

# ENDL TEXAS

Date: 9 October 2006  
 To: T10 Technical Committee  
 From: Ralph O. Weber  
 Subject: Security Association Model for SPC-4

## Overview

A critical element of data encryption and integrity checking algorithms is an entity called an SA (Security Association) that the participating pair of endpoints represent using a pair of indices.

- In most of the security world, a SA index is known as an SPI (Security Parameters Index).
- Because of the long-standing usage of the acronym SPI in SCSI, this proposal uses SAI (Security Association Index) as the SCSI equivalent of the security-traditional SPI.

SA information (i.e., the security parameters) are never transmitted in their entirety in any of the usual SPC-4 suspects (CDBs, parameter data, etc.). A SA is represented by two sets of parameters, one stored internally at each of the two participating endpoints. This situation produces an unusual SCSI model challenge that can only be covered by some carefully crafted model text, which is the goal of this proposal.

## Revision History

- r0 Original revision
- r1 Made changes requested by Matt Ball and David Black.
- r2 Made more changes requested by Matt Ball and David Black.
- r3 Made changes requested by Gerry Houlder and Bob Nixon.
- r4 Made changes requested by the September 2006 CAP working group.
- r5 Change KEY(n) to KEYMAT as recommended by David Black on the T10 Reflector (17 September 2006), and modify random nonce definition so that SSC-3 can reference random number generation rules in SPC-4.

Changes made in r4 and r5 are identified with change bars.

## Definition of nonce

The definition of nonce has produced substantial discussions during the development of this proposal. Coordinating the SPC-4 nonce definition with the one already present in OSD and OSD-2 has been tricky. For reference, the OSD definition is reproduced here with the proposed changes indicated.

**3.1.23 nonce:** A value that is used one and only one time ~~and thus~~ to provide uniqueness to a value (e.g., a secure cryptographic key) in whose derivation it participates or to uniquely ~~identifies~~ identify a single instance of something (e.g., a timestamp ~~an individual OSD command, or one credential~~) ~~transacted~~ exchanged between an application client, ~~and a device server,~~ and security manager.

## Proposed SPC-4 Changes

Most of the text shown below is new SPC-4 material shown in black. If a subclause contains old and new material colors and strikeouts are used to identify changes.

### 2.4 NIST References

Copies of the following approved NIST standards may be obtained through the National Institute of Standards and Technology (NIST) at <http://csrc.nist.gov/publications/nistpubs/index.html>.

NIST SP (Special Publication) 800-38C, *Recommendation for Block Cipher Modes of Operation: The CCM Mode for Authentication and Confidentiality*

NIST SP (Special Publication) 800-56A, *Recommendation for Pair-Wise Key Establishment Schemes Using Discrete Logarithm Cryptography*

Copies of the following approved NIST standards may be obtained through the National Institute of Standards and Technology (NIST) at <http://csrc.nist.gov/publications/fips/index.html>.

FIPS 140-2, *Annex C: Approved Random Number Generators*

FIPS 180-2 with Change Notice 1 dated February 25, 2004, *Secure Hash Standard*

### 2.5 IETF References

Copies of the following approved IETF standards may be obtained through the Internet Engineering Task Force (IETF) at [www.ietf.org](http://www.ietf.org).

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RFC 3766, *Determining Strengths For Public Keys Used For Exchanging Symmetric Keys*

RFC 4086, *Randomness Requirements for Security*

### 3.1 Definitions

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**3.1.c key derivation function (KDF):** An algorithm that is used to derive cryptographic keying material from a shared secret and other information.

**3.1.f nonce:** A value that is used one and only one time to provide uniqueness to a value (e.g., a secure cryptographic key) in whose derivation it participates or to uniquely identify a single instance of something (e.g., a timestamp) exchanged between an application client and a device server.

**3.1.h random nonce:** A nonce (see 3.1.f) that is a secure random number (see 4.6).

**3.1.i SA parameters:** The parameters stored by both an application client and a device server that are associated with one SA (see 3.1.m) and identified by a pair of SAs (see 3.1.p). See 5.13.1.2.

**3.1.m Security association (SA):** A relationship between an application client and device server that is used to apply security functions (e.g., data integrity checking, data encryption) to data that is transferred in either direction. See 5.13.

**3.1.p Security association index (SAI):** A number representing the parameters for a security association as stored internally by the application client or device server. In other security models, this value is called the security parameters index (SPI). See 5.13.

**3.1.s Secure hash algorithm (SHA):** A secure hash algorithm (e.g., SHA-1) specified in FIPS 180-2 with Change Notice 1 dated February 25, 2004 (see 2.4).

**3.1.x Secure random number:** A random number that is generated in ways that protect it from security attacks. See 4.6.

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## 3.2 Symbols and acronyms

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|| concatenation

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KDF	Key Derivation Function (see 3.1.c)
SA	Security Association (see 3.1.m)
SAI	Security Association Index (see 3.1.p)
SHA-1	Secure Hash Algorithm, 160 bits (see 3.1.s)
SHA-256	Secure Hash Algorithm, 256 bits (see 3.1.s)
SHA-384	Secure Hash Algorithm, 384 bits (see 3.1.s)
SHA-512	Secure Hash Algorithm, 512 bits (see 3.1.s)

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## 4.6 Secure random numbers

Secure Random numbers should be generated as specified by RFC 4086 (e.g., see FIPS 140-2 Annex C: Approved Random Number Generators).

If the same random number source is used generate random numbers for multiple purposes (e.g., nonces and secret keys), interactions between the two shall not be allowed to compromise secrecy. If the value sequence generated by the common random number source is predictable to any degree, then the random number values that are transmitted outside the SCSI device may provide information about the random number values that the SCSI device maintains internally, based on the reasonable assumption that an adversary knows the order in which the random numbers are obtained from the common random number source. SCSI devices shall eliminate sources of such predictability.

Compliance with RFC 4086 is one method for achieving the required independence between random number values.

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## 5.13 Security Features

{{Note: All text from here to 5.13.4}}

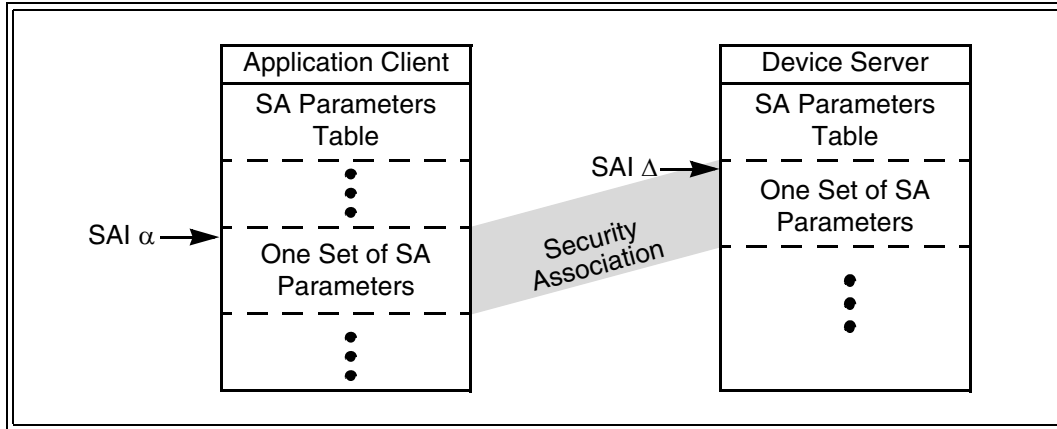
### 5.13.1 Security associations

#### 5.13.1.1 Principals of security associations

Before an application client and device server begin applying security functions (e.g., data integrity checking, data encryption) to messages (i.e., data that is transferred in either direction between them), they perform a security protocol to create at least one SA (see 5.13.1.3). The output of the SA creation protocol is two sets of SA parameters (see 5.13.1.2), one that is stored by the application client and one that is stored by the device server.

In this model, SAs decouple the process of creating a security relationship from its usage in processing security functions. This decoupling allows either the creation or the usage of an SA to be upgraded in response to changing security threats without requiring both processes to be upgraded concurrently.

Figure x1 shows the relationship between application clients and device servers with respect to SAs.



**Figure x1 — SA relationships**

In both the application client and the device server, the SA parameters are modelled as being stored in an indexed array and the SAI identifies one set of SA parameters within that array. The application client and device server are not required to store the parameters for any given SA in the same array locations. In order to support this implementation flexibility, a single SA is modelled as having two different SAI values (i.e., one for the application client and one for the device server).

SAs shall not be preserved across a power cycle, hard reset, or logical unit reset. SAs may be preserved across an I\_T nexus loss.

5.13.1.2 SA parameters

Each SAI shall identify at least the SA parameters defined in table x1. Individual security protocols define how the SA parameters are generated and/or used by that security protocol.

**Table x1 — Minimum SA parameters (Sheet 1 of 2)**

Name	Description	Size (bytes) <sup>a</sup>		Scope <sup>b</sup>
		Min.	Max.	
SA parameters that identify the SA.				
AC_SAI	The SAI used by the application client to identify the SA. <sup>c</sup>	4	4	Public
DS_SAI	The SAI used by the device server to identify the SA. <sup>c</sup>	4	4	Public
<sup>a</sup> These size values are guidelines. Specific security protocols may place more exacting size requirements on SA parameters. <sup>b</sup> Public SA parameters may be transferred outside a SCSI device unencrypted. Secret SA parameters shall be encrypted whenever they are transferred outside a SCSI device. <sup>c</sup> SAI values between 0 and 255, inclusive, are reserved. <sup>d</sup> Nonce SA parameters shall be at least half the size of KEY_SEED SA parameter. <sup>e</sup> The number of bits of entropy in the KEY_SEED should be as close to the number of bits in the KEY_SEED as possible (see RFC 3766).				

Table x1 — Minimum SA parameters (Sheet 2 of 2)

Name	Description	Size (bytes) <sup>a</sup>		Scope <sup>b</sup>
		Min.	Max.	
SA parameters that are incorporated in messages to prevent message replay attacks.				
AC_SQN	A sequence number that is incremented for each application client message on which a security function is performed.	4	8	Public
DS_SQN	A sequence number that is incremented for each device server message on which a security function is performed.	4	8	Public
SA parameters that are used by security functions to derive the secret keys that are applied to messages (e.g., for encryption).				
AC_NONCE <sup>d</sup>	A random nonce (see 3.1.h) value that is generated by the application client and used as an input to the key derivation security algorithm specified by the KDF_ID SA parameter during the derivation of an encryption key.	16	64	Public
DS_NONCE <sup>d</sup>	A random nonce value that is generated by the device server and used as an input to the key derivation security algorithm specified by the KDF_ID SA parameter during the derivation of an encryption key.	16	64	Public
KEY_SEED <sup>e</sup>	A value that is known only to the application client and device server that are participating in this SA that in combination with the applicable nonce is used to derive the KEYMAT value.	16	64	Secret
KDF_ID	A security algorithm (see 5.13.2) coded value that identifies the KDF used by the application client and device server.	4	4	Public
SA parameters that are used by security functions to secure messages between the application client and device server.				
KEYMAT	A value that is known only to the application client and device server that are participating in this SA that may be subdivided into one or more key values that are used in security functions that secure messages.	14	1 024	Secret
<sup>a</sup> These size values are guidelines. Specific security protocols may place more exacting size requirements on SA parameters. <sup>b</sup> Public SA parameters may be transferred outside a SCSI device unencrypted. Secret SA parameters shall be encrypted whenever they are transferred outside a SCSI device. <sup>c</sup> SAI values between 0 and 255, inclusive, are reserved. <sup>d</sup> Nonce SA parameters shall be at least half the size of KEY_SEED SA parameter. <sup>e</sup> The number of bits of entropy in the KEY_SEED should be as close to the number of bits in the KEY_SEED as possible (see RFC 3766).				

### 5.13.1.3 Creating a security association

The SECURITY PROTOCOL IN command (see 6.27) and SECURITY PROTOCOL OUT command (see 6.28) security protocols shown in table x2 are used to create SAs. The process of creating an SA establishes the SA parameter (see 5.13.1.2) values as follows:

- a) Initial values for:
  - A) Both (i.e., application client and device server) sequence numbers; and
  - B) All KEYMAT bytes set to zero;
 and
- b) Unchanging values for:
  - A) Both SAs;
  - B) Both nonces;
  - C) KEY\_SEED; and
  - D) KDF\_ID SA.

**Table x2 — Security protocols that create SAs**

Security Protocol Code	Description	Reference
TBD	TBD	TBD

### 5.13.2 Key derivation functions

#### 5.13.2.1 Overview

Table 3 summarizes the key derivation functions defined by this standard.

**Table x3 — Key derivation functions summary**

Security Algorithm Code (see table 44)	Description	Key Length (bits)	Reference
FFFF 0001h	Concatenation KDF based on SHA-1	160	5.13.2.2
FFFF 0002h	Concatenation KDF based on SHA-256	256	5.13.2.3
FFFF 0003h	Concatenation KDF based on SHA-384	384	5.13.2.4
FFFF 0004h	Concatenation KDF based on SHA-512	512	5.13.2.5

## 5.13.2.2 Concatenation KDF based on SHA-1

The security algorithm code FFFF 0001h identifies a KDF that is based on Approved Alternative 1 as specified in NIST SP 800-56A (see 2.4) with the parameters shown in table x4.

**Table x4 — NIST SP 800-56A parameters for concatenation KDF based on SHA-1**

NIST SP 800-56A Parameter	Value
Fixed Values	
<i>hashlen</i>	160
<i>max_hash_inputlen</i>	1 024
Auxiliary Function	
<i>H</i>	SHA-1
Input	
<i>keydatalen</i>	The number of bits data to be stored in the KEYMAT SA parameter (see 5.13.1.2), a value greater than or equal to 160.
<i>OtherInfo AlgorithmID</i>	"INCITS T10 KDF using SHA-1"
<i>OtherInfo PartyUInfo</i>	AC_SAI SA parameter    AC_NONCE SA parameter
<i>OtherInfo PartyVInfo</i>	DS_SAI SA parameter    DS_NONCE SA parameter
<i>OtherInfo SuppPubInfo</i>	A value containing zero bits (i.e., the empty bit string)
<i>OtherInfo SuppPrivInfo</i>	A value containing zero bits (i.e., the empty bit string)

After the cryptographic keys are generated, the KEY\_SEED SA parameter shall be set to zero (see NIST SP 800-56A).

## 5.13.2.3 Concatenation KDF based on SHA-256

The security algorithm code FFFF 0002h identifies a KDF that is based on Approved Alternative 1 as specified in NIST SP 800-56A (see 2.4) with the parameters shown in table x5.

**Table x5 — NIST SP 800-56A parameters for concatenation KDF based on SHA-256**

<b>NIST SP 800-56A Parameter</b>	<b>Value</b>
Fixed Values	
<i>hashlen</i>	256
<i>max_hash_inputlen</i>	1 024
Auxiliary Function	
<i>H</i>	SHA-256
Input	
<i>keydatalen</i>	The number of bits data to be stored in the KEYMAT SA parameter (see 5.13.1.2), a value greater than or equal to 256.
<i>OtherInfo AlgorithmID</i>	"INCITS T10 KDF using SHA-256"
<i>OtherInfo PartyUInfo</i>	AC_SAI SA parameter    AC_NONCE SA parameter
<i>OtherInfo PartyVInfo</i>	DS_SAI SA parameter    DS_NONCE SA parameter
<i>OtherInfo SuppPubInfo</i>	A value containing zero bits (i.e., the empty bit string)
<i>OtherInfo SuppPrivInfo</i>	A value containing zero bits (i.e., the empty bit string)

After the cryptographic keys are generated, the KEY\_SEED SA parameter shall be set to zero (see NIST SP 800-56A).



## 5.13.2.4 Concatenation KDF based on SHA-384

The security algorithm code FFFF 0003h identifies a KDF that is based on Approved Alternative 1 as specified in NIST SP 800-56A (see 2.4) with the parameters shown in table x6.

**Table x6 — NIST SP 800-56A parameters for concatenation KDF based on SHA-384**

<b>NIST SP 800-56A Parameter</b>	<b>Value</b>
Fixed Values	
<i>hashlen</i>	384
<i>max_hash_inputlen</i>	1 024
Auxiliary Function	
<i>H</i>	SHA-384
Input	
<i>keydatalen</i>	The number of bits data to be stored in the KEYMAT SA parameter (see 5.13.1.2), a value greater than or equal to 384.
<i>OtherInfo AlgorithmID</i>	"INCITS T10 KDF using SHA-384"
<i>OtherInfo PartyUInfo</i>	AC_SAI SA parameter    AC_NONCE SA parameter
<i>OtherInfo PartyVInfo</i>	DS_SAI SA parameter    DS_NONCE SA parameter
<i>OtherInfo SuppPubInfo</i>	A value containing zero bits (i.e., the empty bit string)
<i>OtherInfo SuppPrivInfo</i>	A value containing zero bits (i.e., the empty bit string)

After the cryptographic keys are generated, the KEY\_SEED SA parameter shall be set to zero (see NIST SP 800-56A).

## 5.13.2.5 Concatenation KDF based on SHA-512

The security algorithm code FFFF 0004h identifies a KDF that is based on Approved Alternative 1 as specified in NIST SP 800-56A (see 2.4) with the parameters shown in table x7.

**Table x7 — NIST SP 800-56A parameters for concatenation KDF based on SHA-512**

<b>NIST SP 800-56A Parameter</b>	<b>Value</b>
Fixed Values	
<i>hashlen</i>	512
<i>max_hash_inputlen</i>	1 024
Auxiliary Function	
<i>H</i>	SHA-512
Input	
<i>keydatalen</i>	The number of bits data to be stored in the KEYMAT SA parameter (see 5.13.1.2), a value greater than or equal to 512.
<i>OtherInfo AlgorithmID</i>	"INCITS T10 KDF using SHA-512"
<i>OtherInfo PartyUInfo</i>	AC_SAI SA parameter    AC_NONCE SA parameter
<i>OtherInfo PartyVInfo</i>	DS_SAI SA parameter    DS_NONCE SA parameter
<i>OtherInfo SuppPubInfo</i>	A value containing zero bits (i.e., the empty bit string)
<i>OtherInfo SuppPrivInfo</i>	A value containing zero bits (i.e., the empty bit string)

After the cryptographic keys are generated, the KEY\_SEED SA parameter shall be set to zero (see NIST SP 800-56A).

{{Note: The following subclause already appears in SPC-4, and modifications are shown.}}

**5.13.1 Security algorithm codes**

**5.13.3 Security algorithm codes**

Table 44 lists the security algorithm codes used in security protocol parameter data.

**Table 44 — Security algorithm codes**

Code	Description	Reference
<b>Encryption Algorithms</b>		
0001 0010h <sup>a</sup>	AES-CCM with a 16 byte MAC	NIST SP 800-38C
0001 0014h <sup>a</sup>	AES-GCM with a 16 byte MAC	NIST SP 800-38D
<b>KDF Algorithms</b>		
FFFF 0001h	Concatenation KDF based on SHA-1	5.13.2.2
FFFF 0002h	Concatenation KDF based on SHA-256	5.13.2.3
FFFF 0003h	Concatenation KDF based on SHA-384	5.13.2.4
FFFF 0004h	Concatenation KDF based on SHA-512	5.13.2.5
<b>Other Algorithms</b>		
0000 0400h - 0000 FFFFh	Vendor specific	
All other values	Reserved	
<sup>a</sup> The lower order 16 bits of this code value are assigned to match an IANA assigned value for an equivalent IKEv2 encryption algorithm (see 3.1.52) and the high order 16 bits match the IANA assigned IKEv2 transform type (i.e., 1, Encryption Algorithms).		