



# IEEE 802.3ap “Backplane Ethernet” Overview

T10 SAS Adhoc Meeting Houston  
May 25-26, 2005

Ali Ghiasi  
[aghiasi@broadcom.com](mailto:aghiasi@broadcom.com)

# History of Backplane Ethernet

- Ethernet previously did not standardize backplane interface as Ethernet traditionally focuses on the box interface.
  - Blade servers are changing the boundary of box / backplane interface
  - XAUI will be the closet standard in this space
- In Nov. 2003 IEEE 802.3 approved Backplane Ethernet Study group.
- In March 2004 Backplane Ethernet task force approved and 802.3ap officially started.
- Latest draft of 802.3ap is 0.9 and 0.91 will be available by June 6, located in member only area.
  - All the presentation and the task force material are available at <http://www.ieee802.org/3/ap/index.html>



05-214r1

SAS 6Gig Meeting May 25-26 2005



# What is Backplane Ethernet

- **Leverage Existing Ethernet fabric and MAC**
- **Use existing Ethernet standard to develop an interface optimized for backplane**
- **Not a chassis or connector specification**
- **Will not define mechanical, thermal, or material**
- **Primary area of focus will be:**
  - **Electrical specifications**
  - **Channel model specifications**



05-214r1

SAS 6Gig Meeting May 25-26 2005



# Justification for Backplane Ethernet

- **The convergence of network and computing created Blade-center.**
- **In blade center environment about half the Ethernet are internal and operates over the backplane.**
  - According to Gardner Report published IEEE Spectrum Apr. 2005 blade computing grows from \$1.1B to \$3.1B in 2009.
- **Already significant amount of blade computing are shipping.**
  - Many of these product use standard Ethernet chips and adopt them to operate over the backplane.
  - Majority of backplane Ethernet ports shipping with blade computing today is 1 Gig E but the migration to 10Gig E has started
    - At 10Gig commodity Ethernet chips may not operate reliably over the backplanes and proprietary solution will not have Ethernet economy of scale.



05-214r1

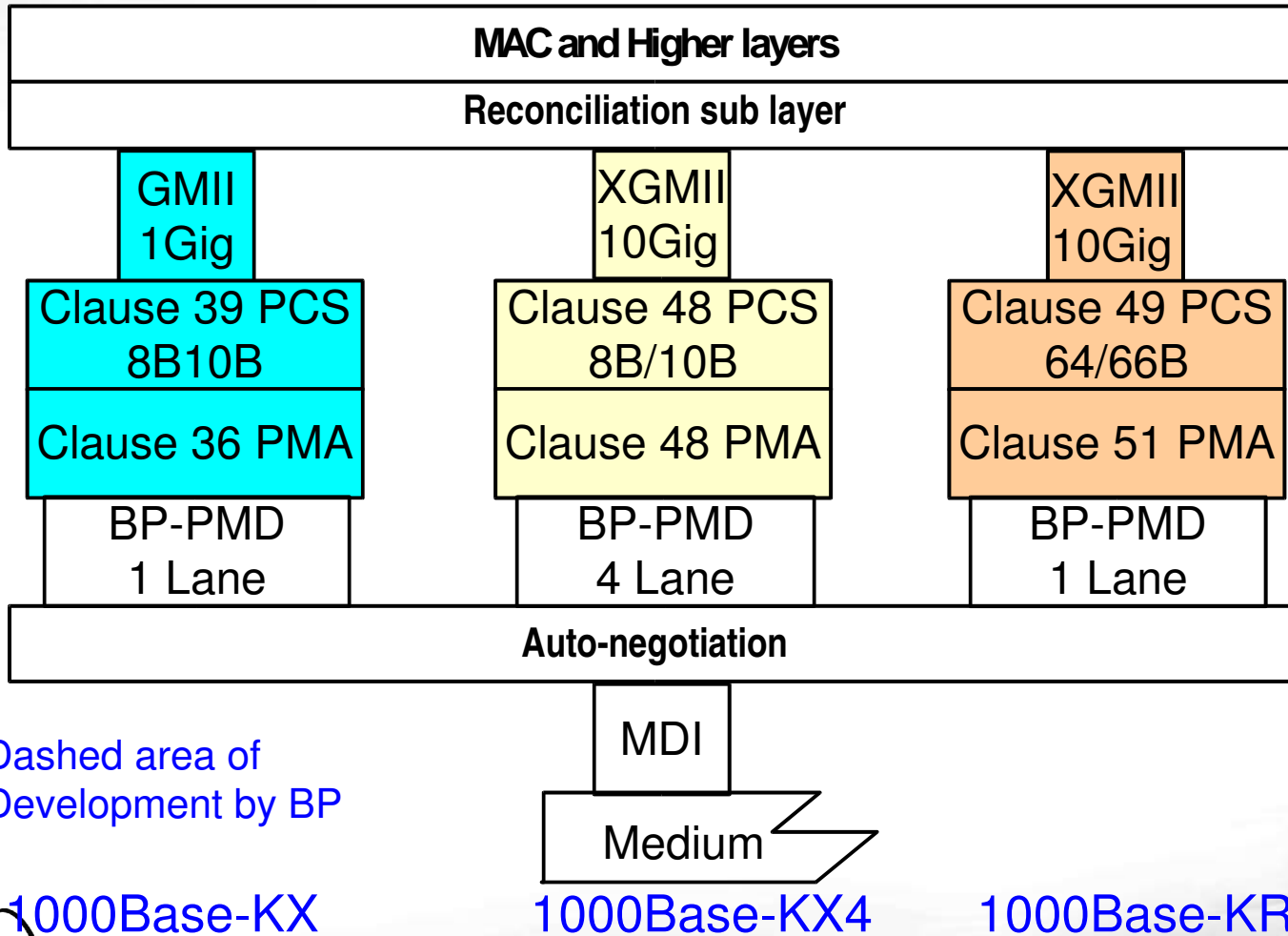
SAS 6Gig Meeting May 25-26 2005



# IEEE 802.3ap Objective as Specified in the PAR

- Preserve the 802.3 Ethernet frame format at the MAC client interface
- Preserve Min. and Max. frame size
- Support existing media independent interface
- Support operation over 1m of improved FR4 with 2 connectors
  - Define a 1 Gb/s PHY
  - Define a 10 Gb/s PHY
    - Single and 4 lane
- Automatic speed and feature negotiation.
- Support BER of 1E-12 or better
- Meet CISPR/FCC Class A.

# Backplane Ethernet Layer Model



Dashed area of  
Development by BP

1000Base-KX

1000Base-KX4

1000Base-KR

# Auto-Negotiation

- IEEE 802.3ap defines scheme to Auto-Negotiate between KX, KX4, and KR.
- Auto-Negotiation is optional and host instead may use parallel detect
- Auto-Negotiation management definition is based on the IEEE Clause 28 “ Definition of auto-negotiation for twisted-pair link Segment”.
  - The actual signaling is not based on the Fast Link Pulses (FLPs or OOB), but instead is based on differential Manchester coding which is more suitable for high speed backplane.

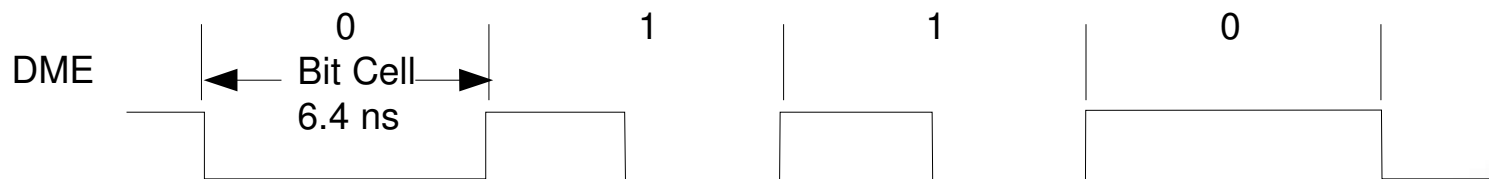
# XCVR Friendly Auto-neg

- **FLP “OOB” not XCVR Friendly for > 3 Gb/s**
  - Requires turning on/off transmitter very quickly ~100 ns pulse.
  - Receiver must have envelope detect to detect bursts.
  - PLL must acquire lock and adapt at the start of each training sequence
- **Attributes of XCVR Friendly Auto-neg**
  - Provide continuous clock information
  - DC balance
  - Baudrate low enough to operate on a untrained link
  - Higher than the signal minimum passband
  - Simple decode and encoder
  - Minimum additional requirement on the transceivers
- **6 Gig SAS can also benefit from a XCVR friendly Auto-Neg!**



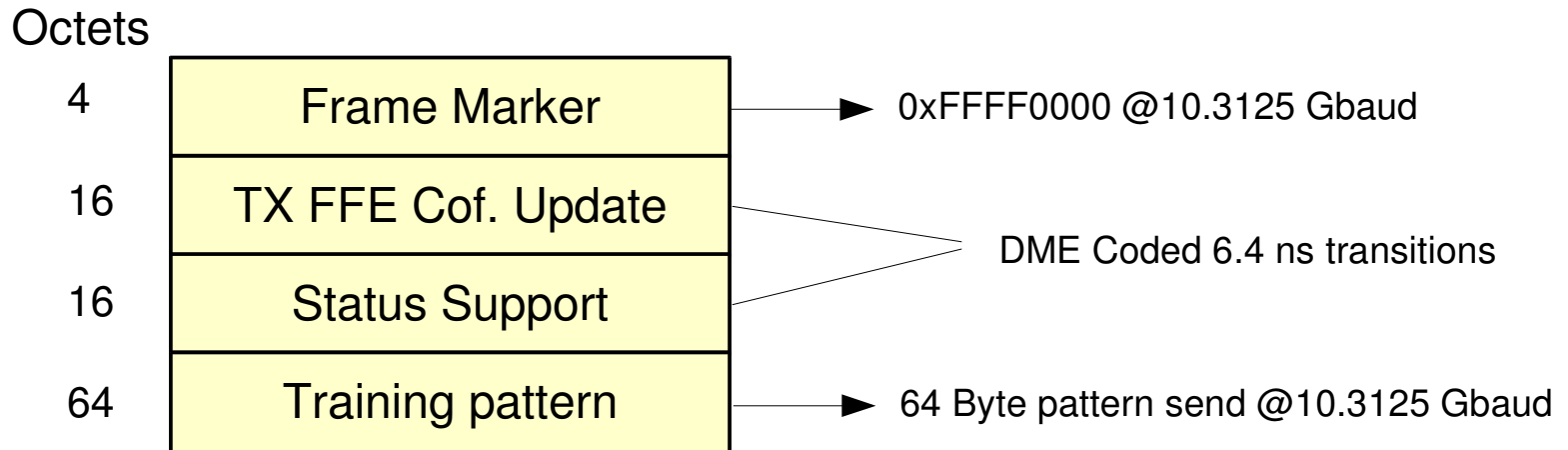
# Auto-Neg Based on Differential Manchester Encoding (DME)

- **During Auto-neg DME operates at 312.5 Mbaud (6.4 ns ck-ck)**
  - One symbol is equivalent to 33 symbols at 10.3125
  - Encoding rules bit cell always has transition
  - Generates DC-Balance signal at any one of the three rate currently defined
  - Bit cell center has transition for 1 but not for 0
  - Below example of DME signal



# Training Frame Structure

- **10GBASE-KR transmitter during training sends out DME codes at  $\frac{1}{4}$  of baudrate of 10.3125 Gb/s.**
  - Training frame structure is 100 octets in length as shown below:



# Compliance Points

- **Transmitter – Normative**

- Must meet electrical, jitter, and min tap granularity

- **Channel – Informative**

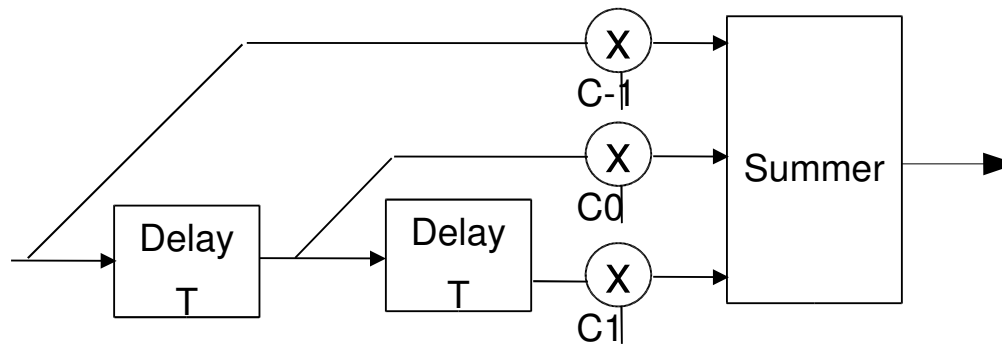
- Unlike Cat-x cable widely available in the market and standardized by the TIA you can't buy an IEEE / TIA complaint backplane.
- In contrast to CAT-x and Single Mode Fiber (SMF) backplanes have significantly greater degree of freedom with variation due to material, trace geometry, and connectors.
- IEEE BP has elected to be instead very precise regarding SerDes specifications so the designer can build manufacturable backplane

- **Receivers – Normative**

- Must meet worst case electrical input, jitter, and impulse response

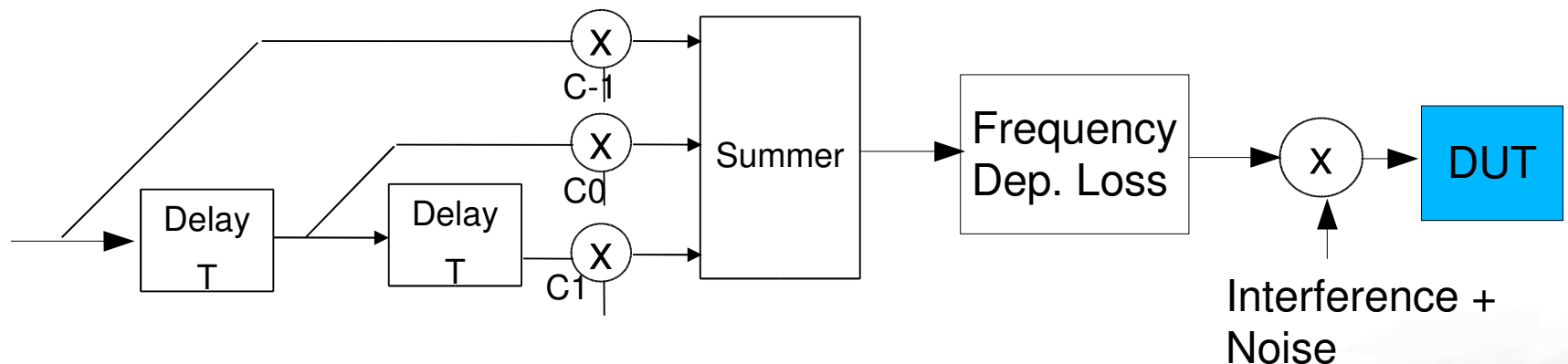
# Transmitter Architecture

- At minimum a three tap FFE will be implemented
  - C-1 8 steps from 0 to  $-7/40$
  - C1 16 steps from 0 to  $-15/40$



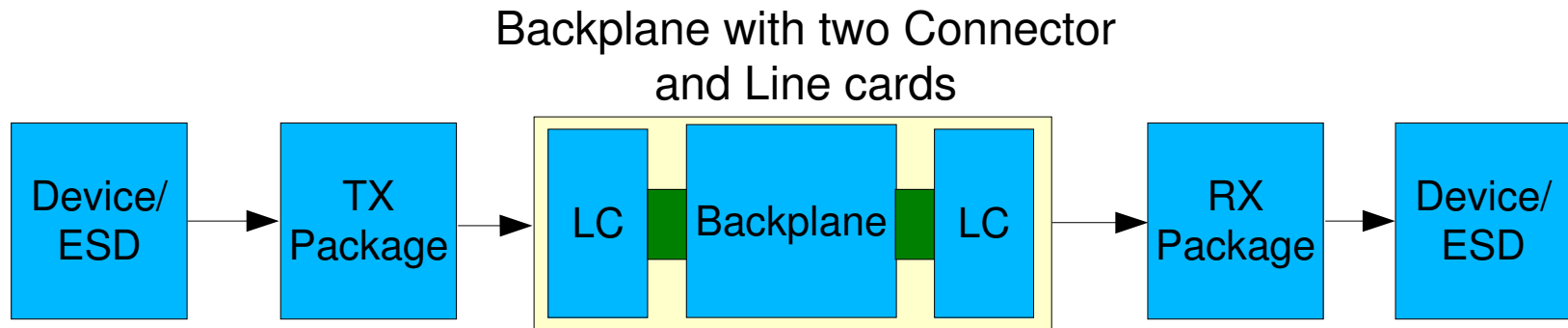
# Receiver Compliance

- IEEE does not specifically defines an receiver, most common implementations are FFE/DFE based.
- Receiver will be tested with a complaint transmitter plus channel stressor.
  - Receiver compliance testing still is under refinement, but it looks like:



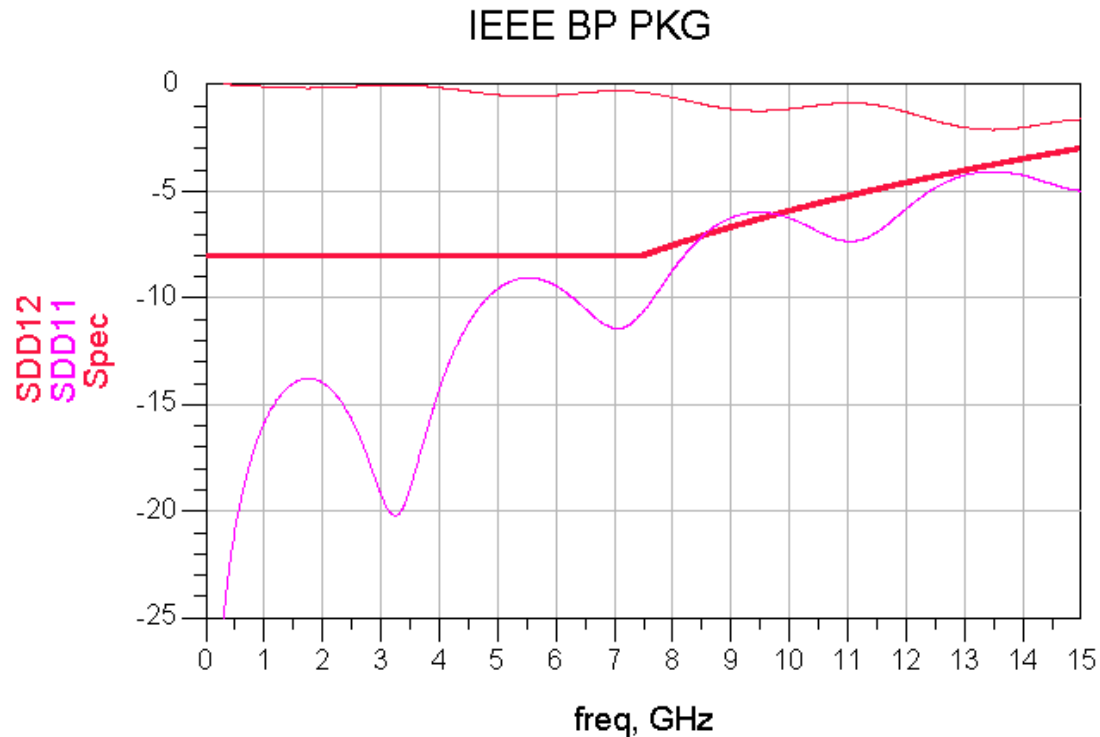
# Channel Model

- Through response is the cascaded s-parameters of each section
  - Aggressors are added to the receive signal.



# Proposed Package Model

- Capacitive package Model
  - Mask limits may change



$$\text{Eqn Spec} = \text{if}(\text{freq} < 7.5\text{e}9) \text{ then } -8 \text{ else } (-8 + 16.67 * \log_{10}(\text{freq} / 7.5\text{e}9))$$

Ref. 1



05-214r1

SAS 6Gig Meeting May 25-26 2005



# BP Transmitter Electrical Specifications

<i>Parameter</i>	<i>1000BASE-KX</i>	<i>10GBASE-KX4</i>	<i>10GBASE-KR</i>	<i>Units</i>
Signaling Speed, Per Lane	$1.25 \pm 100$ ppm	$3.125 \pm 100$ ppm	$10.3125 \pm 100$ ppm	GBd
Differential Peak-Peak Output Voltage	800 to 1600	800 to 1600	800-1200	mV
DC Common Mode Voltage	-0.4 to 1.2	-0.4 to 1.9	TBD	V
Differential Output Return Loss (Min)	$10^1$	$10^1$	$8^2$	dB
Output Jitter peak-peak (DJ)	0.1	0.17	0.15	UI p-p
Random Jitter (RJ)	NA	NA	0.15	UI p-p
Total Jitter	0.25	0.35	0.3	UI p-p

1.  $RL = 10 - 10 \times \log_{10}(f/625)$ , 625MHz  $\leq f \leq$  2000 MHz

2.  $RL = 8 - 16.6 \times \log_{10}(f/7.5)$ , 7.5 GHz  $\leq f \leq$  15 GHz



# BP Receiver Electrical Specifications

<i>Parameter</i>	<i>1000BASE-KX</i>	<i>10GBASE-KX4</i>	<i>10GBASE-KR</i>	<i>Units</i>
Signaling Speed, Per Lane	1.25 ± 100 ppm	3.125 ± 100 ppm	10.3125 ± 100 ppm	GBd
BER	1.00E-012	1.00E-012	1.00E-012	
Differential Input Sensitivity	200	TBD	TBD	mV
Receiver Coupling	AC	AC	AC	
Differential Input Peak-Peak Amplitude (max)	1600	1600	1200	V
Jitter Tolerance <sup>1</sup>	baud/1667	baud/1667	baud/1667	
Differential Output Return Loss (Min)	10 <sup>2</sup>	10 <sup>3</sup>	8 <sup>4</sup>	dB
Common Mode Return Loss	6 <sup>2</sup>	NA	NA	dB

1. Jitter tolerance mask -20 dB/Dec from baud/25000 to baud/1667, then 0.1 UP up to 20 Mhz.

0. From 50MHz to 625 MHz

0. (RL >625 MHz) = 10 -10xLOG10(f/625), 625MHz <= f <= 2000 MHz

Ref. 1 0. (RL >7.5 GHz) = 8 -16.6xLOG10(f/7.5), 7.5 Ghz <= f <= 15 GHz

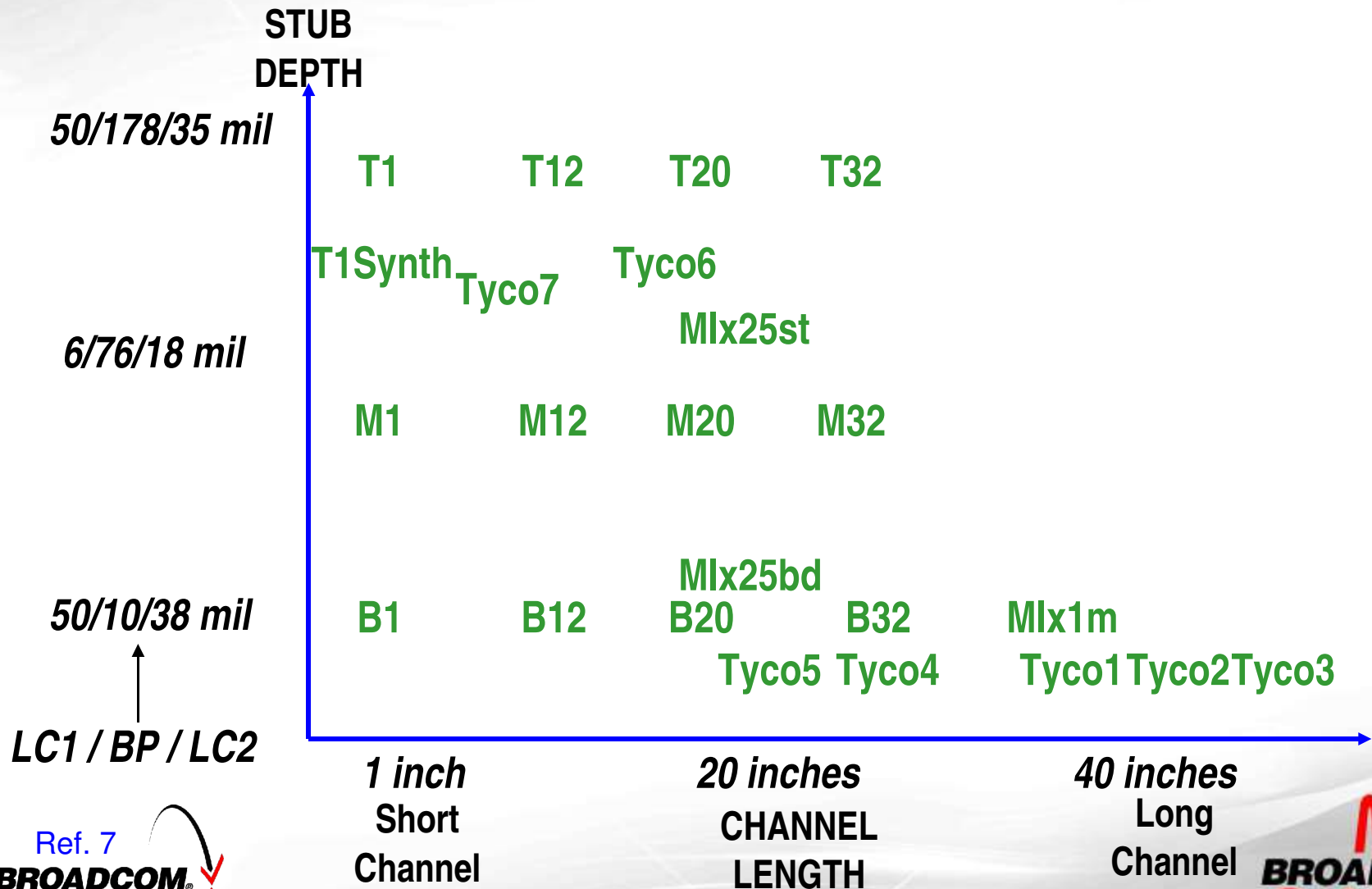
**BROADCOM**  
Connecting Everyone

05-214r1

SAS 6Gig Meeting May 25-26 2005

**BROADCOM**  
Connecting everything\*

# Overview of the IEEE Backplanes

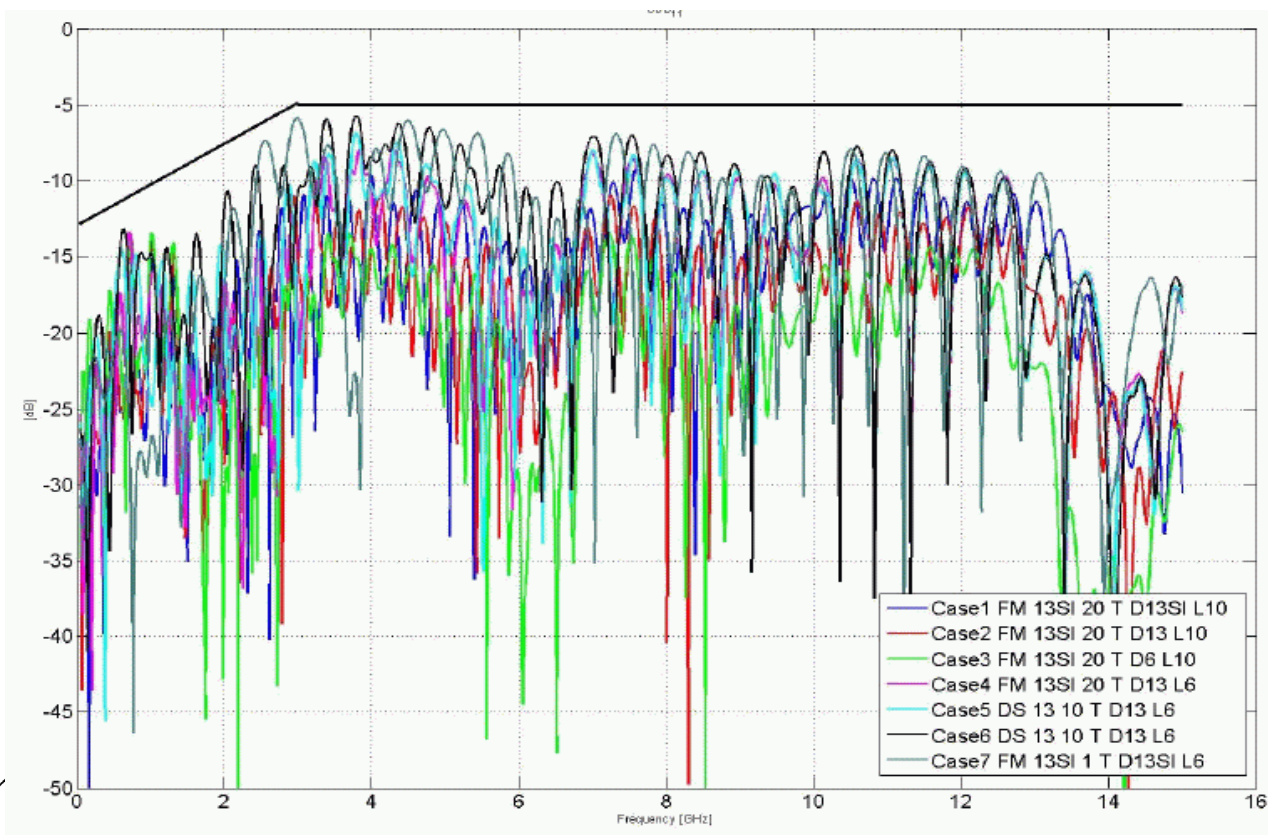


LC1 / BP / LC2



# IEEE Tyco Channels

- Return loss for several backplane including ATCA
  - Channel length 13-40" based on improved FR4



Ref. 6

**BROADCOM**  
Connecting Everyone

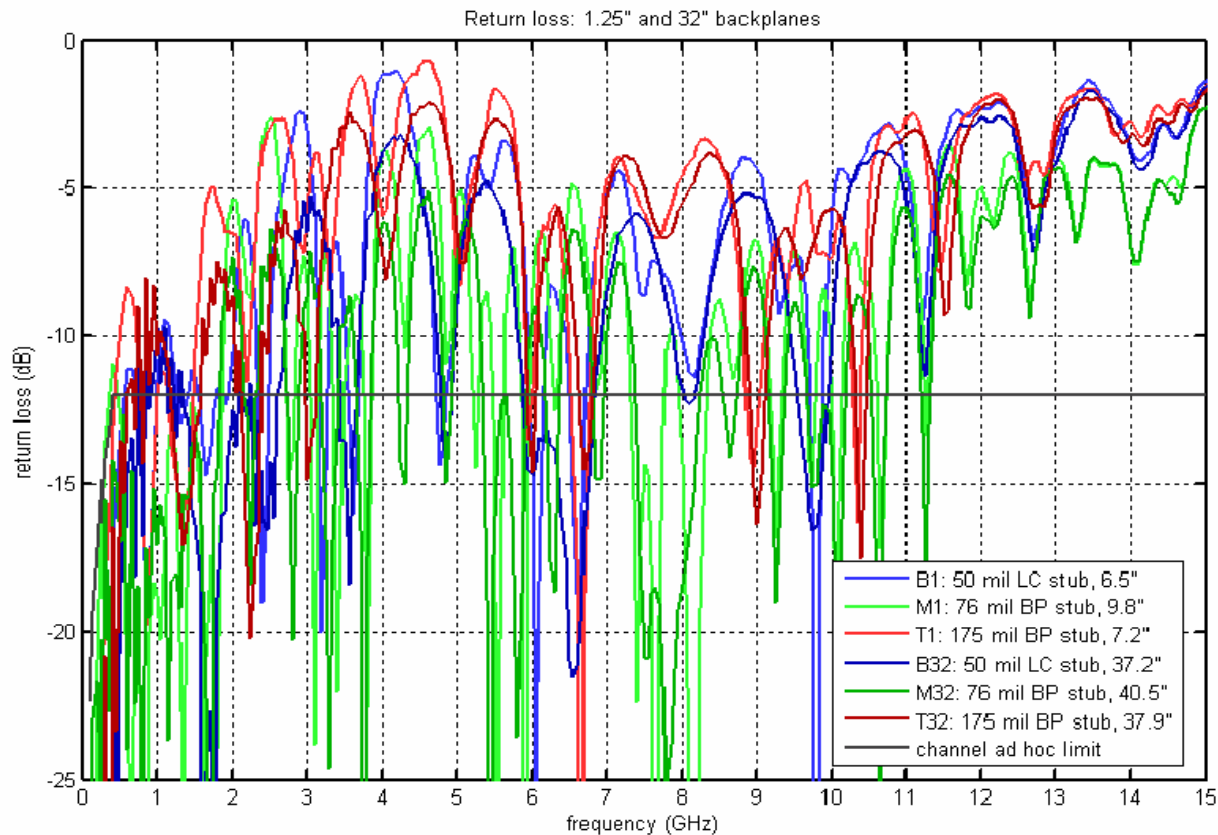
05-214r1

SAS 6Gig Meeting May 25-26 2005

**BROADCOM**  
Connecting everything<sup>®</sup>

# IEEE Intel Channels

- Return loss for several ATCA Backplanes
  - Channel length 1.25-32" based on improved FR4



Ref. 6

**BROADCOM**  
Connecting Everyone

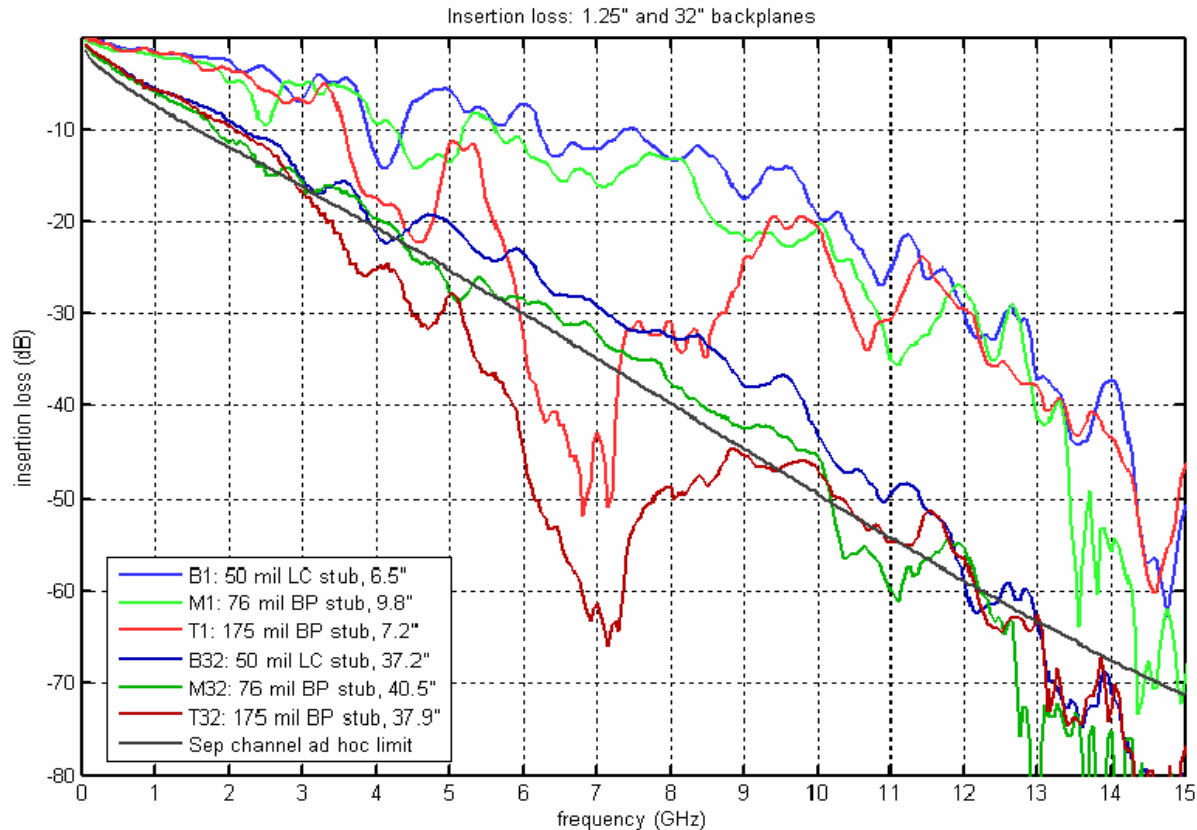
05-214r1

SAS 6Gig Meeting May 25-26 2005

**BROADCOM**  
Connecting everything

# IEEE Intel Channels

- Insertion Loss for several ATCA Backplanes
  - Channel length 1.25-32" based on improved FR4



Ref. 6

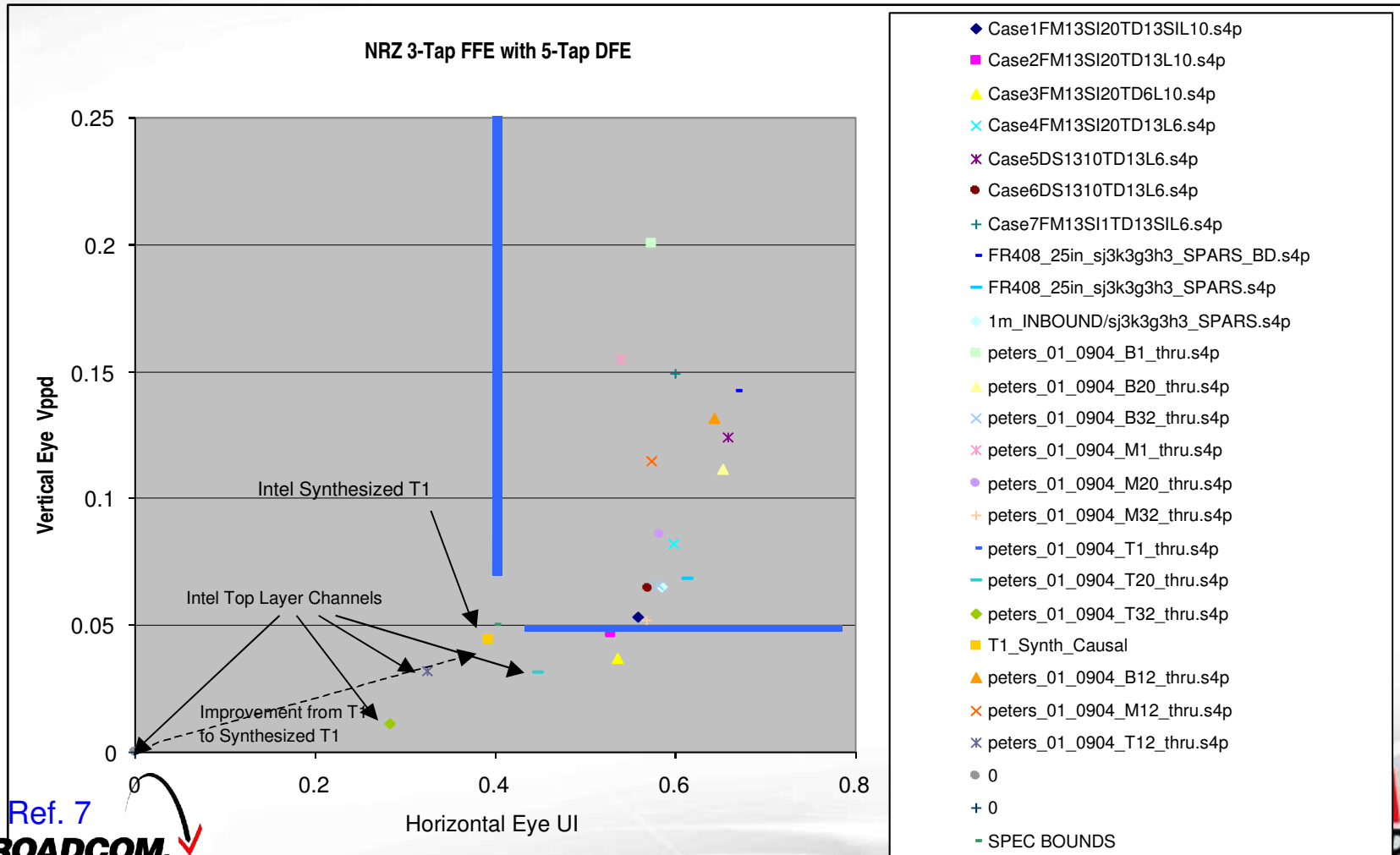
**BROADCOM**  
Connecting Everyone

05-214r1

SAS 6Gig Meeting May 25-26 2005

**BROADCOM**  
Connecting everything

# Vertical and Horizontal Eye Opening



Ref. 7



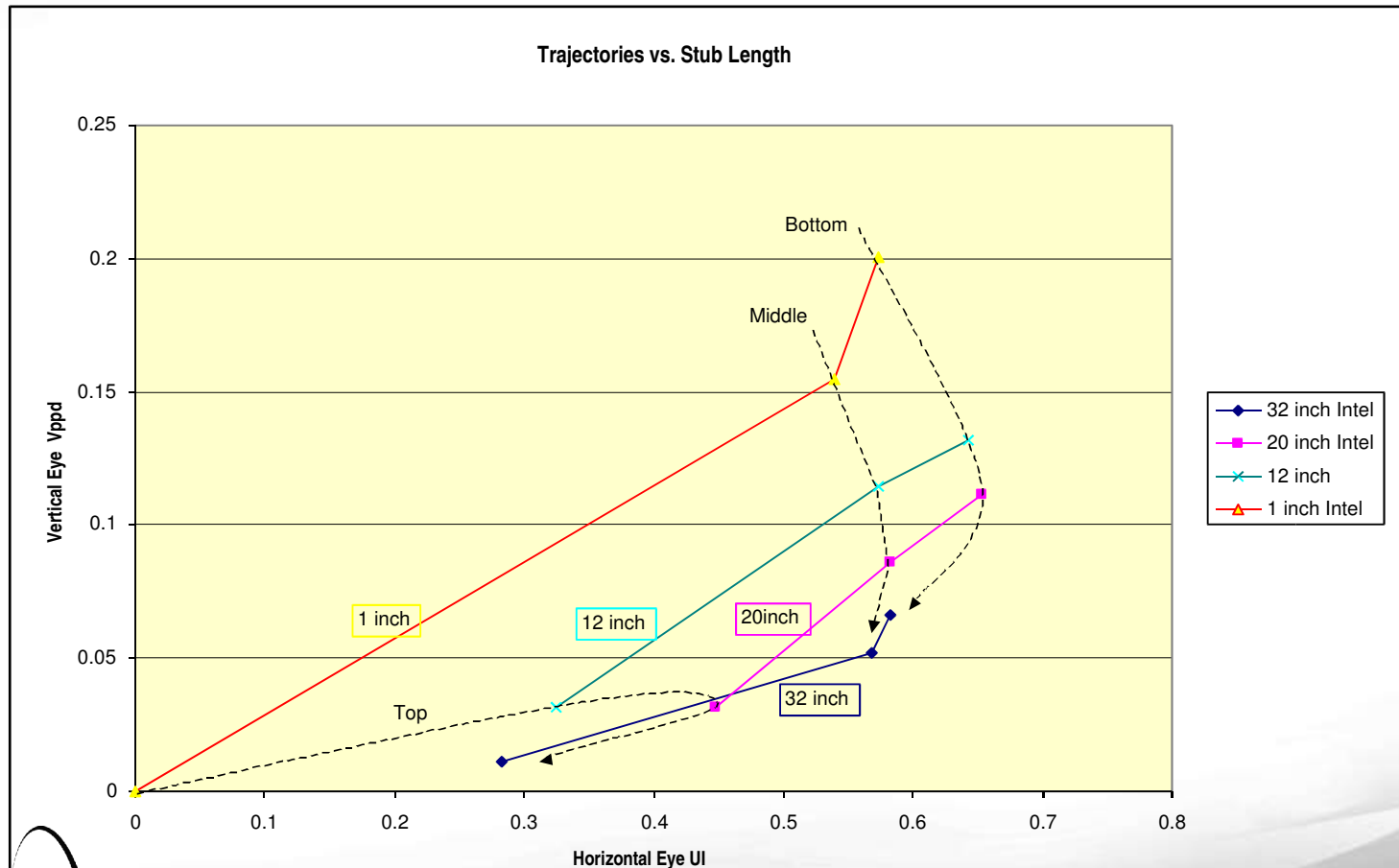
05-214r1

SAS 6Gig Meeting May 25-26 2005



# Eye Opening As Function of Channel and Stub Length

- Stub length is the biggest obstacle for operating faster!



Ref. 7

**BROADCOM**  
Connecting Everyone

05-214r1

SAS 6Gig Meeting May 25-26 2005

**BROADCOM**  
Connecting everything

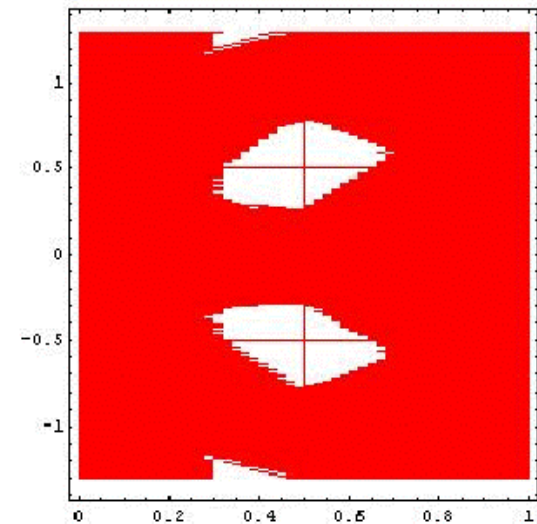
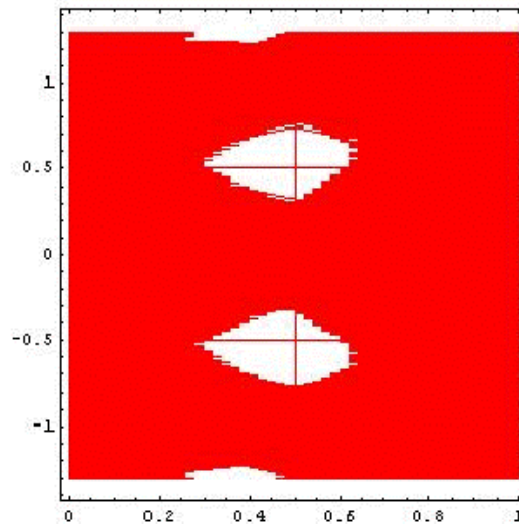
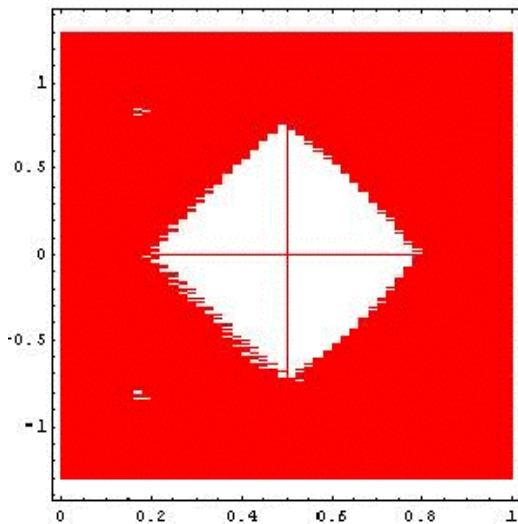
# Eye Margin For Tyco #1

- Good channel, Eq with 4 Tap TX FIR and 5 Tap RX DFE.

**NRZ**

**Duobinary**

**PR-4**

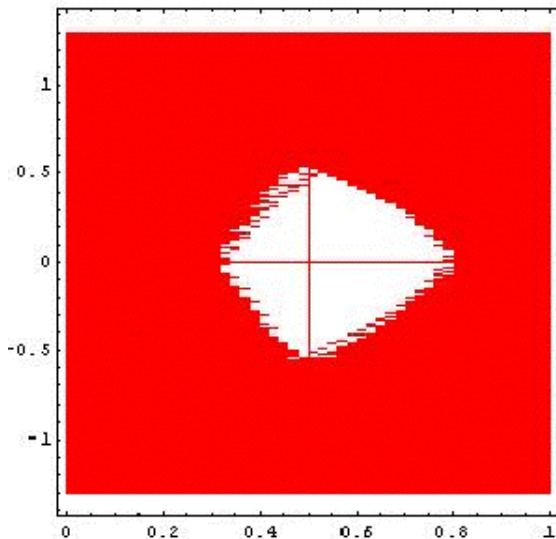




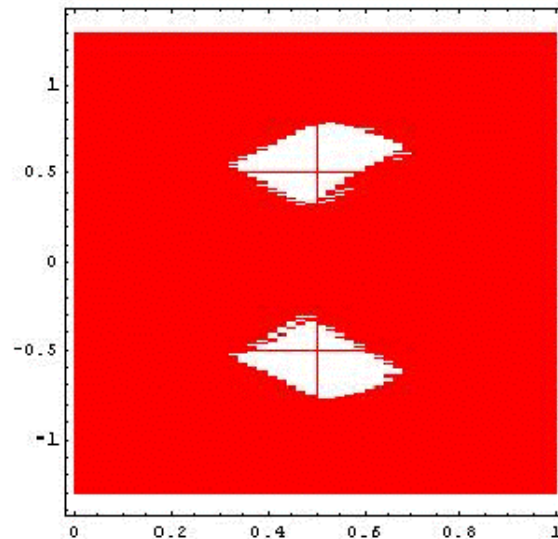
# Eye Margin For Intel B12

- Good channel, Eq with 4 Tap TX FIR and 5 Tap RX DFE.

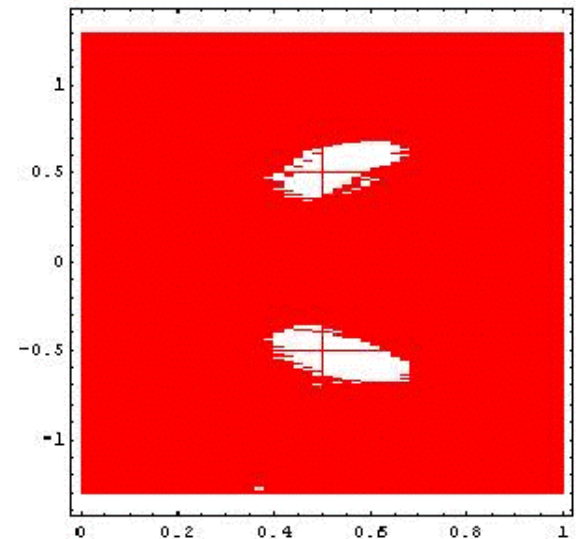
**NRZ**



**Duobinary**



**PR-4**



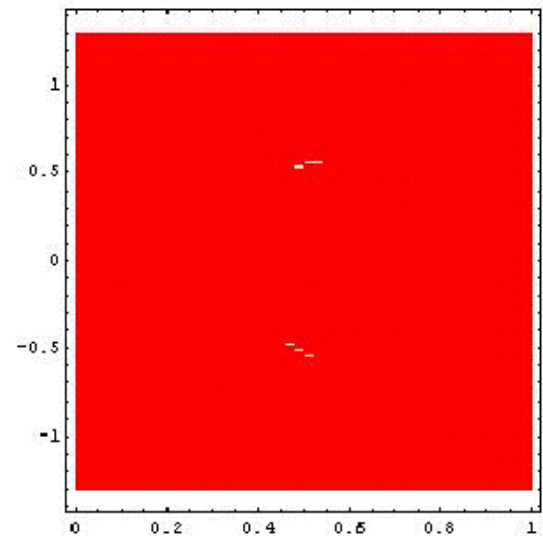
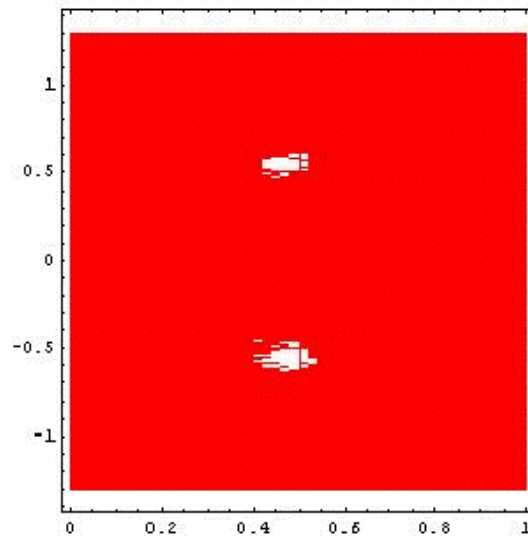
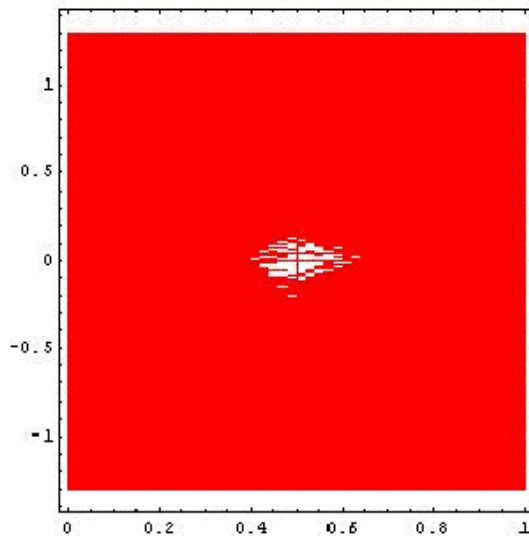
# Eye Margin For Intel T1

- Bad channel, Eq with 4 Tap TX FIR and 5 Tap RX DFE.
  - No satisfactory solution with three signaling scheme below.

**NRZ**

**Duobinary**

**PR-4**



Ref. 4

**BR**  
Connecting Everyone

05-214r1

SAS 6Gig Meeting May 25-26 2005

**COM.**  
Connecting  
everything

# BP Summary

- **IEEE BP is scheduled to go to sponsor ballot by Jan 2006 and is expected to be approved June 2006.**
- **Methodology developed in IEEE Backplane Ethernet can assist development of SAS 6 Gig.**
  - After more than 6 month of studying IEEE BP group selected NRZ signaling over PAM-4, PR2, and PR4.
- **IEEE BP implementation based on NRZ signaling currently support**
  - 1.25 Gbaud 1-lane (8B10B)
  - 3.125 Gbaud 4-lane (8B10B)
  - 10.3125 Gbaud 1-lane (64/66B)
- **SAS Auto-negotiation can leverage 802.3ap DME to overcome OOB limitations.**

# DFE Error Propagation

- DFE are one of the common type of filter to equalize FR4 channels.
- DFE due to their feedback nature can propagate the error especially when the coefficient are large.
- CRC-32 can protect up to burst error of 3.
  - A 2 Tap DFE can generate burst of 3 error
  - A 4 Tap DFE can generate burst error  $>4$ .
- Three options exist for SAS 2.0
  - FEC probably too much latency
  - Add an additional CRC-8 to get total of 40 bits
  - Channel complexity is at level that DFE Tap  $\leq 2$ .

# An Eye Toward SAS 2.0 Requirements

- **Over-designing has an associated cost and power**
  - Especially in SFF disk drives
- **1<sup>st</sup> step Defining the Channel**
  - 26” vs 40”
  - Connector
  - FR4 type
  - Manufacturing practice and / or design role to manage stub length
  - Is there a need to operate a legacy 3 Gig SAS JBOD or Server at 6 Gig?
- **OIF CEI 6 Gig LR may be overkill for 6 Gig SAS**
- **IEEE BP gives us path to 12 Gig SAS**



05-214r1

SAS 6Gig Meeting May 25-26 2005



# References

1. IEEE Draft 802.3ap Rev. 0.9 Ethernet Operation Over the Backplane, Apr 20, 2005.
2. Robert Brink, 10GBASE-KR transmitter Compliance Methodology proposal, brink\_01\_0505.pdf.
3. Pat Thaler, Transceiver friendly auto-negotiation signaling for 802.3ap, thaler\_01\_1104.pdf.
4. Spagna and Altmann, IEEE 802.3ap Simulation Results for 10Gb Serial Links, altman\_02\_0305.pdf
5. Peters, Chen, Gong, Cai, and Austin, ATCA Channel data for Backplane Ethernet Task Force, peters\_01\_0904.pdf.
6. John D'Ambrosia, Proposed Changes to the SDD11/SDD22 Return Loss mask, dambrosia\_02\_0904.pdf.
7. Steve Anderson, Signaling Method Performance Results, anderson\_01\_0305-1.pdf.
8. IEEE backplane Ethernet Website <http://ieee802.org/3/ap>

# Acknowledgment's

1. Adam Healey, Chair IEEE 802.3ap (Backplane Ethernet).
2. Steve Anderson from Xilinx.



05-214r1

SAS 6Gig Meeting May 25-26 2005

