To:T10 Technical CommitteeFrom:Rob Elliott, HP (elliott@hp.com)Date:28 April 2007Subject:07-119r2 SAS-2 Far-end retimed loopback phy test function

# Revision history

Revision 0 (9 March 2007) First revision

Revision 1 (12 April 2007) Incorporated comments from March 2007 SAS physical WG: removed analog loopback mode. Per a request from Mark Evans (Western Digital), added an optional PHY TEST FUNCTION address frame to invoke phy test functions in a simpler manner than the SEND DIAGNOSTIC command or the SMP PHY TEST FUNCTION function; this could be used for initiators.
 Revision 2 (28 April 2007) Incorporated comments from April 2007 SAS physical WG

# Related documents

sas2r08 - Serial Attached SCSI - 2 (SAS-2) revision 8 02-218r0 SAS Remove far-end retimed loopback (Jim Coomes, Seagate) - accepted into sas-r00b 07-091r2 or later - SAS-2 SMP function support for SNW-3 phy capabilities (Rob Elliott, HP) *Serial ATA 2.6* (from the Serial ATA International Organization - http://www.sata-io.org) *PCI Express Base Specification Revision 2.0* (from the PCI SIG - http://www.pcisig.org)

# <u>Overview</u>

Many phy designs implement far-end loopback (receiver -> transmitter) phy test modes, where the phy retransmits everything it receives. As with test patterns, there should be a common mechanism for entering these test modes.

Other high-speed serial interface standards define standard ways to enter loopback modes.

The *Serial ATA* specification defines two far-end loopback modes and defines enabling them via the BIST Activate FIS: far-end retimed (required) and far-end analog (optional). In far-end retimed mode:

- a) the tester must send valid 8b10b characters
- b) the tester must send two ALIGNs every 256 dwords (normal SATA rule)
- c) the phy may consume up to two ALIGNs every 256 dwords (preventing elasticity buffer overflow)
- d) the phy may add a single ALIGN (on elasticity buffer underflow) as long as it is directly preceded or followed by a tester ALIGN(s) that was not consumed
- e) the phy may add two ALIGNs back-to-back at any time
- f) the tester may send a burst of four ALIGNs to guarantee it gets at least two ALIGNs back, regardless
  of physical link rate tolerance differences.
- g) the phy may change disparity
- h) exit on receiving COMRESET/COMINIT

The PCI Express specification defines a Loopback mode "for test and fault isolation use."

- a) entry is via two consecutive TS1 Ordered Sets with the Enable Loopback bit set to one.
- b) phy's link rate is selected via TS1/TS2 bits
- c) phy's deemphasis level is selected via TS1/TS2 bits
- d) tester must go to electrical idle for 1 ms if changing speeds
- e) phy must go to electrical idle for 2 ms if changing speeds
- f) tester must send enough TS1s for the phy to lock before sending test patterns
- g) tester must send valid 8b10b symbols (characters)
- h) the phy must preserve disparity
- i) the phy may drop SKP symbols (the equivalent to SAS deletable primitives) if needed
- j) the phy may add SKP symbols adjacent to tester SKP symbols if needed (same disparity)
- k) the phy must preserve 10-bit data (even invalid 10 bit patterns)
- I) exit on receipt of four consecutive EIOS (Electrical Idle Ordered Set) patterns
- m) at 2.5 GT/sec, exit on electrical idle for 128 usec (this is all analogous to the SAS/SATA COMINIT signal).

SAS-1 original included far-end retimed loopback, but it was removed in SAS revision 1b - it didn't seem worth the effort to resolve the details. Many SAS phy designs do implement this feature, however, with vendor-specific mechanisms.

# Proposal

1. SAS-2 should define standardized controls using the Protocol-Specific diagnostic page and the SMP PHY TEST function for far-end retimed loopback mode.

2. The SCSI Protocol-Specific diagnostic page and the SMP PHY TEST FUNCTION function are not well-suited for putting initiators into test modes, since initiators don't often implement SSP and/or SMP target ports. A PHY TEST FUNCTION address frame is proposed for this purpose, similar to the SATA BIST Activate frame. Its contents are nearly identical to the SMP PHY TEST FUNCTION request frame, just lacking the ability to specify the phy identifer. It can be supported by any phy, not just those in initiators.

The address frame sends the attached phy it into the test mode; the diagnostic page and SMP function cannot send that phy into the test mode, they can only send other phys into the test mode. The frame is not forwarded through expanders (there's no Destination SAS address).

In a typical design, hardware may interrupt software upon reception of a valid PHY TEST FUNCTION address frame. Software may take longer than 1 ms to parse the frame and respond, so an AF\_ACK is proposed to acknowledge the PHY TEST FUNCTION address frame (within 1 ms of reception). After processing the request, software chooses whether to transmit REJECT\_PHY\_TEST or START\_PHY\_TEST.

3. START\_PHY\_TEST is also proposed for use by the existing Transmit Pattern phy test mode. Also, the phy will transmit BREAK before START\_PHY\_TEST in case it was in the middle of a connection (not possible for the address frame method, but possible for the SMP and mode page methods).

Editor's Note 1: Could also involve the SL and XL state machines in this process, and if the phy is already running, make it wait until between connections before directing the SP state machine to start the phy test function. For a test mode, though, BREAK might be more than enough.

## Suggested changes to SAS-2

# 4.10 Phy test functions

## 4.10.1 Phy test functions overview

The optional Protocol Specific diagnostic page for SAS (see 10.2.9.1) provides methods for an applicationclient to enable and disable a phy test function (e.g., transmission of the CJTPAT) for a selected phy in a SAStarget device with an SSP target port. The optional SMP PHY TEST FUNCTION function (see 10.4.3.25)provides similar methods for expander devices and SAS target devices with SMP target ports.

Phy test functions (e.g., transmission of test patterns) are used for phy and interconnect characterization and diagnosis. The phy may be attached to test equipment while performing a phy test function. The following optional mechanisms are defined for invoking phy test functions:

- a) the PHY TEST FUNCTION address frame (see 7.9.4) invokes a phy test function in a SAS phy or an expander phy that receives the address frame. The phy test function starts some time after the phy transmits AF ACK;
- b) the Protocol-Specific diagnostic page for SAS (see 10.2.9.1) invokes a phy test function in a selected phy other than the phy that receives the diagnostic page in a SAS target device with an SSP target port. The SEND DIAGNOSTIC command may be sent through any SSP target port to any logical unit in the SAS target device that contains the phy that is to perform the phy test function. The phy test function starts some time after the SSP target port receives an ACK for the RESPONSE frame transmitted in response to the SEND DIAGNOSTIC command; and
- c) the SMP PHY TEST FUNCTION function (see 10.4.3.25) invokes a phy test function in a SAS phy or an expander phy other than the one that receives the function contolled by a management device

server. The phy test function starts some time after the SMP target port transmits the SMP response frame.

Each phy test function is optional.

The application client sends a SEND DIAGNOSTIC command with the Protocol-Specific diagnostic page or an SMP PHY TEST FUNCTION function specifying the phy in the SAS target device that is to perform the phytest function and the phy test function to be performed. If the phy test function requires a specific phy test pattern and/or phy test pattern physical link rate, then it also specifies the phy test pattern and phy test pattern physical link rate are also specified.

The SEND DIAGNOSTIC command may be sent through any SSP target port to any logical unit in the SAS target device that contains the phy that is to perform the phy test function.

For the SEND DIAGNOSTIC command, the phy shall begin the specified phy test function after the SSP target port receives an ACK for the RESPONSE frame transmitted in response to the SEND DIAGNOSTIC command that requested the phy test function.

For the SMP PHY TEST FUNCTION function, the phy shall begin the specified phy test function after the SMP target port transmits the SMP response frame.

Upon starting the phy test function, the phy:

- 1) transmits BREAK;
- 2) transmits START PHY TEST; and
- 3) sets its physical link rate to the specified physical link rate.

Once a SAS phy has begin While a phy is performing a phy test function, it shall ignore its receiver the link layer receivers (i.e., the SL\_IR receiver, SL receiver, SSP receiver, STP receiver, and SMP receiver) shall ignore all incoming dwords and the OOB signal detector shall detect COMINIT.

To stop a SAS phy from performing a phy test function, an application client sends a SEND DIAGNOSTIC command or an SMP PHY TEST FUNCTION function to a SAS phy in the SAS target device that is not performing a phy test function requesting a phy test function of 00h (i.e., STOP). If no such phy is available, the phy test function only stops on power loss.

A phy stops performing a phy test function:

- a) after a SCSI device server processes a Protocol-Specific diagnostic page specifying the phy and specifying a phy test function of 00h (i.e., STOP):
- b) after a management device server processes an SMP PHY TEST FUNCTION request specifying the phy and specifying a phy test function of 00h (i.e., STOP);
- <u>c)</u> after the phy receives COMINIT; or
- d) upon power off.

It is vendor-specific how long a phy takes to stop performing the phy test function. After a phy stops performing a phy test function, it performs a link reset sequence.

## 4.10.2 Transmit pattern phy test function

When transmitting to a phy performing the transmit pattern phy test function, the test equipment:

- a) shall not transmit COMSAS or COMWAKE; and
- b) shall not transmit COMINIT except to stop the phy test function.

When performing the transmit pattern phy test function, a phy:

- a) shall ignore all dwords received; and
- b) shall repeatedly transmit the specified pattern at the specified physical link rate.

## 4.10.3 Far-end retimed loopback phy test function

A phy performing the far-end retimed loopback phy test function is considerd to be in the far-end retimed loopback phy test mode.

When transmitting to a phy performing the far-end retimed loopback phy test function, the test equipment:

- a) shall transmit D.C. idle or valid 8b10b characters at the specified physical link rate;
- b) after transmitting D.C. idle, shall transmit the following before resuming transmission of 8b10b characters:
  - 1) TRAIN patterns for MTWT (see 6.7.4.2.2); and
  - 2) ALIGN (0)s for SNTT (see 6.7.4.2.2);

NOTE 1 - If the test equipment is detached and exchanged while a phy is performing the far-end retimed loopback phy test function, the receiver in the phy may not be able to train and reattain dword synchronization. Sending the TRAIN and ALIGN (0) patterns provides it an opportunity to do so. Since the phy does not respond with TRAIN DONE patterns or ALIGN (1)s, the patterns are transmitted for their maximum times.

- c) while transmitting 8b10b characters, shall transmit deletable primitives according to 7.3;
- d) shall not transmit COMSAS or COMWAKE; and
- e) shall not transmit COMINIT except to stop the phy test function.

While performing the far-end retimed loopback phy test function, the phy:

- a) shall maintain dword synchronization and receive dwords into loopback buffer;
- b) may delete deletable primitives as necessary to avoid overflowing an elasticity buffer (see 7.3);
- <u>c)</u> shall either delete invalid dwords, convert them into ERROR primitives, or preserve their 10 bit patterns. The phy should not delete invalid dwords;
- d) shall retransmit using the transmit clock each dword that was not deleted;
- e) shall transmit a deletable primitive whenever the transmitter underflows (i.e., whenever the transmitter is ready to transmit a dword but the receiver has not provided another dword to transmit); and
- <u>f)</u> <u>shall transmit dwords using valid disparity based on the transmitted dword stream. The disparity for a dword after an invalid dword is vendor-specific.</u>

NOTE 2 - Only valid 8b10b characters are looped back.

While in the far-end retimed loopback phy test mode, the phy shall operate its OOB signal detector to detect COMINIT. The phy shall ignore other OOB signals (i.e., COMSAS and COMWAKE).

Figure 1 shows the far-end retimed loopback phy test mode.





Figure 1 shows usage of the far-end retimed loopback test mode.



## Figure 2 — Far-end retimed loopback phy test mode usage

# 6.8 SP (phy layer) state machine

## 6.8.1 SP state machine overview

The SP state machine controls the phy reset sequence. This state machine consists of three sets of states:

- a) OOB sequence (OOB) states;
- b) SAS speed negotiation (SAS) states; and
- c) SATA host emulation (SATA) states.

This state machine consists of the following states:

- a) SP0:OOB\_COMINIT (see 6.8.3.2)(initial state);
- b) SP1:OOB\_AwaitCOMX (see 6.8.3.3);
- c) SP2:OOB\_NoCOMSASTimeout (see 6.8.3.4);
- d) SP3:OOB\_AwaitCOMINIT\_Sent (see 6.8.3.5);
- e) SP4:OOB\_COMSAS (see 6.8.3.6);
- f) SP5:OOB\_AwaitCOMSAS\_Sent (see 6.8.3.7);
- g) SP6:OOB\_AwaitNoCOMSAS (see 6.8.3.8);
- h) SP7:OOB\_AwaitCOMSAS (see 6.8.3.9);
- i) SP8:SAS\_Start (see 6.8.4.2);
- j) SP9:SAS\_WindowNotSupported (see 6.8.4.3);
- k) SP10:SAS\_AwaitALIGN (see 6.8.4.4);
- I) SP11:SAS\_AwaitALIGN1 (see 6.8.4.5);
- m) SP12:SAS\_AwaitSNW (see 6.8.4.6);
- n) SP13:SAS\_Pass (see 6.8.4.7);
- o) SP14 SAS\_Fail (see 6.8.4.8);
- p) SP15:SAS\_PHY\_Ready (see 6.8.4.9);
- q) SP16:SATA\_COMWAKE (see 6.8.5.2);
- r) SP17:SATA\_AwaitCOMWAKE (see 6.8.5.3);
- s) SP18:SATA\_AwaitNoCOMWAKE (see 6.8.5.4);
- t) SP19:SATA\_AwaitALIGN (see 6.8.5.5);
- u) SP20:SATA\_AdjustSpeed (see 6.8.5.6);
- v) SP21:SATA\_Transmit\_ALIGN (see 6.8.5.7);
- w) SP22:SATA\_PHY\_Ready (see 6.8.5.8);
- x) SP23:SATA\_PM\_Partial (see 6.8.5.9);
- y) SP24:SATA\_PM\_Slumber (see 6.8.5.10);
- z) SP25:SATA\_PortSel (see 6.8.6.2);
- aa) SP26:SATA\_SpinupHold (see 6.8.7.2);

- ab) SP27:SAS\_Settings (see 6.8.4.10);
- ac) SP28:SAS\_TrainSetup (see 6.8.4.11);
- ad) SP29:SAS\_Train (see 6.8.4.12); and
- ae) SP30:SAS\_TrainingDone (see 6.8.4.13)-;
- af) SPxx:Transmit\_Pattern (see 6.8.4.xx); and
- ag) SPxy:Far End Retimed Loopback (see 6.8.4.xy).

6.8.2 SP transmitter and receiver

The SP transmitter transmits OOB signals and dwords on the physical link based on messages from the SP state machine (see 6.8).

The SP transmitter receives the following messages from the SP state machine:

- a) Transmit COMINIT;
- b) Transmit COMSAS;
- c) Transmit COMWAKE;
- d) Transmit SATA Port Selection Signal;
- e) Transmit D10.2;
- f) Set Rate with a Physical Link Rate argument, and an SSC On or an SSC Off argument;
- g) Transmit ALIGN with an argument indicating the specific type (e.g., Transmit ALIGN (0));
- h) Transmit Settings Bits;
- i) Transmit TRAIN Pattern;
- j) Transmit TRAIN\_DONE Pattern; and
- k) Transmit MUX Sequence-;
- I) Transmit BREAK;
- m) Transmit START PHY TEST; and
- n) Transmit REJECT PHY TEST.

When not otherwise instructed, the SP transmitter transmits D.C. idle.

Upon receiving a Transmit MUX Sequence message, the SP transmitter transmits:

- 1) MUX (LOGICAL LINK 0);
- 2) MUX (LOGICAL LINK 1);
- 3) MUX (LOGICAL LINK 0);
- 4) MUX (LOGICAL LINK 1);
- 5) MUX (LOGICAL LINK 0); and
- 6) MUX (LOGICAL LINK 1).

The SP transmitter shall complete any physical link rate change requested with the Set Rate message within RCDT (see table 81 in 6.7.4.2).

.The SP transmitter sends the following messages to the SP state machine:

- a) COMINIT Transmitted;
- b) COMSAS Transmitted;
- c) COMWAKE Transmitted;
- d) SATA Port Selection Signal Transmitted;
- e) TRAIN\_DONE Pattern Transmitted; and
- f) Settings Bits Transmitted.

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#### 6.8.4.9 SP15:SAS\_PHY\_Ready state

#### 6.8.4.9.1 State description

This state waits for a COMINIT Detected message, a DWS Lost message, or a DWS Reset message.

Upon entry into this state, the phy shall:

- a) if multiplexing is enabled (see table 86 in 6.7.4.2.3.3), send a Transmit MUX Sequence message to the SP transmitter;
- b) send a Phy Layer Ready (SAS) confirmation to the link layer to indicate that the physical link has been brought up successfully in SAS mode;
- c) if the SP transmitter is transmitting at 1.5 Gbps, set the ResetStatus state machine variable to G1;
- d) if the SP transmitter is transmitting at 3 Gbps, set the ResetStatus state machine variable to G2; and
- e) if the SP transmitter is transmitting at 6 Gbps, set the ResetStatus state machine variable to G3.

While in this state dwords from the link layer are transmitted at the negotiated physical link rate at the rate established in the previous speed negotiation window.

If multiplexing is disabled, each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

NOTE 3 - If multiplexing is enabled and this state receives a DWS Lost message, this state does not send a Start DWS message and the state machine transitions to SP0:OOB\_COMINIT.

If this state receives a Reject Phy Test request, this state shall send a Transmit REJECT\_PHY\_TEST\_ message to the SP transmitter.

## 6.8.4.9.2 Transition SP15:SAS\_PHY\_Ready to SP0:OOB\_COMINIT

This transition shall occur after:

- a) receiving a DWS Lost message, if this state does not send a Start DWS message;
- b) receiving a DWS Lost message followed by a COMINIT Detected message, if this state does not send a Start DWS message; or
- c) receiving a DWS Reset message.

This transition may but should not occur after receiving a COMINIT Detected message before receiving a DWS Lost message, or after receiving a COMINIT Detected message after sending a Start DWS message (i.e., the SP state machine should ignore COMINIT Detected messages unless the SP\_DWS state machine has indicated loss of dword synchronization).

#### 6.8.4.9.3 Transition SP15:SAS PHY Ready to SPxx:Transmit Pattern

This transition shall occur after receiving a Start Transmit Pattern request (e.g., from the SMP PHY TEST FUNCTION function requesting a phy test function of TRANSMIT PATTERN in an expander device).

## 6.8.4.9.4 Transition SP15:SAS PHY Ready to SPxy:Far End Retimed Loopback

This transition shall occur after receiving a Start Far End Retimed Loopback request (e.g., from the SMP PHY TEST FUNCTION function requesting a phy test function of FAR END RETIMED LOOPBACK in an expander device).

Editor's Note 2: Add two new state transitions to the state diagram

Editor's Note 3: These transitions should apply from every state, not just SP15:SAS\_PHY\_Ready and SP22:SATA\_PHY\_Ready. The address frame only works from those two states, but the SMP function and diagnostic page shouldn't care about the state of the selected phy.

Editor's Note 4: Add the following two new states to the state diagram

#### 6.8.4.9 SPxx:Transmit Pattern state

#### 6.8.4.9.1 State description

Upon entry into this state, the phy shall:

- 1) send a Phy Layer Not Ready confirmation to the link layer;
- 2) if this state was entered from SP15:SAS\_PHY\_Ready, send a Transmit BREAK message to the SP\_ transmitter;
- 3) if this state was entered from SP15:SAS\_PHY\_Ready or SP22:SATA\_PHY\_Ready, send a Transmit START\_PHY\_TEST message to the SP transmitter; and
- 4) send a Set Rate message to the SP transmitter with the Physical Link Rate argument, SSC On argument, and SSC Off argument set to the values received in the Start Transmit Pattern request;
- 5) perform the transmit pattern phy test function (see 4.10).

This state waits for a Stop Phy Test Function request.

Editor's Note 5: Referring to a value received with a request while in the previous state generally requires passing the value "with the transition" in our horrid state machine conventions. Set Rate conceptually takes a long time (up to an RCDT), but there is no Set Rate Completed message coming back; should that interlock be added or ignored?

Editor's Note 6: If the rate is changed, should the phy under test be required to send out the training pattern and ALIGN(s) to help the test equipment retrain and align before performing the function? Doing so on every D.C. idle would be overkill, but once at the beginning might be appropriate.

Editor's Note 7: Upon entry, could set leave ResetStatus as-is, set it to 0h (i.e., UNKNOWN), set it to the specified rate (8h+), or set it to a new value meaning PHY\_TEST\_FUNCTION. However, there is only one reserved value remaining (7h) for the Negotiated Physical Link Rate field. If a new value is chosen, 7h will have to be assigned to mean "look at another field" and another field in DISCOVER, et al. will need to be assigned where more values can be returned.

#### 6.8.4.9.2 Transition SPxx:Transmit Pattern to SP0:OOB COMINIT

This transition shall occur after:

- a) receiving a COMINIT Detected message; or
- b) receiving a Stop Phy Test Function request.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

Editor's Note 8: Not documenting how the application layer decides to send a Stop Phy Test Function request to SP.

#### 6.8.4.9 SPxy:Far End Retimed Loopback state

#### 6.8.4.9.1 State description

Upon entry into this state, the phy shall:

1) send a Phy Layer Not Ready confirmation to the link layer;

- 2) if this state was entered from SP15:SAS\_PHY\_Ready, send a Transmit BREAK message to the SP\_ transmitter;
- 3) if this state was entered from SP15:SAS\_PHY\_Ready or SP22:SATA\_PHY\_Ready, send a Transmit START\_PHY\_TEST message to the SP transmitter; and
- 4) send a Set Rate message to the SP transmitter with the Physical Link Rate argument, SSC On argument, and SSC Off argument set to the values received in the Start Far End Retimed Loopback request;
- 5) perform the far end retimed loopback phy test function (see 4.10)).

This state waits for a COMINIT Detected message or a Stop Phy Test Function request.

Every time this state receives a DWS Lost message, this state shall send a Start Training message to the SP receiver and a Start DWS message to the SP DWS state machine.

Editor's Note 9: Not documenting the loopback process itself with any kind of link layer state machine.

Editor's Note 10: The SP receiver doesn't report a D.C. Idle Received message, so exactly how training is restarted is not precise (just based on DWS Lost).

# 6.8.4.9.2 Transition SPxy:Far End Retimed Loopback to SP0:OOB COMINIT

This transition shall occur after:

- a) receiving a COMINIT Detected message; or
- b) receiving a Stop Phy Test Function request.

Before the transition, this state shall set the ResetStatus state machine variable to UNKNOWN.

Editor's Note 11: Not documenting how the application layer decides to send a Stop Phy Test Function request to SP.

## 6.8.5.8 SP22:SATA\_PHY\_Ready state

#### 6.8.5.8.1 State description

While in this state dwords from the link layer are transmitted at the negotiated physical link rate at the rate established in the previous state.

Upon entry into this state, this state shall:

- a) if the SP transmitter is transmitting at 1.5 Gbps, set the ResetStatus state machine variable to G1;
- b) if the SP transmitter is transmitting at 3 Gbps, set the ResetStatus state machine variable to G2; or
- c) if the SP transmitter is transmitting at 6 Gbps, set the ResetStatus state machine variable to G3.

This state shall send a Phy Layer Ready (SATA) confirmation to the link layer to indicate that the physical link has been brought up successfully in SATA mode.

This state waits for a COMINIT Detected message, a DWS Lost message, or a DWS Reset message.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

# 6.8.5.8.2 Transition SP22:SATA\_PHY\_Ready to SP0:OOB\_COMINIT

This transition shall occur after:

- a) receiving a DWS Lost message, if this state does not send a Start DWS message;
- a) receiving a DWS Lost message followed by a COMINIT Detected message, if this state does not send a Start DWS message; or
- b) receiving a DWS Reset message.

This transition may but should not occur after receiving a COMINIT Detected message before receiving a DWS Lost message, or after receiving a COMINIT Detected message after sending a Start DWS message (i.e., the SP state machine should ignore COMINIT Detected messages unless the SP\_DWS state machine has indicated loss of dword synchronization).

## 6.8.5.8.3 Transition SP22:SATA\_PHY\_Ready to SP23:SATA\_PM\_Partial

This transition shall occur after receiving an Enter Partial request.

#### 6.8.5.8.4 Transition SP22:SATA\_PHY\_Ready to SP24:SATA\_PM\_Slumber

This transition shall occur after receiving an Enter Slumber request.

## 6.8.5.8.5 Transition SP15:SAS PHY Ready to SPxx:Transmit Pattern

This transition shall occur after receiving a Start Transmit Pattern request (e.g., from the SMP PHY TEST FUNCTION function requesting a phy test function of TRANSMIT PATTERN in an expander device).

## 6.8.5.8.6 Transition SP15:SAS PHY Ready to SPxy:Far End Retimed Loopback

This transition shall occur after receiving a Start Far End Retimed Loopback request (e.g., from the SMP PHY TEST FUNCTION function requesting a phy test function of FAR END RETIMED LOOPBACK in an expander device).

Editor's Note 12: Add the two new state transitions to the state diagram

# 7.2 Primitives

## 7.2.1 Primitives overview

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## 7.2.2 Primitive summary

## Editor's Note 13: Add "PhyTest" footnote to all the primitive tables like this.

# Table 91 — Deletable primitives

Deimitive	Use <sup>a</sup>	F	rom	b	To <sup>b</sup>			Primitive
Primitive		I	Е	Т	I	Е	т	type <sup>c</sup>
<ul> <li><sup>a</sup> The Use column indicates when the primitive is used:         <ul> <li>a) NoConn: SAS logical links, outside connections;</li> <li>b) Conn: SAS logical links, inside connections;</li> <li>c) All: SAS logical links, both outside connections or in</li> <li>d) STP: SAS logical links, inside STP connections;</li> <li>e) SpNeg: SAS physical links, during speed negotiatio</li> <li>b) <u>PhyTest: SAS physical links, during phy test function</u></li> </ul> </li> </ul>	nside any t n <u>-; or</u> ns.	уре	of co	onne	ectio	n;	<u>.</u>	<u> </u>

Table 92 defines the primitives not specific to the type of connection.

# Table 92 — Primitives not specific to type of connection

		From <sup>b</sup>			To <sup>b</sup>			Primitive
Primitive	Use -	I	Е	Т	I	Е	т	sequence type <sup>c</sup>
AF ACK	<u>NoConn</u>	Ī	E	Ι	Ī	<u>E</u>	Ι	Redundant
START PHY TEST	PhyTest	Ī	E	Ι	Ī	<u>E</u>	Τ	Redundant
REJECT PHY TEST	<u>NoConn</u>	<u> </u>	<u>E</u>	Ι	Ī	<u>E</u>	Ι	Redundant
<sup>a</sup> The Use column indicates when the primitive is used:								
a) NoConn: SAS logical links, outside connections;								
b) Conn: SAS logical links, inside connections;								
<ul> <li>c) All: SAS logical links, both outside connections or in</li> </ul>	side any t	уре	of co	onne	ctio	n;		
<li>d) STP: SAS logical links, inside STP connections; or</li>								
e) SpNeg: SAS physical links, during speed negotiation- <u>; or</u>								
f) PhyTest: SAS physical links, during phy test function	<u> 15.</u>							
b								

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# 7.2.3 Primitive encodings

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Table 96 defines the primitive encoding for primitives not specific to type of connection.

Table 96 — Primitive encoding for primitives not specific to type of connection

Drimitivo		Havadasimal			
Frimitive	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup> (last)	пехадестта
	K28.5	D02.0	D04.7	D01.4	BC02E481h
AF_ACK	<u>K28.5</u>	<u>D24.0</u>	<u>D27.4</u>	<u>D02.0</u>	BC189B02h
START PHY TEST	<u>K28.5</u>	<u>D24.0</u>	<u>D24.0</u>	<u>D24.0</u>	<u>BC181818h</u>
REJECT_PHY_TEST	<u>K28.5</u>	<u>D24.0</u>	<u>D29.7</u>	<u>D04.7</u>	BC18FDE4h

# 7.2.3.1 AF ACK

AF ACK is used to positively acknowledge certain address frames (e.g., the PHY TEST FUNCTION address frame).

See 7.8 for details on address frames.

# 7.2.3.2 START PHY TEST

START PHY TEST is used to indicate the start of a phy test function.

See 4.x for details on phy test functions.

# 7.2.3.3 REJECT PHY TEST

<u>REJECT PHY TEST is used to indicate that a phy test function requested by the the PHY TEST FUNCTION</u> address frame is not supported.

See 4.x for details on phy test functions.

# 7.8 Address frames

## 7.8.1 Address frames overview

Address frames are used for the identification sequence and for connection requests.

Address frames are preceded by SOAF and followed by EOAF as shows in figure 158.



Figure 158 — Address frame transmission

Address frames shall only be sent outside connections. Address frames shall not be terminated early. All data dwords in an address frame shall be scrambled.

Table 114 defines the address frame format.

## Table 114 — Address frame format

Byte\Bit	7	6	5	4	3	2	1	0
0						ADDRESS	FRAME TYPE	
1		-	Г					
27		-	Frame type dependent bytes					
28	(MSB)							
31		-		CR	C .			(LSB)

The ADDRESS FRAME TYPE field indicates the type of address frame and is defined in table 115. This field determines the definition of the frame type dependent bytes.

Code	Address frame type	Description	Acknowledgement method(s)
0h	IDENTIFY	Identification sequence	<u>See 7.9</u>
1h	OPEN	Connection request	<u>See 7.12.2.2</u>
<u>Fh</u>	PHY TEST FUNCTION	Phy test function	AF ACK
All others	Reserved		

Table 115 — ADDRESS FRAME TYPE field

The CRC field contains a CRC value (see 7.5) that is computed over the entire address frame prior to the CRC field.

Address frames with unknown address frame types, incorrect lengths, or CRC errors shall be ignored by the recipient.

## 7.8.2 IDENTIFY address frame

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# 7.8.3 OPEN address frame

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# 7.8.4 PHY TEST FUNCTION address frame

Table 116 defines the PHY TEST FUNCTION address frame, which is used to request that a phy perform a phy test function (see 4.x).

Byte\Bit	7	6	5	4	3	2	1	0		
0		Rese	erved			ADDRESS F	RAME TYPE (2	h)		
1										
9		-		R	eserved					
10				PHY '	TEST FUNCT	ION				
11				PHY	TEST PATTE	RN				
12										
14		-		ĸ	eserved					
15		Rese	erved		PHY TEST PATTERNFUNCTION PHYSICAL LINK RATE					
16				P						
18		_			eserveu					
19			Pł	HY TEST PAT	TERN DWOR	DS CONTROL				
20						0000				
27		_	PHY TEST PATTERN DWORDS ————							
28	(MSB)				000					
31		_			CRC			(LSB)		

Table 116 — PHY TEST FUNCTION address frame format

Editor's Note 14: Need to add a field to specify SSC on or off alongside the physical link rate, paralleling the PHY CONTROL function field for controlling SNW-3 as defined in 07-091r2 or later.

The ADDRESS FRAME TYPE field shall be set to 2h.

All fields not defined in this subclause are defined in the SMP PHY TEST FUNCTION (see 10.x.x.x), with the selected phy being the phy that received the PHY TEST FUNCTION address frame.

The CRC field is defined in 7.8.1.

# 7.13 Phy test function sequence [all new]

A phy may use the PHY TEST FUNCTION address frame to request the phy to which it is attached perform a phy test function.

After a phy transmits a PHY TEST FUNCTION address frame, it shall:

- a) not transmit an OPEN address frame;
- b) start a Phy Test Function Request timer;

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c) expect one of the results listed in table 117.

Result	Description
Receive AF_ACK	The attached phy received the PHY TEST FUNCTION address frame and is processing it.
Receive OPEN address	The attached phy is making a connection request.
frame	The phy shall ignore the connection request. This leads to the attached phy's Open Timeout timer expiring.
	The attached phy is concurrently requesting a phy test function.
Receive PHY TEST FUNCTION address frame	The phy shall ignore the PHY TEST FUNCTION address frame and expect another result in this table. This leads to the attached phy's Phy Test Function Request timer expiring.
Phy Test Function Request timer expires	The attached phy did not receive the PHY TEST FUNCTION address frame (e.g., due to a CRC error), or does not support it (e.g., because it is compliant with a previous version of this standard)

Table 117 — Results of a PHY TEST FUNCTION	address frame
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After receiving AF\_ACK, the phy shall start a Phy Test Function Decision timer and and expect one of the results listed in table 118.

Result	Description
START_PHY_TEST	The attached phy has accepted the request and is starting the phy test function
REJECT_PHY_TEST	The attached phy has rejected the request and is resuming normal operation. The phy may resume using the physical link (e.g., transmitting OPEN address frames).
Phy Test Function Decision timer expires	The attached phy did not respond. The phy shall perform a link reset sequence.

Table 118 — Results	of a PHY T	EST FUNCTION	address frame	after AF	ACK

## [end of all-new section]

# 7.14 SL (link layer for SAS phys) state machine

# 7.14.2 SL transmitter and receiver

The SL transmitter receives the following messages from the SL state machines specifying primitive sequences, frames, and dwords to transmit:

- a) Transmit Idle Dword;
- b) Transmit AF ACK;
- c) Transmit SOAF/Data Dwords/EOAF;
- d) Transmit OPEN\_ACCEPT;
- e) Transmit OPEN\_REJECT with an argument indicating the specific type (e.g., Transmit OPEN\_REJECT (Retry));
- f) Transmit BREAK;
- g) Transmit BREAK\_REPLY;
- h) Transmit BROADCAST; and
- i) Transmit CLOSE with an argument indicating the specific type (e.g., Transmit CLOSE (Normal)).

When the SL transmitter is requested to transmit a dword from any state within any of the SL state machines, it shall transmit that dword. If there are multiple requests to transmit, the following priority should be followed when selecting the dword to transmit:

- 1) BREAK\_REPLY;
- 2) BREAK;
- 3) CLOSE;
- 4) OPEN\_ACCEPT or OPEN\_REJECT;
- 5) SOAF or data dword or EOAF; then
- 6) idle dword.

When there is no outstanding message specifying a dword to transmit, the SL transmitter shall transmit idle dwords.

The SL transmitter sends the following messages to the SL state machines based on dwords that have been transmitted:

a) SOAF/Data Dwords/EOAF Transmitted.

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## 7.14.3 SL\_RA (receive OPEN address frame) state machine

The SL\_RA state machine's function is to receive address frames and determine if the received address frame is an OPEN address frame and whether or not it was received successfully. This state machine consists of one state.

This state machine receives SOAFs, dwords of an OPEN address frames, and EOAFs.

This state machine shall ignore all messages except SOAF Received, Data Dword Received, and EOAF Received.

If this state machine receives a subsequent SOAF Received message after receiving an SOAF Received message but before receiving an EOAF Received message, then this state machine shall discard the Data Dword Received messages received before the subsequent SOAF Received message.

If this state machine receives more than eight Data Dword Received messages after an SOAF Received message and before an EOAF Received message, then this state machine shall discard the address frame.

If this state machine receives an Invalid Dword Received message or an ERROR Received message after an SOAF Received message and before an EOAF Received message, then this state machine shall:

- a) ignore the invalid dword or ERROR; or
- b) discard the address frame.

After receiving an EOAF Received message, this state machine shall check if the address frame is a valid OPEN address frame.

This state machine shall accept an address frame if:

- a) the ADDRESS FRAME TYPE field is set to Open<u>1h (i.e., OPEN) or 2h (i.e., PHY TEST FUNCTION);</u>
  - b) the number of data dwords between the SOAF and EOAF is 8; and
  - c) the CRC field contains a good CRC.

Otherwise, this state machine shall discard the address frame.

If the <u>address</u> frame is <u>not discarded</u> <u>an OPEN address frame</u> then this state machine shall send a OPEN Address Frame Received message to the SL\_CC0:Idle state and the SL\_CC1:ArbSel state with an argument that contains all the data dwords received in the OPEN address frame.

If the address frame is a PHY TEST FUNCTION address frame then this state machine shall:

- a) send a Transmit AF ACK message to the SL transmitter; and
- b) send a Phy Test Function Received confirmation to the management application layer.

Editor's Note 15: In the "SL state machines (part 2)" figure, add a Phy Test Function Received confirmation from SL\_RA

Editor's Note 16: Not documenting how the application layer parses the address frame contents and decides to send a Start Far End Retimed Loopback request or Start Transmit Pattern request to SP.

Editor's Note 17: Could have SL\_CC0:Idle receive Send Phy Test Function request and transmit the address frame, and change to a new SL\_CC state to wait for AF\_ACK. After that is received, change to a new SL\_CC state to wait for START\_PHY\_TEST or REJECT\_PHY\_TEST.

Editor's Note 18: Could make XL modifications like with SL, for reception and/or transmitting of the PHY TEST function address frame and the primitive sequences supporting it

# **10.2 SCSI application layer**

#### 10.2.9 SCSI diagnostic parameters

#### 10.2.9.1 Protocol-Specific diagnostic page

The Protocol-Specific diagnostic page for SAS provides a method for an application client to enable and disable phy test functions (see 4.10) for selected phys. The diagnostic page format is specified in SPC-4.

The Protocol-Specific diagnostic page is transmitted using the SEND DIAGNOSTIC command. If the device server receives a RECEIVE DIAGNOSTIC RESULTS command with the PAGE CODE field set to 3Fh, it shall terminate the command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and

the additional sense code set to INVALID FIELD IN PARAMETER LIST. Table 119 defines the Protocol-Specific diagnostic page for SAS.

Byte\Bit	7	6	5	4	3	2	1	0
0		PAGE CODE (3Fh)						
1		Reserv	ved		I	PROTOCOL IE	ENTIFIER (6	ו)
2	(MSB)				TU (001Ch)			
3				PAGE LENG				(LSB)
4				PHY IDE	NTIFIER			
5				PHY TEST	FUNCTION			
6				PHY TEST	PATTERN			
7		Reserv	ved		PHY TEST P	ATTERN <mark>FUNC</mark>	TION PHYSIC	AL LINK RATE
8				Res	arved			
10		-		IXES:	erved			
11			PHY 1	EST PATTERN	DWORDS CO	NTROL		
12								
19		PHY TEST PATTERN DWORDS						
20				Pag	arvod			
31		-		K62				

#### Table 119 — Protocol-Specific diagnostic page for SAS

Editor's Note 19: Need to add a field to specify SSC on or off alongside the physical link rate, paralleling the PHY CONTROL function field for controlling SNW-3 as defined in 07-091r2 or later.

The PAGE CODE field shall be set to 3Fh.

The PROTOCOL IDENTIFIER field shall be set to 6h indicating this is a SAS SSP specific diagnostic page.

The PAGE LENGTH field shall be set to the number of bytes in the diagnostic page after the PAGE LENGTH field (i.e., 001Ch)

The PHY IDENTIFIER field specifies the phy identifier (see 4.2.7) of the phy that is to perform or to stop performing a phy test function (i.e., the selected phy). If the PHY IDENTIFIER field specifies a phy that does not exist, then the device server shall terminate the SEND DIAGNOSTIC command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN PARAMETER LIST.

The PHY TEST FUNCTION field specifies the phy test function to be performed and is defined in table 120. If the PHY TEST FUNCTION field specifies a phy test function that is not supported, then the device server shall terminate the SEND DIAGNOSTIC command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN PARAMETER LIST.

Code	Name	Description				
00h	STOP	If the selected phy is performing a phy test function, then the selected phy shall stop performing the phy test function and originate a link reset sequence.				
		If the selected phy is not performing a phy test function, then this function has no effect on the selected phy. <sup>a</sup>				
01h	TRANSMIT_ PATTERN	If the selected phy is not performing a phy test function, the selected phy shall perform the transmit pattern phy test function (see 4.10.2) be set to transmit the <u>using the</u> phy test pattern specified by the PHY TEST PATTERN field at the physical link rate specified by the PHY TEST PATTERN PHYSICAL LINK RATE field and set to ignore its receiver. If the selected phy receives data while transmitting the pattern, then the selected phy shall ignore the received data.				
		sense code set to PHY TEST FUNCTION IN PROGRESS. <sup>a</sup>				
02h	<u>FAR_END</u> <u>RETIMED</u> LOOPBACK	If the selected phy is not performing a phy test function, the selected phy shall perform the far-end retimed loopback phy test function (see 4.10.3) at the physical link rate specified by the PHY TEST PATTERN PHYSICAL LINK RATE field.				
0211		If the selected phy is performing a phy test function, the device server shall terminate the SEND DIAGNOSTIC command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to PHY TEST FUNCTION IN PROGRESS. <sup>a</sup>				
<del>02h<u>03h</u> -</del> EFh	Reserved					
F0h - FFh	Vendor specific					
<sup>a</sup> If there is no SSP target port available to receive a SEND DIAGNOSTIC command to stop a phy from performing a phy test function, then a power on may be required to cause the phy to stop performing the function and originate a phy reset sequence.						

Table	120 —	PHY	TEST	FUNCTION	field
			1001	1 011011011	

If the PHY TEST FUNCTION field is set to 01h (i.e., TRANSMIT PATTERN), then the PHY TEST PATTERN field specifies the phy test pattern to be transmitted as defined by table 212. If the PHY TEST PATTERN field specifies a phy test pattern that is not supported by the specified SAS phy, then the device server shall terminate the SEND DIAGNOSTIC command with CHECK CONDITION status with the sense key set to ILLEGAL REQUEST and the additional sense code set to INVALID FIELD IN PARAMETER LIST.

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# 10.4 Management application layer

## 10.4.3.25 PHY TEST FUNCTION function

The PHY TEST FUNCTION function requests actions by the specified phy. This SMP function may be implemented by any management device server. In zoning expander devices, if zoning is enabled then this function shall only be processed from SMP initiator ports that have access to zone group 2 or the zone group of the specified phy (see 4.9.3.2).

Table 121 defines the request format.

Byte\Bit	7	6	5	4	3	2	1	0
0			·	SMP FRAME	түре (40h)			
1				FUNCTIO	on (92h)			
2				Rese	erved			
3				REQUEST LE	мдтн (09h)			
4	(MSB)							
5		EXPECTED EXPANDER CHANGE COUNT (LSB)						
6				Poso	rved			
8				itese	iveu			
9				PHY IDE	NTIFIER			
10				PHY TEST	FUNCTION			
11		PHY TEST PATTERN						
12		Reserved						
14								
15		Reserved PHY TEST PATTERNFUNCTION PH						SICAL LINK
16				Poso	rved			
18		Keservea						
19	PHY TEST PATTERN DWORDS CONTROL							
20			рн	ν τεςτ ράττ		19		
27				T TEOT TAT		.0		
28				Rese	rved			
39				Rese	veu			
40	(MSB)							
43		(LSB)						(LSB)

#### Table 121 — PHY TEST FUNCTION request

Editor's Note 20: Need to add a field to specify SSC on or off alongside the physical link rate,

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paralleling the PHY CONTROL function field for controlling SNW-3 as defined in 07-091r2 or later.

The SMP FRAME TYPE field shall be set to 40h.

The FUNCTION field shall be set to 92h.

The REQUEST LENGTH field shall be set to 09h. For compatibility with previous versions of this standard, a REQUEST LENGTH field set to 00h specifies that there are 9 dwords before the CRC field.

The EXPECTED EXPANDER CHANGE COUNT field is defined in the SMP CONFIGURE GENERAL request (see 10.4.3.15).

The PHY IDENTIFIER field specifies the phy (see 4.2.7) to which the SMP PHY TEST PATTERN request applies.

If the PHY IDENTIFIER field specifies the phy which is being used for the SMP connection, the management device server shall not perform the requested operation and shall return a function result of SMP FUNCTION FAILED in the response frame.

The PHY TEST FUNCTION field specifies the phy test function to be performed, and is defined in table 122. If the PHY TEST FUNCTION field specifies a phy test function that is not supported by the phy, the management device server shall return a function result of UNKNOWN PHY TEST FUNCTION in the response frame.

Code	Name	Description			
00h	STOP	If the selected phy is performing a phy test function, then the selected phy shall stop performing the phy test function and originate a link reset sequence.			
		has no effect on the selected phy.			
01h	TRANSMIT_ PATTERN	If the selected phy is not performing a phy test function, the selected phy shall <u>perform the transmit pattern phy test function (see 4.10.2) be set to</u> transmit the <u>using the</u> phy test pattern specified by the PHY TEST PATTERN field at <u>and</u> the physical link rate specified by the PHY TEST PATTERN PHYSICAL LINK RATE field and set to ignore its receiver. If the selected phy receives datawhile transmitting the pattern, then the selected phy shall ignore the received data.			
		If the selected phy is performing a phy test function, the management device server shall return a function result of PHY TEST FUNCTION IN PROGRESS in the response frame.			
<u>02h</u>	FAR END RETIMED	If the selected phy is not performing a phy test function, the selected phy shall perform the far-end retimed loopback phy test function (see 4.10.3) at the physical link rate specified by the PHY TEST PATTERN PHYSICAL LINK RATE field.			
	LOOPBACK	If the selected phy is performing a phy test function, the device server shall return a function result of PHY TEST FUNCTION IN PROGRESS in the response frame.			
<del>02h<u>03h</u> -</del> EFh	Reserved				
F0h - FFh	Vendor specific				

#### Table 122 — PHY TEST FUNCTION field

If the PHY TEST FUNCTION field is set to 01h (i.e., TRANSMIT PATTERN), the PHY TEST PATTERN field specifies the phy test pattern to be performed, and is the same as that defined in table 212 for the Protocol-Specific

diagnostic page (see 10.2.9.1). The phy test pattern shall be sent at the physical link rate specified by the PHY TEST PATTERN PHYSICAL LINK RATE field.

The PHY TEST **PATTERN**FUNCTION PHYSICAL LINK RATE field specifies the physical link rate at which the phy test function, if any, shall be performed. Table 123 defines the values for this field.

Code	Description
0h - 7h	Reserved
8h	1,5 Gbps
9h	3 Gbps
Ah	6 Gbps
Bh - Fh	Reserved for future physical link rates

Table 123 — PHY TEST PATTERN PHYSICAL LINK RATE field

The PHY TEST PATTERN DWORDS CONTROL field and the PHY TEST PATTERN DWORDS field are as defined in table 212 for the Protocol-Specific diagnostic page (see 10.2.9.1).

The CRC field is defined in 10.4.3.1.

Table 124 defines the response format.

# Table 124 — PHY TEST FUNCTION response

Byte\Bit	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1		FUNCTION (92h)							
2		FUNCTION RESULT							
3	RESPONSE LENGTH (00h)								
4	(MSB)								
7		(LSB)							

The SMP FRAME TYPE field shall be set to 41h.

The FUNCTION field shall be set to 92h.

The FUNCTION RESULT field is defined in 10.4.3.2.

The RESPONSE LENGTH field shall be set to 00h.

The CRC field is defined in 10.4.3.2.

# Annex A Primitive encoding

Table 0.1 describes a set of the K28.5-based primitive encodings whose 40-bit values (after 8b10b encoding with either starting running disparity) have a Hamming distance (i.e., the number of bits different in two

patterns) of at least 8. All the primitive encodings in 7.2 except for TRAIN and TRAIN\_DONE were selected from this list. Unassigned encodings may be used by future versions of this standard.

Character				Assignment
1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>	Assignment
K28.5	D24.0	D24.0	D24.0	START PHY TEST
K28.5	D24.0	D27.4	D02.0	AF_ACK
K28.5	D24.0	D29.7	D04.7	REJECT PHY TEST

Table 0.1 — Primitives with Hamming distance of 8