

20 September 2006

06-324r5 SAS-2 Modifications to the SAS Speed Negotiation

To: T10 Technical Committee  
From: Amr Wassal, PMC-Sierra (amr\_wassal@pmc-sierra.com)  
Stephen Finch, STMicroelectronics (steve.finch@st.com)  
Date: 20 September 2006  
Subject: 06-324r3 SAS-2 Modifications to the SAS Speed Negotiation

### **Revision history**

Revision 0 (7 July 2006) First revision

Revision 1 (24 August 2006)

Revision 2 (7 September 2006) Review updates including: training pattern definition, changes to several diagrams where training pattern is depicted and state machine.

Revision 3 (20 September 2006) Updates based on 12 September 2006 Phy Working Group meeting. A significant re-organization, plus many changes to make consistent use of new terms like Final-SNW and Train-SNW. Updates to diagrams.

Revision 4 (29 September 2006) Updates per 28 September 2006 conference call.

Revision 5 (12 October 2006) Updates per 12 October 2006 conference call.

### **Related documents**

sas2r04a - Serial Attached SCSI - 2 (SAS-2) revision 4a

05-397 SAS-2 Start-up training sequence (Harvey Newman, Infineon)

06-263 SAS-2 Spread-spectrum clocking (Rob Elliott, HP)

06-295 SAS-2 Speed negotiation (Amr Wassal and Robert Watson, PMC-Sierra)

06-354 SAS-2 Startup training sequence proposal (Steve Finch, ST Microelectronics)

06-355 SAS-2 SNW-3 definition (Amr Wassal and Robert Watson, PMC-Sierra)

06-363 SAS-2 SNW-3 bit definitions (Rob Elliot, HP)

### **Editor's Note:**

Some of the text from proposal 06-363 is included in this document for reference to allow the reader to understand the relationship of this document to 06-363. The content of this material should be reviewed during discussion of 06-363 and not during discussions of this document. Editor's notes in blue text indicate the start and end of each such inclusion.

### **Overview**

The SAS speed negotiation defined in SAS-1 did not allow for training of the phy nor allow for exchange of parameters that are proving useful at G3 and higher rates. New proposals for SAS-2 proposed a training pattern in 05-397 and speed negotiation modifications in 06-295 that has provision for training and for exchanging parameters during SNW-3 as defined in 06-363.

### **Suggested changes to chapter 3 Definitions, symbols, abbreviations, keywords, and conventions (changes added first in 06-324r4)**

#### **3.1 Definitions**

**3.1.x Actual Lock Time (ALT):** The time at which actual lock to the received ALIGN (0) or ALIGN (1) occurs and the transmitter transitions from sending ALIGN (0) to sending ALIGN (1) (see 6.7.4.2.2).

**3.1.x Actual Training Time (ATT):** The time in which training of the phy's receiver is complete (see 6.7.4.2.2).

**3.1.x Bit Cell Time (BCCT):** The time period defined within an SNW-3 window during which one bit phy capabilities is transmitted (see 6.7.4.2.2).

**3.1.x Maximum Training Time (MTT):** The maximum time for training to complete during a Training-SNW (see 6.7.4.2.2).

**3.1.x Maximum Train-SNW Window Time (MTWT):** The maximum duration of the Train-SNW window (see 6.7.4.2.2).

Formatted: English (U.S.)

#### **3.2 Symbols and abbreviations**

- ALT** Actual lock time
- ATT** Actual training time
- MTT** Maximum training time
- MTWT** Maximum Train-SNW window time
- SNW** Speed negotiation window
- SNWT** Speed negotiation window time

[Suggested changes to chapter 7 \(Link Layer\): Add definitions for TRAIN and TRAIN\\_DONE primitives. \(changes added first in 06-324r4\)](#)

7.2.2 Primitive summary

Table 84 defines the primitives not specific to the type of connection.

Table 84 — Primitives not specific to type of connection (part 1 of 2)

Primitive	Use <sup>a</sup>	From <sup>b</sup>			To <sup>b</sup>			Primitive sequence type <sup>c</sup>
		I	E	T	I	E	T	
AIP (NORMAL)	NoConn		E					Extended
AIP (RESERVED 0)								
AIP (RESERVED 1)								
AIP (RESERVED 2)					I	E	T	
AIP (RESERVED WAITING ON PARTIAL)								
AIP (WAITING ON CONNECTION)				E				
AIP (WAITING ON DEVICE)				E				
AIP (WAITING ON PARTIAL)				E				
ALIGN (0)	All							Single
ALIGN (1)		I	E	T	I	E	T	
ALIGN (2)								
ALIGN (3)								
BREAK	All	I	E	T	I	E	T	Redundant
BREAK_REPLY	All	I	E	T	I	E	T	Redundant
BROADCAST (CHANGE)	NoConn	I	E		I			Redundant
BROADCAST (SES)				T	I			
BROADCAST (EXPANDER)			E		I			
BROADCAST (ASYNCHRONOUS EVENT)				T	I			
BROADCAST (ZONE ACTIVATE)		I				E		
BROADCAST (RESERVED 4)								
BROADCAST (RESERVED CHANGE 0)					I			
BROADCAST (RESERVED CHANGE 1)					I			
CLOSE (CLEAR AFFILIATION)	STP	I					T	Triple
CLOSE (NORMAL)	Conn	I		T			T	
CLOSE (RESERVED 0)					I			
CLOSE (RESERVED 1)								
EOAF	NoConn	I	E	T	I	E	T	Single
ERROR	All		E		I	E	T	Single
HARD_RESET	NoConn	I	E		I	E	T	Redundant
NOTIFY (ENABLE SPINUP)	All	I	E				T	Single
NOTIFY (POWER LOSS EXPECTED)		I	E				T	
NOTIFY (RESERVED 1)								
NOTIFY (RESERVED 2)					I	E	T	
OPEN_ACCEPT	NoConn	I		T	I		T	Single

Table 84 — Primitives not specific to type of connection (part 2 of 2)

Primitive	Use <sup>a</sup>	From <sup>b</sup>			To <sup>b</sup>			Primitive sequence type <sup>c</sup>		
		I	E	T	I	E	T			
OPEN_REJECT (BAD DESTINATION)	NoConn		E					Single		
OPEN_REJECT (CONNECTION RATE NOT SUPPORTED)		I	E	T						
OPEN_REJECT (NO DESTINATION)			E							
OPEN_REJECT (PATHWAY BLOCKED)			E							
OPEN_REJECT (PROTOCOL NOT SUPPORTED)		I		T						
OPEN_REJECT (ZONE VIOLATION)			E							
OPEN_REJECT (RESERVED ABANDON 1)					I		T			
OPEN_REJECT (RESERVED ABANDON 2)										
OPEN_REJECT (RESERVED ABANDON 3)										
OPEN_REJECT (RESERVED CONTINUE 0)										
OPEN_REJECT (RESERVED CONTINUE 1)										
OPEN_REJECT (RESERVED INITIALIZE 0)										
OPEN_REJECT (RESERVED INITIALIZE 1)										
OPEN_REJECT (RESERVED STOP 0)										
OPEN_REJECT (RESERVED STOP 1)										
OPEN_REJECT (RETRY)		I	E	T						
OPEN_REJECT (STP RESOURCES BUSY)			E	T						
OPEN_REJECT (WRONG DESTINATION)	I		T					T		
SOAF	NoConn	I	E	T		I		E	T	Single
TRAIN	SpNeg	I	E	T		I		E	T	Redundant
TRAIN_DONE	SpNeg	I	E	T		I		E	T	Redundant

<sup>a</sup> The Use column indicates when the primitive is used:  
a) NoConn: SAS physical links, outside connections;  
b) Conn: SAS physical links, inside connections;  
c) All: SAS physical links, both outside connections or inside any type of connection; or  
d) STP: SAS physical links, inside STP connections.  
e) SpNeg: SAS physical links, during speed negotiation (see 6.7.4.2)

<sup>b</sup> The From and To columns indicate the type of ports that originate each primitive or are the intended destinations of each primitive:  
a) I for SAS initiator ports;  
b) E for expander ports; and  
c) T for SAS target ports.  
Expander ports are not considered originators of primitives that are passing through from expander port to expander port.

<sup>c</sup> The Primitive sequence type columns indicate whether the primitive is sent as a single primitive sequence, a repeated primitive sequence, a continued primitive sequence, an extended primitive sequence, a triple primitive sequence, or a redundant primitive sequence (see 7.2.4).

## 7.2.3 Primitive encodings

Table 87 defines the primitive encoding for primitives not specific to type of connection.

Table 87 — Primitive encoding for primitives not specific to type of connection (part 1 of 2)

Primitive	Character			
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup> (last)
AIP (NORMAL)	K28.5	D27.4	D27.4	D27.4
AIP (RESERVED 0)	K28.5	D27.4	D31.4	D16.7
AIP (RESERVED 1)	K28.5	D27.4	D16.7	D30.0
AIP (RESERVED 2)	K28.5	D27.4	D29.7	D01.4
AIP (RESERVED WAITING ON PARTIAL)	K28.5	D27.4	D01.4	D07.3
AIP (WAITING ON CONNECTION)	K28.5	D27.4	D07.3	D24.0
AIP (WAITING ON DEVICE)	K28.5	D27.4	D30.0	D29.7
AIP (WAITING ON PARTIAL)	K28.5	D27.4	D24.0	D04.7
ALIGN (0)	K28.5	D10.2	D10.2	D27.3
ALIGN (1)	K28.5	D07.0	D07.0	D07.0
ALIGN (2)	K28.5	D01.3	D01.3	D01.3
ALIGN (3)	K28.5	D27.3	D27.3	D27.3
BREAK	K28.5	D02.0	D24.0	D07.3
BREAK_REPLY	K28.5	D02.0	D29.7	D16.7
BROADCAST (CHANGE)	K28.5	D04.7	D02.0	D01.4
BROADCAST (SES)	K28.5	D04.7	D07.3	D29.7
BROADCAST (EXPANDER)	K28.5	D04.7	D01.4	D24.0
BROADCAST (ASYNCHRONOUS EVENT)	K28.5	D04.7	D04.7	D04.7
BROADCAST (ZONE ACTIVATE)	K28.5	D04.7	D16.7	D02.0
BROADCAST (RESERVED 4)	K28.5	D04.7	D29.7	D30.0
BROADCAST (RESERVED CHANGE 0)	K28.5	D04.7	D24.0	D31.4
BROADCAST (RESERVED CHANGE 1)	K28.5	D04.7	D27.4	D07.3
CLOSE (CLEAR AFFILIATION)	K28.5	D02.0	D07.3	D04.7
CLOSE (NORMAL)	K28.5	D02.0	D30.0	D27.4
CLOSE (RESERVED 0)	K28.5	D02.0	D31.4	D30.0
CLOSE (RESERVED 1)	K28.5	D02.0	D04.7	D01.4
EOAF	K28.5	D24.0	D07.3	D31.4
ERROR	K28.5	D02.0	D01.4	D29.7
HARD_RESET	K28.5	D02.0	D02.0	D02.0

Table 87 — Primitive encoding for primitives not specific to type of connection (part 2 of 2)

Primitive	Character			
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup> (last)
NOTIFY (ENABLE SPINUP)	K28.5	D31.3	D31.3	D31.3
NOTIFY (POWER LOSS EXPECTED)	K28.5	D31.3	D07.0	D01.3
NOTIFY (RESERVED 1)	K28.5	D31.3	D01.3	D07.0
NOTIFY (RESERVED 2)	K28.5	D31.3	D10.2	D10.2
OPEN_ACCEPT	K28.5	D16.7	D16.7	D16.7
OPEN_REJECT (BAD DESTINATION)	K28.5	D31.4	D31.4	D31.4
OPEN_REJECT (CONNECTION RATE NOT SUPPORTED)	K28.5	D31.4	D04.7	D29.7
OPEN_REJECT (NO DESTINATION)	K28.5	D29.7	D29.7	D29.7
OPEN_REJECT (PATHWAY BLOCKED)	K28.5	D29.7	D16.7	D04.7
OPEN_REJECT (PROTOCOL NOT SUPPORTED)	K28.5	D31.4	D29.7	D07.3
OPEN_REJECT (ZONE VIOLATION)	K28.5	D31.4	D02.0	D27.4
OPEN_REJECT (RESERVED ABANDON 1)	K28.5	D31.4	D30.0	D16.7
OPEN_REJECT (RESERVED ABANDON 2)	K28.5	D31.4	D07.3	D02.0
OPEN_REJECT (RESERVED ABANDON 3)	K28.5	D31.4	D01.4	D30.0
OPEN_REJECT (RESERVED CONTINUE 0)	K28.5	D29.7	D02.0	D30.0
OPEN_REJECT (RESERVED CONTINUE 1)	K28.5	D29.7	D24.0	D01.4
OPEN_REJECT (RESERVED INITIALIZE 0)	K28.5	D29.7	D30.0	D31.4
OPEN_REJECT (RESERVED INITIALIZE 1)	K28.5	D29.7	D07.3	D16.7
OPEN_REJECT (RESERVED STOP 0)	K28.5	D29.7	D31.4	D07.3
OPEN_REJECT (RESERVED STOP 1)	K28.5	D29.7	D04.7	D27.4
OPEN_REJECT (RETRY)	K28.5	D29.7	D27.4	D24.0
OPEN_REJECT (STP RESOURCES BUSY)	K28.5	D31.4	D27.4	D01.4
OPEN_REJECT (WRONG DESTINATION)	K28.5	D31.4	D16.7	D24.0
SOAF	K28.5	D24.0	D30.0	D01.4
TRAIN	K28.5	D30.3	D30.3	D30.3
TRAIN_DONE	K28.5	D30.3	D30.3	D10.2

7.2.5.14 TRAIN

TRAIN is used during speed negotiation (see 6.7.4.2).

7.2.5.15 TRAIN\_DONE

TRAIN\_DONE is used during speed negotiation (see 6.7.4.2).

Suggested changes to chapter 6 (Phy Layer)

**6.6.2 Transmitting OOB signals**

Table 73 describes the OOB signal transmitter requirements for the burst time, idle time, and negation times that comprise each OOB signal.

<<I found two errors in Table 73 unrelated to COMWAKE: 800 OOBi is nominally 533 ns and not 320 ns, and 2400 OOBi is nominally 1600 ns and not 1560 ns. Can someone check these to confirm my calculations?>>

**Table 73 — OOB signal transmitter device requirements**

Signal	Burst time	Idle time	Negation time	Signal time g
COMWAKE	160 OOBi a	160 OOBi a	280 OOBi b	2200 OOBi h
COMINIT/RESET	160 OOBi a	480 OOBi c	800 OOBi d	4600 OOBi i
COMSAS	160 OOBi a	1 440 OOBi e	2 400 OOBi f	12000 OOBi j

a 160 OOBi is nominally 106,6 ns (see table 72).  
 b 280 OOBi is nominally 186,6 ns.  
 c 480 OOBi is nominally 320 ns.  
 d 800 OOBi is nominally 533 ns.  
 e 1 440 OOBi is nominally 960 ns.  
 f 2 400 OOBi is nominally 1 600 ns.  
 g Signal time is defined as the total of six Burst times plus six Idle times plus one Negation time (see description below).  
 h 2 200 OOBi is nominally 1 467 ns.  
 i 4 600 OOBi is nominally 3 093 ns.  
 j 12 000 OOBi is nominally 8 000 ns.

Deleted: Total

Deleted: g Total s

Deleted: 6

Deleted: 6

Deleted: 1

**6.7.4.2 SAS speed negotiation sequence**

**6.7.4.2.1 SAS speed negotiation sequence overview**

The speed negotiation sequence establishes communications between the two phys of a physical link at the highest possible transmission rate.

The SAS speed negotiation sequence is a peer-to-peer negotiation technique that does not assume initiator and target (i.e., host and device) roles. The rules for speed negotiation are the same for both phys of a physical connection.

The speed negotiation sequence consists of a set of speed negotiation windows. Each speed negotiation window is identified by a name (e.g., Speed Negotiation Window 1 or SNW-1) that is used to identify the format, the timing of any transmissions within the window, and the transmission rate.

Speed negotiation windows conform to one of three defined formats:

- a) Speed negotiation without training. SNW-1, SNW-2 and Final-SNW are of this type.
- b) Speed negotiation with training. Training-SNW is of this type.
- c) Link Capabilities Exchange. SNW-3 is of this type.

The speed negotiation window formats are defined in [Error! Reference source not found.](#)

Deleted: 1.1.1.1.1.1

Many of the timing parameters used for defining the speed negotiation windows are common to multiple window types. All of the timing specifications for all speed negotiation window types are defined in section 6.7.4.2.2.

A SAS speed negotiation sequence may or may not include all three types of speed negotiation windows. Phys may implement a subset of speed negotiation windows provided that the subset implements a valid speed negotiation sequence. Speed negotiation sequences are defined in section 6.7.4.2.4.

**6.7.4.2.2 Speed Negotiation Timing Specifications**

[Table 80](#) defines the timing specifications for the SAS speed negotiation windows. The definitions of the speed negotiation window types specify the timing parameters that are applicable for that type.

Deleted: Table 80

**Table 80 — SAS speed negotiation sequence timing specifications**

Acronym	Name	Time <sup>a</sup>	Comments
RCDT	Rate change delay time	750 000 OOBI <sup>b</sup>	The time the transmitter device shall transmit D.C. idle between rates during speed negotiation.
SNTT	Speed negotiation transmit time	163 840 OOBI <sup>c</sup>	The time during which ALIGN (0) or ALIGN (1) is transmitted at each physical link rate during the speed negotiation sequence.
SNLT	Speed negotiation lock time	153 600 OOBI <sup>d</sup>	The maximum time during SNW-1, SNW-2 or Final-SNW for a transmitter device to reply with ALIGN (1).
ALT	Actual lock time		The time at which actual lock to the received ALIGN (0) or ALIGN (1) occurs and the transmitter transitions from sending ALIGN (0) to sending ALIGN (1).
ATT	Actual training time		The time <u>in</u> which training of the phy's receiver <u>is</u> complete.
BCT	Bit Cell Time	2 200 OOBI	<u>Each BCT is either a COMWAKE or D.C. Idle.</u>
MTT	Maximum training time	29 998 080 OOBI <sup>e</sup>	The maximum time for training to complete during a Training-SNW.
SNWT	Speed negotiation window time	913 840 OOBI <sup>f</sup>	The duration of SNW-1, SNW-2, SNW-3, or a Final-SNW.
MTWT	Maximum Train-SNW window time	30 748 080 OOBI <sup>g</sup>	The maximum duration of the Train-SNW window. The duration of the Train-SNW may be less than RCDT + MTT.

Deleted: This must be within SNLT.

Deleted: at

Deleted: was

Deleted: d

Deleted: This must be within SNLT.

Deleted: One COMWAKE total signal time (see 6.2.2, Table 74)

<sup>a</sup> OOBI is defined in table 67.

<sup>b</sup> 750 000 OOBI is nominally 500 us. Derived from: OOBI x 18 750 x 40.

<sup>c</sup> 163 840 OOBI is nominally 109,226 μs. Derived from: OOBI x 4 096 x 40.

<sup>d</sup> 153 600 OOBI is nominally 102,4 μs. Derived from: OOBI x 3 840 x 40.

<sup>e</sup> 29 998 080 OOBI is nominally 19,99872 ms (~ 20 ms, an integer number of training patterns)

<sup>f</sup> 913 840 OOBI is nominally 609,226 μs. Derived from: RCDT + SNTT.

<sup>9</sup>30 748 080 OOBi is nominally 20,5 ms. Derived from: RCDT + MTT.

**6.7.4.2.3 Speed Negotiation Window Definitions**

During each speed negotiation window, a phy shall either:

- a) transmit and receive as defined for the current speed negotiation window; or
- b) transmit D.C. Idle and not attempt to receive.

If both phys transmit and successfully receive the expected transmission, then the speed negotiation window is valid.

If either phy fails to receive the expected transmission from the attached phy, then the speed negotiation window is invalid.

Note x: If a phy transmits D.C. Idle during a speed negotiation window, then the attached phy may not receive the expected transmission and the speed negotiation window is invalid.

**Deleted:** will

**Deleted:** Transmit

**Deleted:** ,

**Deleted:** Transmit

**Deleted:** that

**Deleted:** far end

**Deleted:** that

**Deleted:** far end

**Deleted:** will

**Deleted:** that

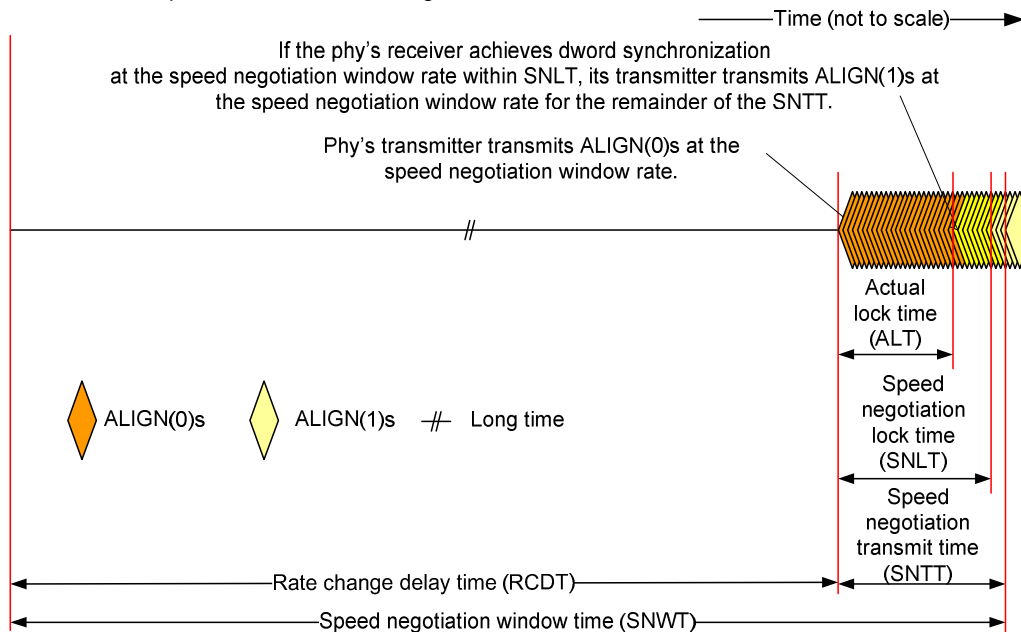
**6.7.4.2.3.1 SNW-1, SNW-2 and Final-SNW Definition**

Figure 122, defines the SAS speed negotiation window format for SNW-1, SNW-2 and Final-SNW, including:

**Deleted:** Figure 122

- a) speed negotiation window time;
- b) speed negotiation window rate;
- c) rate change delay time (RCDT);
- d) speed negotiation transmit time (SNTT);
- e) speed negotiation lock time (SNLT); and
- f) actual lock time (ALT).

<<Rev 5 added periods to sentences in figure 122>>



**Figure 122 — SAS SNW-1, SNW-2 and Final-SNW**



The speed negotiation window shall consist of the following transmission sequence:

- 1) transmission of D.C. idle for an RCDT; and
- 2) if the phy supports the physical link rate or if this is the Final-SNW, transmission of ALIGNs at the physical link rate specified below for the remainder of the entire speed negotiation window time. If the phy does not support the physical link rate, transmission of D.C. idle for the remainder of the entire speed negotiation window time.

The physical link rate for SNW-1 shall be 1,5 Gbps.

The physical link rate for SNW-2 shall be 3,0 Gbps.

The physical link rate for Final-SNW shall be:

- a) 1,5 Gbps if SNW-1 ~~is valid~~ and SNW-2 ~~is invalid~~; or
- b) 3,0 Gbps if SNW-2 ~~is valid~~ and SNW-3 (see 6.7.4.2.3.2) ~~is invalid~~.

**Deleted:** was

**Deleted:** was

**Deleted:** ,

**Deleted:** was

**Deleted:** 6.7.4.2.3.2

**Deleted:** was

If the phy supports the speed negotiation window rate, it shall initially transmit ALIGN (0) and it shall attempt to synchronize on an incoming series of dwords at that rate for the SNLT. The received dwords may be ALIGN (0) or ALIGN (1) primitives. If the phy achieves dword synchronization within the SNLT, it shall change from transmitting ALIGN (0) primitives to transmitting ALIGN (1) primitives for the remainder of the SNTT (i.e., the remainder of the speed negotiation window time). The point at which the phy achieves dword synchronization is the Actual lock time (ALT). The change from transmitting ALIGN (0) primitives to transmitting ALIGN (1) primitives shall occur after ALT. If the phy does not achieve dword synchronization within the SNLT, it shall continue transmitting ALIGN (0) primitives for the remainder of the SNTT (i.e., the remainder of the speed negotiation window time).

At the end of the SNTT, if a phy is both transmitting and receiving ALIGN (1) primitives, it shall consider ~~the~~ physical link rate valid.

**Deleted:** that

SNW-1, SNW-2 and Final-SNW ~~shall be transmitted~~ with spread spectrum clocking disabled.

**Deleted:** are always performed

### 6.7.4.2.3.2 SNW-3 Definition

SNW-3 ~~allows~~ the phys to exchange information about their capabilities (e.g., physical link rates supported information, SSC support information, and multiplexing support).

**Deleted:** provides a means for

**Deleted:** If a phy supports SNW-3, then the phy will transmit 32 bits of information that describes its capabilities and the phy will attempt to receive 32 bits of information that describes the capabilities of the far end phy. If a phy does not support SNW-3, then it shall transmit D.C. Idle during the entire SNW-3.¶

~~If a phy supports SNW-3, then:~~

- ~~a) the phy transmits 32 bits of information describing the capabilities of the phy; and~~
- ~~b) the phy receives :~~
  - ~~A) 32 bits of information from the attached phy; or~~
  - ~~B) D.C. Idle.~~

**Deleted:** can

**Deleted:** "1"

**Deleted:** "0"

**Deleted:** bit cells

~~If a phy does not support SNW-3, then:~~

- ~~a) the phy transmits D.C. Idle; and~~
- ~~b) the phy ignores received SNW-3 signaling.~~

**Deleted:** Each bit cell is one Bit Cell Time (BCT) wide (see Table 80).

Each of the 32 bits of information ~~may be a one or a zero~~. The transmitter transmits 32 ~~BCTs~~ (see Table 80) after an RCDT and then transmits D.C. Idle for the remainder of SNTT.

**Deleted:** bit cell

Each ~~BCT~~ consists of the transmission of a COMWAKE signal (see 6.6.2) or the transmission of D.C. Idle. The transmission of a COMWAKE indicates a bit value of ~~one~~. The transmission of D.C Idle for a BCT indicates a bit value of ~~zero~~.

**Deleted:** for a BCT

**Deleted:** "1"

**Deleted:** "0"

~~Table 81~~ defines the information bits.

**Deleted:** COMWAKE signals shall be transmitted at 1,5 Gbps.¶

~~Figure 123~~ defines the SAS SNW-3, including:

**Deleted:** Table 81

**Deleted:** Figure 123

- a) speed negotiation window time;
- b) speed negotiation window rate of 1,5 Gbps;
- c) rate change delay time (RCDT); and
- d) speed negotiation transmit time (SNTT)..

<Rev 5 added periods at the end of sentences, removed periods from discriptors, and changed "1" and "0" to one and zero in figure 123.>

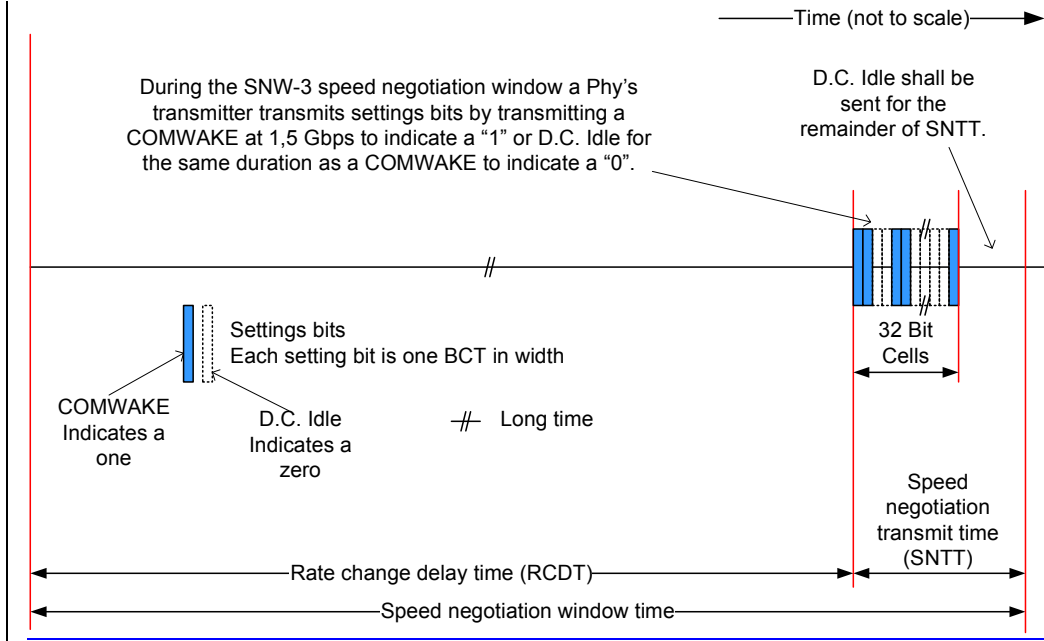


Figure 123 — SAS SNW-3

<<Start of text from 06-363>>

Table 81 defines the SNW-3 information.

Deleted: Table 81

Table 81 — SNW-3 settings bits

Bit(s)	Description
0 (first bit)	START bit
1	TX SSC TYPE bit
2 to 7	Reserved
Supported Settings	
8	G1 WITH SSC SUPPORTED bit
9	G1 WITHOUT SSC SUPPORTED bit
10	G2 WITH SSC SUPPORTED bit
11	G2 WITHOUT SSC SUPPORTED bit
12	G3 WITH SSC SUPPORTED bit
13	G3 WITHOUT SSC SUPPORTED bit
14 to 30	Reserved
Trailer	
31 (last bit)	PARITY field

The START bit shall be set to one. The phy's receiver shall use this bit to establish the timing for the subsequent bits.

A TX SSC TYPE bit set to one indicates that the phy's transmitter uses center-spreading SSC when SSC is enabled. A TX SSC TYPE bit set to zero indicates that the phy's transmitter uses down-spreading SSC when SSC is enabled, or that the phy does not support SSC.

NOTE 1 - The phy receiver may use the TX SSC TYPE bit to optimize its CDR circuitry. This bit indicates the type of SSC used when attached to a SAS phy or an expander phy; if a phy supports center-spreading when attached to a SAS phy or an expander phy and down-spreading when attached to a SATA phy, it sets the TX SSC TYPE bit to one.

The G<GENERATION NUMBER> WITH SSC SUPPORTED bits and G<GENERATION NUMBER> WITHOUT SSC SUPPORTED bits indicate the physical link rates and SSC options the phy is attempting to negotiate.

A G1 WITH SSC SUPPORTED bit set to one indicates that the phy supports G1 (i.e., 1.5 Gbps) with SSC. A G1 WITH SSC SUPPORTED bit set to zero indicates that the phy does not support G1 with SSC.

A G1 WITHOUT SSC SUPPORTED bit set to one indicates that the phy supports G1 without SSC. A G1 WITHOUT SSC SUPPORTED bit set to zero indicates that the phy does not support G1 without SSC.

A G2 WITH SSC SUPPORTED bit set to one indicates that the phy supports G2 (i.e., 3 Gbps) with SSC. A G2 WITH SSC SUPPORTED bit set to zero indicates that the phy does not support G2 with SSC.

A G2 WITHOUT SSC SUPPORTED bit set to one indicates that the phy supports G2 without SSC. A G2 WITHOUT SSC SUPPORTED bit set to zero indicates that the phy does not support G2 without SSC.

A G3 WITH SSC SUPPORTED bit set to one indicates that the phy supports G3 (i.e., 6 Gbps) with SSC. A G3 WITH SSC SUPPORTED bit set to zero indicates that the phy does not support G3 with SSC.

A G3 WITHOUT SSC SUPPORTED bit set to one indicates that the phy supports G3 without SSC. A G3 WITHOUT SSC SUPPORTED bit set to zero indicates that the phy does not support G3 without SSC.

The PARITY bit provides for error detection of all the SNW-3 information bits. The PARITY bit shall be set to one or zero such that the total number of SNW-3 information bits that are set to one is even, including the START bit and the PARITY bit. If the PARITY bit received is incorrect, the phy shall consider it a phy reset problem.

<<End of text from 06-363>>

For bits defined as reserved, the phy shall transmit zeros (i.e., D.C. Idle) in the transmitted SNW-3 information and shall ignore the bits in the received SNW-3 information.

Deleted: outgoing

Deleted: incoming

<<Start of text from 06-363>>

[Table 82](#) lists some example SNW-3 settings bits.

Deleted: Table 82

**Table 82 — Example SNW-3 settings bits**

Code <sup>a</sup>	Description
80A80000h	Down-spreading SSC G1, G2, and G3 with SSC supported
80FC0001h	Down-spreading SSC G1, G2, and G3 with and without SSC supported
C0540001h	Center-spreading SSC G1, G2, and G3 without SSC supported
C0FC0000h	Center-spreading SSC G1, G2, and G3 with and without SSC supported

<sup>a</sup> Expressed as a 32-bit value with bit 0 (i.e., the START bit) as the MSB and bit 31 as the LSB (i.e., the PARITY bit).

<<End of text from 06-363>>

~~If SNW-3 is not supported, then the phy shall transmit D.C. Idle during SNW-3 to indicate the SNW-3 is invalid.~~

~~If SNW-3 is supported and a phy receives at least one COMWAKE, then SNW-3 is valid.~~

~~If SNW-3 is valid and the parity of the received BCTs is incorrect, then a phy reset problem has occurred.~~

<<Start of text from 06-363>>

The phy shall negotiate to the highest commonly supported settings based on the outgoing and incoming SNW-3 information supported settings bits. For bits defined as reserved, the phy shall transmit zeros in the outgoing SNW-3 information and shall ignore the bits in the incoming SNW-3 information.

[Table 83](#) defines the priority of the SNW-3 supported settings.

**Table 83 — Negotiation priority of SNW-3 information supported settings**

Priority	Supported Setting
Highest	G3 with SSC
...	G3 without SSC
...	G2 with SSC
...	G2 without SSC
...	G1 with SSC
Lowest	G1 without SSC

<<End of text from 06-363>>

The transmitter shall use SAS signal output levels during the SAS speed negotiation sequence as described in 5.3.6.5.

<<The phy working group conference call had started, but not completed, the review of 6.7.4.2.3.3 during the 9/28/06 conference call. Some changes were made to this section but more are likely.>>

**6.7.4.2.3.3 Training-SNW Definition**

[Figure 124](#) defines the Training-SNW, including:

- a) final speed negotiation window time;
- b) rate change delay time (RCDT);
- c) actual training time (ATT); and
- d) maximum training time (MTT).

<Rev 5 added periods at the end of sentences, removed periods from discriptors in figure 124.>

**Deleted:** If a phy does not transmit during SNW-3, then the SNW-3 is invalid. If a phy does not receive at least one COMWAKE during SNW-3, then the SNW-3 is invalid. ¶  
 If one or more COMWAKE primitive sequences are received during SNW-3, but the number of COMWAKE primitive sequences received is odd (i.e., the PARITY received is incorrect), the phy shall consider it a phy reset problem. ¶  
 ¶  
 ¶  
 ¶  
 If a phy transmits its capabilities during SNW-3 and receives COMWAKE primitive sequences during SNW-3 and the number of COMWAKE primitive sequences is even (i.e., correct parity was detected), then the SNW-3 is valid.

**Deleted:** ¶

**Deleted:** Table 83

**Deleted:** device

**Formatted:** English (U.S.)

**Deleted:** Figure 124

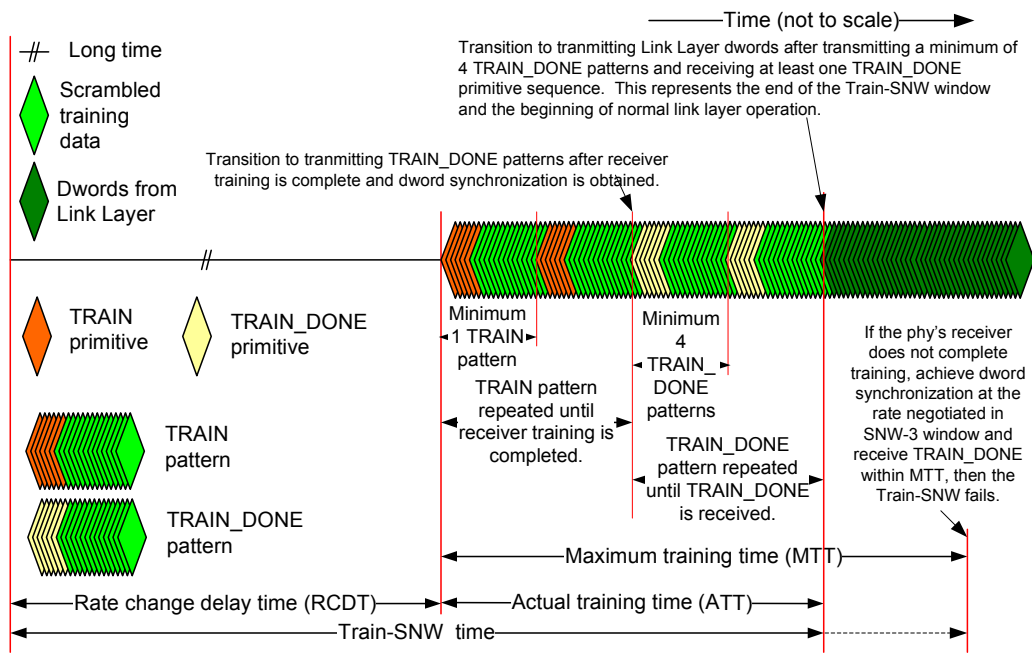


Figure 124 — SAS Train-SNW

Deleted: 124  
Formatted: English (U.S.)

The Train-SNW utilizes TRAIN and TRAIN\_DONE primitive sequences as defined in x.x.x.x. These primitive sequences are used within training patterns.

There are two training patterns, the TRAIN pattern and the TRAIN\_DONE pattern. Each training pattern consists of:

- 1) either a TRAIN primitive sequence if the pattern is a TRAIN pattern, or a TRAIN\_DONE primitive sequence if the pattern is a TRAIN\_DONE pattern; and
- 2) 58 dwords of value 0000\_0000h that are transmitted scrambled and 8b10b encoded.

The scrambler is as defined for the link layer and shall be initialized at the end of RCDT within the Train-SNW window. The scrambler shall not be re-initialized for the remainder of the Train-SNW.

Deleted: re-  
Deleted: beginning of the MTT  
Deleted: portion of

The transmitter shall start transmitting TRAIN patterns at the beginning of the MTT portion of the Train-SNW window. The number of TRAIN patterns transmitted is determined by the time required for the phy's receiver to complete training.

When the phy's receiver is trained and has acquired dword synchronization, the phy shall stop transmitting the TRAIN patterns and start transmitting with TRAIN\_DONE patterns. A minimum of four TRAIN\_DONE patterns shall be transmitted.

If the phy has transmitted four or more TRAIN\_DONE patterns and has received a minimum of one TRAIN\_DONE primitive sequence before the maximum training time (MTT) has been reached, the phy shall stop transmitting TRAIN\_DONE patterns and shall start transmitting dwords from the link layer. In this case the Train-SNW was successful.

If either phy has not transmitted a minimum of four TRAIN\_DONE patterns before the maximum training time (MTT) has been reached, the SNW-3 was unsuccessful.

#### 6.7.4.2.4 SAS speed negotiation sequence

The SAS speed negotiation sequence consists of a set of speed negotiation windows (see [6.7.4.2.3](#)) for each physical link rate in this order:

**Deleted:** 6.7.4.2.3

- 1) SNW-1 (used to negotiate 1,5 Gbps without training capabilities);
- 2) SNW-2 (used to negotiate 3 Gbps without training capabilities); and
- 3) One of the following sequences:
  - a) A Final-SNW negotiating 1,5 Gbps if SNW-1 was valid and SNW-2 was invalid;
  - b) An SNW-3 followed by one of the following
    - A) A Final-SNW negotiating 3,0 Gbps if SNW-2 was valid and SNW-3 was invalid;
    - B) One or more Training-SNWs if SNW-3 was valid

If SNW-1, SNW-2 and SNW-3 were invalid, a Phy Reset Problem has occurred.

If a Final-SNW window is performed and is unsuccessful, then a Phy Reset Problem has occurred.

<<Start of text from 06-363>>

<<End of text from 06-363>>

**Deleted:** If the phy does not successfully negotiate the highest commonly supported setting in the final SNW, it shall perform another final SNW with the next highest commonly supported setting (e.g., if the phys support both G3 without SSC and G3 with SSC but fail to negotiate the final SNW successfully using G3 with SSC, they shall perform another final SNW using G3 without SSC).

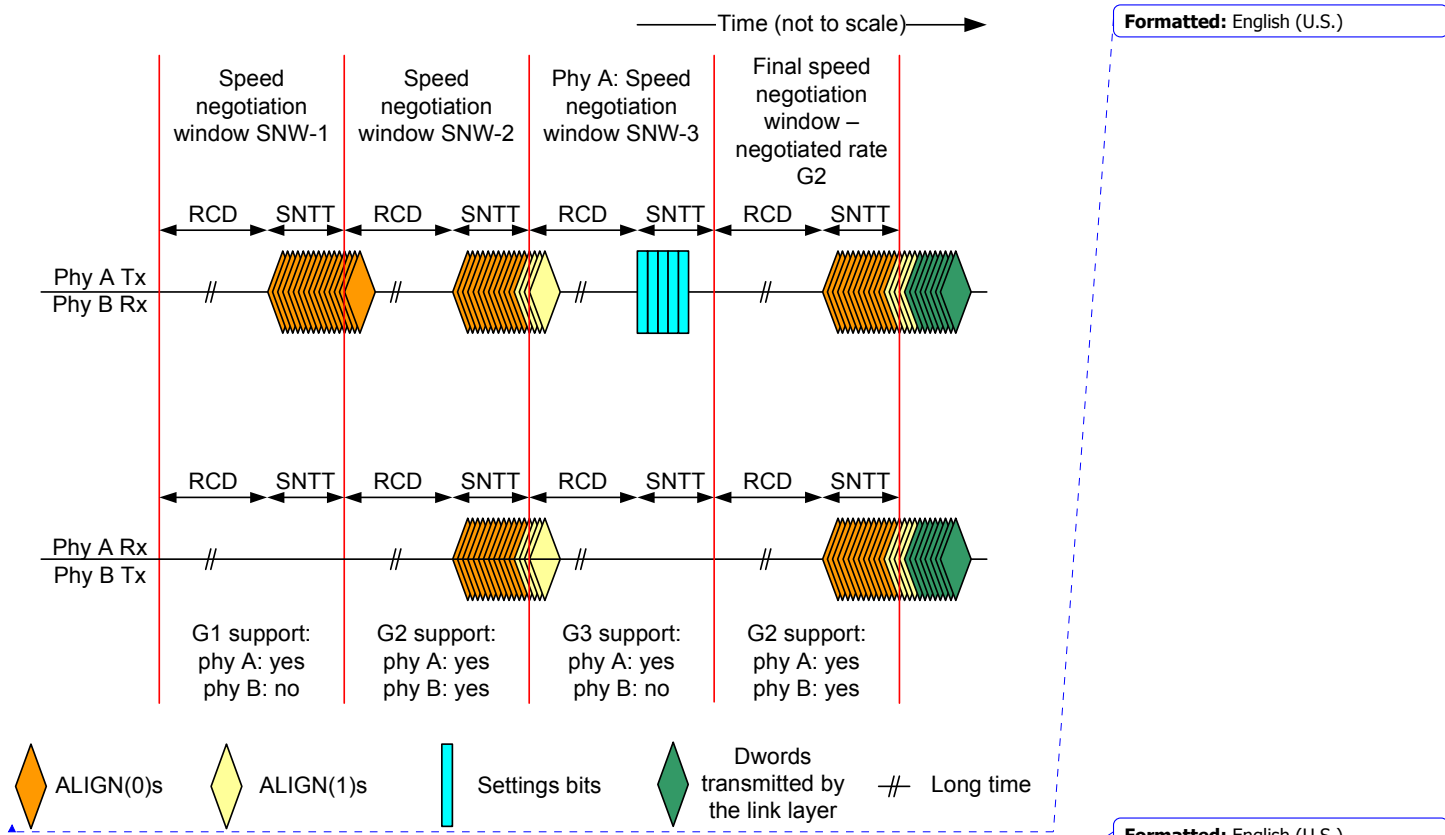
If a phy participated in an SNW-3 window by transmitting its supported settings and successfully received the supported settings transmitted by the other phy, the phy shall participate in a Training-SNW utilizing the highest commonly supported settings based on the outgoing and incoming SNW-3 settings bits.

If a Training-SNW is performed and is unsuccessful and there are additional, untried commonly supported settings exchanged during SNW-3, then a new Training-SNW is performed at the next highest, untried commonly supported capability. If a Training-SNW is performed and is unsuccessful and there were no additional, untried commonly supported settings exchanged during SNW-3, then a Phy Reset Problem has occurred.

[Figure 125](#), shows speed negotiation between a phy A that supports G1 through G3 and a phy B that only supports G2. Both phys run:

**Deleted:** Figure 125

- 1) the SNW-1, supported by phy A but not by phy B;
- 2) the SNW-2, supported by both phys; and
- 3) the SNW-3, supported by phy A but not by phy B.
- 4) the Final-SNW at 3,0 Gbps



**Figure 125 — SAS speed negotiation sequence (phy A: G1, G2, G3, phy B: G2 only)**

Formatted: English (U.S.)  
Deleted: 125

Phy A determines the correct speed negotiation sequence by detecting a valid SNW-2 window followed by an invalid SNW-3 window and correctly proceeds to a Final-SNW window at 3,0 Gbps.

Phy B could determine the correct speed negotiation sequence based solely upon its capabilities, but should determine the correct speed negotiation using the same method as used by Phy A.

If the phy does not achieve dword synchronization during the Final-SNW, the SAS speed negotiation sequence fails. This is defined as a phy reset problem and may be counted and reported in the PHY RESET PROBLEM COUNT field in the SMP REPORT PHY ERROR LOG page (see 10.4.3.6) and the Protocol-Specific Port log page (see 10.2.8.1).

Figure 126 shows a speed negotiation sequence where phy B does not achieve dword synchronization during the Final-SNW. If this occurs, the handshake is not complete and the phy reset sequence is retried.

Deleted: Figure 126

<Rev 5 added periods at the end of sentences, removed periods from discriptors in figure 126.>

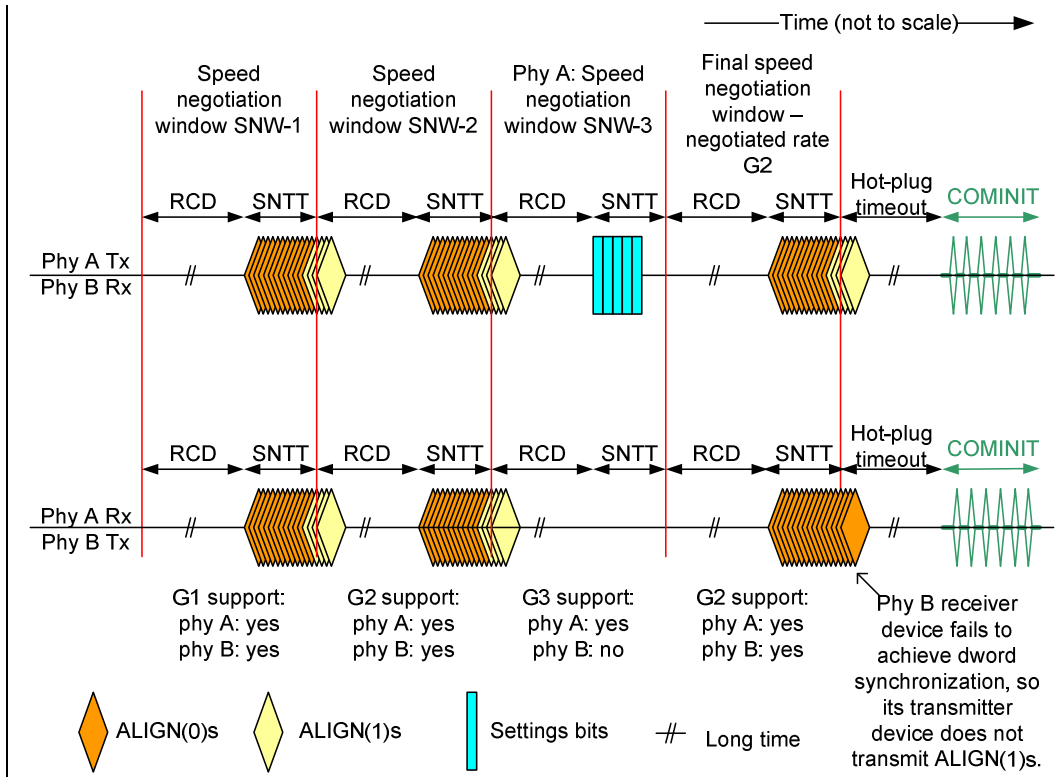


Figure 126 – SAS Final-SNW phy reset problem

Deleted: 126  
Formatted: English (U.S.)

Figure 127 show speed negotiation between a phy A and a phy B that both support G1 and G2 rates without requiring training and also support additional capabilities. Both phys run:

- 1) the SNW-1, supported by both phys;
- 2) the SNW-2, supported by both phys; and
- 3) the SNW-3, supported by both phys where the settings bits are exchanged and the highest common rate supported by both phys is negotiated; and
- 4) the Training-SNW, supported by both phys where training is done.

Formatted: English (U.S.)  
Deleted: Figure 127  
Formatted: English (U.S.)  
Field Code Changed  
Formatted: English (U.S.)

Formatted: English (U.S.)



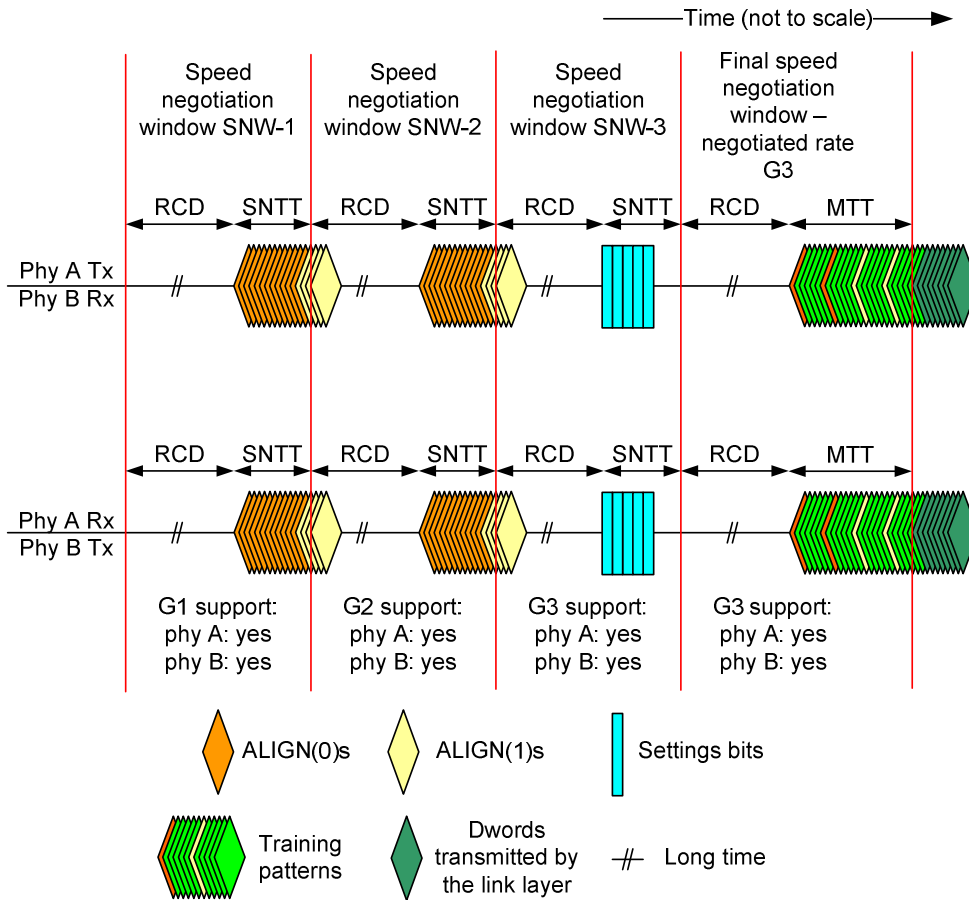


Figure 127 — SAS speed negotiation sequence (phy A: G1, G2, G3 or higher, phy B: G1, G2, G3 or higher)

Formatted: English (U.S.)

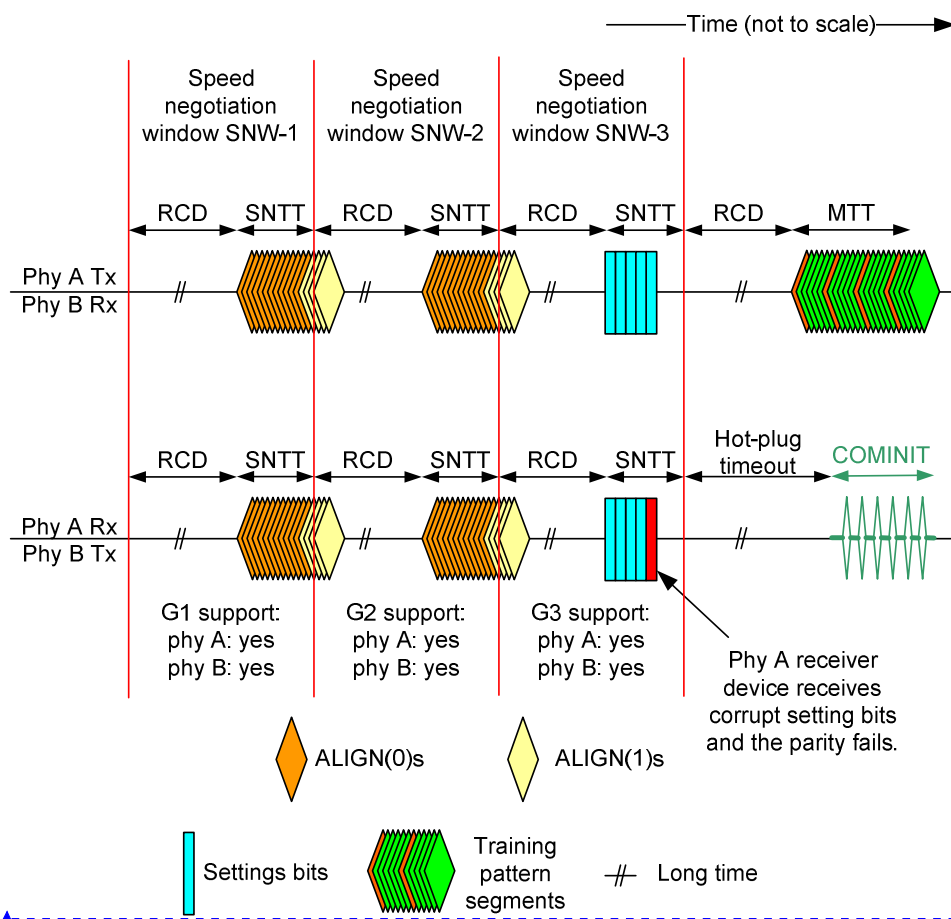
Deleted: 127

A phy reset problem may be encountered if:

- 1) the phys do not exchange the settings bits properly (e.g., due to a parity error) in SNW-3 window (see Figure 128); or
- 2) either phy does not complete training within the MTT interval of several training windows exhausting all common settings exchanged in SNW-3 (see Figure 129)..

Deleted: Figure 128

Deleted: Figure 129



Formatted: English (U.S.)

Figure 128 — SAS speed negotiation sequence - SNW-3 (maximum SNW) phy reset problem

Formatted: English (U.S.)

Deleted: 128

These phy reset problems may be counted and reported in the PHY RESET PROBLEM COUNT field in the SMP REPORT PHY ERROR LOG page (see 10.4.3.6) and the Protocol-Specific Port log page (see 10.2.8.1).

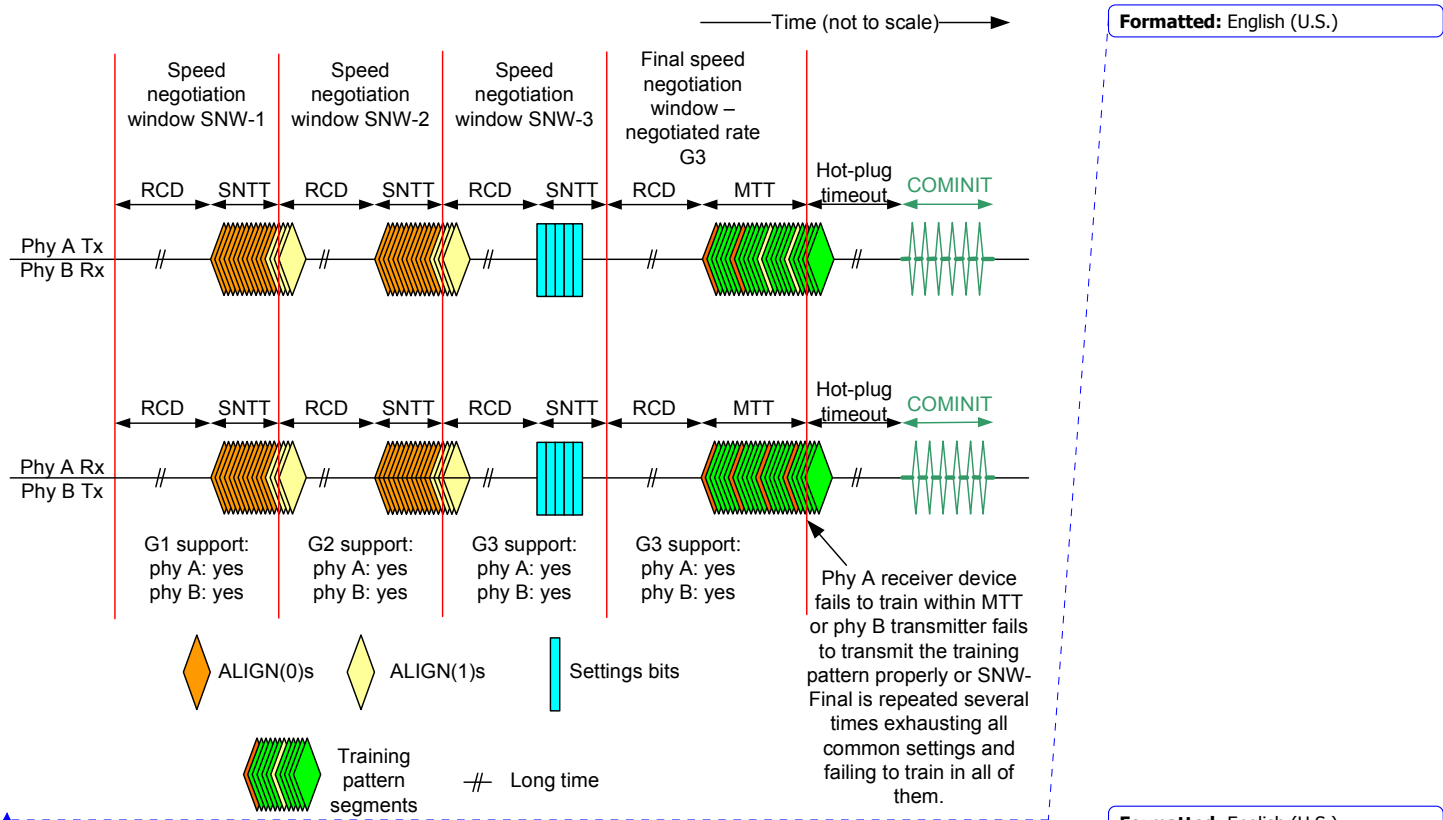


Figure 129 — SAS speed negotiation sequence - training (SNW-Final) phy reset problem

Formatted: English (U.S.)

Formatted: English (U.S.)

Deleted: 129

For more examples of speed negotiations between phys that support various speeds, see Annex C.

6.8 SP (phy layer) state machine

6.8.1 SP state machine overview

The SP state machine controls the phy reset sequence. This state machine consists of three sets of states:

- a) OOB sequence (OOB) states;
- b) SAS and SAS-2 speed negotiation (SAS) states; and
- c) SATA host emulation (SATA) states.

This state machine consists of the following states:

- a) SP0:OOB\_COMINIT (see 6.8.3.2)(initial state);
- b) SP1:OOB\_AwaitCOMX (see 6.8.3.3);
- c) SP2:OOB\_NoCOMSASTimeout(see 6.8.3.4);
- d) SP3:OOB\_AwaitCOMINIT\_Sent (see 6.8.3.4);
- e) SP4:OOB\_COMSAS (see 6.8.3.4);
- f) SP5:OOB\_AwaitCOMSAS\_Sent (see 6.8.3.4);
- g) SP6:OOB\_AwaitNoCOMSAS (see 6.8.3.4);
- h) SP7:OOB\_AwaitCOMSAS (see 6.8.3.4);
- i) SP8:SAS\_Start (see 6.8.3.4);
- j) SP9:SAS\_RateNotSupported (see 6.8.3.4);
- k) SP10:SAS\_AwaitALIGN (see 6.8.3.4);

- l) SP11:SAS\_AwaitALIGN1 (see 6.8.3.4);
- m) SP12:SAS\_AwaitSNW (see 6.8.3.4);
- n) SP13:SAS\_Pass (see 6.8.3.4);
- o) SP14 SAS\_Fail (see 6.8.3.4);
- p) SP15:SAS\_PHY\_Ready (see 6.8.3.4);
- q) SP16:SATA\_COMWAKE (see 6.8.3.4);
- r) SP17:SATA\_AwaitCOMWAKE (see 6.8.3.4);
- s) SP18:SATA\_AwaitNoCOMWAKE (see 6.8.3.4);
- t) SP19:SATA\_AwaitALIGN (see 6.8.3.4);
- u) SP20:SATA\_AdjustSpeed (see 6.8.3.4);
- v) SP21:SATA\_Transmit\_ALIGN (see 6.8.3.4);
- w) SP22:SATA\_PHY\_Ready (see 6.8.3.4);
- x) SP23:SATA\_PM\_Partial (see 6.8.3.4);
- y) SP24:SATA\_PM\_Slumber (see 6.8.3.4);
- z) SP25:SATA\_PortSel (see 6.8.3.4); and
- aa) SP26:SATA\_SpinupHold (see 6.8.3.4).
- bb) SP27:SAS2\_Settings (see 6.8.3.4);
- cc) SP28:SAS2\_FinalSNW (see 6.8.3.4);
- dd) SP29:SAS2\_Training (see 6.8.3.4); and
- ee) SP30:SAS2\_TrainingDone (see 6.8.3.4).

The SP state machine shall start in the SP0:OOB\_COMINIT state after:

- a) a power on;
- b) a hard reset;
- c) receiving a Management Reset request from the management layer (e.g., from the SMP PHY CONTROL function requesting a phy operation of LINK RESET or HARD RESET in an expander device); or
- d) receiving a Disable Phy request from the management layer (e.g., from the SMP PHY CONTROL function requesting a phy operation of DISABLE in an expander device).

The SP state machine shall maintain a MgmtReset state machine variable to determine whether a Management Reset request has been received. Any SP state that receives a Management Reset request shall set the MgmtReset state machine variable to one before making a transition to the SP0:OOB\_COMINIT state (see 6.8.3.2). Any SP state that receives a power on, or a hard reset shall set the MgmtReset state machine variable to zero before making a transition to the SP0:OOB\_COMINIT state.

If the phy supports SATA port selectors, the SP state machine shall maintain a COMWAKE\_Received state machine variable to indicate whether a COMWAKE detected message was received in the SP0:OOB\_COMINIT state or the SP1:OOB\_AwaitCOMX state since the last time the SP0:OOB\_COMINIT state was entered, and the SP state machine shall transition to the SP25:SATA\_PortSel state whenever it receives a Transmit SATA Port Selection Signal request.

The SP state machine sends the following messages to the SP\_DWS state machine (see 6.9):

- a) Start DWS; and
- b) Stop DWS.

The SP state machine receives the following messages from the SP\_DWS state machine:

- a) DWS Lost; and
- b) DWS Reset.

The SP state machine shall maintain the timers listed in [Table 84](#).

**Deleted:** Table 84

Table 84 — SP state machine timers

Timer	Initial value
COMSAS Detect Timeout timer	COMSAS detect timeout (see table 66 in 6.6.1)
Await ALIGN Timeout timer	Await ALIGN timeout (see table 73 in 6.7.2.2)
Hot-Plug Timeout timer	Hot plug timeout (see table 72 in 6.7.1)
RCDT timer	RCDT (see table 77 in 6.7.4.2)
SNLT timer	SNLT (see table 77 in 6.7.4.2)
SNTT timer	SNTT (see table 77 in 6.7.4.2)
MTT timer	MTT (see table 77 in 6.7.4.2)

Formatted: English (U.S.)

Deleted: 84

### 6.8.2 SP transmitter and receiver

The SP transmitter transmits OOB signals and dwords on the physical link based on messages from the SP state machine (see 6.8).

The SP transmitter receives the following messages from the SP state machine:

- a) Transmit COMINIT;
- b) Transmit COMSAS;
- c) Transmit COMWAKE;
- d) Transmit SATA Port Selection Signal;
- e) Transmit D10.2;
- f) Set Rate (Physical Link Rate);
- g) Transmit ALIGN with an argument indicating the specific type (e.g., Transmit ALIGN (0));
- h) Transmit Settings Bits;
- i) Transmit TRAIN sequence;
- j) Transmit TRAIN\_DONE sequence; and
- k) Set SSC.

When not otherwise instructed, the SP transmitter transmits D.C. idle.

The SP transmitter shall complete any physical link rate change requested with the Set Rate message within RCDT (see table 77 in 6.7.4.2).

The SP transmitter sends the following messages to the SP state machine:

- a) COMINIT Transmitted;
- b) COMSAS Transmitted;
- c) COMWAKE Transmitted; and
- d) SATA Port Selection Signal Transmitted.

The SP receiver receives OOB signals and dwords from the physical link and sends messages to the SP state machine indicating what it has received.

The SP receiver sends the following messages to the SP state machine:

- a) COMINIT Detected;
- b) COMSAS Detected;
- c) COMWAKE Detected;
- d) COMSAS Completed;
- e) COMWAKE Completed;
- f) ALIGN Received with an argument indicating the specific type (e.g., ALIGN Received (0));
- g) Dword Received;
- h) Settings Bits Detected;
- i) TRAIN Completed; and
- j) TRAIN\_DONE Detected.

The ALIGN Received and Dword Received messages are only sent when the SP\_DWS state machine has achieved dword synchronization.

For SATA speed negotiation, the ALIGN Received (0) message includes an argument containing the physical link rate at which the ALIGN (0) primitives were detected. For SAS speed negotiation, only ALIGNs at the physical link rate specified by the last Set Rate message received by the SP transmitter cause ALIGN Received messages.

<break in paragraph sequence>

#### 6.8.4 SAS speed negotiation states

##### 6.8.4.1 SAS speed negotiation states overview

[Figure 131](#) shows the SAS speed negotiation states, in which the phy has detected that it is attached to a SAS phy or expander phy rather than a SATA phy, and performs the SAS speed negotiation sequence. These states are indicated by state names with a prefix of SAS.

Formatted: English (U.S.)

Deleted: Figure 131

Field Code Changed

Formatted: English (U.S.)

Formatted: English (U.S.)

Formatted: English (U.S.)

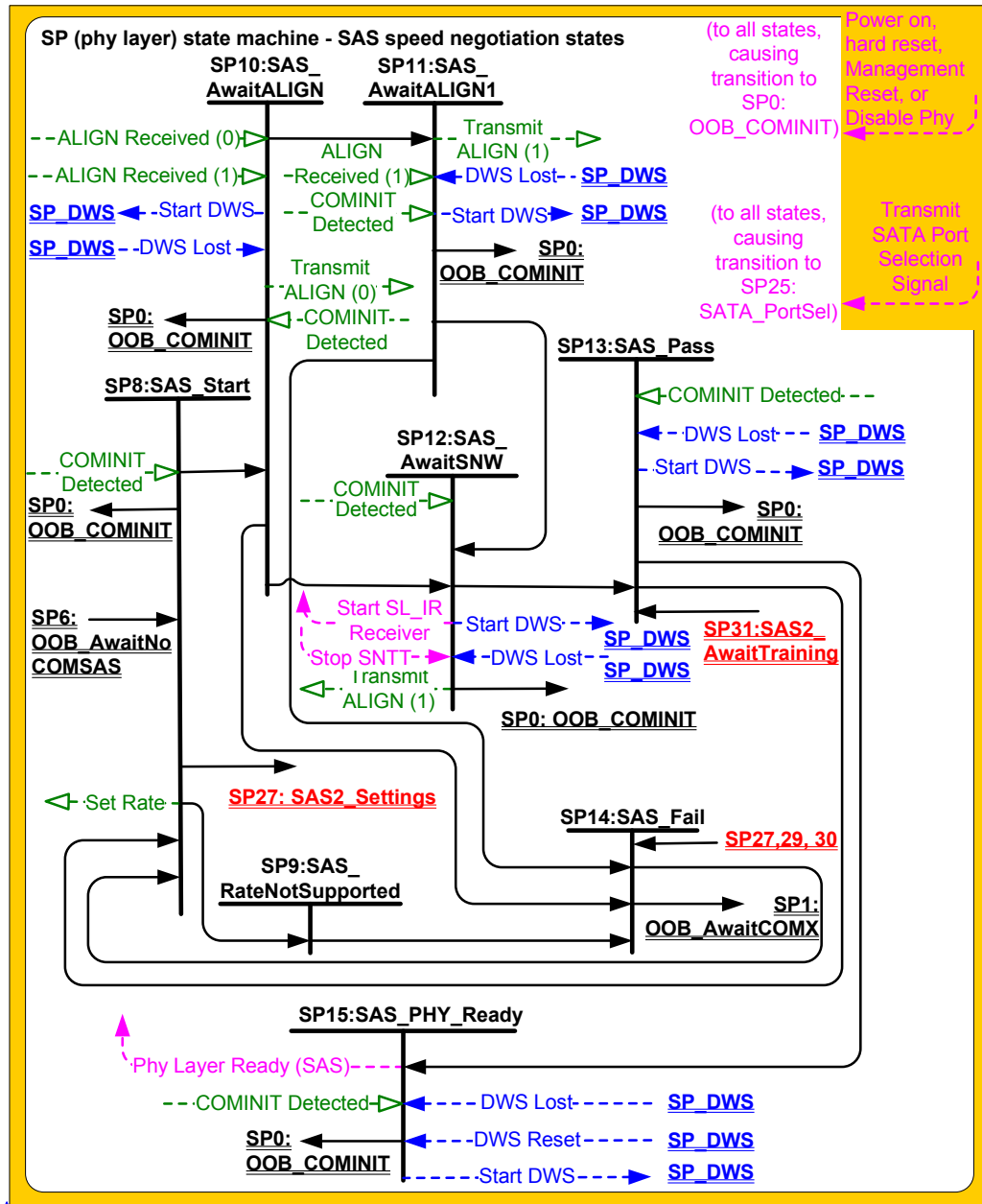


Figure 131— SP (phy layer) state machine - SAS speed negotiation states

Figure 132 shows the rest of the SAS speed negotiation states related to SNW-3 and the SNW-Final speed negotiation windows. These states are indicated by state names with a prefix of SAS2.

Formatted: English (U.S.)

Deleted: 131

Formatted: English (U.S.)

Formatted: English (U.S.)

Formatted: English (U.S.)

Formatted: English (U.S.)

Field Code Changed

Formatted: English (U.S.)

Deleted: Figure 132

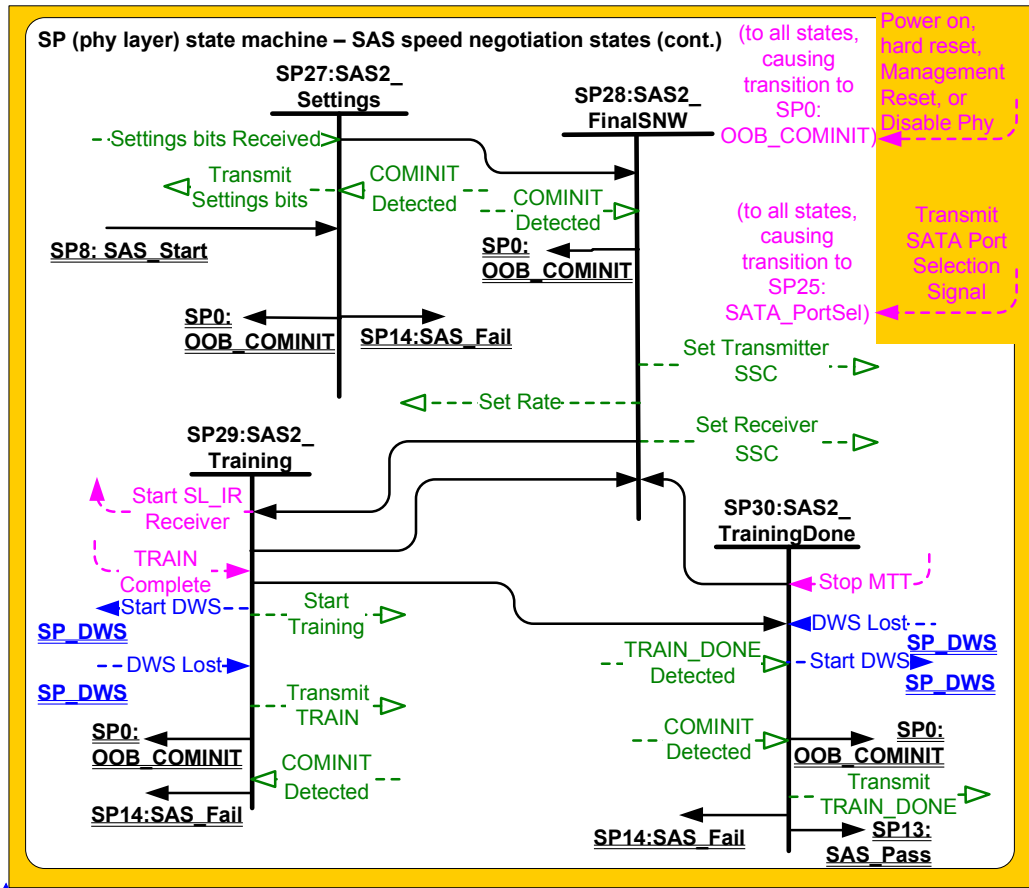


Figure 132 — SP (phy layer) state machine - SAS speed negotiation states (continued)

Formatted: English (U.S.)

Formatted: English (U.S.)

Deleted: 132

6.8.4.2 SP8:SAS\_Start state

6.8.4.2.1 State description

This is the initial state for the SAS speed negotiation sequence.

Upon entry into this state, this state shall:

- a) initialize and start the RCDT timer;
- b) send a Set Rate message to the SP transmitter with the argument set to:
  - A) 1,5 Gbps, if the transition into this state was from the SP6:OOB\_AwaitNoCOMSAS state (i.e., if this is the first speed negotiation window); or
  - B) 1,5 Gbps, if the SAS Speed Negotiaion Window is SNW-3; or
  - C) the value of the SAS Speed Negotiation Window Rate argument for G1 and G2 in SNW-1 and SNW-2.

During this state D.C. idle shall be transmitted.

6.8.4.2.2 Transition SP8:SAS\_Start to SP0:OOB\_COMINIT

This transition shall occur after receiving a COMINIT Detected message.

6.8.4.2.3 Transition SP8:SAS\_Start to SP9:SAS\_RateNotSupported



This transition shall occur after the RCDT timer expires if the current speed negotiation window rate is not supported.

#### **6.8.4.2.4 Transition SP8:SAS\_Start to SP10:SAS\_AwaitALIGN**

This transition shall occur after the RCDT timer expires if the current speed negotiation window is not SNW-3 and its rate is supported.

#### **6.8.4.2.5 Transition SP8:SAS\_Start to SP27:SAS2\_Settings**

Formatted: English (U.S.)

This transition shall occur after the RCDT timer expires if the current speed negotiation window is SNW-3.

### **6.8.4.3 SP9:SAS\_RateNotSupported state**

#### **6.8.4.3.1 State description**

Upon entry into this state the SNTT timer shall be initialized and started. During this state D.C. idle shall be transmitted.

#### **6.8.4.3.2 Transition SP9:SAS\_RateNotSupported to SP14:SAS\_Fail**

This transition shall occur after the SNTT timer expires.

### **6.8.4.4 SP10:SAS\_AwaitALIGN state**

#### **6.8.4.4.1 State description**

Upon entry into this state, the SNTT timer and SNLT timer shall be initialized and started and this state shall repeatedly send Transmit ALIGN (0) messages to the SP transmitter.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

Upon entry into this state, this state shall send a Start DWS message to the SP\_DWS state machine.

#### **6.8.4.4.2 Transition SP10:SAS\_AwaitALIGN to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

#### **6.8.4.4.3 Transition SP10:SAS\_AwaitALIGN to SP11:SAS\_AwaitALIGN1**

This transition shall occur if this state receives an ALIGN Received (0) message before the SNLT timer expires.

#### **6.8.4.4.4 Transition SP10:SAS\_AwaitALIGN to SP12:SAS\_AwaitSNW**

This transition shall occur if this state receives an ALIGN Received (1) message before the SNLT timer expires.

#### **6.8.4.4.5 Transition SP10:SAS\_AwaitALIGN to SP14:SAS\_Fail**

This transition shall occur if the SNTT timer expires.

### **6.8.4.5 SP11:SAS\_AwaitALIGN1 state**

#### **6.8.4.5.1 State description**

This state shall repeatedly send Transmit ALIGN (1) messages to the SP transmitter.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

#### **6.8.4.5.2 Transition SP11:SAS\_AwaitALIGN1 to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

#### **6.8.4.5.3 Transition SP11:SAS\_AwaitALIGN1 to SP12:SAS\_AwaitSNW**

This transition shall occur if this state receives an ALIGN Received (1) message before the SNLT timer expires and the current speed negotiation window is either SNW-1 or SNW-2. This indicates that the other phy has been able to achieve dword synchronization in the current speed negotiation window.

#### **6.8.4.5.4 Transition SP11:SAS\_AwaitALIGN1 to SP14:SAS\_Fail**

This transition shall occur if the SNTT timer expires. This indicates that the other phy has not been able to achieve dword synchronization in the current speed negotiation window.

#### **6.8.4.6 SP12:SAS\_AwaitSNW state**

##### **6.8.4.6.1 State description**

This state shall repeatedly send Transmit ALIGN (1) messages to the SP transmitter. If this is the final speed negotiation window, this state shall send a Start SL\_IR Receiver confirmation to the link layer.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

This state waits for the SNTT timer to expire or for a Stop SNTT request.

#### **6.8.4.6.2 Transition SP12:SAS\_AwaitSNW to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

#### **6.8.4.6.3 Transition SP12:SAS\_AwaitSNW to SP13:SAS\_Pass**

This transition shall occur in the SNW-1 or SNW-2 windows after the SNTT timer expires or after receiving a Stop SNTT request.

#### **6.8.4.7 SP13:SAS\_Pass state**

##### **6.8.4.7.1 State description**

This state determines if:

- a) another SAS speed negotiation window is required; or
- b) the SAS speed negotiation sequence is complete.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

**6.8.4.7.2 Transition SP13:SAS\_Pass to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

**6.8.4.7.3 Transition SP13:SAS\_Pass to SP8:SAS\_Start**

Formatted: English (U.S.)

This transition shall occur if this is not the final speed negotiation window.

This transition shall include a SAS Speed Negotiation Window Rate argument with the transition set to the next higher speed negotiation window rate or to 1,5 Gbps if the next speed negotiation window is SNW-3.

**6.8.4.7.4 Transition SP13:SAS\_Pass to SP15:SAS\_PHY\_Ready**

This transition shall occur if this is the final speed negotiation window.

**6.8.4.8 SP14:SAS\_Fail state****6.8.4.8.1 State description**

This state determines if:

- a) another SAS speed negotiation window is required; or
- b) the SAS speed negotiation sequence is complete.

**6.8.4.8.2 Transition SP14:SAS\_Fail to SP1:OOB\_AwaitCOMX**

This transition shall occur if the current speed negotiation window is:

- a) the maximum SAS speed negotiation window; or
- b) the final SAS speed negotiation window.

**6.8.4.8.3 Transition SP14:SAS\_Fail to SP8:SAS\_Start**

If the previous SAS speed negotiation window (SNW-1 or SNW-2, but not SNW-3) was successful, this transition shall occur and shall include:

- a) a SAS Speed Negotiation Window Rate argument set to the previous speed negotiation window rate; and
- b) a Final SAS Speed Negotiation Window argument.

If the previous SAS speed negotiation window failed and the current speed negotiation window is not the maximum SAS speed negotiation window, this transition shall occur and shall include a SAS Speed Negotiation Window Rate argument set to the next higher speed negotiation window rate.

**6.8.4.9 SP15:SAS\_PHY\_Ready state****6.8.4.9.1 State description**

This state waits for a COMINIT Detected message, a DWS Lost message, or a DWS Reset message.

While in this state dwords from the link layer are transmitted at the negotiated physical link rate at the rate established in the previous speed negotiation window.

Upon entry into this state, this state shall send a Phy Layer Ready (SAS) confirmation to the link layer to indicate that the physical link has been brought up successfully in SAS mode.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

#### **6.8.4.9.2 Transition SP15:SAS\_PHY\_Ready to SP0:OOB\_COMINIT**

This transition shall occur after:

- a) receiving a DWS Lost message, if this state does not send a Start DWS message;
- b) receiving a DWS Lost message followed by a COMINIT Detected message, if this state does not send a Start DWS message; or
- c) receiving a DWS Reset message.

This transition may but should not occur after receiving a COMINIT Detected message before receiving a DWS Lost message, or after receiving a COMINIT Detected message after sending a Start DWS message (i.e., the SP state machine should ignore COMINIT Detected messages unless the SP\_DWS state machine has indicated loss of dword synchronization).

<insertion of new paragraphs – exact paragraph number to be determined at time of incorporation into the SAS-2 draft>

#### **6.8.4.10 SP27:SAS2\_Settings state**

##### **6.8.4.10.1 State description**

This state shall send Transmit Settings Bits message to the SP transmitter. This state shall transmit and receive the settings bits and negotiate the common settings according to table 74. This state waits for the SNTT timer to expire or for a Stop SNTT request.

##### **6.8.4.10.2 Transition SP27:SAS2\_Settings to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

##### **6.8.4.10.3 Transition SP27:SAS2\_Settings to SP28:SAS2\_FinalSNW**

This transition shall occur after the SNTT timer expires if a Settings Bits Detected message has been received and the settings bits are valid.

##### **6.8.4.10.4 Transition SP27:SAS2\_Settings to SP14:SAS\_Fail**

This transition shall occur if the SNTT timer expires without receiving valid setting bits.

#### **6.8.4.11 SP28:SAS2\_FinalSNW state**

##### **6.8.4.11.1 State description**

Upon entry into this state, this state shall:

- a) initialize and start the RCDT timer;
- b) send a Set Rate message to the SP transmitter with the arguments set to the negotiated value of the physical link rate common to both the transmitted and received settings bits according to the priority given in Table 74.
- c) send a Set SSC message to the SP transmitter if needed.
- d) send a Set SSC message to the SP receiver if needed.

During this state D.C. idle shall be transmitted.

##### **6.8.4.11.2 Transition SP28:SAS2\_FinalSNW to SP0:OOB\_COMINIT**

This transition shall occur after receiving a COMINIT Detected message.

**6.8.4.11.3 Transition SP28:SAS2\_FinalSNW to SP29:SAS2\_Training**

This transition shall occur after the RCDT timer expires.

**6.8.4.12 SP29:SAS2\_Training state****6.8.4.12.1 State description**

Upon entry into this state, the MTT timer shall be initialized and started and this state shall send Transmit TRAIN sequence message to the SP transmitter and a Start Training message to the SP receiver.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

This state shall send a Start SL\_IR Receiver confirmation to the link layer when a TRAIN Completed message is received and dword synchronization is acquired.

Upon entry into this state, this state shall send a Start DWS message to the SP\_DWS state machine.

**6.8.4.12.2 Transition SP29:SAS2\_Training to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

**6.8.4.12.3 Transition SP29:SAS2\_Training to SP30:SAS2\_TrainingDone**

This transition shall occur if this state receives a TRAIN Completed message before the MTT timer expires and dword synchronization is acquired.

**6.8.4.12.4 Transition SP29:SAS2\_Training to SP28:SAS2\_FinalSNW**

This transition shall occur if the MTT timer expires and the common settings exchanged in SNW-3 have not been exhausted. This indicates that this phy has not been able to complete training and achieve dword synchronization in the final speed negotiation window. This transition shall include an argument to indicate the next highest common settings of the received and transmitted settings in SNW-3 according to table 74.

**6.8.4.12.5 Transition SP29:SAS2\_Training to SP14:SAS\_Fail**

This transition shall occur if the MTT timer expires and the common settings exchanged in SNW-3 have been exhausted. This indicates that this phy has not been able to complete training and achieve dword synchronization in the final speed negotiation window.

**6.8.4.13 SP30:SAS2\_TrainingDone state****6.8.4.13.1 State description**

This state shall send Transmit TRAIN\_DONE sequence message to the SP transmitter. A minimum of 4 training segments with a TRAIN\_DONE redundant primitive sequence must be transmitted.

Each time this state receives a DWS Lost message, this state may send a Start DWS message to the SP\_DWS state machine to re-acquire dword synchronization without running a new link reset sequence.

This state waits for the MTT timer to expire or for a Stop MTT request.

**6.8.4.13.2 Transition SP30:SAS2\_TrainingDone to SP0:OOB\_COMINIT**

This transition shall occur after receiving a DWS Lost message if this state does not send a Start DWS message, or after receiving a COMINIT Detected message.

#### **6.8.4.13.3 Transition SP30:SAS2\_TrainingDone to SP13:SAS\_Pass**

This transition shall occur if this state receives a TRAIN\_DONE Detected message before the MTT timer expires, or after receiving a Stop MTT request. This indicates that the other phy has been able to complete training and achieve dword synchronization in the final speed negotiation window.

#### **6.8.4.13.4 Transition SP30:SAS2\_TrainingDone to SP28:SAS2\_FinalSNW**

This transition shall occur if the MTT timer expires and the common settings exchanged in SNW-3 have not been exhausted. This indicates that the peer phy has not been able to complete training and achieve dword synchronization in the final speed negotiation window. This transition shall include an argument to indicate the next highest common settings of the received and transmitted settings in SNW-3 according to table 74.

#### **6.8.4.13.5 Transition SP30:SAS2\_TrainingDone to SP14:SAS\_Fail**

This transition shall occur if the MTT timer expires. This indicates that the other phy has not been able to complete training and achieve dword synchronization in the final speed negotiation window.

Formatted: English (U.S.)