To: T10 Technical Committee

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Subject: 02-424r1 SAS Additional Port Layer Tweaks

### **Revision History**

Revision 0 (18 October 2002) first revision Revision 1 (22 October 2001) second revision

# **Related Documents**

sas-r02 - Serial Attached SCSI revision 02 02-202r4 SAS Port Control State Machine updates (John Worden) 02-393r1 SAS Port layer rewrite

#### Overview

This revision adds clarification and minor corrections to the SAS Port layer rewrite (02-393r1) and corrects the header in revision 0. Changes include:

- a) Clarifies usage of the I\_T nexus loss timer
- b) Adds the I T nexus loss argument to the Transmit Frame request
- c) Adds Figure 3. Transmit Arguments plus explanatory text
- d) Minor technical corrections and other clarifications

Port Layer

#### 8.1 Overview

The port layer (PL) state machines interface with one or more SAS link layer state machines and one or more SSP, SMP, and STP transport layer state machines to establish port connections and disconnections. The port layer state machines also interpret or pass transmit data, receive data, commands, and confirmations between the link and transport layers.

Figure 1 shows the relationship of the port layer to the transport and link layers.

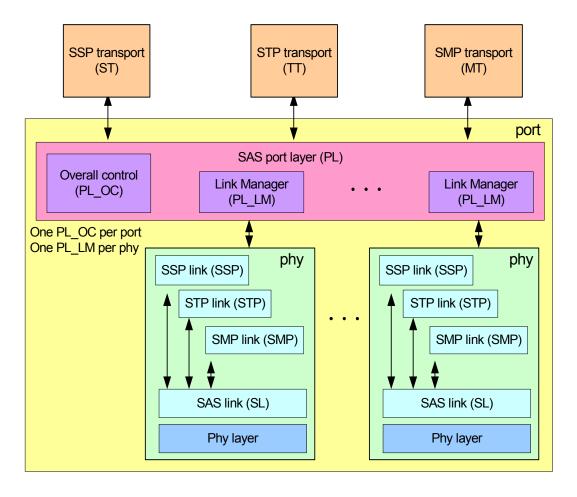


Figure 1. SAS port layer position

Two state machines running in parallel plus several commonly accessible counter/timers form the port layer.

The PL state machines are:

- a) Overall Control (PL OC state machine); and
- b) Link Layer Management (PL\_LM state machine).

There is one PC\_OC state machine per port. There is one PL\_LM state machine for each phy that is part of the port.

The port layer maintains the following timers/counters for each phy (i.e, each PL LM state machine):

- a) bus inactivity time limit timer;
- b) maximum connect timer;
- c) I T nexus loss timer;
- d) arbitration wait time (AWT) timer; and
- e) pathway blocked count (PBC) counter.

The Port layer also maintains, in the PL\_OC state machine, a AWT timer for each connection attempt to be retried (see 8.3.3.1)

The port layer also maintains, in the PL\_OC state machine, a I\_T Nexus Loss timer for each destination for which a connection request has resulted in a Open Failed (No Destination), Open Failed (Link Rate Not Supported), Open Failed (Open Timeout Occurred), or Open Failed (Pathway Blocked) confirmation from the link layer.

# 8.2 Port layer timers/counters descriptions

# 8.2.1 Bus inactivity time limit timer

The bus inactivity time limit timer (see 10.1.1.2) is initialized and monitored by the PL LM state machine.

#### 8.2.2 Maximum connect time limit timer

The maximum connect time limit timer (see 10.1.1.3) is initialized and monitored by the PL\_LM state machine.

# 8.2.4 I\_T nexus loss timer

The I\_T nexus loss timer (see 10.1.2) is initialized and monitored by the PL\_LM state machine while trying to open a connection. There shall be one timer maintained for each destination for which a connection request has resulted in an Open Failed (No Destination) or Open Failed (Link Rate Not Supported) confirmation from the link layer. The I\_T nexus loss timer performs two functions:

- a) allows the transport and application layers to perform I T nexus loss timeouts for connection attempts which fail for any Open Failed Transmission Status confirmation. This timer increments any non zero I T nexus loss argument received with a Transmit Frame request while trying to open a connection for this request. The I T nexus loss timer value plus an indication when the timer value exceeds the limit value is sent to the transport layer as an argument with all of the Open Failed Transmission Status confirmations. The received Transmit Frame request I T nexus loss argument should be increased by the transport/application layers to account for any transport or application layer processing time. (Editors Note Timer is not incrementing while in the PL OC queue to be processed.)
- b) provides an I\_T Nexus loss timer value for Open Failed (Pathway Blocked) confirmations received from the link layer if either a Open Failed (Link Rate Not Supported), or a Open Failed (No Destination), or a Open Failed (Open Timeout Occurred) confirmation is received from the link layer prior to receiving Open Failed (Pathway Blocked) confirmations. If only Open Failed (Pathway Blocked) confirmations are received, the I\_T nexus loss timer does not start incrementing and the I\_T nexus loss timeout limit is not applicable.

# 8.2.5 Arbitration wait time (AWT) timer

The AWT timer value (see 7.5.3) is passed to the link layer by the PL\_LM state machine as an argument in its Open Connection request. The timer shall be initialized as described in 7.11.3.

# 8.2.6 Pathway blocked count (PBC) counter

The PBC counter (see 7.5.3) is passed to the link layer by the PL\_LM state machine as an argument in its Open Connection request. initialized and incremented by the PL\_LM state machine. The timer shall be initialized as described in 7.11.3.

# 8.3 PL OC state machine

### 8.3.1 Overview

A single PL\_OC state machine exists for the port layer. The PL\_OC state machine's purpose is to:

- a) Select a Transmit Frame request from the SSP, SMP and STP transport layers or a Retry Frame parameter from the PL LM state machines and select a phy on which to transmit the frame;
- b) Close connections if needed;
- c) Direct the PL LM state machine to open if needed and transmit the frame;
- d) Forward the response back to the transport layer:
- e) Process the Cancel, SMP Transmit Break, and Accept\_Reject Opens requests from the transport layer;
  and
- Receive the HARD\_RESET Received confirmation from the link layer and pass this confirmation to the transport layer; and
- g) Initialize and operate the PL OC's I\_T nexus loss timers, the and AWT timers, and the PBC.

The PL\_OC state machine contains these states:

- a) PL\_OC1:Idle; and
- b) PL\_OC2:Overall\_Control.

The state machine shall start in the PL\_OC1:Idle state. The state machine shall transition to the PL\_LM1:Idle state from any other state after receiving an Enable Disable SAS Link (Disable) parameter from each of the link layers (see 7.8.4).

Figure 2 shows the PL\_OC state machine.

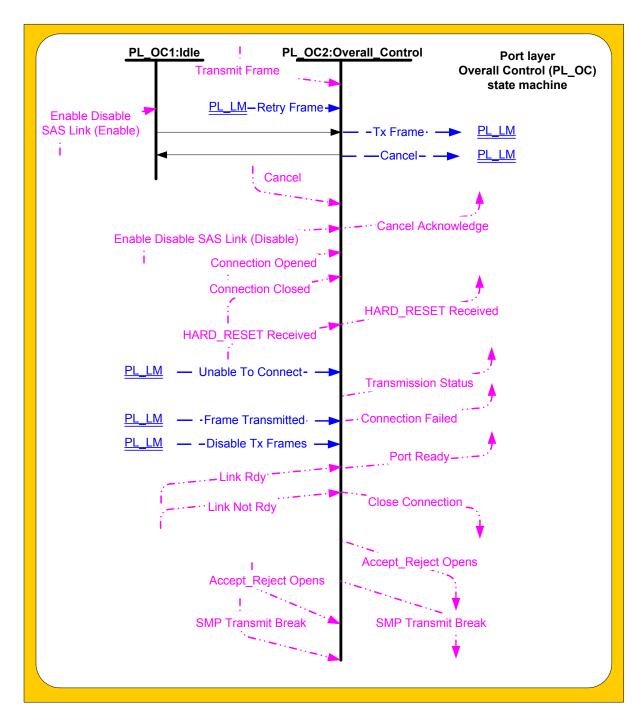


Figure 2. Port layer Overall Control (PL\_OC) state machine

(Editors Note; Delete "Link Rdy" and "Link Not Rdy" from figure 2 ???)

# 8.3.2 PL OC1:Idle state

# 8.3.2.1 State description

This state waits for at least one link layer to send confirmation that a phy is enabled, meaning that the port is enabled.

# 8.3.2.2 Transition PL OC1:Idle to PC OC2:Overall Control

This transition shall occur when at least one Enable Disable SAS Link (Enable) is received from a link layer in the port.

# 8.3.3 PL\_OC2:Overall\_Control state

# 8.3.3.1 State description

# 8.3.3.1.1 State description overview

This state receives Transmit Frame requests from one or more transport layers (e.g., SSP, SMP, and STP) and Retry Frame parameters from one or more PL\_LM state machines. Each transport layer may have multiple Transmit Frame requests pending at once, and each PM\_LM state machine may generate multiple Retry Frame parameters over time. This state chooses the order in which the requests are processed. This state shall generate a Tx Frame parameter for the PL\_LM state machine for the Transmit Frame Request or Retry Frame parameter to be processed. This state machine shall service one Transmit Frame request or Retry Frame parameter at a time.

If multiple phys exist in the port, this state may establish multiple connections to multiple destination SAS addresses simultaneously (one per phy) and may transmit and receive via the different connections simultaneously.

The Transmit Frame request from the transport layer includes the following arguments:

- a) Interlocked or Not Interlocked (for SSP only);
- b) destination SAS address;
- c) source SAS address;
- d) link rate:
- e) initiator bit:
- f) initiator connection tag; and
- g) frame.
- h) I T nexus loss time

The Retry Frame parameter from the PL LM state machine includes the following arguments:

- a) Phy identifier the phy on which the frame was last attempted;
- b) Interlocked or Non Interlocked Balance Required (for SSP only);
- c) arbitration wait time;
- d) pathway blocked count;
- e) destination SAS address;
- f) source SAS address;
- g) link rate;
- h) initiator bit;
- i) initiator connection tag; and
- j) frame.

The Tx Frame parameter sent to the PL\_LM state machine includes the following arguments:

- a) Balance Required or Balance Not Required (for SSP only);
- b) arbitration wait time;
- c) pathway blocked count;
- d) destination SAS address;
- e) source SAS address:
- f) link rate;
- g) initiator bit;
- h) initiator connection tag; and
- i) frame.

# j) I T nexus loss time

[Editor's note: really, there is a data structure holding each new request from the transport layer. If it gets retried, it is tagged as such in the structure.]

#### 8.3.3.1.2 Keep track of connections/frame requests

This state machine shall keep track of which phys (represented by corresponding PL\_LM state machines) are available. A phy is available if it is not processing a Tx Frame parameter.

This state shall consider a phy as having an active connection after receiving a Connection Opened confirmation from the link layer until receiving a Connection Closed confirmation from the link layer.

This state shall consider a phy as processing a Tx Frame parameter after sending the parameter to the PL\_LM state machine until receiving a Transmission Status confirmation or an Unable to Connect confirmation from the link layer.

If this state has no pending Transmit Frame requests or Retry Frame parameters pending for a phy with an open connection, this state should send a Close Connection request to that phy (see 7.14.7).

#### 8.3.3.1.3 Select a request to process and the phy on which to process it

This state may process a Transmit Frame request or Retry Frame parameter whenever a phy is available. The phy should be chosen following this order of precedence:

- 1. a phy that has a connection open to the same destination and is not processing a Tx Frame request;
- 2. a phy that does not have a connection open; or
- 3. a phy that has a connection open to a different destination and is not processing a Tx Frame request.

A destination is considered the same if it has the same SAS address and protocol.

Additional rules for wide SSP port selection of phys are described in 9.2.3.

[Editor's note: consider moving the rules here. They're frame type dependent, though.]

If a phy is chosen with a connection open to a different destination, this state shall send a Close Connection to the phy. This state may then process the request on the selected phy when the connection is closed, or it may choose another request to process on the selected phy when the connection is closed, or it may choose another phy on which to process the request if one becomes available. This state shall send no more than one Close Connection on behalf of the same request.

When Retry Frame parameters are pending with non-zero arbitration wait times, this state shall increment those arbitration wait times until they are processed.

This state shall not process a Retry Frame parameter until a retry delay (see 7.x) has expired from when the parameter was received.

[Editor's note: Define "Retry Delay" in 7.2 as 15 us.]

#### 8.3.3.1.4 Filling in the Transmit Frame/Retry Frame arguments

This state shall send a Tx Frame parameter to the PL\_LM state machine corresponding to the selected phy carrying all the arguments from the selected Transmit Frame request or Retry Frame parameter unless otherwise noted in this specification. Figure 3 identifies transmit arguments passed between the PL\_OC and PL\_LM state machines. In Figure 3, Requests are in rectangular boxes with rounded corners and parameters are in rectangular boxes with square corners. Arguments are either in italics or shaded with light blue if from/to a counter or timer. The AWT, PBC, I\_T nexus loss arguments for the PL\_OC Tx Frame parameter are transferred to the selected PL\_LM's AWT timer, PBC counter, and I\_T nexus loss timer respectively. The AWT and PBC arguments for Transmit Frame requests are implied to be zero and are not received as arguments with the Transmit Frame request from the transport layer. The AWT and PBC arguments for the PL\_OC Retry Frame parameters are obtained from the appropriate PL\_LM AWT timer or PBC counter. The PL\_OC Retry Frame AWT argument is stored in a timer which shall start incrementing if the AWT value received from the PL\_LM state machine is non zero. If the value received is zero, the PL\_OC AWT timer shall not start incrementing. The PL\_OC Retry Frame parameter shall include a Balanced Required argument. The I\_T nexus loss argument for the Tx Frame parameter is either;

- a) obtained from the Transmit Frame request I\_T nexus loss argument for Transmit Frame requests; or
- b) obtained from the OC I\_T nexus loss timer if a non zero timer value exists for this destination for Retry parameters; or
- c) set to zero if no non zero OC I T nexus loss timer exists for this destination for Retry parameters.

The current PL LM I T nexus loss timer value for a Transmit Frame request is sent to the transport layer as an argument in any Open Failed Transmission Status confirmation. For each destination, an OC I T nexus loss timer is created from any non zero PL LM I T nexus loss timer value when an Unable To Connect parameter is received from the PL LM state machine. This OC I T nexus loss timer shall start incrementing from this non zero value. If the PL LM I T nexus loss timer value is zero when an Unable To Connect parameter is received, any existing OC I T nexus loss timer to this destination shall be stopped incrementing and reset to zero.

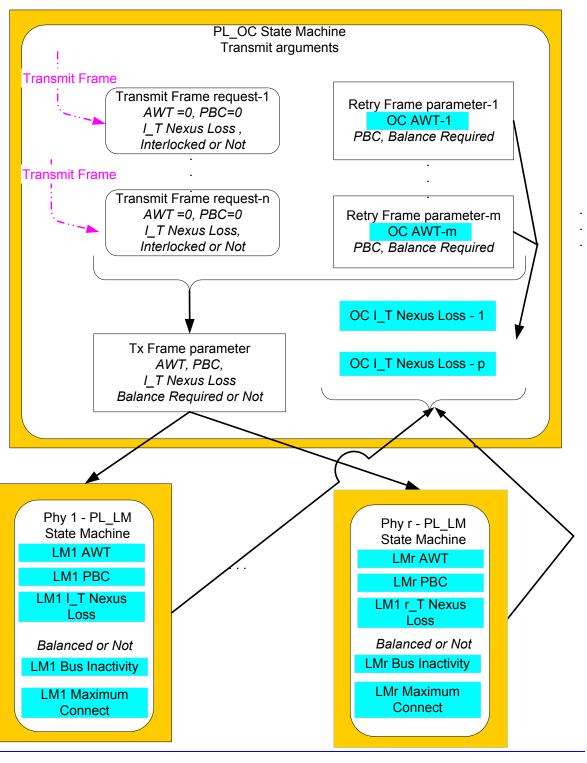


Figure 3. Transmit Arguments

Some of the arguments are modified as follows:

- A) For SSP requests, the Tx Frame parameter should include a Balance Not Required argument when:
  - a) a Transmit Frame (Non Interlocked) request is being processed;
  - b) the phy selected has a connection open to the same destination;
  - c) the previous request processed for this phy was a Transmit Frame(Non Interlocked) request to the same destination:
  - d) the connection has not been lost since the previous frame was transmitted; and

e) the frame has the same tag value as the previous frame.

Otherwise, it shall include a Balance Required argument.

- B) If this state is processing either:
  - a) a Transmit Frame request or Retry Frame parameter with the same destination as the last Retry Frame parameter received; or
  - b) the last Retry Frame parameter received;

and:

- a) the phy selected does not have a connection opened or has a connection open to a different destination; and
- b) this is the next request sent to any phy after the last Retry Frame parameter was received;

then the pathway blocked count shall be set to the value of the pathway blocked count argument received with the last Retry Frame parameter. Otherwise, the pathway blocked count argument shall be set to zero.

This ensures that only the next request from the port uses that pathway blocked count value. If the port chooses a request to a different destination, it is not allowed to transfer the pathway blocked count value to the different request- and the pathway blocked count shall be set to zero.

# C) If this state is processing either:

- d) a Transmit Frame request or Retry Frame parameter with the same or a different destination as the last Retry Frame parameter received; or
- e) the last Retry Frame parameter received;

#### and:

- a) the phy selected does not have a connection opened or has a connection open to a different destination; and
- b) this is the next request sent to any phy after the last Retry Frame parameter was received;
- -The arbitration wait time argument shall be set to <u>a</u>) the value of the arbitration wait time argument received with the last Retry Frame parameter. ÷ or
  - b)a) zero or a vendor-specific value less than 7FFFh (see 7.7.3). The argument should be set to zero.

If the arbitration wait time from the last Retry Frame parameter is used for a different frame, the arbitration wait time for the last Retry Frame parameter shall be set to zero.

This ensures that only the next request from the port uses that arbitration wait time value. If the port chooses a request to a different destination, it is allowed to transfer the arbitration wait time value to the different request.

[Editor's note: you get to use a nonzero AWT one time on the next available link for any request. If you were trying to open A and B opened you instead, you can put OPEN(A) out on another link with an incremented AWT, or you can put OPEN(C) out instead. Once you use the value, however, the OPEN (B) loses ownership of it; when it is issued later, it gets zero (unless it borrows from a later AWT).

Note: The port may be unfair and set the AWT argument for the Tx Frame parameter to a vendor-specific value less than 7FFFh. The argument should be set to zero as an initialization value.

# 8.3.3.1.5 Confirmations

This state shall send a Transmission Status confirmation or an Unable To Connection Failed confirmation to the transport layer to finish servicing each Transmit Frame request.

This state shall send a Transmission Status (Frame Transmitted) confirmation to the transport layer after receiving a Frame Transmitted parameter from the PL\_LM state machine. Since the transport layer responses for any sequence of frame transmissions (e.g. multiple non-interlocked frame transmissions in SSP) are instantaneous, the next Transmit Request from the transport layer for the same I\_T\_L\_Q should be available when the Transmission Status (Frame Transmitted) confirmation is sent. This ensures that this state is able to choose the same phy and connection (e.g. and continue a non-interlocked sequence).

This state shall send a Transmission Status (Connection Lost) confirmation to the transport layer if a Connection Closed confirmation is received from the link layer after a Tx Frame parameter is sent but before a Frame Transmitted parameter is received.

<u>For SSP only, t</u>This state shall send a Connection Failed (Connection Lost Without ACK/NAK) confirmation to the transport layer if a Connection Closed confirmation is received from the link layer after a Frame Transmitted parameter is received but before an ACK Received, NAK Received or ACK/NAK Timeout confirmation is received.

[Editor's note: if Unable To Connect (Retry or Pathway Blocked, I\_T\_Nexus Loss) arrives, pass that up as Transmission Status]

After a Connection Closed confirmation is received from the link layer, the phy is available for a new connection.

This state shall send a Transmission Status confirmation or a Cancel Acknowledgement confirmation to the transport layer after receiving an Unable to Connect parameter from the PL\_LM state machine based on the mapping in Table 60.

Unable To Connect parameter	Confirmation sent to transport layer
Unable To Connect (Wrong Destination)	Transmission Status (Open Failed – Wrong Destination)
Unable To Connect (Link Rate Not Supported)	Transmission Status (Open Failed – Link Rate Not Supported)
Unable To Connect (Protocol Not Supported)	Transmission Status (Open Failed – Protocol Not Supported)
Unable To Connect (Bad Destination)	Transmission Status (Open Failed – Bad Destination)
Unable To Connect (STP Resources Busy)	Transmission Status (Open Failed – STP Resources Busy)
Unable To Connect (Break Received)	Transmission Status (Open Failed – Break Received)
Unable To Connect (No Destination)	Transmission Status (Open Failed – No Destination)
Unable To Connect (Open Timeout Occurred)	Transmission Status (Open Failed – Open Timeout Occurred)
Unable To Connect (Pathway Blocked)	Transmission Status (Open Failed – Pathway Blocked)
Unable To Connect (Cancel Acknowledgement)	Cancel Acknowledgement

Table 60 - Confirmations to transport layer from the PL OC state machine

# 8.3.3.1.6 Handling Cancel requests

If this state receives a Cancel request for a specific Transmit Frame request from the transport layer and this frame is not currently being processed (either from the Transmit Frame request itself or from a Retry Frame parameter), this state shall send a Cancel Acknowledge confirmation to the transport layer and terminate processing of the Transmit Frame request.

If this state receives a Cancel request for a specific Transmit Frame request from the transport layer, this frame is currently being processed, and a connection has been opened, this state shall send a Close Connection request to the link layer. When the connection has been closed, this state shall send a Cancel Acknowledge confirmation to the transport layer and terminate processing of the Transmit Frame request.

If this state receives a Cancel request for a specific Transmit Frame request from the transport layer, this frame is currently being processed, and a connection has not yet been opened, this state shall send a Cancel parameter to the PL\_LM state machine. When the PL\_LM state machine has terminated the connection and returned an Unable To Connect (Cancel Acknowledge) parameter, this state shall send a Cancel Acknowledge confirmation to the transport layer and terminate processing of the Transmit Frame request.

# 8.3.3.1.7 Handling other requests

If a Disable Tx Frames parameter is received from the PL\_LM state machine, this state shall not send another Tx Frame parameter to the phy until after a Connection Closed confirmation has been received from the phy.

If this state receives an Accept\_Reject Opens request from the transport layer, this state shall send an Accept\_Reject Opens request to the link layer.

If this state receives an SMP Transmit Break request from the transport layer, this state shall send an SMP Transmit Break request to the link layer.

If this state receives a HARD\_RESET Received confirmation from the link layer, this state shall send a HARD\_RESET Received confirmation to the transport layer.

# 8.3.3.2 Transition PL\_OC2:Overall\_Control to PL\_OC1:Idle

This transition shall occur when an Enable Disable SAS Link (Disable) confirmation is received from each of the link layers in the port.

#### 8.4 PL LM state machine

#### 8.4.1 Overview

A PL LM state machine exists for each phy contained in the port. The PL LM state machine's purpose is to:

- a) Request or retry a connection sequence via the link layer and handle exception conditions;
- b) Create and send Retry Frame parameters to the PL\_OC state machine if a connection attempt fails and is to be retried;
- b)c)Maintain a connected state for the phy:
- ed) Forward frame transmission requests from the PL\_OC state machine to the link layer;
- d)e)Pass confirmations from the link layer to the PL OC state machine;
- <u>e</u>)f) Update the I\_T nexus loss timer, the arbitration wait time timer, and the pathway blocked count counter; and
- fig) For target ports only, implement the bus inactivity time limit timer and the maximum connect time timer and close the connection if they have been exceeded.

The PL LM state machine contains these states:

- a) PL\_LM1:Idle;
- b) PL LM2:ReqWait;
- c) PL LM3:Connected; and
- d) PL\_LM4:Wait\_For\_Close.

The state machine shall start in the PL\_LM1:Idle state. The state machine shall transition to the PL\_LM1 state from any other state after receiving an Enable Disable SAS Link (Disable) parameter from the link layer (see 7.8.4).

Figures 3-4 and 45 shows the PL\_LM state machine.

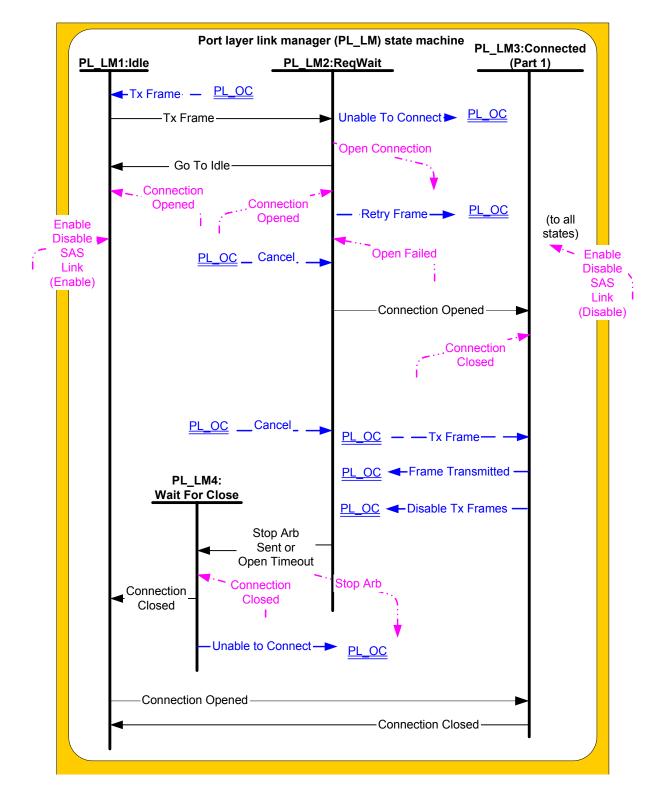


Figure 43. Port layer Link Manager (PL\_LM) state machine – part 1

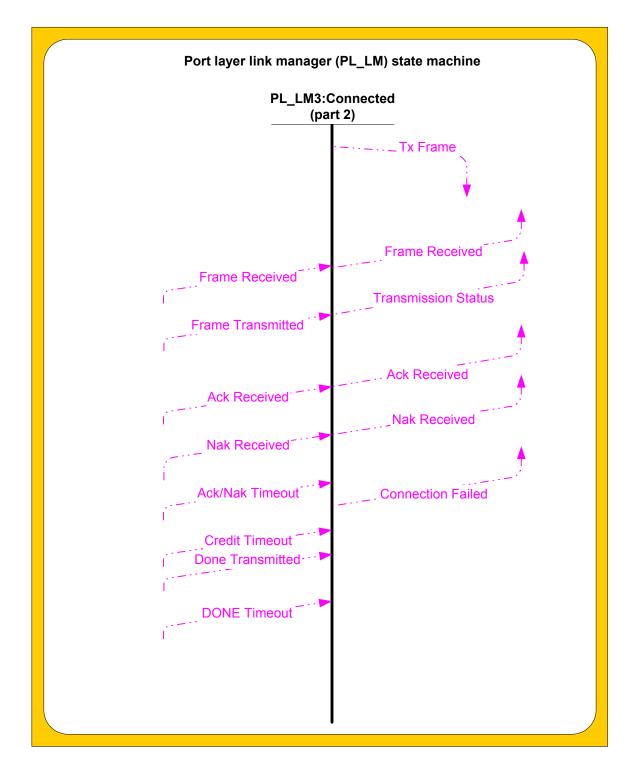


Figure 45. Port layer Link Manager (PL\_LM) state machine – part 2

PL LM state machine functions are initiated when either:

- a) the Tx Frame parameter is received from the PL OC state machine; or
- b) when a Connection Opened confirmation is received from the link layer.

If the PL\_LM state machine is in the Idle state and a Tx Frame parameter is received from the PL\_OC state machine, the PL\_LM state machine shall transition to the PL\_LM2:ReqWait state and send an Open Connection request to the link layer. When a connection is opened, the PL\_LM3:Connected state shall send a Tx Frame shall either terminate and notify the transport layer, or shall send a Retry Frame parameter to the PL\_OC state machine to request a connection retry.

If the PL\_LM state machine is in the Idle state and a Connection Opened confirmation is received from the link layer, the PL\_LM state machine shall transition to the PL\_M3:Connected state and accept the incoming frame.

If the PL\_LM state machine is in the Connected state and a Tx Frame parameter to the same destination is received from the transport layer, the PL\_LM3:Connected state shall send a Tx Frame request to the link layer to transmit the frame.

# 8.4.2 PL\_LM1:Idle state

# 8.4.2.1 State description

This is the initial state of the PL\_LM state machine. This state is also entered when a connection is closed or a connection attempt is terminated.

# 8.4.2.2 Transition PL\_LM1:Idle to PL\_LM2:ReqWait

This transition shall occur after receiving a Tx Frame parameter from the PL OC state machine.

# 8.4.2.3 Transition PL\_LM1:Idle to PL\_LM3:Connected

This transition shall occur after receiving a Connection Opened parameter from the link layer.

# 8.4.3 PL\_LM2:ReqWait state

#### 8.4.3.1 State description

# 8.4.3.1.1 State description overview

This state sends an Open Connection request to the selected phy and waits for a response.

The Open Connection request includes these arguments, filled in with values from the Tx Frame parameter:

- a) destination SAS address;
- b) protocol;
- c) arbitration wait time;
- d) pathway blocked count;
- e) link rate:
- f) initiator bit; and
- g) initiator connection tag.

This state shall start incrementing the arbitration wait time after sending the Open Connection request.

If a Cancel parameter is received from the PL\_OC state machine, this state shall send a Stop Arb request to the link layer to cancel the connection request.

# 8.4.3.1.2 I\_T nexus loss timer

For SSP ports, the I\_T nexus loss timer for a destination shall be stopped <u>and reset to zero</u> when one of the following confirmations is received:

- a) Connection Opened;
- b) Open Failed (Protocol Not Supported);
- c) Open Failed (Retry); or
- d) Open Failed (STP Resources Busy).

[Editor's note: requests that successfully reach the destination stop the LT nexus loss timer]

For SSP ports, if the I\_T nexus loss timer is not already running, the I\_T nexus loss timer for a destination shall be initialized to a non zero value and started when one of the following confirmations is received:

a) Open Failed (Link Rate Not Supported);

- b) Open Failed (No Destination); or
- c) Open Failed (Open Time Out).

If the I\_T nexus loss timer is already running, the I\_T nexus loss timer shall continue running for those confirmations.

[Editor's note: requests that cannot find the destination, but have the possibility of finding it when retried, start the I T nexus loss timer]

[Editor's note: all other responses continue running the timer if already started, but don't start it themselves - Wrong Destination, Bad Destination, Pathway Blocked.]

[Editor's note: how should OPEN\_REJECT(RESERVED) be handled? This is a global issue for the standard. It needs to have certain behaviors predefined. Perhaps a set of OPEN\_REJECT(RESERVED)s are needed.]

For SSP ports, the I\_T Nexus Loss argument shall be included in any Open Failed confirmation if the I\_T nexus loss timer expires while in this state.

[Editor's note: make sure the Transport Layer looks for I\_T Nexus Loss in its Open Failed confirmations and passes it to the Application Layer as the "Nexus Loss" signal described in SAM-3. The SAS state machines willshall only check the timer after trying another request.]

# 8.4.3.1.3 Connection Opened handling

If a Connection Opened (Destination Opened) confirmation is received from the link layer and the destination does not match, this state shall send a Retry Frame parameter to the PL\_OC state machine with arguments from the Tx Frame, including the updated arbitration wait time. The pathway blocked count shall be maintained. The arbitration wait time timer shall be stopped.

[if Stop Arb was sent but Connection Opened is still received, the Stop Arb was unsuccessful and the PL\_OC state machine has to send a Close Connection to the link layer itself]

# 8.4.3.1.4 Open Failed handling

If a Cancel parameter has been received from the PL\_OC state machine and an Open Failed confirmation is received, this state shall send an Unable To Connect (Cancel Acknowledgement) parameter to the PC\_OC state machine. Otherwise, this state shall send <u>either</u> an Unable To Connect parameter with the same argument as that received with the Open Failure confirmation or a Retry Frame parameter shall be sent.

The arbitration wait time timer shall be stopped if an Open Failed (Protocol Not Supported), Open Failed (Retry), or Open Failed (Wrong Destination) confirmation is received from the link layer.

In addition to the Unable to Connect parameter, <u>T</u>this state shall send a Retry Frame parameter under the conditions shown in Table 61. The pathway blocked argument shall be modified as specified; the other arguments shall be copied from the Tx Frame being processed.

Open Failed confirmation argument received from the link layer	Has the I_T nexus loss timer has expired?	Pathway blocked count argument for Retry Frame
Open Failed (Pathway Blocked)	no	increment by one
Open Failed (Retry)	yes or no	set to zero

Table 61- Retry Frame conditions

In addition to the Unable To Connect parameter, this state shall send the I\_T nexus loss count and an indication that the I\_T nexus loss timer has exceeded the limits if a Open Failed (Pathway Blocked) confirmation is received and if the I\_T nexus loss timer value exceeds the I\_T nexus loss time. A Retry Frame parameter shall not be sent

#### 8.4.3.2 Transition PL LM2:RegWait to PL LM1:Idle

This transition shall occur after an Open Failed parameter has been sent to the PC\_OC state machine.

# 8.4.3.3 Transition PL\_LM2:ReqWait to PL\_LM3:Connected

This transition shall occur after a Connection Opened confirmation has been received from the link layer.

# 8.4.3.4 Transition PL LM2:RegWait to PL LM4:Wait For Close

This transition shall occur after receiving an Open Failed (Port Layer Request) confirmation from the link layer.

If a Cancel parameter is received from the PL\_OC state machine, this transition shall occur after receiving an Open Failed (Open Timeout Occurred) confirmation from the link layer.

#### 8.4.4 PL\_LM3:Connected state

#### 8.4.4.1 State description

When in this state, frame transmissions and receptions may occur.

When in this state and a frame is to be transmitted, the PL\_OC state machine may send the Tx Frame parameter to this state. For STP connections, the port layer connects the transport layer to the link layer and is transparent; Tx Frame is not used. For SSP connections, arguments for the to-Tx Frame parameter shall include Balance Required or Balance Not Required. For SMP connections, arguments to Tx Frame shall include SMP.

This state shall generate a Tx Frame request to the link layer when a Tx Frame parameter is received from the PL OC state machine.

After a Tx Frame request has been sent to the link layer and a Frame Transmitted confirmation is received from the link layer, this state shall send a Frame Transmitted parameter to the PL\_OC state machine to notify the PL\_OC state that another frame may be transmitted.

This state shall also send the following confirmations regarding the previous frame transmission confirmations from the link layer to the transport layer:

- ACK Received:
- 2. NAK Received;
- 3. Connection Failed (ACK/NAK Timeout): Mapped from the ACK/NAK Timeout confirmation from the link layer; or
- 4. Transmission Status (Credit Timeout): Mapped from the Credit Timeout confirmation from the link layer.

For SSP connections, t<sup>‡</sup>his state shall send the Frame Received (ACK/NAK Balanced) or Frame Received (ACK/NAK Not Balanced) confirmations from the link layer to the transport layer.

For SMP connections, this state shall send the Frame Received (SMP) or Frame Received (SMP Failure) confirmations from the link layer to the transport layer.

For SSP ports, this state shall transfer a Disable Tx Frames parameter to the PL\_OC state machine if a DONE Received confirmation is received from the link layer.

For SSP target ports, upon entry into this state, the bus inactivity time limit timer shall be initialized and started. It shall be re-initialized every time a Tx Frame request is processed. If the timer expires, this state shall send a Close Connection request to the link layer after the current Tx Frame is finished and shall ignore new Tx Frame requests. The timer stops running upon exit from this state.

For SSP target ports, upon entry into this state, the maximum connect time timer shall be initialized and started. If the timer expires, this state shall send a Close Connection request to the link layer after the current Tx Frame is finished and shall ignore new Tx Frame requests. The timer stops running upon exit from this state.

#### 8.4.4.2 Transition PL LM3:Connected to PL LM1:Idle

This transition shall occur after a Connection Closed confirmation is received from the link layer.

# 8.4.5 PL\_LM4:Wait\_For\_Close state

# 8.4.5.1 State description

This state shall wait for a Connection Closed confirmation to be received from the link layer and then send an Unable to Connect (Cancel Acknowledge) parameter to the PL\_OC state machine.

#### 8.4.5.2 Transition PL LM4:Wait For Close to PL LM1:Idle

This transition shall occur after a Connection Closed confirmation is received from the link layer.