Date: January 12, 2007
To: T10 Committee (SCSI)
From: George Penokie (IBM)
Subject: SAS-2: Transport layer read data flowchart

1 Overview

What follows is a flowchart that represents the transport layers handling of read data operations at the SCSI target that are handled in the ST_TTS1:Target_Start state, ST_TTS3:Prepare_Data_In state, and ST_TTS2:Target_Send_Frame state.

It is not the intention of this proposal nor is this proposal requesting the inclusion of these flowcharts into the SAS-2 standard as these flowcharts do not represent all the operations handled by the transport layer. However, it is intended that any future proposal that would impact the area of the transport layer covered by these flowcharts will be required to use them to show how any proposed change would affect the these flowcharts. The source code of the flowcharts is Visio and will be available for modification.

In the process of converting the words that are currently in SAS-2 there were no errors found, however, some of the wording may be somewhat unclear. Also, it was discovered that one of the conditions described in ST_TTS3 can never happen and another is already checked in ST_TTS2 so this proposal is recommending that they be deleted. See below the flowcharts for the suggested changes.

2 ST_TTS transport layer read data flowcharts
Read Data Offset = Offset into read data buffer
Frames Transmitted = The number of Transmission Status (Frame Transferred) confirmations received
ACK Received Count = The number of Transmission Status (ACK Received) confirmation received.
Balance Point Read Data Offset = Offset into read data buffer for last data frame that the number of frames transmitted = number ACKs received
Data-In Request Byte Count = The number of bytes requested to be transferred. Set by the device server.

ST_TTS1

Read Data Offset = application client buffer offset
Balance Point Read Data Offset = application client buffer offset
Frames Transmitted Count = 0
ACK Received Count = 0

ST_TTS2

ST_TTS3

Figure 1 — Representation of transport layer (i.e., ST_TTS1 and ST_TTS3) read data operation

Note: Any Transmission Status is passed to ST_TTS2
Read Data Offset = Offset into read data buffer
Balance Point Read Data Offset = Offset into read data buffer for last data frame that the number of frames transmitted = number ACKs received
Data-In Request Byte Count = The number of bytes requested to be transferred. Set by the device server.

\[ \text{Transmission Status (Frame Transmitted)} \]

\[ \text{Transmission Status (ACK Received)} \]

\[ \text{Frames Transmitted Count} = \text{ACK Received Count} \]

\[ \text{Transmission Complete (Data-In Delivered) to ST_TFR} \]

\[ \text{Balance Point Read Data Offset} = \text{Read Data Offset} \]

Figure 2 — Representation of transport layer (i.e., ST_TTS2) read data operation (part 1 or 2)
3 Recommended changes to SAS-2

9.2.6.3.3 ST_TTS (target transport server) state machine

9.2.6.3.3.1 ST_TTS state machine overview

The ST_TTS state machine performs the following functions:

a) receives and processes messages from the ST_TFR state machine;
b) sends messages to the ST_TFR state machine;
c) communicates with the port layer using requests and confirmations regarding frame transmission; and

d) receives HARD_RESET Received confirmations from the port layer.

This state machine consists of the following states:

a) ST_TTS1:Target_Start (see 9.2.6.3.3.2) (initial state);
b) ST_TTS2:Target_Send_Frame (see 9.2.6.3.3.3);
c) ST_TTS3:Prepare_Data_In (see 9.2.6.3.3.4);
d) ST_TTS4:Prepare_Xfer_Rdy (see 9.2.6.3.3.5);
e) ST_TTS5:Receive_Data_Out (see 9.2.6.3.3.6); and

f) ST_TTS6:Prepare_Response (see 9.2.6.3.3.7).

This state machine shall start in the ST_TTS1:Target_Start state after power on.
If this state machine receives a HARD_RESET Received confirmation, then this state machine shall transition to the ST_TTS1:Target_Start state.

The state machine shall maintain the state machine variables defined in table 1.

<table>
<thead>
<tr>
<th>State machine variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Read Data Offset</td>
<td>Offset into the application client buffer for read data</td>
</tr>
<tr>
<td>Balance Point Read Data Offset</td>
<td>Offset into the device server buffer for read data of last point at which the number of Transmission Status (ACK Received) confirmations or arguments equals the number of transmitted outstanding read DATA frames. DATA frame to have received an ACK Transmitted confirmation</td>
</tr>
<tr>
<td>Frames Transmitted Count</td>
<td>The number of Transmission Status (Frame Transmitted) confirmations received</td>
</tr>
<tr>
<td>ACK Received Count</td>
<td>The number of Transmission Status (ACK Received) confirmations received</td>
</tr>
<tr>
<td>Requested Write Data Offset</td>
<td>Device server requested offset in the application client buffer for write data</td>
</tr>
<tr>
<td>Requested Write Data Length</td>
<td>Amount of write data requested by the Device server from the application client buffer</td>
</tr>
</tbody>
</table>

This state machine shall maintain the state machine arguments defined in table 2.

<table>
<thead>
<tr>
<th>State machine argument</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data-In</td>
<td>The Data-In arguments received in the Request (Send Data-In) message</td>
</tr>
<tr>
<td>Data-Out</td>
<td>The Data-Out arguments received in the Request (Receive Data-Out) message</td>
</tr>
</tbody>
</table>

9.2.6.3.3.2 ST_TTS1:Target_Start state

9.2.6.3.3.2.1 State description

This state is the initial state of the ST_TTS state machine.

Upon entry into this state, this state shall set the Read Data Offset state machine variable to the Data-In application client buffer offset argument zero.

Upon entry into this state, this state shall set the Balance Point Read Data Offset state machine variable to the Data-In application client buffer offset argument zero.

Upon entry into this state, this state shall set the Frames Transmitted Count state machine variable to zero.

Upon entry into this state, this state shall set the ACK Received Count state machine variable to zero.

Upon entry into this state, this state shall set the Requested Write Data Offset state machine variable to zero.

If this state was entered without an Enable First Burst argument, then the Requested Write Data Length state machine variable shall be set to the Request Byte Count Data-Out state machine argument.

If this state was entered with an Enable First Burst argument, then the Requested Write Data Length state machine variable shall be set to the First Burst Size argument.
9.2.6.3.2.2 Transition ST_TTS1:Target_Start to ST_TTS3:Prepare_Data_In
This transition shall occur after this state receives a Request (Send Data-In) message.

9.2.6.3.2.3 Transition ST_TTS1:Target_Start to ST_TTS4:Prepare_Xfer_Rdy
If this state was entered without an Enable First Burst argument, then this transition shall occur after a Request (Receive Data-Out) message is received.

9.2.6.3.2.4 Transition ST_TTS1:Target_Start to ST_TTS5:Receive_Data_Out
If this state was entered with an Enable First Burst argument, then this transition shall occur after a Request (Receive Data-Out) message is received.

9.2.6.3.2.5 Transition ST_TTS1:Target_Start to ST_TTS7:Prepare_Response
This transition shall occur after this state receives a Request (Send Transport Response) message.
The transition shall include the Transport Response arguments.

9.2.6.3.3 ST_TTS2:Target_Send_Frame state
If this state is entered from the ST_TTS3:Prepare_Data_In state for transmission of a read DATA frame, then this state shall send a Transmit Frame (Non-Interlocked) request to the port layer.

If this state is entered from the ST_TTS4:Prepare_Xfer_Rdy state for transmission of an XFER_RDY frame, then this state shall send a Transmit Frame (Interlocked) request to the port layer.

If this state is entered from the ST_TTS6:Prepare_Response state for transmission of a RESPONSE frame, then this state shall send a Transmit Frame (Interlocked) request to the port layer.

All Transmit Frame requests from this state shall include the read DATA frame from the ST_TTS3:Prepare_Data_In state, the XFER_RDY frame from the ST_TTS4:Prepare_Xfer_Rdy state, or the RESPONSE frame from the ST_TTS6:Prepare_Response state and the following arguments to be used for any OPEN address frame:

a) initiator port bit set to zero;
b) protocol set to SSP;
c) Connection Rate argument;
d) Initiator Connection Tag argument;
e) Destination SAS Address argument; and
f) Source SAS Address argument.

After sending a Transmit Frame request this state shall wait to receive a Transmission Status confirmation.

If the confirmation or argument is Transmission Status (I_T Nexus Loss), then this state shall send a Transmission Complete (I_T Nexus Loss) message to the ST_TFR state machine. The Transmission Complete message shall include the tag as an argument.

If the confirmation or argument is not Transmission Status (Frame Transmitted) or Transmission Status (I_T Nexus Loss), then this state shall send the Transmission Complete message defined in table 3 to the ST_TFR state machine. The message shall include the following arguments:

a) tag; and
b) arguments received with the Transmission Status confirmation.

If the confirmation is Transmission Status (Frame Transmitted) and the Transmit Frame request was for:

a) an XFER_RDY frame; or
b) a RESPONSE frame,
then this state shall wait to receive one of the following confirmations:

a) Transmission Status (ACK Received);
b) Transmission Status (NAK Received);
c) Transmission Status (ACK/NAK Timeout); or
d) Transmission Status (Connection Lost Without ACK/NAK).

If the confirmation is Transmission Status (Frame Transmitted) and the Transmit Frame request was for a read DATA frame, then this state shall:

- a) increment the Frames Transmitted Count state machine variable by one; and
- b) set the Read Data Offset state machine variable to the current Read Data Offset state machine variable plus the number of read data bytes transmitted in the DATA frame associated with the Transmission Status (Frame Transmitted).

If the confirmation or argument is Transmission Status (ACK Received) and the Transmit Frame request was for a read DATA frame, then this state shall increment the ACK Received Count state machine variable by one.

If the confirmation or argument is Transmission Status (Frame Transmitted), the Transmit Frame request was for a read DATA frame, and the Read Data Offset state machine variable is equal to the Request Byte Count Data-In argument, then this state shall wait to receive:

- a) Transmission Status (ACK Received) confirmations or arguments for each outstanding read DATA frame (i.e., Frames Transmitted Count state machine variable equals the ACK Received count state machine variable); or
- b) one of the following:
  - A) Transmission Status (NAK Received);
  - B) Transmission Status (ACK/NAK Timeout); or
  - C) Transmission Status (Connection Lost Without ACK/NAK).

NOTE 1 - If the number of data bytes that have been transmitted for a Request (Send Data-In) message are fewer than the Data-In Request Byte Count argument, then this state transitions to the ST_TTS3:Prepare_Data_In state to construct the additional read DATA frames for the request before receiving a Transmission Status (ACK Received), Transmission Status (NAK Received), Transmission Status (ACK/NAK Timeout), or Transmission Status (Connection Lost Without ACK/NAK) confirmation.

When the number of Transmission Status (Frame Transmitted) confirmations for Transmit Frame (Non-Interlocked) requests equals the number of Transmission Status (ACK Received) confirmations and the Transmit Frame request was for a read DATA frame, this state shall set the Balance Point Read Data Offset state machine variable to the current Read Data Offset state machine variable.

When the Frames Transmitted Count state machine variable equals the ACK Received Count state machine variable and the Transmit Frame request was for a read DATA frame, this state shall set the Balance Point Read Data Offset state machine variable to the current Read Data Offset state machine variable.

If a Transmission Status (ACK Received) confirmation or is received, and the Transmit Frame request was for a read DATA frame, then this state shall set the Balance Point Read Data Offset state machine variable to the current balance point read data offset plus the number of read data bytes transmitted in the read DATA frame associated with Transmission Status (ACK Received) confirmation.

If the Transmit Frame request was for a RESPONSE frame, the vendor-specific number of retries has not been reached, and this state receives one of the following confirmations:

- a) Transmission Status (NAK Received);
- b) Transmission Status (ACK/NAK Timeout); or
- c) Transmission Status (Connection Lost Without ACK/NAK),

then this state shall:

- a) set the RETRANSMIT bit to one; and
- b) resend a Transmit Frame (Interlocked) request to the port layer for the failed RESPONSE frame.

If transport layer retries are enabled, the Transmit Frame request was for a XFER_RDY frame, the vendor-specific number of retries has not been reached, and this state receives one of the following confirmations:

- a) Transmission Status (NAK Received);
- b) Transmission Status (ACK/NAK Timeout); or
- c) Transmission Status (Connection Lost Without ACK/NAK).
c) Transmission Status (Connection Lost Without ACK/NAK), then this state shall:
   a) set the RETRANSMIT bit to one;
   b) set the value in the TARGET PORT TRANSFER TAG field to a value that is different than the target port transfer tag in the previous XFER_RDY frame associated with the Data-out arguments and is different than any other target port transfer tag currently in use. If write data is received for a subsequent XFER_RDY frame for a command, then all target port transfer tags used for previous XFER_RDY frames for the command are no longer in use; and
   c) resend a Transmit Frame (Interlocked) request to the port layer for the failed XFER_RDY frame.
Table 3 defines the messages that this state shall send to the ST_TFR state machine upon receipt of the listed confirmations and arguments, based on the conditions under which each confirmation or argument was received.

### Table 3 — Messages sent to the ST_TFR state machine

<table>
<thead>
<tr>
<th>Confirmation received from the port layer or argument received from ST_TTS3</th>
<th>Conditions under which confirmation was received</th>
<th>Message sent to the ST_TFR state machine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transmission Status (ACK Received)</td>
<td>The Transmit Frame request was for an XFER_RDY frame.</td>
<td>Transmission Complete (Xfer_Rdy Delivered) with a Target Port Transfer Tag argument</td>
</tr>
<tr>
<td></td>
<td>Transmit Frame request was for a RESPONSE frame</td>
<td>Transmission Complete (Response Delivered)</td>
</tr>
</tbody>
</table>
| | The Transmit Frame request was for a read DATA frame and:  
  a) the Read Data Offset state machine variable is equal to the Data-In Request Byte Count argument; and  
  b) the Read Data Offset state machine variable is equal to the Balance Point Read Data Offset state machine variable. | Transmission Complete (Data-In Delivered) |
| Transmission Status (NAK Received), Transmission Status (ACK/NAK Timeout), or Transmission Status (Connection Lost Without ACK/NAK) | The Transmit Frame request was for a RESPONSE frame and the vendor-specific number of retries has been reached. | Transmission Complete (Response Failed) |
| Transmission Status (NAK Received) | The Transmit Frame request was for an XFER_RDY frame and:  
  a) if transport layer retries are disabled; or  
  b) if transport layer retries are enabled and the vendor-specific number of retries has been reached. | Transmission Complete (Xfer_Rdy Failed, NAK Received) |
| Transmission Status (ACK/NAK Timeout) or Transmission Status (Connection Lost Without ACK/NAK) | The Transmit Frame request was for a read DATA frame and:  
  a) if transport layer retries are disabled; or  
  b) if transport layer retries are enabled and the vendor-specific number of retries has been reached. | Transmission Complete (Data-In Failed, NAK Received) |
| Transmission Status (ACK/NAK Timeout) or Transmission Status (Connection Lost Without ACK/NAK) | The Transmit Frame request was for a read DATA frame and:  
  a) if transport layer retries are disabled; or  
  b) if transport layer retries are enabled and the vendor-specific number of retries has been reached. | Transmission Complete (Data-In Failed, Connection Failed) |

If this state receives a Cancel message or a Cancel argument and this state has received confirmations for all Transmit Frame requests sent to the port layer, then this state shall send a Transmission Complete (Data Transfer Terminated) message to the ST_TFR state machine.
If this state receives a Cancel message or a Cancel argument and this state has not received confirmations for all Transmit Frame requests sent to the port layer, then this state shall send a Cancel request to the port layer to cancel previous Transmit Frame requests. The Cancel request shall include the following arguments:

   a) destination SAS address; and
   b) tag.

Upon receipt of a Transmission Status (Cancel Acknowledged) confirmation or argument this state shall send a Transmission Complete (Data Transfer Terminated) message to the ST_TFR state machine.

A Transmission Complete message to the ST_TFR state machine shall include the following arguments:

   a) destination SAS address; and
   b) tag.

9.2.6.3.3.4 ST_TTS3:Prepare_Data_In state

9.2.6.3.3.4.1 State description

This state retrieves the data from the Device Server Buffer and constructs a read DATA frame.

This state shall construct a read DATA frame using the Data-In arguments as follows:

   a) FRAME TYPE field set to 01h (i.e., DATA frame);
   b) HASHED DESTINATION SAS ADDRESS field set to the hashed value of the Destination SAS Address Data-In argument;
   c) HASHED SOURCE SAS ADDRESS field set to the hashed value of the SSP target port's SAS address;
   d) RETRY DATA FRAMES bit set to zero;
   e) RETRANSMIT bit set to zero;
   f) CHANGING DATA POINTER set as specified in this subclause;
   g) NUMBER OF FILL BYTES field set to the number of fill bytes needed for the specified read data;
   h) TAG field set to the Tag Data-In argument;
   i) TARGET PORT TRANSFER TAG field set to zero;
   j) DATA OFFSET field set as specified in this subclause;
   k) in the information unit, DATA field set as specified in this subclause; and
   l) fill bytes, if required.

If this state is entered without a Retry argument then this state shall:

   a) set the CHANGING DATA POINTER bit set to zero;
   b) set the DATA OFFSET field to the Read Data Offset state machine variable; and
   c) in the information unit, DATA field set to the information that starts at the location in the specified device server buffer pointed to by the Read Data Offset state machine variable and shall contain the amount of data that is the lesser of:

      A) the Data-In Request Byte Count argument minus the Read Data Offset state machine variable; and
      B) the maximum size of the DATA information unit for this Data-In request.

If this state is entered with a Retry argument then this state shall:

   a) set the CHANGING DATA POINTER bit in the frame to one;
   b) set the DATA OFFSET field to Balance Point Read Data Offset state machine variable; and
   c) in the information unit, DATA field set to the information that starts at the location in the specified device server buffer pointed to by the Balance Point Read Data Offset state machine variable and shall contain the amount of data that is the lesser of:

      A) the Data-In Request Byte Count argument minus the Balance Point Read Data Offset state machine variable; and
      B) the maximum size of the DATA information unit for this Data-In request:

   or

   a) set the CHANGING DATA POINTER bit in the frame to one;
   b) set the DATA OFFSET field to the Data-In application client buffer offset argument; and
c) in the information unit, DATA field set to the information that starts at the offset location the Data-In application client buffer offset argument in the specified device server buffer and shall contain the amount of data that is the lesser of:
   A) the Data-In Request Byte Count argument; and
   B) the maximum size of the DATA information unit for this Data-In request.

If a confirmation of Transmission Status (Frame Transmitted) is received, then this state shall set the Read Data Offset state machine variable to the current read data offset plus the number of read data bytes in the transmitted read DATA frame.

If a Transmission Status (ACK Received) confirmation is received, and the Transmit Frame request was for a read DATA frame, then this state shall set the Balance Point Read Data Offset state machine variable to the current balance point read data offset plus the number of read data bytes transmitted in the read DATA frame associated with Transmission Status (ACK Received) confirmation.