

**From:** Gerry Houlder, Seagate Technology <gerry.houlder@seagate.com>  
**Subj:** SAS: Add low power transceiver options  
**Date:** Mar. 3, 2008

---

## Overview

This proposal adds lower power transceiver modes to SAS. The intent is to add low power modes that are similar to or compatible with the low power modes available in SATA. This should allow an expander design that can work with either SATA or SAS devices in low power mode.

This proposal focuses on target to initiator and target to expander links. These links should provide the greatest opportunity for power savings because they are likely to have more idle time and will not have performance impact on access to other targets. For these cases, only the target would need to initiate low power modes and could reasonably predict idle times based on commands in its queue and timers that allow for idle and standby modes.

For compatibility with SATA, there needs to be 4 primitives. If SAS chooses the same primitive encoding that SATA has, expander design might be easier. The primitives are:

- (a) PMREQ\_P to request partial power mode (transceiver must recover within 10 us);
- (b) PMREQ\_S to request slumber power mode (transceiver must recover within 10 msec);
- (c) PMACK to accept change into the requested power mode;
- (d) PMNAK to reject the power mode request.

When a power mode request is accepted, both ends of the link stop transmitting. Either end may revive the link by sending COMWAKE. Both ends are required to remember whether the link is SSP or STP protocol and previously negotiated settings (speed, SSC, multiplexing, etc.). The intent is that both ends can restart and obtain synchronization without needing to change receiver settings or redo training. Repeating training may increase the recovery time so much that partial power mode may not be feasible.

When an initiator requests an expander to open a link that is in partial power mode, recovery time should be fast enough so any delay can be covered by returning [AIP\(WAITING ON DEVICE\) one time until the link is ready](#). [When an initiator requests to open a link that is in slumber power mode, the expander should be allowed to use OPEN\\_REJECT\(RETRY\) \(the recovery time will be several milliseconds and we don't want to tie up expander pathways for that long by using AIP\)](#). I think it is important to NOT pick new primitives for this to maintain compatibility with older initiators that wouldn't recognize the new primitive.

The partial mode has fast enough recovery so that it may be used by a target-expander link without explicit approval by an initiator. The slumber mode causes enough delay so that an initiator should explicitly allow/ disallow this option based on its performance requirements. There are several possibilities for this:

- (a) Use SNW-3 to negotiate capability/ permission similar to speed negotiation. The expander would base its setting on something from an initiator. Could it be SNW-3 setting from each initiator? Could it be a setting in an SMP function?
- (b) Use something in IDENTIFY frame?
- (c) Use a SAS specific mode page. The initiator grants permission directly to a target without needing expander participation, but using the application layer to control link layer behavior has been frowned upon in the past.
- (d) Only invoke slumber when idle, standby, or sleep is either commanded by initiator or initiated by timer expiration in the target. Recovery from slumber could also command the drive to change to active state (from idle or standby).

There may be a desire to add information to SMP functions to indicate links that are in a low power mode, especially slumber mode. The DISCOVER function and the DISCOVER LIST function seem like obvious candidates to me. Are there others?

If a drive is removed while in partial or slumber and another drive is inserted, the drive will send a COMRESET at power up and alert the initiator/expander that something has changed since it did not receive a COMWAKE. Similarly, if a drive is pulled and not replaced, it would become a loss of signal situation, so I think that both of these are covered.

Questions have also been raised about whether slumber mode actually saves a significant amount of power compared to partial mode. If the additional savings are not significant enough then perhaps this mode is not worth defining? Of course, if it is used in conjunction with idle or other longer recovery low power modes then perhaps it is still useful. This will be a discussion point. Anyone willing to provide comparative data on this is invited to do so.

## **SAS-2++ Changes (based on SAS-2 rev.14):**

### **6.6 Out of band (OOB) signals**

*[clauses 6.6.1 through 6.6.4 are unchanged]*

#### **6.6.5 Idle bus condition**

During power management states (Partial and Slumber), the transmitter device and receiver device are allowed to float. The maximum transmitter and receiver device transients specified in Table 54 and Table 56, respectively apply.

### **6.7 Phy reset sequences**

*[clauses 6.7.1 through 6.7.5 are unchanged]*

#### **6.7.6 SAS transceiver low power sequences**

[Need a new figure showing accepted SAS\_PMREQx to PMACK to not transmitting sequence]

[Need a new figure, similar to figure 142, showing SAS COMWAKE sequences for low power revival]

[Need a new figure, similar to figure 150, showing detection and recovery from hot plug while in low power mode. Existing figures 141 or 142 may already be sufficient for this purpose.]

## 6.8 SP (phy layer) state machine

### 6.8.1 SP state machine overview

The SP state machine controls the phy reset sequence. This state machine consists of three sets of states:

- a) OOB sequence (OOB) states;
- b) SAS speed negotiation (SAS) states; and
- c) SATA host emulation (SATA) states.

This state machine consists of the following states:

- a) SP0:OOB\_COMINIT (see 6.8.3.2)(initial state);
- b) SP1:OOB\_AwaitCOMX (see 6.8.3.3);
- c) SP2:OOB\_NoCOMSASTimeout (see 6.8.3.4);
- d) SP3:OOB\_AwaitCOMINIT\_Sent (see 6.8.3.5);
- e) SP4:OOB\_COMSAS (see 6.8.3.6);
- f) SP5:OOB\_AwaitCOMSAS\_Sent (see 6.8.3.7);
- g) SP6:OOB\_AwaitNoCOMSAS (see 6.8.3.8);
- h) SP7:OOB\_AwaitCOMSAS (see 6.8.3.9);
- i) SP8:SAS\_Start (see 6.8.4.2);
- j) SP9:SAS\_WindowNotSupported (see 6.8.4.3);
- k) SP10:SAS\_AwaitALIGN (see 6.8.4.4);
- l) SP11:SAS\_AwaitALIGN1 (see 6.8.4.5);
- m) SP12:SAS\_AwaitSNW (see 6.8.4.6);
- n) SP13:SAS\_Pass (see 6.8.4.7);
- o) SP14 SAS\_Fail (see 6.8.4.8);
- p) SP15:SAS\_PHY\_Ready (see 6.8.4.9);
- q) SP16:SATA\_COMWAKE (see 6.8.5.2);
- r) SP17:SATA\_AwaitCOMWAKE (see 6.8.5.3);
- s) SP18:SATA\_AwaitNoCOMWAKE (see 6.8.5.4);
- t) SP19:SATA\_AwaitALIGN (see 6.8.5.5);
- u) SP20:SATA\_AdjustSpeed (see 6.8.5.6);
- v) SP21:SATA\_Transmit\_ALIGN (see 6.8.5.7);
- w) SP22:SATA\_PHY\_Ready (see 6.8.5.8);
- x) SP23:SATA\_PM\_Partial (see 6.8.5.9);
- y) SP24:SATA\_PM\_Slumber (see 6.8.5.10);
- z) SP25:SATA\_PortSel (see 6.8.6.2);
- aa) SP26:SATA\_SpinupHold (see 6.8.7.2);
- bb) SP27:SAS\_Settings (see 6.8.4.10);
- cc) SP28:SAS\_TrainSetup (see 6.8.4.11);
- dd) SP29:SAS\_Train (see 6.8.4.12); ~~and~~
- ee) SP30:SAS\_TrainingDone (see 6.8.4.13);
- ff) SP31:SAS\_COMWAKE (see 6.8.x.x);
- gg) SP32:SAS\_AwaitCOMWAKE (see 6.8.x.x);
- hh) SP33:SAS\_AwaitNoCOMWAKE (see 6.8.x.x);
- ii) SP34:SAS\_PM\_Partial (see 6.8.x.x); and
- jj) SP35:SAS\_PM\_Slumber (see 6.8.x.x).

The SP state machine shall start in the SP0:OOB\_COMINIT state after:

- a) a power on;
- b) a hard reset;
- c) receiving a Management Reset request from the management layer (e.g., from the SMP PHY CONTROL function requesting a phy operation of LINK RESET or HARD RESET in an expander device); or
- d) receiving a Disable Phy request from the management layer (e.g., from the SMP PHY CONTROL function requesting a phy operation of DISABLE in an expander device).

The SP state machine shall maintain a MgmtReset state machine variable to determine whether a Management Reset request has been received. Any SP state that receives a Management Reset request shall set the MgmtReset state machine variable to one before making a transition to the SP0:OOB\_COMINIT state (see 6.8.3.2). Any SP state that receives a power on, or a hard reset shall set the MgmtReset state machine variable to zero before making a transition to the SP0:OOB\_COMINIT state.

The SP state machine shall maintain a Current SNW state machine variable to determine the current SNW (e.g., SNW-1, SNW-2, SNW-3, Final-SNW, Train-SNW, or Unsupported Phy Attached). [\[Is a new state needed for COMWAKE\\_Received path?\]](#)

If the phy status is available through any of the following:

- a) the SMP DISCOVER response (see 10.4.3.10);
- b) the SMP DISCOVER LIST response (see 10.4.3.15);
- c) the Phy Control And Discover mode page (see 10.2.7.5); or
- d) the Protocol-Specific Port log page (see 10.2.8.1),

then the SP state machine shall maintain a ResetStatus state machine variable to determine the NEGOTIATED PHYSICAL LINK RATE field and/or the NEGOTIATED LOGICAL LINK RATE field.

If the phy supports SNW-3, then the SP state machine shall maintain a Commonly Supported Settings state machine variable that contains supported settings common between the phy and the attached phy.

If the phy supports SATA port selectors, the SP state machine shall maintain a COMWAKE\_Received state machine variable to indicate whether a COMWAKE detected message was received in the SP0:OOB\_COMINIT state or the SP1:OOB\_AwaitCOMX state since the last time the SP0:OOB\_COMINIT state was entered, and the SP state machine shall transition to the SP25:SATA\_PortSel state whenever it receives a Transmit SATA Port Selection Signal request.

The SP state machine sends the following messages to the SP\_DWS state machine (see 6.9):

- a) Start DWS; and
- b) Stop DWS.

The SP state machine receives the following messages from the SP\_DWS state machine:

- a) DWS Lost; and
- b) DWS Reset.

The SP state machine shall maintain the timers listed in table 104.

Table 104 – SP state machine timers

Timer	Initial value
COMSAS Detect Timeout timer	COMSAS detect timeout (see table 87 in 6.6.1)
Await ALIGN Timeout time	Await ALIGN timeout (see table 94 in 6.7.2.2)
Hot-Plug Timeout timer	Hot plug timeout (see table 93 in 6.7.1)
RCDT timer	RCDT (see table 95 in 6.7.4.2)
SNLT timer	SNLT (see table 95 in 6.7.4.2)
SNTT timer	SNTT (see table 95 in 6.7.4.2)
TLT timer	TLT (see table 95 in 6.7.4.2)
MTT timer	MTT (see table 95 in 6.7.4.2)

**6.8.2 SP transmitter and receiver**

The SP transmitter transmits OOB signals and dwords on the physical link based on messages from the SP state machine (see 6.8).

The SP transmitter receives the following messages from the SP state machine:

- a) Transmit COMINIT;
- b) Transmit COMSAS;
- c) Transmit COMWAKE;
- d) Transmit SATA Port Selection Signal;
- e) Transmit D10.2;
- f) Set Rate with a Physical Link Rate argument, and an SSC On or an SSC Off argument;
- g) Transmit ALIGN with an argument indicating the specific type (e.g., Transmit ALIGN (0));
- h) Transmit Phy Capabilities Bits;
- i) Transmit TRAIN Pattern;
- j) Transmit TRAIN\_DONE Pattern; and
- k) Transmit MUX Sequence.

When not otherwise instructed, the SP transmitter transmits D.C. idle.

Upon receiving a Transmit MUX Sequence message, the SP transmitter transmits:

- 1) MUX (LOGICAL LINK 0);
- 2) MUX (LOGICAL LINK 1);
- 3) MUX (LOGICAL LINK 0);
- 4) MUX (LOGICAL LINK 1);
- 5) MUX (LOGICAL LINK 0); and
- 6) MUX (LOGICAL LINK 1).

The SP transmitter shall complete any physical link rate change requested with the Set Rate message within RCDT (see table 95 in 6.7.4.2).

The SP transmitter sends the following messages to the SP state machine:

- a) COMINIT Transmitted;
- b) COMSAS Transmitted;
- c) COMWAKE Transmitted;
- d) SATA Port Selection Signal Transmitted;
- e) TRAIN\_DONE Pattern Transmitted;
- f) Phy Capabilities Bits Transmitted; and
- g) MUX Sequence Transmitted.

The SP receiver receives OOB signals and dwords from the physical link and sends messages to the SP state machine indicating what it has received.

The SP receiver receives the following messages from the SP state machine:

- a) Set Rate with a Physical Link Rate argument, and an SSC On argument or an SSC Off argument;
- b) Start Training; and
- c) Abort Training.

The SP receiver sends the following messages to the SP state machine:

- a) COMINIT Detected;
- b) COMSAS Detected;
- c) COMWAKE Detected;
- d) COMSAS Completed;
- e) COMWAKE Completed;
- f) ALIGN Received with an argument indicating the specific type (e.g., ALIGN Received (0));
- g) Phy Capabilities Bits Received with arguments indicating the supported settings bits received;

- h) Training Completed;
- i) TRAIN\_DONE Received; and
- j) Dword Received.

The ALIGN Received, Dword Received, and TRAIN\_DONE Received messages are only sent when the SP\_DWS state machine has achieved dword synchronization.

For SATA speed negotiation, the ALIGN Received (0) message includes an argument containing the physical link rate at which the ALIGN (0) primitives were detected. For SAS speed negotiation, only ALIGNs at the physical link rate specified by the last Set Rate message received by the SP transmitter cause ALIGN Received messages.

The SP transmitter relationship to other transmitters is defined in 4.3.2. The SP receiver relationship to other receivers is defined in 4.3.3.

### 6.8.3 OOB sequence states

#### 6.8.3.1 OOB sequence states overview

Figure 154 shows the OOB sequence states. These states are indicated by state names with a prefix of OOB.

[need updated figure 154]

#### 6.8.3.2 SP0:OOB\_COMINIT state

##### 6.8.3.2.1 State description

This state is the initial state for this state machine.

Upon entry into this state, the phy shall:

- a) set the COMWAKE\_Received state machine variable to zero;
- b) send a Stop DWS message to the SP\_DWS state machine;
- c) send a Phy Layer Not Ready confirmation to the link layer;
- d) set the ATTACHED SATA DEVICE bit to zero in the SMP DISCOVER response (see 10.4.3.10);
- e) if this state was entered due to power on, set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response (see 10.4.3.10); and
- f) if this state was not entered because of a Disable Phy request, send a Transmit COMINIT message to the SP transmitter.

If this state was entered because of a Disable Phy request, then upon entry into this state, this state shall:

- a) ignore COMINIT Detected messages until this state is re-entered due to a power on, hard reset, or Management Reset request; and
- b) set the ResetStatus state machine variable to DISABLED.

If this state was entered due to power on or hard reset, then upon entry into this state, this state shall set the ResetStatus state machine variable to UNKNOWN.

If this state was entered because of a Management Reset request, then upon entry into this state, this state shall:

- a) if the ResetStatus state machine variable is not set to RESET\_IN\_PROGRESS, SPINUP\_HOLD, G1, G2, or G3, set the ResetStatus state machine variable to UNKNOWN; or
- b) if the ResetStatus state machine variable is set to RESET\_IN\_PROGRESS, SPINUP\_HOLD, G1, G2, or G3, set the ResetStatus state machine variable to RESET\_IN\_PROGRESS.

If this state was not entered due to a power on, hard reset, Disable Phy, or Management Reset request, then upon entry into this state, this state shall:

- a) if the ResetStatus state machine variable is not set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, set the ResetStatus state machine variable to UNKNOWN; or
- b) if the ResetStatus state machine variable is set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, not change the ResetStatus state machine variable.

[Need to add handling of COMWAKE\_Received for SAS also.]

If the phy supports SATA port selectors and this state receives a COMWAKE Detected message, this state shall:

- a) if the ResetStatus state machine variable is not set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, set the ResetStatus state machine variable to PORT\_SELECTOR;
- b) set the COMWAKE\_Received state machine variable to one; and
- c) if the ATTACHED SATA PORT SELECTOR bit is set to zero in the SMP DISCOVER response (see 10.4.3.10):
  - A) set the ATTACHED SATA PORT SELECTOR bit to one in the SMP DISCOVER response; and
  - B) send a SATA Port Selector Change confirmation to the link layer.

This state machine waits for receipt of a COMINIT Transmitted message and/or a COMINIT Detected message.

#### **6.8.3.2.2 Transition SP0:OOB\_COMINIT to SP1:OOB\_AwaitCOMX**

This transition shall occur if this state receives a COMINIT Transmitted message and has not received a COMINIT Detected message.

#### **6.8.3.2.3 Transition SP0:OOB\_COMINIT to SP3:OOB\_AwaitCOMINIT\_Sent**

This transition shall occur if this state receives a COMINIT Detected message and has not received a COMINIT Transmitted message.

#### **6.8.3.2.4 Transition SP0:OOB\_COMINIT to SP4:OOB\_COMSAS**

This transition shall occur if this state receives both a COMINIT Transmitted message and a COMINIT Detected message.

### **6.8.3.3 SP1:OOB\_AwaitCOMX state**

#### **6.8.3.3.1 State description**

Upon entry into this state, the phy shall initialize and start the Hot-Plug Timeout timer if this phy is:

- a) an expander phy; or
- b) an initiator phy or target phy implementing the Hot-Plug Timeout timer.

If the phy supports SATA port selectors and this state receives a COMWAKE Detected message, this state shall:

- a) if the ResetStatus state machine variable is not set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, set the ResetStatus state machine variable to PORT\_SELECTOR;
- b) set the COMWAKE\_Received state machine variable to one; and
- c) if the ATTACHED SATA PORT SELECTOR bit is set to zero in the SMP DISCOVER response (see 10.4.3.10):
  - A) set the ATTACHED SATA PORT SELECTOR bit to one in the SMP DISCOVER response; and
  - B) send a SATA Port Selector Change confirmation to the link layer.

#### **6.8.3.3.2 Transition SP1:OOB\_AwaitCOMX to SP0:OOB\_COMINIT**

This transition shall occur if the Hot-Plug Timeout timer expires.

If the COMWAKE\_Received state machine variable is set to zero and the ATTACHED SATA PORT SELECTOR bit is set to one in the SMP DISCOVER response (see 10.4.3.10), the state machine shall, before the transition:

- a) set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response; and
- b) send a SATA Port Selector Change confirmation to the link layer.

Before the transition, if this state was entered from SP0:OOB\_COMINIT, this state shall set the ResetStatus state machine variable to UNKNOWN.

#### **6.8.3.3.3 Transition SP1:OOB\_AwaitCOMX to SP4:OOB\_COMSAS**

This transition shall occur after receiving either a COMINIT Detected message or a COMSAS Detected message. If COMSAS Detected was received, this transition shall include a COMSAS Detected argument.

If the ATTACHED SATA PORT SELECTOR bit is set to one in the SMP DISCOVER response (see 10.4.3.10), the state machine shall, before the transition:

- a) set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response; and
- b) send a SATA Port Selector Change confirmation to the link layer.

#### **6.8.3.4 SP2:OOB\_NoCOMSASTimeout state**

##### **6.8.3.4.1 State description**

Upon entry into this state, the phy shall initialize and start the Hot-Plug Timeout timer if this phy is:

- a) this phy is an expander phy; or
- b) this phy is an initiator phy or target phy implementing the Hot-Plug Timeout timer.

##### **6.8.3.4.2 Transition SP2:OOB\_NoCOMSASTimeout to SP0:OOB\_COMINIT**

This transition shall occur if the Hot-Plug Timeout timer expires.

##### **6.8.3.4.3 Transition SP2:OOB\_NoCOMSASTimeout to SP4:OOB\_COMSAS**

This transition shall occur after receiving a COMINIT Detected message.

#### **6.8.3.5 SP3:OOB\_AwaitCOMINIT\_Sent state**

##### **6.8.3.5.1 State description**

This state waits for a COMINIT Transmitted message.

If the phy supports SATA port selectors and this state receives a COMWAKE Detected message, this state shall:

- a) if the ResetStatus state machine variable is not set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, set the ResetStatus state machine variable to PORT\_SELECTOR; and
- b) if the ATTACHED SATA PORT SELECTOR bit is set to zero in the SMP DISCOVER response (see 10.4.3.10):
  - A) set the ATTACHED SATA PORT SELECTOR bit to one in the SMP DISCOVER response; and
  - B) send a SATA Port Selector Change confirmation to the link layer.

##### **6.8.3.5.2 Transition SP3:OOB\_AwaitCOMINIT\_Sent to SP4:OOB\_COMSAS**

This transition shall occur after receiving a COMINIT Transmitted message.

#### **6.8.3.6 SP4:OOB\_COMSAS state**

##### **6.8.3.6.1 State description**

Upon entry into this state, the phy shall send a Transmit COMSAS message to the SP transmitter.



This state waits for receipt of a COMSAS Transmitted message and/or a COMSAS Detected message.

If the phy supports SATA port selectors and this state receives a COMWAKE Detected message, this state shall:

- a) if the ResetStatus state machine variable is not set to PHY\_RESET\_PROBLEM, SPINUP\_HOLD, or UNSUPPORTED\_PHY\_ATTACHED, set the ResetStatus state machine variable to PORT\_SELECTOR; and
- b) if the ATTACHED SATA PORT SELECTOR bit is set to zero in the SMP DISCOVER response (see 10.4.3.10):
  - A) set the ATTACHED SATA PORT SELECTOR bit to one in the SMP DISCOVER response; and
  - B) send a SATA Port Selector Change confirmation to the link layer.

#### **6.8.3.6.2 Transition SP4:OOB\_COMSAS to SP5:OOB\_AwaitCOMSAS\_Sent**

This transition shall occur if this state receives a COMSAS Detected message or this state was entered with a COMSAS Detected argument, and this state has not received a COMSAS Transmitted message.

If the ATTACHED SATA PORT SELECTOR bit is set to one in the SMP DISCOVER response (see 10.4.3.10), the state machine shall set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response and send a SATA Port Selector Change confirmation to the link layer before the transition.

#### **6.8.3.6.3 Transition SP4:OOB\_COMSAS to SP6:OOB\_AwaitNoCOMSAS**

This transition shall occur if this state receives both a COMSAS Transmitted message and a COMSAS Detected message.

If the ATTACHED SATA PORT SELECTOR bit is set to one in the SMP DISCOVER response (see 10.4.3.10), the state machine shall set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response and send a SATA Port Selector Change confirmation to the link layer before the transition.

#### **6.8.3.6.4 Transition SP4:OOB\_COMSAS to SP7:OOB\_AwaitCOMSAS**

This transition shall occur if this state receives a COMSAS Transmitted message and has not received a COMSAS Detected message.

#### **6.8.3.7 SP5:OOB\_AwaitCOMSAS\_Sent state**

##### **6.8.3.7.1 State description**

This state waits for receipt of a COMSAS Transmitted message.

##### **6.8.3.7.2 Transition SP5:OOB\_AwaitCOMSAS\_Sent to SP6:OOB\_AwaitNoCOMSAS**

This transition shall occur after receiving a COMSAS Transmitted message.

If this state received a COMSAS Completed message, it shall include a COMSAS Completed argument with the transition.

#### **6.8.3.8 SP6:OOB\_AwaitNoCOMSAS state**

##### **6.8.3.8.1 State description**

This state machine waits for a COMSAS Completed message, which indicates that COMSAS has been completely received.

##### **6.8.3.8.2 Transition SP6:OOB\_AwaitNoCOMSAS to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

**6.8.3.8.3 Transition SP6:OOB\_AwaitNoCOMSAS to SP8:SAS\_Start**

This transition shall occur after receiving a COMSAS Completed message, or shall occur if a COMSAS Completed argument was received in the transition.

**6.8.3.9 SP7:OOB\_AwaitCOMSAS state****6.8.3.9.1 State description**

Upon entry into this state, the phy shall initialize and start the COMSAS Detect Timeout timer.

**6.8.3.9.2 Transition SP7:OOB\_AwaitCOMSAS to SP2:OOB\_NoCOMSASTimeout**

This transition shall occur if the phy does not support SATA and the COMSAS Detect Timeout timer expires.

The state machine shall set the MgmtReset state machine variable to zero before the transition.

**6.8.3.9.3 Transition SP7:OOB\_AwaitCOMSAS to SP6:OOB\_AwaitNoCOMSAS**

This transition shall occur after receiving a COMSAS Detected message.

The state machine shall set the MgmtReset state machine variable to zero before the transition.

The state machine shall set the ATTACHED SATA PORT SELECTOR bit to zero in the SMP DISCOVER response (see 10.4.3.10). If the ATTACHED SATA PORT SELECTOR bit in the SMP DISCOVER response was set to one prior to this transition, the state machine shall send a SATA Port Selector Change confirmation to the link layer before the transition.

**6.8.3.9.4 Transition SP7:OOB\_AwaitCOMSAS to SP16:SATA\_COMWAKE**

This transition shall occur if:

- a) the phy supports SATA;
- b) the COMSAS Detect Timeout timer expires; and
  - A) the MgmtReset state machine variable is set to one; or
  - B) the phy does not implement SATA spinup hold.

The state machine shall set the MgmtReset state machine variable to zero before the transition.

The state machine shall set the ATTACHED SATA DEVICE bit to one in the SMP DISCOVER response (see 10.4.3.10) before the transition.

**6.8.3.9.5 Transition SP7:OOB\_AwaitCOMSAS to SP26:SATA\_SpinupHold**

This transition shall occur if:

- a) the phy supports SATA;
- b) the COMSAS Detect Timeout timer expires;
- c) the phy implements SATA spinup hold; and
- d) the MgmtReset state machine variable is set to zero.

The state machine shall set the ATTACHED SATA DEVICE bit to one in the SMP DISCOVER response (see 10.4.3.10) before the transition.

**6.8.4 SAS speed negotiation states****6.8.4.1 SAS speed negotiation states overview**

Figure 155 shows the SAS speed negotiation states, in which the phy has detected that it is attached to a SAS phy or expander phy rather than a SATA phy, and performs the SAS speed negotiation sequence. These states are indicated by state names with a prefix of SAS.

[\[need updates to figure 155.\]](#)

Figure 156 shows the SAS speed negotiation states related to SNW-3 and Train-SNW.

[figure 156 is unchanged.]

#### 6.8.4.2 SP8:SAS\_Start state

##### 6.8.4.2.1 State description

This is the initial state for the SAS speed negotiation sequence.

[Should this state be entered first after COMWAKE\_Received? This could be the place to resume with saved SAS settings. Progression could be:

- 1) COMWAKE to SAS\_Start
- 2) SAS\_START to SAS\_AwaitALIGN with resumed speed, etc. settings
- 3) SAS\_WaitALIGN to SAS\_AwaitALIGN1
- 4) SAS\_AwaitALIGN1 to SAS\_AwaitSNW
- 5) SAS\_AwaitSNW to SAS\_Pass
- 6) SAS\_Pass to SAS\_Phy\_Ready.

These states have particular timer values and message passing associated with them to control progression.]

[Alternative is to create new states to do the equivalent actions, but specific to COMWAKE sequence. Is this better?]

Upon entry into this state, the phy shall initialize and start the RCDT timer.

If this state is entered from SP6:OOB\_AwaitNoCOMSAS, then the Current SNW state machine variable shall be set to SNW-1. If this state is not entered from SP6:OOB\_AwaitNoCOMSAS, then the Current SNW state machine variable shall be set to:

- a) SNW-2 if the Current SNW state machine variable is set to SNW-1;
- b) SNW-3 if the Current SNW state machine variable is set to SNW-2, and either SNW-1 is invalid or SNW-2 is valid;
- c) Final-SNW if the Current SNW state machine variable is set to SNW-2, SNW-1 is valid, and SNW-2 is invalid;
- d) Final-SNW if the Current SNW state machine variable is set to SNW-3, SNW-3 is invalid, and SNW-2 is valid; or
- e) Unsupported Phy Attached if the Current SNW state machine variable is set to SNW-3, SNW-3 is invalid, and SNW-2 is invalid.

After the Current SNW state machine variable is updated, if the Current SNW state machine variable is not set to Unsupported Phy Attached, then this state shall:

- a) initialize and start the RCDT timer; and
- b) if the SNW specified by the Current SNW state machine variable is supported, send a Set Rate
- c) message to the SP transmitter and SP receiver with:
  - A) the Physical Link Rate argument set to 1.5 Gbps and an SSC Off argument, if the Current SNW state machine variable is set to SNW-1;
  - B) the Physical Link Rate argument set to 3 Gbps and an SSC Off argument, if the Current SNW state machine variable is set to SNW-2;
  - C) the Physical Link Rate argument set to 1.5 Gbps and an SSC Off argument, if the Current SNW state machine variable is set to Final-SNW, SNW-1 was valid and SNW-2 was invalid;
  - D) the Physical Link Rate argument set to 3 Gbps and an SSC Off argument, if the Current SNW state machine variable is set to Final-SNW and SNW-2 was valid; or
  - E) the Physical Link Rate argument set to 1.5 Gbps and either an SSC On argument or an SSC Off argument, if the Current SNW state machine variable is set to SNW-3.

During this state D.C. idle shall be transmitted.

##### 6.8.4.2.2 Transition SP8:SAS\_Start to SP0:OOB\_COMINIT

This transition shall occur after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

#### **6.8.4.2.3 Transition SP8:SAS\_Start to SP1:OOB\_AwaitCOMX**

This transition shall occur if the Current SNW state machine variable is set to Unsupported Phy Attached.

Before the transition, this state shall set the ResetStatus state machine variable to UNSUPPORTED\_PHY\_ATTACHED.

#### **6.8.4.2.4 Transition SP8:SAS\_Start to SP9:SAS\_WindowNotSupported**

This transition shall occur after the RCDT timer expires if the SNW indicated by the Current SNW state machine variable is not supported.

#### **6.8.4.2.5 Transition SP8:SAS\_Start to SP10:SAS\_AwaitALIGN**

This transition shall occur after the RCDT timer expires if:

- a) the Current SNW state machine variable is not set to SNW-3; and
- b) the SNW indicated by the Current SNW state machine variable is supported.

#### **6.8.4.2.6 Transition SP8:SAS\_Start to SP27:SAS\_Settings**

This transition shall occur after the RCDT timer expires if:

- a) the Current SNW state machine variable is set to SNW-3; and
- b) SNW-3 is supported.

### **6.8.4.3 SP9:SAS\_WindowNotSupported state**

#### **6.8.4.3.1 State description**

Upon entry into this state, the phy shall initialize and start the SNTT timer.

During this state D.C. idle shall be transmitted.

#### **6.8.4.3.2 Transition SP9:SAS\_WindowNotSupported to SP14:SAS\_Fail**

This transition shall occur after the SNTT timer expires.

### **6.8.4.4 SP10:SAS\_AwaitALIGN state**

#### **6.8.4.4.1 State description**

Upon entry into this state, the phy shall:

- a) initialize and start the SNTT timer and SNLT timer;
- b) send a Start DWS message to the SP\_DWS state machine; and
- c) repeatedly send Transmit ALIGN (0) messages to the SP transmitter.

[May need changes if COMWAKE\_Received goes through this state.]

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

#### **6.8.4.4.2 Transition SP10:SAS\_AwaitALIGN to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

#### **6.8.4.4.3 Transition SP10:SAS\_AwaitALIGN to SP11:SAS\_AwaitALIGN1**

This transition shall occur if this state receives an ALIGN Received (0) message before the SNLT timer expires.

**6.8.4.4.4 Transition SP10:SAS\_AwaitALIGN to SP12:SAS\_AwaitSNW**

This transition shall occur if this state receives an ALIGN Received (1) message before the SNLT timer expires.

**6.8.4.4.5 Transition SP10:SAS\_AwaitALIGN to SP14:SAS\_Fail**

This transition shall occur if the SNTT timer expires.

**6.8.4.5 SP11:SAS\_AwaitALIGN1 state****6.8.4.5.1 State description**

This state shall repeatedly send Transmit ALIGN (1) messages to the SP transmitter.

[\[May need changes if Comwake recovery goes through this state.\]](#)

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

**6.8.4.5.2 Transition SP11:SAS\_AwaitALIGN1 to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

**6.8.4.5.3 Transition SP11:SAS\_AwaitALIGN1 to SP12:SAS\_AwaitSNW**

This transition shall occur if this state receives an ALIGN Received (1) message before the SNLT timer expires. This indicates that the other phy has been able to achieve dword synchronization in the current SNW.

**6.8.4.5.4 Transition SP11:SAS\_AwaitALIGN1 to SP14:SAS\_Fail**

This transition shall occur if the SNTT timer expires. This indicates that the other phy has not been able to achieve dword synchronization in the current SNW.

**6.8.4.6 SP12:SAS\_AwaitSNW state****6.8.4.6.1 State description**

This state shall repeatedly send Transmit ALIGN (1) messages to the SP transmitter.

If the Current SNW state machine variable is set to Final-SNW, this state shall send a Start SL\_IR Receiver confirmation to the link layer.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

This state waits for the SNTT timer to expire or for a Stop SNTT request.

**6.8.4.6.2 Transition SP12:SAS\_AwaitSNW to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

**6.8.4.6.3 Transition SP12:SAS\_AwaitSNW to SP13:SAS\_Pass**

This transition shall occur after the SNTT timer expires or after receiving a Stop SNTT request.

**6.8.4.7 SP13:SAS\_Pass state****6.8.4.7.1 State description**

This state determines if:

- a) another SAS SNW is required; or
- b) the SAS speed negotiation sequence is complete.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

#### **6.8.4.7.2 Transition SP13:SAS\_Pass to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

#### **6.8.4.7.3 Transition SP13:SAS\_Pass to SP8:SAS\_Start**

This transition shall occur if the Current SNW state machine variable is not set to Final-SNW.

#### **6.8.4.7.4 Transition SP13:SAS\_Pass to SP15:SAS\_PHY\_Ready**

This transition shall occur if the Current SNW state machine variable is set to Final-SNW.

### **6.8.4.8 SP14:SAS\_Fail state**

#### **6.8.4.8.1 State description**

This state determines if:

- a) another SAS SNW is required; or
- b) the SAS speed negotiation sequence is complete.

#### **6.8.4.8.2 Transition SP14:SAS\_Fail to SP1:OOB\_AwaitCOMX**

This transition shall occur if the Current SNW state machine variable is set to Final-SNW.

Before the transition, this state shall set the ResetStatus state machine variable to PHY\_RESET\_PROBLEM.

#### **6.8.4.8.3 Transition SP14:SAS\_Fail to SP8:SAS\_Start**

This transition shall occur if the Current SNW state machine variable is not set to Final-SNW.

### **6.8.4.9 SP15:SAS\_PHY\_Ready state**

#### **6.8.4.9.1 State description**

This state waits for a COMINIT Detected message, a DWS Lost message, [an Enter Partial message](#), [an Enter Slumber message](#), or a DWS Reset message.

Upon entry into this state, the phy shall:

- a) if multiplexing is enabled (see table 100 in 6.7.4.2.3.3):
  - 1) send a Transmit MUX Sequence message to the SP transmitter; and
  - 2) after receiving MUX Sequence Transmitted, send a Phy Layer Ready (SAS) confirmation to the link layer to indicate that the physical link has been brought up successfully in SAS mode;
- b) if multiplexing is not enabled, send a Phy Layer Ready (SAS) confirmation to the link layer to indicate that the physical link has been brought up successfully in SAS mode;
- c) if the SP transmitter is transmitting at 1.5 Gbps, set the ResetStatus state machine variable to G1;
- d) if the SP transmitter is transmitting at 3 Gbps, set the ResetStatus state machine variable to G2; and
- e) if the SP transmitter is transmitting at 6 Gbps, set the ResetStatus state machine variable to G3.

While in this state dwords from the link layer are transmitted at the negotiated physical link rate at the rate established in the previous SNW.

If multiplexing is disabled, each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

NOTE 42 - If multiplexing is enabled and this state receives a DWS Lost message, this state does not send a Start DWS message and the state machine transitions to SP0:OOB\_COMINIT.

#### **6.8.4.9.2 Transition SP15:SAS\_PHY\_Ready to SP0:OOB\_COMINIT**

This transition shall occur after:

- a) receiving a DWS Lost message, if this state does not send a Start DWS message;
- b) receiving a DWS Lost message followed by a COMINIT Detected message, if this state does not send a Start DWS message; or
- c) receiving a DWS Reset message.

This transition may but should not occur after receiving a COMINIT Detected message before receiving a DWS Lost message, or after receiving a COMINIT Detected message after sending a Start DWS message (i.e., the SP state machine should ignore COMINIT Detected messages unless the SP\_DWS state machine has indicated loss of dword synchronization).

#### **6.8.4.9.3 Transition SP15:SAS\_PHY\_Ready to SP34:SAS\_PM\_Partial**

This transition shall occur after receiving an Enter Partial message.

#### **6.8.4.9.3 Transition SP15:SAS\_PHY\_Ready to SP35:SAS\_PM\_Slumber**

This transition shall occur after receiving an Enter Slumber message.

#### **6.8.4.10 SP27:SAS\_Settings state**

#### **6.8.4.11 SP28:SAS\_TrainSetup**

#### **6.8.4.12 SP29:SAS\_Train state**

#### **6.8.4.13 SP30:SAS\_TrainingDone state**

*[Clauses 6.8.4.10 through 6.8.4.13 are unchanged.]*

### **6.8.5 SATA host emulation states**

#### **6.8.5.1 SATA host emulation states overview**

Figure 157 shows the SATA host emulation states, in which the phy has detected that it is attached to a SATA phy and behaves as if it were a SATA host phy, initiating the SATA speed negotiation sequence. These states are indicated by state names with a prefix of SATA.

*The power management states defined in this standard are for SAS initiator phys that support SATA; expander devices that support SATA do not support power management in this standard.*

*[The italic wording and other wording in this clause do not permit expanders to support SATA power management. I think SATA power management support should be allowed. The text here should just refer to clause 7.10 for power management support.]*

#### **6.8.6 SATA port selector state SP25:SATA\_PortSel**

#### **6.8.7 SATA spinup hold state SP26:SATA\_SpinupHold**

*[no changes to the above clauses]*

### **6.8.8 SAS phy power management states**

#### **6.8.8.1 SAS phy power management states overview**

Figure xxx shows the SAS phy power management states. These states are for SAS initiator phys, expander phys, and SAS target phys that support the phy power management option. Phys compliant with previous versions of this standard do not support SAS power management.

[Need new figure here, or changes to figures 154 and 155 to include power management.]

#### **6.8.8.2 SP31:SAS\_COMWAKE state**

##### **6.8.8.2.1 State description**

This state shall send a Transmit COMWAKE message to the SP transmitter and wait for a COMWAKE Transmitted message.

##### **6.8.8.2.2 Transition SP31:SAS\_COMWAKE to SP32:SAS\_AwaitCOMWAKE**

This transition shall occur after receiving a COMWAKE Transmitted message.

#### **6.8.8.3 SP32:SAS\_AwaitCOMWAKE state**

##### **6.8.8.3.1 State description**

This state waits for COMWAKE or COMINIT to be received.

##### **6.8.8.3.2 Transition SP32:SAS\_AwaitCOMWAKE to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

##### **6.8.8.3.3 Transition SP32:SAS\_AwaitCOMWAKE to SP33:SAS\_AwaitNoCOMWAKE**

This transition shall occur after receiving a COMWAKE Detected message.

#### **6.8.8.4 SP33:SAS\_AwaitNoCOMWAKE state**

##### **6.8.8.4.1 State description**

This state waits for a COMWAKE Completed message or COMINIT Detected message.

##### **6.8.8.4.2 Transition SP33:SAS\_AwaitNoCOMWAKE to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

##### **6.8.8.4.3 Transition SP33:SAS\_AwaitNoCOMWAKE to SP10:SAS\_Start**

**[Note: may have to create a new state similar to SAS\_Start+. Need to synchronize but want to skip all speed negotiation and resume previous settings.]**

This transition shall occur after receiving a COMWAKE Completed message.

Before the transition, this state shall set the COMWAKE\_Received state machine variable to TRUE.

#### **6.8.8.5 SP34:SAS\_PM\_Partial state**

##### **6.8.8.5.1 State description**

This state waits for a COMINIT Detected message, a COMWAKE Detected message, or an Exit Partial message.

Upon entry to this state, the phy shall be quiesced to match the partial low power mode requirement.

##### **6.8.8.5.2 Transition SP34:SAS\_PM\_Partial to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

##### **6.8.8.5.3 Transition SP34:SAS\_PM\_Partial to SP31:SAS\_COMWAKE**

This transition shall occur after receiving a Exit Partial message.

##### **6.8.8.5.4 Transition SP34:SAS\_PM\_Partial to SP33:SAS\_AwaitNoCOMWAKE**

This transition shall occur after receiving a COMWAKE Detected message.

#### **6.8.8.6 SP35:SAS\_PM\_Slumber state**

##### **6.8.8.6.1 State description**



This state waits for a COMINIT Detected message, a COMWAKE Detected message, or an Exit Slumber message.

Upon entry to this state, the phy shall be quiesced to match the slumber low power mode requirement.

**6.8.8.6.2 Transition SP35:SAS\_PM\_Slumber to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message. Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

**6.8.8.6.3 Transition SP35:SAS\_PM\_Slumber to SP31:SAS\_COMWAKE**

This transition shall occur after receiving a Exit Slumber message.

**6.8.8.6.4 Transition SP35:SAS\_PM\_Slumber to SP33:SAS\_AwaitNoCOMWAKE**

This transition shall occur after receiving a COMWAKE Detected message.

**6.9 SP\_DWS (phy layer dword synchronization) state machine**

**6.10 Multiplexing**

**6.11 Spin-up**

[no changes to the above clauses]

**7 Link layer**

**7.1 Link layer overview**

The link layer defines primitives, address frames, and connections. Link layer state machines interface to the port layer and the phy layer and perform the identification and hard reset sequences, connection management, and SSP, STP, and SMP specific frame transmission and reception.

**7.2 Primitives**

**7.2.1 Primitives overview**

[no changes to this clause.]

**7.2.2 Primitive summary**

Table 107 defines the deletable primitives.

[no changes to table 107.]

Table 108 defines the primitives not specific to the type of connection.

[\[Add these items to table 108.\]](#)

Primitive	Use	from			to			Primitive Sequence Type
		I	E	T	I	E	T	
PMACK	NoConn	I	E				T	Single
PMNACK	NoConn	I	E				T	Single
PMREQ_P	NoConn			T	I	E		Extended
PMREQ_S	NoConn			T	I	E		Extended

Table 109 defines the primitives used only inside SSP and SMP connections.

[no changes to table 109.]

Table 110 lists the primitives used only inside STP connections and on SATA physical links.

[\[no changes to table 110.\]](#)

**7.2.3 Primitive encodings**

Table 111 defines the primitive encoding for deletable primitives.

[no changes to table 111.]

Table 112 defines the primitive encoding for primitives not specific to type of connection.  
[\[Add these items to table 112.\]](#)

Primitive	character				hexadecimal
	1st	2nd	3rd	4th	
<a href="#">PMACK</a>	<a href="#">K28.5</a>				
<a href="#">PMNACK</a>	<a href="#">K28.5</a>				
<a href="#">PMREQ_P</a>	<a href="#">K28.5</a>				
<a href="#">PMREQ_S</a>	<a href="#">K28.5</a>				

Table 113 defines the primitive encodings for primitives used only inside SSP and SMP connections.

[no changes to table 113.]

Table 114 lists the primitive encodings for primitives used only inside STP connections and on SATA physical links.

[no changes to table 114.]

**7.2.4 Primitive sequences**

**7.2.5 Deletable primitives**

[no changes to the above clauses]

**7.2.6 Primitives not specific to type of connections**

**7.2.6.1 AIP (Arbitration in progress)**

AIP is sent by an expander device after a connection request to specify that the connection request is being processed and specify the status of the connection request.

The versions of AIP representing different statuses are defined in table 119.

**Table 119 — AIP primitives**

Primitive	Description
AIP (NORMAL)	Expander device has accepted the connection request. This may be sent multiple times (see 7.12.4.3).
AIP (RESERVED 0)	Reserved. Processed the same as AIP (NORMAL).
AIP (RESERVED 1)	
AIP (RESERVED 2)	
AIP (WAITING ON CONNECTION)	Expander device has determined the routing for the connection request, but either the destination phys are all being used for connections or there are insufficient routing resources to complete the connection request. This may be sent multiple times (see 7.12.4.3).
AIP (WAITING ON DEVICE)	Expander device has determined the routing for the connection request and forwarded it to the output physical link. <b>This is sent one time (see 7.12.4.3).</b>
AIP (WAITING ON PARTIAL)	Expander device has determined the routing for the connection request, but the destination phys are all busy with other partial pathways. This may be sent multiple times (see 7.12.4.3).
AIP (RESERVED WAITING ON PARTIAL)	Reserved. Processed the same as AIP (WAITING ON PARTIAL).

See 7.12 for details on connections.

**7.2.6.2 BREAK**

- 7.2.6.3 BREAK\_REPLY
- 7.2.6.4 BROADCAST
- 7.2.6.5 CLOSE
- 7.2.6.6 EOAF (End of address frame)
- 7.2.6.7 ERROR
- 7.2.6.8 HARD\_RESET
- 7.2.6.9 OPEN\_ACCEPT

[Clauses 7.2.6.2 through 7.2.6.9 are unchanged.]

**7.2.6.10 OPEN\_REJECT**

OPEN\_REJECT specifies that a connection request has been rejected and specifies the reason for the rejection. The result of some OPEN\_REJECTs is to abandon (i.e., not retry) the connection request and the result of other OPEN\_REJECTs is to retry the connection request.

All of the OPEN\_REJECT versions defined in table 122 shall result in the originating port abandoning the connection request.

**Table 122 — Abandon-class OPEN\_REJECT primitives**

[Table 122 is unchanged.]

All of the OPEN\_REJECT versions defined in table 123 shall result in the originating port retrying the connection request.

**Table 123 — Retry-class OPEN\_REJECT primitives**

Primitive	originator	Description
OPEN_REJECT (NO DESTINATION) <sup>a</sup>	Expander phy	An expander device in the pathway is not configuring and determines that: a) there is no such destination phy; b) the connection request routes to a destination expander phy in the same expander port as the source expander phy and the expander port is using the subtractive routing method; or c) the SAS address is valid for an STP target port in an STP/SATA bridge, but the initial Register - Device to Host FIS has not been successfully received (see 10.4.3.12).
OPEN_REJECT (PATHWAY BLOCKED) <sup>b</sup>	Expander phy	An expander device determined the pathway was blocked by higher priority connection requests.
OPEN_REJECT (RESERVED CONTINUE 0) <sup>c</sup>	Unknown	Reserved. Process the same as OPEN_REJECT (RETRY).
OPEN_REJECT (RESERVED CONTINUE 1) <sup>c</sup>		
OPEN_REJECT (RESERVED INITIALIZE 0) <sup>a</sup>	Unknown	Reserved. Process the same as OPEN_REJECT (NO DESTINATION).
OPEN_REJECT (RESERVED INITIALIZE 1) <sup>a</sup>		
OPEN_REJECT (RESERVED STOP 0) <sup>b</sup>	Unknown	Reserved. Process the same as OPEN_REJECT (PATHWAY BLOCKED).
OPEN_REJECT (RESERVED STOP 1) <sup>b</sup>		
OPEN_REJECT (RETRY)	Destination	Either:

	phy or zoning expander phy	<p>a) a phy with destination SAS address exists but is temporarily not able to accept connections (see 7.16.1, 7.17.5, and 7.18.3);[add power management reference here?]</p> <p>b) an expander device in the pathway is configuring and would otherwise have returned OPEN_REJECT (NO DESTINATION)(see 4.7.2 and 7.12.4.2.5);</p> <p>c) an expander device in the pathway is locked and would otherwise have returned OPEN_REJECT (ZONE VIOLATION)(see 4.9.3.5 and 7.12.4.2.5); or</p> <p>d) an expander device in the pathway has reduced functionality (see 4.6.8 and 7.12.4.2.5).</p>
<p>a If the I_T Nexus Loss timer is already running, it continues running; if it is not already running, it is initialized and started. Stop retrying the connection request if the I_T Nexus Loss timer expires.</p> <p>b If the I_T Nexus Loss timer is already running, it continues running. Stop retrying the connection request if the I_T Nexus Loss timer expires.</p> <p>c If the I_T Nexus Loss timer (see 8.2.2) is already running, it is stopped.</p>		

NOTE 49 - Some SAS logical phys compliant with earlier versions of this standard also transmit OPEN\_REJECT (RETRY) if they receive an OPEN address frame while their SL\_CC state machines are in the SL\_CC5:BreakWait state (see 7.14.4.7).

#### 7.2.6.11 SOAF (Start of address frame)

#### 7.2.6.12 TRAIN

#### 7.2.6.13 TRAIN\_DONE

[Clauses 7.2.6.11 through 7.2.6.13 are unchanged.]

#### 7.2.6.14 PMACK

PMACK specifies the positive acknowledgement of a power management request primitive.

See 7.10 for details of power management.

#### 7.2.6.15 PMNACK

PMNACK specifies the negative acknowledgement of a power management request primitive.

See 7.10 for details of power management.

#### 7.2.6.16 PMREQ\_P

PMREQ\_P specifies a request to transition to partial power management state.

See 7.10 for details of power management.

#### 7.2.6.17 PMREQ\_S

PMREQ\_S specifies a request to transition to slumber power management state.

See 7.10 for details of power management.

### 7.2.7 Primitives used only inside SSP and SMP connections

### 7.2.8 Primitives used only inside STP connections and on SATA physical links

[Clauses 7.2.7 and 7.2.8 are unchanged.]

## 7.3 Physical link rate tolerance management

### 7.4 Idle physical links

**7.5 CRC****7.6 Scrambling****7.7 Bit order of CRC and scrambler****7.8 Address frames**

[Clauses 7.3 through 7.8 are unchanged.]

**7.9 Identification and hard reset sequence****7.9.1 Identification and hard reset sequence overview**

After the phy reset sequence (see 6.7) has been completed indicating the physical link is using SAS rather than SATA, each logical phy either:

- a) performs an identification sequence by transmitting one or three IDENTIFY address frames (see 7.8.2); or
- b) performs a hard reset sequence by transmitting a HARD\_RESET primitive sequence (see 7.2.6.8).

[Is something needed here for power management recovery sequence?]

If multiplexing (see 6.10) is enabled (see table 100 in 6.7.4.2.3.3), the identification sequence and hard reset sequence begin with a multiplexing sequence (see 6.7.4.3).

Figure 176 shows two phys with multiplexing disabled performing the identification sequence. Only one IDENTIFY address frame is shown in this example.

[Figure 176 is unchanged.]

Figure 177 shows phy A performing the identification sequence and phy B performing the hard reset sequence. Multiplexing is disabled and only one IDENTIFY address frame is shown in this example.

[Figure 177 is unchanged.]

Each logical phy receives an IDENTIFY address frame or a HARD\_RESET primitive sequence from the logical phy to which it is attached. The combination of a phy reset sequence, an optional hard reset sequence followed by another phy reset sequence, and an identification sequence is called a link reset sequence (see 4.4.1).

If a phy receives a valid IDENTIFY address frame within 1 ms of phy reset sequence completion, the SAS address in the outgoing IDENTIFY address frame(s) and the SAS address in the incoming IDENTIFY address frame determine the port to which a phy belongs (see 4.1.4). The phy ignores subsequent IDENTIFY address frames and HARD\_RESET primitives until another phy reset sequence occurs.

If a phy receives a HARD\_RESET primitive sequence within 1 ms of phy reset sequence completion, it shall be considered a reset event and cause a hard reset (see 4.4.2) of the port containing that phy. If a phy does not receive a HARD\_RESET primitive sequence or a valid IDENTIFY address frame within 1 ms of phy reset sequence completion, it shall restart the phy reset sequence.

**7.9.2 SAS initiator device rules****7.9.3 Expander device rules****7.9.4 SL\_IR (link layer identification and hard reset) state machines**

[Clauses 7.9.2 through 7.9.4 are unchanged.]

[I suspect changes may be needed to SL\_IR state machine for COMWAKE handling or power management.]

## 7.10 Power management

SAS interface power management may be supported in SSP.

SSP target ports may generate PMREQ\_P or PMREQ\_S. SSP initiator ports and expander ports may reply with PMNACK if power management is not supported or PMACK if power management is supported.

SATA interface power management ~~is not~~ may be supported in STP.

STP initiator ports shall not generate SATA\_PMREQ\_P or SATA\_PMREQ\_S, ~~or SATA\_PMACK~~. If an STP initiator port receives SATA\_PMREQ\_P or SATA\_PMREQ\_S, it ~~shall~~ may reply with SATA\_PMNAK if power management is not supported or SATA\_PMACK if power management is supported.

If an expander device receives SATA\_PMREQ\_P or SATA\_PMREQ\_S from a SATA device while an STP connection is not open, it shall not forward it to any STP initiator port and shall reply with SATA\_PMNAK. ~~If one of these primitives arrives while an STP connection is open, it may forward the primitive to the STP initiator port.~~ If an expander device receives SATA\_PMREQ\_P or SATA\_PMREQ\_S from a SATA device while an STP connection is open, it may reply with SATA\_PMNAK if power management is not supported or SATA\_PMACK if power management is supported.

SCSI idle and standby power conditions, implemented with the START STOP UNIT command (see SBC-3) and the Power Condition mode page (see SPC-4), may be supported by SSP initiator ports and SSP target ports as described in 10.2.10.

ATA idle and standby power modes, implemented with the IDLE, IDLE IMMEDIATE, STANDBY, STANDBY IMMEDIATE, and CHECK POWER MODE commands (see ATA8-ACS), may be supported by STP initiator ports. The ATA sleep power mode, implemented with the SLEEP command, shall not be used.

### 7.11 SAS domain changes (Broadcast (Change) usage)

### 7.12 Connections

### 7.13 Rate matching

### 7.14 SL (link layer for SAS logical phys) state machines

### 7.15 XL (link layer for expander logical phys) state machine

### 7.16 SSP link layer

### 7.17 STP link layer

### 7.18 SMP link layer

[Clauses 7.11 through 7.18 are unchanged.]

## Annex K (informative) Primitive encoding

[Update table K.1 to include coding of the PMREQ\_P, PMREQ\_S, PMACK, and PMNAK primitives.]