

To: T10 Technical Committee  
 From: Steven Fairchild, HP ([steve.Fairchild@hp.com](mailto:steve.Fairchild@hp.com))  
 Date: 26 August 2002  
 Subject: Proposal to allow an initiator based configuration of the SAS topology

#### Revision r4:

- Incorporated changes from 8/21-8/23 SAS Protocol Working group meeting.

#### Revision r3:

- Change ASSIGNED fields to IGNORED fields.
- Change INFORMATION UNIT TYPE to SMP FRAME TYPE.
- Change ROUTE to DISABLE EXPANDER ROUTE ENTRY, changed definition accordingly.
- Removed reference to clouds.
- Changed sas-00 to SAS.
- Changed reference from addendum to annex.
- Added definition of; EXPANDER ROUTE TABLE, EXPANDER ROUTE ENTRY, EXPANDER ROUTE SLOT and EXPANDER ROUTE INDEX.
- Changed MAXIMUM ROUTE SLOT to EXPANDER ROUTE SLOTS.
- Changed MAXIMUM ROUTE INDEXES to EXPANDER ROUTE INDEXES.
- Added UPDATE FAR END SAS ADDRESS and UPDATE DISABLE ROUTE ENTRY for SMP CONFIGURE ROUTE command.

#### Revision r2:

- Cleanup pass on Open, Identify and SMP commands that have common payloads to maximize re-use (requested by BREA).
- Removed Edge Route device type in favor of making a device type “configurable”. Report General will report whether or not a device is configurable (because of general comments received).
- Added definition of **ASSIGNED** field, which means the field position has been assigned to or defined by another command or frame, which re-uses this frame’s structure either partially or in its entirety. Unlike a **RESERVED** field, this field may contain non-0 values, but shall not be redefined or reused. The receiver shall ignore an **ASSIGNED** field. This could just as easily be converted to **RESTRICTED** if that definition is more appropriate.
- Added FUNCTION to SMP response frame so that analyzers can more easily decode response frames (requested by I-TECH).

#### Revision r1:

- Identify address frame now includes two SAS addresses, one for this phy, and one for the far end phy, placed in the same positions as they occur in the Open address frame. The Discover, Report Route Information, and Configure Route Information have the same change.
- Identify address frame has wording that indicates [prog] min/max phy link rate field(s) may be reported as rate unknown.

This is a multi-part proposal that:

- Modifies the Identify Frame format.
- Modifies the SMP Request/Response format.
- Modifies the SMP Discover function to re-use the Identify Frame payload and support a shift in discovery from a “by Expander” mechanism to a “by Phy” mechanism.
- Modifies the SMP Report General function to remove the bit mask fields and add route fields and a configuration bit.
- Deletes the SMP functions; Report Phy and Report Phy Device Names, because their information is provided in the modified Discover payload.
- Adds the SMP functions; Report Route Information and Configure Route Information, to facilitate the implementation of an initiator based topology discovery.
- Add an annex for guidelines on how an initiator can discover and configure the topology.

### **Background**

The purpose for the recommended changes is to reduce the complexity of the expander devices and improve topology error detection. Additionally an annex is provided that will allow the configuration of edge and fanout devices by one or more initiators.

In the current SAS specification, discovery is on a “by Expander” basis. The recommendation is to change this to a “by Phy” basis so that expander devices are not required to consolidate information across multiple phys. This will reduce the expander complexity and increase the flexibility available in building the expander devices.

Also, the current SAS specification imposes rules about the number of edge devices or fanout devices that may be connected to each other, implying that topologies will fail if the rules are broken. The recommendation is to not restrict the interconnection of any end, edge or fanout device. During Discovery, when an initiator determines that an illegal topology has been created, it may take appropriate action. Disabling illegal links and reporting un-reachable SAS addresses as necessary.

**Comparison of frame layout for common or overloaded fields**

				<b>SMP Discover</b> <b>SMP Report General</b> <b>SMP Report Route</b> <b>SMP Configure Route</b> <b>SMP Phy Control</b> <b>SMP Phy Margin Control</b>	
<b>Open Address Frame</b>		<b>Identify Address Frame</b>		0	REQUEST (40H) / RESPONSE (41H)
				1	FUNCTION
				2	RESULT
				3	RESERVED
				4	EXPANDER ROUTE SLOT / EXPANDER ROUTE SLOTS
				5	
				6	EXPANDER ROUTE INDEX / EXPANDER ROUTE INDEXES
				7	
				8	RESERVED
				9	PHY IDENTIFIER / NUMBER OF PHYs
				10	PHY OPERATION / CONFIGURE ROUTE [0]
				11	RESERVED
0	INITIATOR [7] PROTOCOL [4:6] ADDRESS FRAME TYPE [0:3]	IGNORED [7] DEVICE TYPE [4:6] ADDRESS FRAME TYPE [0:3]	12	DISABLE EXPANDER ROUTE ENTRY [7] / EXPANDER ROUTE ENTRY DISABLED [7] DEVICE TYPE [4:6] ADDRESS DECODE [0:3]	
1	FEATURES [4:7] LINK RATE [0:3]	IGNORED [4:7] PHY LINK RATE [0:3]	13	RESERVED [4:6] PHY LINK RATE [0:3]	
2 3	INITIATOR CONNECTION TAG	RESERVED [4:7] SSP INITIATOR [3] STP INITIATOR [2] SMP INITIATOR [1] RESERVED [0]	14	RESERVED [4:7] SSP INITIATOR [3] STP INITIATOR [2] SMP INITIATOR [1] RESERVED [0]	
		RESERVED [4:7] SSP TARGET [3] STP TARGET [2] SMP TARGET [1] IGNORED [0]	15	RESERVED [4:7] SSP TARGET [3] STP TARGET [2] SMP TARGET [1] SATA TARGET [0]	
4 11	DESTINATION SAS ADDRESS	IGNORED	16 23	ATTACHED SAS ADDRESS	
12 19	SOURCE SAS ADDRESS	SAS ADDRESS	24 31	SAS ADDRESS	
20 21	RESERVED	IGNORED	32	PROG MIN PHY RATE [4:7] MIN PHY RATE [0:3]	
	PATHWAY BLOCK COUNT		33	PROG MAX PHY RATE [4:7] MAX PHY RATE [0:3]	
22 23	ARBITRATION WAIT TIME		34 35	VENDOR-SPECIFIC	
24 27	RESERVED	RESERVED	36 39	RESERVED	
28 31	CRC	CRC	40 43	CRC	

		<b>SMP Discover</b>		<b>SMP Report General</b>	
0	REQUEST (40H)	RESPONSE (41H)	REQUEST (40H)	RESPONSE (41H)	
1	FUNCTION (00H)	FUNCTION (00H)	FUNCTION (01H)	FUNCTION (01H)	
2	RESERVED	RESULT (00H)	RESERVED	RESULT (00H)	
3		RESERVED		RESERVED	
4	IGNORED	IGNORED	IGNORED	EXPANDER ROUTE SLOTS	
5				EXPANDER ROUTE INDEXES	
6					
7					
8	RESERVED	RESERVED	RESERVED	RESERVED	
9	PHY IDENTIFIER	PHY IDENTIFIER	IGNORED	NUMBER OF PHYS	
10	IGNORED	IGNORED		RESERVED [1:7] CONFIGURABLE ROUTE [0]	
11	RESERVED	RESERVED	RESERVED	RESERVED	
12	CRC	IGNORED [7] DEVICE TYPE [4:6] ADDRESS DECODE [0:3]	CRC	CRC	
13		RESERVED [4:7] PHY LINK RATE [0:3]			
14		RESERVED [4:7] SSP INITIATOR [3] STP INITIATOR [2] SMP INITIATOR [1] RESERVED [0]			
15		RESERVED [4:7] SSP TARGET [3] STP TARGET [2] SMP TARGET [1] SATA TARGET [0]			
16					
23		ATTACHED SAS ADDRESS			
24		SAS ADDRESS			
31					
32		PROG MIN PHY RATE [4:7] MIN PHY RATE [0:3]			
33		PROG MAX PHY RATE [4:7] MAX PHY RATE [0:3]			
34		IGNORED			
35					
36		RESERVED			
39					
40		CRC			
43					

<b>SMP Report SATA Capabilities</b>			<b>SMP Manufacturer Information</b>	
0	REQUEST (40H)	RESPONSE (41H)	REQUEST (40H)	RESPONSE (41H)
1	FUNCTION (02H)	FUNCTION (02H)	FUNCTION (03H)	FUNCTION (03H)
2	RESERVED	RESULT (00H)	RESERVED	RESULT (00H)
3		RESERVED		RESERVED
4	CRC	IGNORED	CRC	IGNORED
7				
8		RESERVED		RESERVED
9		IGNORED		IGNORED
10				
11		RESERVED		RESERVED
12		RESERVED [2:7] ATA QUEUING CAPABLE [1] SATA CAPABLE [0]		VENDOR IDENTIFICATION
13		RESERVED		
15				
16		NUMBER OF INITIATORS		
17		SATA VERSION		
18		RESERVED		
19				
20		CRC		PRODUCT IDENTIFICATION
23				
24				
35				
36				PRODUCT REVISION LEVEL
39				
40				VENDOR-SPECIFIC
59				
60				CRC
63				

<b>SMP Report Phy Error Log</b>			<b>SMP Phy SATA</b>	
0	REQUEST (40H)	RESPONSE (41H)	REQUEST (40H)	RESPONSE (41H)
1	FUNCTION (11H)	FUNCTION (11H)	FUNCTION (12H)	FUNCTION (12H)
2	RESERVED	RESULT (00H)	RESERVED	RESULT (00H)
3		RESERVED		RESERVED
4	IGNORED	IGNORED	IGNORED	IGNORED
7				
8	RESERVED	RESERVED	RESERVED	RESERVED
9	PHY IDENTIFIER	PHY IDENTIFIER	PHY IDENTIFIER	PHY IDENTIFIER
10	IGNORED	IGNORED	IGNORED	IGNORED
11	RESERVED	RESERVED	RESERVED	RESERVED
12	CRC	INVALID CHARACTER COUNT	CRC	REGISTER DEVICE TO HOST FIS
15				
16		DISPARITY ERROR COUNT		
19				
20		LOSS OF BIT SYNC COUNT		
23				
24		PHY RESET PROBLEM COUNT		
27				
28		CRC		
31				
32				CRC
35				

<b>SMP Report Route</b>		<b>SMP Configure Route</b>		
0	REQUEST (40H)	RESPONSE (41H)	REQUEST (40H)	RESPONSE (41H)
1	FUNCTION (04H)	FUNCTION (04H)	FUNCTION (80H)	FUNCTION (80H)
2	RESERVED	RESULT (00H)	RESERVED	RESULT (00H)
3		RESERVED		RESERVED
4	EXPANDER ROUTE SLOT	EXPANDER ROUTE SLOT	EXPANDER ROUTE SLOT	CRC
5				
6	EXPANDER ROUTE INDEX	EXPANDER ROUTE INDEX	EXPANDER ROUTE INDEX	
7				
8	RESERVED	RESERVED	RESERVED	
9	IGNORED	IGNORED	IGNORED	
10	IGNORED	IGNORED	RESERVED	
11	RESERVED	RESERVED	RESERVED	
12	CRC	ROUTE ENTRY DISABLED [7] IGNORED [0:6]	DISABLE ROUTE ENTRY [7] IGNORED [0:6]	
13 15		IGNORED	IGNORED	
16 23		ATTACHED SAS ADDRESS	ATTACHED SAS ADDRESS	
24 35		IGNORED	IGNORED	
36 39		RESERVED	RESERVED	
40 43		CRC	CRC	

<b>SMP Phy Control</b>		<b>SMP Phy Margin Control</b>		
0	REQUEST (40H)	RESPONSE (41H)	REQUEST (40H)	RESPONSE (41H)
1	FUNCTION (90H)	FUNCTION (90H)	FUNCTION (91H)	FUNCTION (91H)
2	RESERVED	RESULT (00H)	RESERVED	RESULT (00H)
3		RESERVED		RESERVED
4	IGNORED	CRC	IGNORED	IGNORED
7				
8	RESERVED		RESERVED	RESERVED
9	PHY IDENTIFIER		PHY IDENTIFIER	PHY IDENTIFIER
10	PHY OPERATION		IGNORED	IGNORED
11	RESERVED		RESERVED	RESERVED
12	IGNORED		IGNORED	IGNORED
15				
16 31				
32	PROG MIN PHY RATE [4:7] IGNORED [0:3]			
33	PROG MAX PHY RATE [4:7] IGNORED [0:3]			
34 35	IGNORED	VENDOR-SPECIFIC	VENDOR-SPECIFIC	
36 39	RESERVED	RESERVED	RESERVED	
40 43	CRC	CRC	CRC	

**Change 1: Modify the Identify Frame format**

from:

Byte	7	6	5	4	3	2	1	0
0	Reserved			ADDRESS FRAME TYPE (0h)				
1	PHY IDENTIFIER							
2	Reserved			MAXIMUM PHYSICAL LINK RATE				
3	DEVICE TYPE	STP INITIATOR	STP TARGET	SSP INITIATOR	SSP TARGET	SMP INITIATOR	SMP TARGET	
4	DEVICE NAME							
11								
12	(MSB)	Reserved						(LSB)
27								
28	(MSB)	CRC						(LSB)
31								

to:

Byte	7	6	5	4	3	2	1	0
0	Ignored	DEVICE TYPE			ADDRESS FRAME TYPE (0h)			
1	Ignored			PHY LINK RATE				
2	Reserved			SSP INITIATOR	STP INITIATOR	SMP INITIATOR	Reserved	
3	Reserved			SSP TARGET	STP TARGET	SMP TARGET	Ignored	
4	Ignored							
11								
12	(MSB)	SAS ADDRESS						(LSB)
19								
20	Ignored							
21								
22	Reserved							
27								
28	(MSB)	CRC						(LSB)
31								

The ADDRESS FRAME TYPE field as defined in SAS.

The DEVICE TYPE field as defined in SAS, with the exception of an increase from a 2-bit field to a 3-bit field.

The PHY LINK RATE field indicates the current physical link rate negotiated on this phy and is defined in Table 1.

Table 1. Physical Link Rate

PHY LINK RATE	Physical link rate
0000b – 0100b	Reserved
0101b	1,5 Gbps
0100b	3,0 Gbps
0101b – 1111b	Reserved

The SMP INITIATOR bit as defined in SAS.

The STP INITIATOR bit as defined in SAS.

The SSP INITIATOR bit as defined in SAS.

The SMP TARGET bit as defined in SAS.

The STP TARGET bit as defined in SAS.

The SSP TARGET bit as defined in SAS.

The SAS ADDRESS field as defined in SAS.

**Change 2: Modify the SMP Request/Response Format**

from:

Byte	7	6	5	4	3	2	1	0	
0	INFORMATION UNIT TYPE (40h)								
1	Reserved								
23	Reserved								
24	FUNCTION								
25	ADDITIONAL REQUEST BYTES								
m	Fill bytes, if needed								
n - 3	(MSB)	CRC							
n	(LSB)								

Byte	7	6	5	4	3	2	1	0	
0	INFORMATION UNIT TYPE (41h)								
1	Reserved								
23	Reserved								
24	FUNCTION RESULT								
25	ADDITIONAL RESPONSE BYTES								
m	Fill bytes, if needed								
n - 3	(MSB)	CRC							
n	(LSB)								

to:

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION								
2	Reserved								
3	Reserved								
4	ADDITIONAL REQUEST BYTES								
n - 4	Fill bytes, if needed								
n - 3	(MSB)	CRC							
n	(LSB)								

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION								
2	RESULT								
3	Reserved								
4	ADDITIONAL RESPONSE BYTES								
n - 4	Fill bytes, if needed								
n - 3	(MSB)	CRC							
n	(LSB)								

The CRC field shall begin on a four-byte boundary.

**Change 3: Modify the SMP Discover Format**

from:

The Discover function returns the SAS Addresses attached to a device. This function shall be implemented by all expander devices and may be implemented by other types of devices.

Table x defines the request format.

**Table x. DISCOVER request**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION (00h)							
1	Reserved							
3	Reserved							

Table y defines the response format.

**Table y. DISCOVER response**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION RESULT							
1	Reserved							
3	Reserved							
4	(MSB)	DEVICE NAME VALID BITMASK						(LSB)
11	Reserved							
12	(MSB)	ATTACHED FANOUT EXPANDER BITMASK						(LSB)
19	Reserved							
20	Reserved							
31	Reserved							
32	(MSB)	DEVICE NAME 0						(LSB)
39	Reserved							
...	...							
536	(MSB)	DEVICE NAME 63						(LSB)
543	Reserved							
544	(MSB)	CRC						(LSB)
547	Reserved							

to:

The DISCOVER function returns the physical link configuration information for the physical link specified. All expander devices shall implement this function. If other device types implement SMP requests and responses, those devices shall return a function result of unknown function. The physical link configuration information provides details about the attached device SAS address, the protocols supported by the attached device, the link rate and the addressing support provided by the physical link.

Table x defines the request format.

**Table x. DISCOVER request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (00h)								
2	Reserved								
3	Reserved								
4	Ignored								
7	Reserved								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	(MSB)	CRC						(LSB)	
15									

The PHY IDENTIFIER field indicates the physical link for which the physical link configuration information is being requested.

Table y defines the response format.

**Table y. DISCOVER response**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (41h)							
1	FUNCTION (00h)							
2	RESULT							
3	Reserved							
4	Ignored							
7	Ignored							
8	Reserved							
9	PHY IDENTIFIER							
10	Ignored							
11	Reserved							
12	ROUTE ENTRY DISABLED	DEVICE TYPE			ADDRESS DECODE			
13	Reserved			PHY LINK RATE				
14	Reserved			SSP INITIATOR	STP INITIATOR	SMP INITIATOR	Reserved	
15	Reserved			SSP TARGET	STP TARGET	SMP TARGET	SATA TARGET	
16	(MSB)	ATTACHED SAS ADDRESS						(LSB)
23								
24	(MSB)	SAS ADDRESS						(LSB)
31								
32	PROG MIN PHY RATE			MIN PHY RATE				
33	PROG MAX PHY RATE			MAX PHY RATE				
34	Ignored							
35	Ignored							
36	Reserved							
39	Reserved							
40	(MSB)	CRC						(LSB)
43								

The PHY IDENTIFIER field indicates the physical link for which the physical configuration link information is being requested.

The ADDRESS DECODE field indicates the method of address decode supported by this phy and is defined in Table 1. An expander device which is capable of supporting multiple decode mechanisms should report the most capable method. Table decode is the most capable, Single decode is the least capable.

Table 1. Address Decode

ADDRESS DECODE	Address Decode
000b	Single
001b	Subtractive
010b	Table
011b-111b	Reserved

Expander phys defined as supporting Single address decode shall be used to route the end device SAS Address.

Expander phys defined as supporting Subtractive address decode shall be used to route any SAS Address that is not resolved within the expander component. When multiple phys within an expander component are defined as Subtractive they shall terminate at attached phys with identical SAS Addresses, defining a single wide SAS port. If they do not terminate at identical SAS Addresses, the topology discovery function (see x.x.x) will indicate an illegal topology.

Expander phys defined as supporting Table address decode shall have a expander route table associated with them that shall contain SAS Addresses that may be resolved at or beyond the attached

phy. Only SAS Addresses with references in the associated expander route table may be routed out this phy. The EXPANDER ROUTE INDEX and EXPANDER ROUTE SLOT fields in the REPORT ROUTE INFORMATION and CONFIGURE ROUTE INFORMATION functions are used to address expander route entries within the expander route table.

The DEVICE TYPE field as defined in SAS, with the exception of an increase from a 2-bit field to a 3-bit field.

The ROUTE ENTRY DISABLED bit indicates the content of the frame is valid for routing. A value of one indicates the route table entry has been determined to be in violation of connection rules and shall not be used for routing a SAS Address.

The PHY LINK RATE field indicates the current physical link rate negotiated on this phy and is defined in Table 2.

Table 2. Physical Link Rate

PHY LINK RATE	Physical link rate
0000b	Rate unknown
0001b	Phy does not exist
0010b	Disabled
0011b	Failed
0100b	Spinup hold OOB (see STP)
0101b	1,5 Gbps
0110b	3,0 Gbps
0111b – 1111b	Reserved

The SMP INITIATOR bit as defined in SAS.

The STP INITIATOR bit as defined in SAS.

The SSP INITIATOR bit as defined in SAS.

The SATA TARGET bit indicates the far end device is an SATA target device.

The SMP TARGET bit as defined in SAS.

The STP TARGET bit as defined in SAS.

The SSP TARGET bit as defined in SAS.

The SAS ADDRESS field as defined in SAS.

The ATTACHED SAS ADDRESS field contains the device name for the far end phy.

The SAS ADDRESS field contains the device name of this phy.

The MIN PHY RATE field indicates the minimum physical link rate supported on this phy and is defined in Table 2.

The PROG MIN PHY RATE field indicates the minimum physical link rate programmed on this phy and is defined in Table 2.

The MAX PHY RATE field indicates the maximum physical link rate supported on this phy and is defined in Table 2.

The PROG MAX PHY RATE field indicates the maximum physical link rate programmed on this phy and is defined in Table 2.

**Change 4: Modify the SMP Report General format**

from:

Table x defines the request format.

**Table x. REPORT GENERAL request**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION (01h)							
1	Reserved							
3								

Table y defines the response format.

**Table y. REPORT GENERAL response**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION RESULT							
1	Reserved							
2	NUMBER OF PHYS							
3	INPUT PHY IDENTIFIER							
4	Reserved							
15								
16	(MSB)	ACTIVE PHY BITMASK						(LSB)
23								
24	(MSB)	ATTACHED FANOUT EXPANDER BITMASK						(LSB)
31								
32	(MSB)	ATTACHED EDGE EXPANDER BITMASK						(LSB)
39								
40	(MSB)	ATTACHED SAS INITIATOR BITMASK						(LSB)
47								
48	(MSB)	ATTACHED SAS TARGET BITMASK						(LSB)
53								
54	(MSB)	ATTACHED SATA BITMASK						(LSB)
75								
76	(MSB)	PHY RATE MULTIBITMASK						(LSB)
83								
84	(MSB)	FUNCTIONS SUPPORTED BITMASK						(LSB)
115								

to:

Table x defines the request format.

**Table x. REPORT GENERAL request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (01h)								
2	Reserved								
3	Reserved								
4	(MSB)	CRC						(LSB)	
7									

Table y defines the response format.

**Table y. REPORT GENERAL response**

Byte	7	6	5	4	3	2	1	0	
0	INFORMATION UNIT TYPE (41h)								
1	FUNCTION (01h)								
2	RESULT								
3	Reserved								
4	(MSB)	EXPANDER ROUTE SLOTS						(LSB)	
5									
6	(MSB)	EXPANDER ROUTE INDEXES						(LSB)	
7									
8	Reserved								
9	NUMBER OF PHYS								
10	Reserved							CONFIGURABLE ROUTE TABLE	
11	Reserved								
12	(MSB)	CRC						(LSB)	
15									

The EXPANDER ROUTE SLOTS field contains the maximum number of route slots for an expander device. Expander devices shall support this field. Other device types shall return a zero value in this field, indicating that no expander route table is supported. If an expander device supports an expander route table, then the number of expander route slots shall be at least equal to the number of phys on the expander device.

The EXPANDER ROUTE INDEXES field contains the maximum number of route indexes for an expander device. Expander devices shall support this field. Other device types shall return a zero value in this field, indicating that no expander route table is supported. If an expander device supports an expander route table, then the number of expander route indexes shall be greater than or equal to the number of phys on the attached device for an edge device or shall be greater than or equal to the maximum supported devices in an edge device for a fanout device (see 4.1.9).

The NUMBER OF PHYS field contains the number of phys in the device.

The CONFIGURABLE ROUTE TABLE bit indicates whether the expander device has an expander route table that shall be configured. An expander device with a configurable route table shall have the configurable route table bit set to one and shall have defined values for the EXPANDER ROUTE SLOTS and EXPANDER ROUTE INDEXES. An expander device without a configurable route table shall have the configurable route table bit set to zero and may have defined values for the expander route slots and expander route indexes.

**Change 5: Delete the SMP functions; Report Phy and Report Phy Devices**

Note: These functions are no longer needed; because their functionality has been consolidated into the Identify frame and Discover response.

**Change 6: Modify the SMP function, Report SATA Capabilities**

from:

*Table x defines the request format.***Table x. REPORT SATA CAPABILITIES request**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
<i>0</i>	<i>FUNCTION (02h)</i>							
<i>1</i>	<i>Reserved</i>							
<i>3</i>	<i>Reserved</i>							

*Table y defines the response format.***Table y. REPORT SATA CAPABILITIES response**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
<i>0</i>	<i>FUNCTION RESULT</i>							
<i>1</i>	<i>Reserved</i>						<i>ATA QUEUING CAPABLE</i>	<i>SATA CAPABLE</i>
<i>2</i>	<i>SATA VERSION</i>							
<i>3</i>	<i>NUMBER OF INITIATOR PORTS</i>							

to:

Table x defines the request format.

**Table x. REPORT SATA CAPABILITIES request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (02h)								
2	Reserved								
3	Reserved								
4	(MSB)	CRC						(LSB)	
7									

Table y defines the response format.

**Table y. REPORT SATA CAPABILITIES response**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION (02h)								
2	RESULT								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	Ignored								
10	Ignored								
11	Reserved								
12	Reserved						ATA QUEUEING CAPABLE	SATA CAPABLE	
13	Reserved								
15	Reserved								
16	NUMBER OF INITIATORS								
17	SATA VERSION								
18	Reserved								
19	Reserved								
20	(MSB)	CRC						(LSB)	
23									

The SATA CAPABLE bit as defined in SAS.

The ATA QUEUEING CAPABLE bit as defined in SAS.

The NUMBER OF INITIATORS field as defined in SAS.

The SATA VERSION field as defined in SAS.

**Change 7: Modify the SMP function, Report Manufacturer Information**

from:

Table x defines the request format.

**Table x. REPORT MANUFACTURER INFORMATION request**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION (03h)							
1	Reserved							
3	Reserved							

Table y defines the response format.

**Table y. REPORT MANUFACTURER INFORMATION response**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION RESULT							
1	Reserved							
3	Reserved							
4	ADDITIONAL LENGTH (33h)							
5	Reserved							
7	Reserved							
8	(MSB)	VENDOR IDENTIFICATION						(LSB)
15	Reserved							
16	(MSB)	PRODUCT IDENTIFICATION						(LSB)
31	Reserved							
32	(MSB)	PRODUCT REVISION LEVEL						(LSB)
35	Reserved							
36	Reserved							
55	Vendor-specific							

to:

Table x defines the request format.

**Table x. REPORT MANUFACTURER INFORMATION request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (03h)								
2	Reserved								
3	Reserved								
4	(MSB)	CRC							
7							(LSB)		

Table y defines the response format.

**Table y. REPORT MANUFACTURER INFORMATION response**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION (03h)								
2	RESULT								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	Ignored								
10	Reserved								
11	Reserved								
12	Reserved								
19	VENDOR INFORMATION								
20	PRODUCT IDENTIFICATION								
35	PRODUCT IDENTIFICATION								
36	PRODUCT REVISION LEVEL								
39	PRODUCT REVISION LEVEL								
40	Vendor-Specific								
59	Vendor-Specific								
60	(MSB)	CRC							
63							(LSB)		

The VENDOR INFORMATION field as defined in SAS.

The PRODUCT IDENTIFICATION field as defined in SAS.

The PRODUCT REVISION LEVEL field as defined in SAS.

**Change 8: Modify the SMP function, Report Phy Error Log**

from:

Table x defines the request format.

**Table x. REPORT PHY ERROR LOG request**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION (11h)							
1	Reserved							
2	Reserved							
3	PHY IDENTIFIER							

Table y defines the response format.

**Table y. REPORT PHY ERROR LOG response**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION RESULT							
1	REPORT PHY ERROR LOG RESULT							
2	Reserved							
3	Reserved							
4	(MSB)	INVALID CHARACTER COUNT						(LSB)
7								
8	(MSB)	DISPARITY ERROR COUNT						(LSB)
11								
12	(MSB)	LOSS OF BIT SYNCHRONIZATION COUNT						(LSB)
15								

to:

Table x defines the request format.

**Table x. REPORT PHY ERROR LOG request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (11h)								
2	Reserved								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	(MSB)	CRC						(LSB)	
15									

Table y defines the response format.

**Table y. REPORT PHY ERROR LOG response**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION (11h)								
2	RESULT								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	INVALID CHARACTER COUNT								
15	INVALID CHARACTER COUNT								
16	DISPARITY ERROR COUNT								
19	DISPARITY ERROR COUNT								
20	LOSS OF BIT SYNC COUNT								
23	LOSS OF BIT SYNC COUNT								
24	PHY RESET PROBLEM COUNT								
27	PHY RESET PROBLEM COUNT								
28	(MSB)	CRC						(LSB)	
31									

The PHY IDENTIFIER field as defined in SAS.

The INVALID CHARACTER COUNT field as defined in SAS.

The DISPARITY ERROR COUNT field as defined in SAS.

The LOSS OF BIT SYNC COUNT field as defined in SAS.

The PHY RESET PROBLEM COUNT field as defined in SAS.

**Change 9: Modify the SMP function, Report Phy SATA**

from:

Table x defines the request format.

**Table x. REPORT PHY SATA request**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION (12h)							
1	Reserved							
2	Reserved							
3	PHY IDENTIFIER							

Table y defines the response format.

**Table y. REPORT PHY SATA response**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION RESULT							
1	REPORT PHY SATA RESULT							
2	Reserved							
3	Reserved							
4	REGISTER DEVICE TO HOST FIS							
23	REGISTER DEVICE TO HOST FIS							

to:

Table x defines the request format.

**Table x. REPORT PHY SATA request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (12h)								
2	Reserved								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	(MSB)	CRC						(LSB)	
15									

Table y defines the response format.

**Table y. REPORT PHY SATA response**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION (12h)								
2	RESULT								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	REGISTER DEVICE TO HOST FIS								
31	REGISTER DEVICE TO HOST FIS								
32	(MSB)	CRC						(LSB)	
35									

The PHY IDENTIFIER field as defined in SAS.

The REGISTER DEVICE TO HOST FIS field as defined in SAS.

## Change 10: Add the SMP function, Report Route Information

The REPORT ROUTE INFORMATION function returns the route table information for a specific expander route slot and expander route index within an expander device. Expander devices shall support this function if the Report General function has non-zero values for EXPANDER ROUTE SLOTS and EXPANDER ROUTE INDEXES. This function is used primarily as a diagnostic tool to resolve topology issues.

Table x defines the request format.

**Table x. REPORT ROUTE INFORMATION request**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (40h)							
1	FUNCTION (04h)							
2	Reserved							
3	Reserved							
4	(MSB)	EXPANDER ROUTE SLOT						(LSB)
5	Reserved							
6	(MSB)	EXPANDER ROUTE INDEX						(LSB)
7	Reserved							
8	Reserved							
9	Reserved							
10	Reserved							
11	Reserved							
12	(MSB)	CRC						(LSB)
15	Reserved							

The EXPANDER ROUTE SLOT field indicates the route slot for which the Report Route information is being requested. The value must be in the range of 0 to EXPANDER ROUTE SLOTS or a function result unknown function shall occur.

The EXPANDER ROUTE INDEX field indicates the route index for which the Report Route information is being requested. The value must be in the range of 0 to EXPANDER ROUTE INDEXES or a function result unknown function shall occur.

Table y defines the response format.

**Table y. REPORT ROUTE INFORMATION response**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (41h)							
1	FUNCTION (04h)							
2	RESULT							
3	Reserved							
4	(MSB)	EXPANDER ROUTE SLOT						(LSB)
5								
6	(MSB)	EXPANDER ROUTE INDEX						(LSB)
7								
8	Reserved							
9	PHY IDENTIFIER							
10	Ignored							
11	Reserved							
12	ROUTE ENTRY DISABLED	Ignored						
13	Ignored							
15								
16	(MSB)	ATTACHED SAS ADDRESS						(LSB)
23								
24	Ignored							
35								
36	Reserved							
39								
40	(MSB)	CRC						(LSB)
43								

The EXPANDER ROUTE SLOT field indicates the route slot for which the Report Route information has been requested.

The EXPANDER ROUTE INDEX field indicates the route index for which the Report Route information has been requested.

The ROUTE ENTRY DISABLED field indicates the content of the frame is valid for routing. The 1b value indicates the route has been determined to be in violation of connection rules.

The ATTACHED SAS ADDRESS field contains the device name for the far end phy.

## Change 11: Add the SMP function, Configure Route Information

The CONFIGURE ROUTE INFORMATION function sets the expander route table information for a specific expander route slot and expander route index within the expander route table of a configurable expander device. Expander devices that do not have a configurable route table or end devices shall not support this function. Expander devices shall support this function if the Report General function has the CONFIGURABLE ROUTE TABLE field set.

Table x defines the request format.

**Table x. CONFIGURE ROUTE INFORMATION request**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (40h)							
1	FUNCTION (80h)							
2	Reserved							
3	Reserved							
4	(MSB)	EXPANDER ROUTE SLOT						(LSB)
5	Reserved							
6	(MSB)	EXPANDER ROUTE INDEX						(LSB)
7	Reserved							
8	Reserved							
9	PHY IDENTIFIER							
10	Reserved							
11	Reserved							
12	DISABLE ROUTE ENTRY	Ignored						
13	Ignored							
15	Ignored							
16	(MSB)	ATTACHED SAS ADDRESS						(LSB)
23	Reserved							
24	Ignored							
35	Reserved							
36	Reserved							
39	Reserved							
40	(MSB)	CRC						(LSB)
43	Reserved							

The EXPANDER ROUTE SLOT field indicates the route slot for which the Configure Route information is being configured. The value must be in the range of zero to EXPANDER ROUTE SLOTS or a function result unknown function shall occur.

The EXPANDER ROUTE INDEX field indicates the route index for which the Configure Route information is being configured. The value must be in the range of zero to EXPANDER ROUTE INDEXES or a function result unknown function shall occur.

The DISABLE ROUTE ENTRY bit when set to zero indicates the content of the frame is valid for routing. The expander route entry specified by the expander route slot and expander route index in the expander route table shall be ignored by the expander, if the disable route entry bit is set to one.

The ATTACHED SAS ADDRESS field contains the device name for the far end phy.

Table y defines the response format.

**Table y. CONFIGURE ROUTE INFORMATION response**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (41h)							
1	FUNCTION (80h)							
2	RESPONSE							
3	Reserved							
4	(MSB)	CRC						(LSB)
7	Reserved							

**Change 12: Modify SMP function Phy Control**

from:

Table x defines the request format.

**Table x. PHY CONTROL request**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION (90h)							
1	Reserved							
2	Reserved							
3	PHY IDENTIFIER							
4	PHY OPERATION							
5	MINIMUM PHYSICAL LINK RATE				MAXIMUM PHYSICAL LINK RATE			
6	Reserved							
7	Reserved							

Table y defines the response format.

**Table y. PHY CONTROL response**

Byte	7	6	5	4	3	2	1	0
0	FUNCTION RESULT							
1	PHY CONTROL RESULT							
2	Reserved							
3	Reserved							

to:

Table x defines the request format.

**Table x. PHY CONTROL request**

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (40h)							
1	FUNCTION (90h)							
2	Reserved							
3	Reserved							
4	Ignored							
7	Ignored							
8	Reserved							
9	PHY IDENTIFIER							
10	PHY OPERATION							
11	Reserved							
12	Ignored							
31	Ignored							
32	PROG MIN PHY RATE				Ignored			
33	PROG MAX PHY RATE				Ignored			
34	Ignored							
35	Ignored							
36	Reserved							
39	Reserved							
40	(MSB)	CRC						(LSB)
43	(LSB)							

The PHY IDENTIFIER field as defined in SAS.

The PHY OPERATION field as defined in SAS.

The PROG MIN PHY RATE field indicates the minimum physical link rate programmed on this phy and is defined in Table 1.

The PROG MAX PHY RATE field indicates the maximum physical link rate programmed on this phy and is defined in Table 1.

Table 1. Physical Link Rate

PHY LINK RATE	Physical link rate
0000b	Rate unknown
0001b	Phy does not exist
0010b	Disabled
0011b	Failed
0100b	Spinup hold OOB (see STP)
0101b	1,5 Gbps
0110b	3,0 Gbps
0111b – 1111b	Reserved

Table y defines the response format.

Table y. PHY CONTROL response

Byte	7	6	5	4	3	2	1	0
0	SMP FRAME TYPE (41h)							
1	FUNCTION (90h)							
2	RESPONSE							
3	Reserved							
4	(MSB)	CRC						(LSB)
7								

**Change 13: Modify SMP function Phy Margin Control**

from:

Table x defines the request format.

**Table x. PHY MARGIN CONTROL request**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION (91h)							
1	Reserved							
2	Reserved							
3	PHY IDENTIFIER							
4	Reserved							
5	Reserved							
6	Vendor-specific							
7	Vendor-specific							

Table y defines the response format.

**Table y. PHY MARGIN CONTROL response**

<b>Byte</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>0</b>
0	FUNCTION RESULT							
1	PHY CONTROL RESULT							
2	Reserved							
3	Reserved							

to:

Table x defines the request format.

**Table x. PHY MARGIN CONTROL request**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (40h)								
1	FUNCTION (91h)								
2	Reserved								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	Ignored								
33	Ignored								
34	Vendor-Specific								
35	Vendor-Specific								
36	Reserved								
39	Reserved								
40	(MSB)	CRC						(LSB)	
43	(LSB)								

The PHY IDENTIFIER field as defined in SAS.

Table y defines the response format.

**Table y. PHY MARGIN CONTROL response**

Byte	7	6	5	4	3	2	1	0	
0	SMP FRAME TYPE (41h)								
1	FUNCTION (91h)								
2	Reserved								
3	Reserved								
4	Ignored								
7	Ignored								
8	Reserved								
9	PHY IDENTIFIER								
10	Ignored								
11	Reserved								
12	Ignored								
33	Ignored								
34	Vendor-Specific								
35	Vendor-Specific								
36	Reserved								
39	Reserved								
40	(MSB)	CRC						(LSB)	
43	(LSB)								

The PHY IDENTIFIER field as defined in SAS.