-------------------

PARAMETER LIST LENGTH shall be set to the number of bytes following byte 1 of the SECURITY PROTOCOL IN parameter data.

If the ATA Security feature set supported (S_SUPRT) bit is set to zero, then the ATA device does not support the ATA Security feature set. If the S_SUPRT bit is set to one, then the ATA device supports the ATA Security feature set.

If the ATA Security feature set enabled (S_ENABLD) bit is set to zero, then the ATA Security feature set is not enabled in the ATA device. If the S_ENABLD bit is set to one, then the ATA Security feature set is enabled in the ATA device based on the setting of the user password via a set password function (see 12.a.3.1).

The value in the SECURITY ERASE TIME field indicates the time required by the ATA device to complete its security erase procedure in normal mode. Table 2 defines the values in the SECURITY ERASE TIME field.

The value in the ENHANCED SECURITY ERASE TIME field indicates the time required by the ATA device to complete its security erase procedure in enhanced mode. Table 2 defines the values in the ENHANCED SECURITY ERASE TIME field.

Value	Time required for erase process
0000h	The time is not specified or the ATA Security feature set is not supported
0001h - 00FEh	(Value in the field) x 2 minutes
00FFh	Greater than 508 minutes
0100h - FFFFh	Reserved

Table 2 — SECURITY ERASE TIME and ENHANCED SECURITY ERASE TIME field definition

If the ATA device does not support the ATA Security feature set (i.e., the s_SUPRT bit is set to zero) or the master password identifier, then the MASTER PASSWORD IDENTIFIER field shall be set to 0000h or FFFFh. If the ATA device supports the ATA Security feature set and the master password identifier, then the MASTER PASSWORD IDENTIFIER field shall be set to the master password identifier set when the master password was last changed.

If the master password capability setting (MAXSET) bit is set to zero, and the ATA Security feature set is enabled (i.e., the S_ENABLD bit is set to one), then the security level is set to high. If the MAXSET bit is set to one, then the security level is set to maximum.

If the enhanced erase mode supported (EN_ER_SUP) bit is set to zero, then the ATA device does not support the enhanced erase mode. If the EN_ER_SUP bit is set to one, then the ATA device supports the enhanced erase mode.

If the password attempt counter exceeded (PWCNTEX) bit is set to zero, then the password attempt counter has not decremented to zero. If the PWCNTEX bit is set to one, then the password attempt counter has decremented to zero.

If the frozen state (FROZEN) bit is set to zero, then the ATA device is not in the security frozen state. If the FROZEN bit is set to one, then the ATA device is in the security frozen state.

If the locked state (LOCKED) bit is set to zero, then the ATA device is not in the security locked state. If the LOCKED bit is set to one, then the ATA device is in the security locked state.

12.a.2.1 SCSI commands allowed in the presence of various security modes

Certain commands may be allowed or conflict depending on the security mode setting that is in effect for an ATA device.

There are three possible modes:

- a) security locked;
- b) security unlocked or security disabled; and
- c) security frozen.

If a SATL receives a command that is allowed for the current security mode setting of the ATA device, then the SATL translates the command and sends it to the ATA device. If a SATL receives a command that conflicts with the current security mode setting of the , then the SATL shall terminate the command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to ATA SECURITY CONFLICT.

Table 3 shows the commands defined in SPC-4 and whether each command is allowed or conflicts depending on the security setting that is in effect for an ATA device. If a command in table 3 is not implemented by the SATL, then processing of the command is vendor specific.

Command	Locked	Unlocked or Disabled	Frozen
ACCESS CONTROL IN ¹	Allowed	Allowed	Allowed
ACCESS CONTROL OUT ¹	Allowed	Allowed	Allowed
CHANGE ALIASES ¹	Allowed	Allowed	Allowed
EXTENDED COPY ¹	Conflict	Allowed	Allowed
INQUIRY	Allowed	Allowed	Allowed
LOG SELECT	Allowed ²	Allowed	Allowed
LOG SENSE ¹	Allowed	Allowed	Allowed
MANAGEMENT PROTOCOL IN ¹	Allowed	Allowed	Allowed
MANAGEMENT PROTOCOL OUT ¹	??	Allowed	Allowed
MODE SELECT(6) / MODE SELECT(10)			
Control mode page	Allowed	Allowed	Allowed
Disconnect-Reconnect mode page ¹	Allowed	Allowed	Allowed
Informational Exceptions Control mode page	Allowed	Allowed	Allowed
Power Condition mode page ¹	Allowed	Allowed	Allowed
Protocol Specific Logical Unit mode page ¹	Allowed	Allowed	Allowed
Protocol Specific Port mode page ¹	Allowed	Allowed	Allowed
Read-Write Error Recovery mode page	Allowed	Allowed	Allowed
Verify Error Recovery mode page ¹	Allowed	Allowed	Allowed
Caching mode page	Allowed	Allowed	Allowed
XOR Control mode page ¹	Allowed	Allowed	Allowed
Enclosure Services Management mode page ¹	Allowed	Allowed	Allowed
Background Control mode page ¹	Allowed	Allowed	Allowed
MODE SENSE(6) / MODE SENSE(10)	Allowed	Allowed	Allowed
PERSISTENT RESERVE IN ¹	Allowed	Allowed	Allowed
PERSISTENT RESERVE OUT			
REGISTER ¹	Allowed	Allowed	Allowed
RESERVE ¹	Allowed	Allowed	Allowed
RELEASE ¹	Allowed	Allowed	Allowed
CLEAR ¹	Allowed	Allowed	Allowed
PREEMPT ¹	Allowed	Allowed	Allowed
¹ ATA SECURITY CONFLICT shall not be returned for ² Allowed unless otherwise specified.	this command.		

Table 3 — SPC commands allowed in the presence of various security modes (page 1 of 3)

Command	Locked	Unlocked or Disabled	Frozen
PREEMPT AND ABORT ¹	Allowed	Allowed	Allowed
REGISTER AND IGNORE EXISTING KEY ¹	Allowed	Allowed	Allowed
REGISTER AND MOVE ¹	Allowed	Allowed	Allowed
READ ATTRIBUTE ¹	Allowed	Allowed	Allowed
READ BUFFER	Allowed	Allowed	Allowed
READ MEDIA SERIAL NUMBER ¹	Allowed	Allowed	Allowed
RECEIVE COPY RESULTS ¹	Allowed	Allowed	Allowed
RECEIVE DIAGNOSTIC RESULTS ¹	Allowed	Allowed	Allowed
RELEASE(6) / RELEASE(10) ¹	Allowed	Allowed	Allowed
REPORT ALIASES ¹	Allowed	Allowed	Allowed
REPORT IDENTIFYING INFORMATION ¹	Allowed	Allowed	Allowed
REPORT LUNS ¹	Allowed	Allowed	Allowed
REPORT PRIORITY ¹	Allowed	Allowed	Allowed
REPORT SUPPORTED OPERATION CODES ¹	Allowed	Allowed	Allowed
REPORT SUPPORTED TASK MANAGEMENT FUNCTIONS ¹	Allowed	Allowed	Allowed
REPORT TARGET PORT GROUPS ¹	Allowed	Allowed	Allowed
REPORT TIMESTAMP ¹	Allowed	Allowed	Allowed
REQUEST SENSE	Allowed	Allowed	Allowed
RESERVE(6) / RESERVE(10) ¹	Allowed	Allowed	Allowed
SECURITY PROTOCOL IN ¹	Allowed	Allowed	Allowed
SECURITY PROTOCOL OUT			
Tape Data Encryption ¹	Conflict	Conflict	Conflict
Authentication in Host Attachments of Transient Storage Devices ¹	Conflict	Conflict	Conflict
Device Server Password Security	Allowed	Allowed	Conflict
IEEE 1667 ¹	Conflict	Conflict	Conflict
TCG ¹	Conflict	Conflict	Conflict
SEND DIAGNOSTIC	Allowed	Allowed	Allowed
SET IDENTIFYING INFORMATION ¹	Allowed	Allowed	Allowed
¹ ATA SECURITY CONFLICT shall not be returned for ² Allowed unless otherwise specified.	this command.		

Table 3 — SPC commands allowed in the presence of various security modes (page 2 of 3)

Table 3 — SPC	commands allowed	in the presence	of various securi	ty modes	(page 3 of 3
---------------	------------------	-----------------	-------------------	----------	--------------

Command	Locked	Unlocked or Disabled	Frozen
SET PRIORITY ¹	Allowed	Allowed	Allowed
SET TARGET PORT GROUPS ¹	Allowed	Allowed	Allowed
SET TIMESTAMP ¹	Allowed	Allowed	Allowed
TEST UNIT READY	Allowed	Allowed	Allowed
WRITE ATTRIBUTE ¹	Allowed	Allowed	Allowed
WRITE BUFFER	Allowed	Allowed	Allowed
¹ ATA SECURITY CONFLICT shall not be returned for ² Allowed unless otherwise specified.	this command.		

Table 4 shows the commands defined in SBC-3 and whether each command is allowed or conflicts depending on the security setting that is in effect for an ATA device. If a command in table 4 is not implemented by the SATL, then processing of the command is vendor specific.

Command	Locked	Unlocked or Disabled	Frozen
FORMAT UNIT	Conflict	Allowed	Allowed
ORWRITE ¹	Conflict	Allowed	Allowed
PRE-FETCH (10) / (16) ¹	Conflict	Allowed	Allowed
PREVENT ALLOW MEDIUM REMOVAL (Prevent=0) ¹	Conflict	Allowed	Allowed
PREVENT ALLOW MEDIUM REMOVAL (Prevent<>0) ¹	Conflict	Allowed	Allowed
READ (6) / (10) / (12) / (16) / (32)	Conflict	Allowed	Allowed
READ CAPACITY (10) / (16)	Allowed	Allowed	Allowed
READ DEFECT DATA (10) / (12) ¹	Conflict	Allowed	Allowed
READ LONG (10) / (16) ¹	Conflict	Allowed	Allowed
REASSIGN BLOCKS	Conflict	Allowed	Allowed
START STOP UNIT with START bit set to one and POWER CONDITION field set to 0h	Allowed	Allowed	Allowed
START STOP UNIT with START bit set to zero or POWER CONDITION field set to a value other than 0h ¹	Allowed	Allowed	Allowed
SYNCHRONIZE CACHE (10) / (16)	Conflict	Allowed	Allowed
VERIFY (10) / (12) / (16) / (32)	Conflict	Allowed	Allowed
¹ ATA SECURITY CONFLICT shall not be returned ² Allowed unless otherwise specified.	for this comma	ind.	

Table 4 — SBC commands allowed in the presence of various security modes (page 1 of 2)

Command	Locked	Unlocked or Disabled	Frozen		
WRITE (6) / (10) / (12) / (16) / (32)	Conflict	Allowed	Allowed		
WRITE AND VERIFY (10) / (12) / (16) / (32)	Conflict	Allowed	Allowed		
WRITE LONG (10) / (16) ¹	Conflict	Allowed	Allowed		
WRITE SAME (10) / (16) / (32)	Conflict	Allowed	Allowed		
XDREAD (10) / (32)	Conflict	Allowed	Allowed		
XDWRITE (10) / (32)	Conflict	Allowed	Allowed		
XDWRITEREAD (10) / (32)	Conflict	Allowed	Allowed		
XPWRITE (10) / (32)	Conflict	Allowed	Allowed		
¹ ATA SECURITY CONFLICT shall not be returned for this command. ² Allowed unless otherwise specified					

Table 4 — SBC commands allowed in the presence of various security modes (page 2 of 2)

12.a.3 SECURITY PROTOCOL OUT command

12.a.3.1 SECURITY PROTOCOL OUT command overview

The SECURITY PROTOCOL OUT command is used by an application client to send ATA Security feature set commands and data to the ATA device. See ATA8-ACS for a description of the ATA Security feature set and all of the functions defined therein.

When the SECURITY PROTOCOL field is set to EFh in a SECURITY PROTOCOL OUT command, the SECURITY PROTOCOL SPECIFIC field shall contain a single numeric value as described in table 5.

SECURITY PROTOCOL SPECIFIC field	Description	ATA command processing reference ^a	Parameter data reference		
0000h	Reserved				
0001h	Set password	SECURITY SET PASSWORD	12.a.3.2		
0002h	Unlock	SECURITY UNLOCK	12.a.3.3		
0003h	Erase prepare	SECURITY ERASE PREPARE	No data is transferred		
0004h	Erase unit	SECURITY ERASE UNIT	12.a.3.4		
0005h	Freeze lock	SECURITY FREEZE LOCK	No data is transferred		
0006h	Disable password	SECURITY DISABLE PASSWORD	12.a.3.5		
0007h - FFFFh	Reserved				
^a See ATA8-ACS for a description of how this security protocol specific field value shall be processed.					

Table 5 — s	SECURITY PROTOCOL	SPECIFIC field
-------------	-------------------	----------------

The INC_512 bit shall be set to zero. If a SECURITY PROTOCOL OUT command is received with the INC_512 bit is set to one, then the SECURITY PROTOCOL OUT command shall be terminated with CHECK CONDI-TION status, with the sense key set to ILLEGAL REQUEST, and the additional sense code set to INVALID FIELD IN CDB.

All other CDB fields for the SECURITY PROTOCOL OUT command shall meet the requirements stated in SPC-4.

12.a.3.2 Set password parameter data

If the SECURITY PROTOCOL SPECIFIC field is set to 0001h in the SECURITY PROTOCOL OUT CDB, then the TRANSFER LENGTH field in the CDB shall be set to 24h. Table 6 defines the parameter data for the set password function.

Bit Byte	7	6	5	4	3	2	1	0
0				Reserved				MAXLVL
1			Reserved					MSTRPW
2	(MSB)		PASSWORD					
33								(LSB)
34			Reserved					
35				TC-SC				

Table 6 —	Set	password	parameter	data
-----------	-----	----------	-----------	------

If the maximum security level bit (MAXLVL) is set to zero, then the ATA device shall set the security level to high. If the MAXLVL bit is set to one, then the ATA device shall set the security level to maximum.

If the master password bit (MSTRPW) is set to zero, then the ATA device shall set the user password to the value in the PASSWORD field. If the MSTRPW bit is set to one, then the ATA device shall set the master password to the value in the PASSWORD field.

The PASSWORD field contains a 32-byte binary value.

12.a.3.3 Unlock parameter data

If the SECURITY PROTOCOL SPECIFIC field is set to 0002h in the SECURITY PROTOCOL OUT CDB, then the TRANSFER LENGTH field in the CDB shall be set to 24h. Table 7 defines the parameter data for the unlock function.

Bit Byte	7	6	5	4	3	2	1	0	
0	Reserved								
1	Reserved							MSTRPW	
2	(MSB)		PASSWORD -						
33									
34			Peserved						
35									

Table 7 — Unlock parameter data

If the master password bit (MSTRPW) is set to zero, then the ATA device shall compare the value in the PASSWORD field to the user password. If the MSTRPW bit is set to one, then the ATA device shall compare the value in the PASSWORD field to the master password.

The PASSWORD field contains a 32-byte binary value.

12.a.3.4 Erase unit data

If the SECURITY PROTOCOL SPECIFIC field is set to 0004h in the SECURITY PROTOCOL OUT CDB, then the TRANSFER LENGTH field in the CDB shall be set to 24h. Table 8 defines the parameter data for the erase unit function.

Bit Byte	7	6	5	4	3	2	1	0
0	Reserved							
1	Reserved							MSTRPW
2	(MSB)		PASSWORD -					
33								
34		Perenved						
35								

If the enhanced erase mode bit (EN_ER) is set to zero, then the ATA device shall be set to use the normal erase mode. If the EN_ER bit is set to one, then the ATA device shall be set to enhanced erase mode.

If the master password bit (MSTRPW) is set to zero, then the ATA device shall compare the value in the PASSWORD field to the user password. If the MSTRPW bit is set to one, then the ATA device shall compare the value in the PASSWORD field to the master password.

The PASSWORD field contains a 32-byte binary value.

12.a.3.5 Disable password parameter data

If the SECURITY PROTOCOL SPECIFIC field is set to 0006h in the SECURITY PROTOCOL OUT CDB, then the TRANSFER LENGTH field in the CDB shall be set to 24h. Table 9 defines the parameter data for the disable password function.

Bit Byte	7	6	5	4	3	2	1	0	
0	Reserved								
1	Reserved							MSTRPW	
2	(MSB)		PASSWORD						
33		-	FASSWORD -						
34			Reserved -						
35		-							

Table 9 — C	Disable	password	parameter	data
-------------	---------	----------	-----------	------

If the master password bit (MSTRPW) is set to zero and the value in the PASSWORD field matches the user password, then the ATA device shall disable the user password. If the MSTRPW bit is set to one and the value in the PASSWORD field matches the master password, then the ATA device shall disable the master password.

The PASSWORD field contains a 32-byte binary value.