

# **T10** Project 1157-D

**Revision 19** 25 July 2001

## Information technology -SCSI Architecture Model - 2 (SAM-2)



modified by T10. This document is made availate for review and comment only.

This is an internal working document of T10, a call Committee of Accredited Standards Committee NCITS (National Committee for Information Technology Standards). As such this is not a completed standard and has not been approved. The contents may be modified ty the T10 Technical Committee. The contents are actively being

Permission is granted to members of NCITS, its technical committees, and their associated task groups to reproduce this document for the purposes of NCITS standardization activities without further permission, provided this notice is included. All other rights are reserved. Any duplication of this document for commercial or for-profit use is strictly prohibited.

T10 Technical Editor:

Ralph O. Weber **ENDL** Texas 18484 Preston Road Suite 102#178 Dallas, TX 75252 USA

Telephone: 214-912-1373 Facsimile: 972-596-2775 Email: ROWeber@ACM.org

> **Reference number** ISO/IEC ISO/IEC 14776-412 : 200x ANSI NCITS.\*\*\*:200x

### **Points of Contact:**

#### T10 Chair

John B. Lohmeyer LSI Logic 4420 Arrows West Drive Colorado Springs, CO 80907-3444 Tel: (719) 533-7560 Fax: (719) 533-7183 Email: lohmeyer@t10.org

#### T10 Vice-Chair

George O. Penokie Tivoli Systems, Inc. 3605 Highway 52 N MS: 2C6 Rochester, MN 55901 Tel: (507) 253-5208 Fax: (507) 253-2880 Email: gpenokie@tivoli.com

#### **NCITS Secretariat**

NCITS Secretariat	Telephone:	202-737-8888
1250 Eye Street, NW Suite 200	Facsimile:	202-638-4922
Washington, DC 20005	Email:	ncits@itic.org

#### T10 Web Site www.t10.org

T10 ReflectorTo subscribe send e-mail to majordomo@T10.org with 'subscribe' in message body<br/>To unsubscribe send e-mail to majordomo@T10.org with 'unsubscribe' in message body<br/>Internet address for distribution via T10 reflector: T10@T10.org

#### **Document Distribution**

NCITS Online Store	http://www.techstreet.com/ncits.html
managed by Techstreet	Telephone: 1-734-302-7801 or
1327 Jones Drive	1-800-699-9277
Ann Arbor, MI 48105	Facsimile: 1-734-302-7811

#### or

Global Engineering	
15 Inverness Way East	
Englewood, CO 80112-5704	

http://global.ihs.com/ Telephone: 1-303-792-2181 or 1-800-854-7179 Facsimile: 1-303-792-2192

### **Revision Information**

### **1** Approved Documents Included

The following T10 approved proposals have been incorporated SAM-2 up to and including this revision:

94-236r3	Addressability of Logical Unit For Resets
95-229r2	Proposal for Persistent Reserve
96-169r0	Proposed Changes for SAM-2
96-198r4	New Task Management Models for SAM-2
96-277r2	Proposed Change in QErr for SPC-2
97-122r4	Addressing Model for SAM -2
97-219r2	LUN discovery
97-225r4	Proposal for Contingent Allegiance / Auto Contingent Allegiance Handling
98-148	Minutes of T10 Meeting #26 — Make Terminate Task task management function obsolete
98-202	Minutes of T10 Meeting #27 — Make usage of hierarchical LUN format mandatory
98-202	Minutes of T10 Meeting #27 — Make extent reservations obsolete
98-188r2	Method for defining very long command blocks
98-241r1	Obsoleting the flag bit in the control byte
98-246r1	"May not" clarifications in SPI-3
99-144r1	Suggested changes to REPORT LUNS command for SPC-2
99-232r1	SAM-2 Tasks and Work
99-245r9	A Detailed Proposal For Access Controls
99-343r1	Proposal for QUEUE FULL Clarification
00-140r3	SAM-2 Task Identifier Readability Enhancements and Addition of Nexus to SAM-2
00-213r2	SAM-2 Byte Count That's Really Buffer Size
00-229r3	Task Aborted - SAM changes
00-268r8	Defining Targets/Initiators as Ports
00-308	Minutes of T10 Meeting #39 — Replacing SMU with TBD in SAM-2
00-309r2	Bidirectional data transfers in SAM-2
00-333r0	Standard Is A Functional Description
00-340r1	SAM-2 ASCQ references in section 5.4
00-345r1	Command Reference Number (CRN) into SAM-2
01-015r2	Making Target Reset optional in SAM-2
01-061r2	SAM-2 AER enable and disable requirement for protocols
01-134r1	SAM-2, SPC-3, SPI-4, SBC-2 WAKEUP and reset cleanup
01-182r1	SAM-2 device and port names
01-185r1	SAM-2 lavering terminology proposal

01-185r1 SAM-2 layering terminology proposal

The following proposal was approved for inclusion in SAM-2 but as far as the editor can tell no SAM-2 changes are contained in the proposal:

00-425r4 Long Identifiers in SPC-3, SAM-2, SBC-2 and other XOR issues

To the best of the technical editor's knowledge, all proposals for SAM-2 approved by T10 have been included in this revision.

### **2 Revision History**

### 2.1 Revision 1 (1 September 1996, Charles Monia)

Revision 1 incorporates the following T10 approved proposals:

95-229r2 Proposal for Persistent Reserve 96-169r0 Proposed Changes for SAM-2

In addition, modify the clauses below to clarify the wording as indicated.

Clause 5.6.1.1, seventh paragraph:

Previous wording:

"If the NACA bit was set to one in the CDB control byte of the faulting command, then a new task created while the ACA condition is in effect shall be entered into the faulted task set provided:"

Revised wording:

"If the NACA bit was set to one in the CDB control byte of the faulting command, then a new task created while the ACA condition is in effect shall not be entered into the faulted task set unless all of the following conditions are true:"

Clause 5.6.1.1, paragraph following list

Previous wording:

"The auto contingent allegiance condition shall not be cleared. If the conditions listed above are not met, the newly created task shall not be entered into the task set and shall be completed with a status of ACA ACTIVE."

Revised wording:

"In any of the conditions listed above are not met, the newly created task shall not be entered into the task set and shall be completed with a status of ACA ACTIVE. The auto contingent allegiance condition shall not be cleared."

Clause 5.2, change the wording as noted below.

"CONDITION MET. This status shall be returned whenever the requested operation specified by an unlinked command is satisfied (see the SEARCH DATA (SBC) and PRE-FETCH (SBC) commands)."

#### 2.2 Revision 2 (28 March 1997, Charles Monia)

Modified clause 3.7.2 to simplify the notation for objects having a numerical value.

Modified clause 3.5 to fully describe typographical conventions.

As instructed by the September 11, 1996 working group, backed out rev 01 changes in service and remote procedure call names.

Revised object definition 6 (logical unit), to include the following supplemental wording in the Task Set object description:

"There shall be one task set per logical unit."

### 2.3 Revision 3 (5 May 1997, Charles Monia)

Revision 3 incorporates the following T10 approved proposals:

94-236r3	Addressability of Logical Unit For Resets
97-122r0	Addressing Model for SAM -2 (not T10 approved)

It must be noted that 97-122r0 was further revised by T10 before being approved as 97-122r4.

### 2.4 Revision 4 (January 1998)

Revision 4 incorporates the following T10 approved proposals:

```
97-122r4 Addressing Model for SAM -2
```

The document has been converted to FrameMaker. The source for the conversion was the revision 3 PDF file, as taken from the T10 web site.

To facilitate the conversion, some of the boiler-plate information was taken from SPC revision 1 and revised with text from the SAM-2 PDF file to match the needs of the SAM-2 document. The Scope clause has been restructured slightly so that the new format documents roadmap can be used.

The glossary definition for "mandatory" has been removed since "mandatory" is defined as a keyword. Definitions for 'sense data", 'sense key", and 'additional sense codes" have been added.

The acronyms "SDP (Service Delivery Port)" and "SDS (Service Delivery Subsystem)" have been removed, since they are not used in the body of the working draft. Acronym definitions have been added for SCSI, SCSI-2, SCSI-3, SPC-2, and SBC. The conventions clause "References to SCSI Standards", containing similar acronym information, has been removed. The newer SPC keyword definitions have been used as the basis for keyword definitions here. A keyword definition for "invalid" has been added and edited slightly to accommodate the usage of "invalid" in clause 5 (SCSI command model).

In the state diagram example, the "Ex:" labels have been removed and descriptions based on the transition labels have been added after the figure. This makes the example more consistent with the one and only state diagram in the working draft.

Graphical aids, such as shading, were added to several figures to make their content more clear. The SCC-2 convention of putting field names in small caps has been adopted. This presents some conflicts with the existing usage of small caps to represent "undefined" names. Every effort has been made to follow the SCC-2 convention rigorously, and several field names have been changed from nondescript lower case to small caps.

In revision 3, an attempt was made to incorporate a pre-approval draft of a proposal describing hierarchical addressing in the logical unit number value (97-122r0). Four more revisions of the proposal were generated before T10 approved 97-122r4 for inclusion in SAM-2. 97-122r0 lacked clarity in several areas, which motivated substantial embellishment on the content of the proposal text in SAM-2 revision 3. After SAM-2 revision 3 was distributed, T10 corrected the omissions and added the needed clarity. However, several of the T10 approved changes conflict with the embellishments found in SAM-2 revision 3. In order to avoid replicating misleading work, 97-122r4 has been incorporated in revision 4. This violates the principle of a "conversion only" working draft

revision for this change of technical editors and document processing software. A "conversion only" revision would have been much preferred, however the duplication of clearly incorrect information and the wasted work argued against a pure conversion working draft. The technical editor makes his apologies here.

In response to a decision of the March 1998 SCSI General Working Group meeting (minutes in 98-126), the definition of the 'reserved' keyword has been changed to match the definition found in SBC.

### 2.5 Revision 5 (13 April 1998)

Rather than starting any of the work described in the "Plans for Future Revisions", the technical editor elected to incorporate all approved documents in revision 5. Also, housekeeping was performed on the revision 4 material, specifically: change bars were removed, text intended for deletion (shown by strike throughs) was removed, and any editor's notes describing changes made between revision 3 and revision 4 were removed.

Revision 5 incorporates the following T10 approved proposals:

96-198r4 New Task Management Models for SAM-2
97-122r4 Addressing Model for SAM -2
97-219r2 LUN discovery
97-225r4 Proposal for Contingent Allegiance / Auto Contingent Allegiance Handling

During incorporation of 97-219r2 it was discovered that the object descriptions for object definition 5 (Target) did not contain the same rigorous cross references as the other object definitions, and suitable cross references were added. It must also be noted that the requirement that Logical Unit 0 be present is not well articulated in this revision of SAM-2. The object notation does not include a way of expressing the thought that one instance of an object must take on a specific object property (which is the notation needed in this case). The technical editor does not wish to invent the needed notation because the goal is to eliminate the object notation completely from SAM-2.

In revision 4, editor's notes 9 and 10 were incorrect in their description of the problem, and thus the chosen resolution also was incorrect. There is a definition for Task Address, it occurs in object definition 10 not in object definition 7. Thus the problem should be resolved by correcting the object definition cross reference, not by changing Task Address to Tag. Revision 5 corrects the problems introduced in revision 4.

The definitions (clause 3 additions and changes) 97-225r2 proposed for SPC-2 are more complete than those proposed for SAM-2, so the proposed SPC-2 definitions have been incorporated with slight modifications. The non-proposal questions raised by 97-225r2 have either been addressed editorially or included as editor's notes.

Because of the increasing number of references to Control mode page and standard inquiry data fields, glossary definitions have been added to help the first-time reader comprehend the terminology associated with such references.

### 2.6 Revision 5a (30 April 1998)

Revision 5a incorporates the following T10 approved proposals:

96-277r2 Proposed Change in QErr for SPC-2

An error was reported in the changed Object Definition 5 and expeditious correction seemed appropriate. It was also noted that 96-277 changes QErr from a bit to a field. While T10 did not view 96-227 as affecting SAM-2, it actually does and the necessary changes appear in revision 5a. It also is interesting to note that 96-277r2 requires the removal of QErr where ever it appears in 96-184r4. While that change might have been thought of as affecting SAM-2, it does not.

Revision 5a contains all change bars found in revision 5 and any necessary new change bars.

### 2.7 Revision 6 (14 May 1998)

Revision 6 incorporates the following T10 approved proposals:

98-148 Minutes of T10 Meeting #26 — Make Terminate Task task management function obsolete

Incorporation of the decision to make the Terminate Task task management function obsolete involves mostly deletions. To highlight the deletions, they are shown with strike-throughs in revision 6 and the actual removal will occur in revision 7. The only exception concerns the status code value definition for COMMAND TERMINATED, where the code is shown as obsolete with no strike-through text.

Also, the May 1998 SCSI Working Group held discussed all the editor's notes found in revisions 4, 5, and 5a (minutes in 98-147). Changes agreed during those discussion have been added to revision 6. In a few cases, wording was left to the editor's discretion. Document 98-173r0 has been produced to present the details of such changes to the T10 membership.

### 2.8 Revision 7 (3 July 1998)

Revision 7 is devoted exclusively to converting the object notation definitions to English text. To accomplish the change to English text, the clause containing the model for logical units was split to produce separate clauses for the logical unit model, the task model, and separately identified clauses for the task identification models. The model definition of the Initiator Identifier object was moved to the initiator model clause. The editor tried to avoid gratuitous text additions. However, that temptation could not be resisted for statements that the multiple identifiers for one initiator or target cannot be associated based solely on value. Text was added to clarify the ways that Task Identifiers are made unique as required in clause 4.9. Text was added describing the differences between tagged and untagged tasks. All changes are marked with change bars. No T10 approved proposals or other changes have been incorporated in revision 7.

### 2.9 Revision 8 (23 July 1998)

Revision 8 incorporates the following T10 approved proposals:

- 98-202 Minutes of T10 Meeting #27 Make usage of hierarchical LUN format mandatory
- 98-202 Minutes of T10 Meeting #27 Make extent reservations obsolete

In clauses 3.6 and 4.1 through 4.9, make changes agreed by the SAM-2 review meeting. These clauses were the ones most affected by the conversion from object notation to English. The review meeting noted and corrected several errors and problems in these clauses. All corrections appear in revision 8, with change bars.

Removed clause 7.8.5 (Deferred task completion) as discussed in the SCSI Working Group (minutes in 98-201), since a similar example cannot be constructed in the absence of the Terminate Task task management function. Incorporated editorial comments on revision 6 received from Gene Milligan via the T10 Reflector. Editorial corrections received from Arlan Stone were made in clause 1.2 (marked with change bars).

#### 2.10 Revision 9 (10 September 1998)

During the review of revision 7 changes at the July 1998 meetings, many committee members expressed concerns about the Clause 4 representation of SCSI Devices with multiple Service Delivery Ports. It was widely felt that 4.4 through 4.7 failed to convey the very important idea that each port is a unique SCSI device. Revision 9 is a first attempt to address these concerns. With the exception of some editorial change in 3.6.1 (notational conventions

for model hierarchy diagrams) and the addition of a definition for SCSI Multi-port Device, all the revision 9 changes can be found in 4.4 through 4.11.

### 2.11 Revision 10 (13 March 1999)

Revision 10 incorporates the following T10 approved proposals:

- 98-188r2 Method for defining very long command blocks
- 98-241r1 Obsoleting the flag bit in the control byte
- 98-246r1 "May not" clarifications in SPI-3

In addition to incorporation of the above documents, revision 10 includes changes noted during the September T10 meetings not related to multi-port issues. Most uses of 'SCSI-3' have been replaced with 'SCSI', and 'SPC' with 'SPC-2'. Following an editorial change in SPC-2, 'HiSupport' has been changed to 'HiSup'. HiSup is the name of a bit in the standard Inquiry data. References to Search Data commands have been marked for deletion because those commands have been made obsolete in SBC.

The ANSI patent statements have been updated based on comments received regarding equivalent paragraphs in SPC-2. The definition of the 'reserved' keyword has been changed to match SPI-3 and SPC-2. The list of standards in the SCSI Family has been updated. This will be the last revision in which changes in the list of standards are marked with change bars. In the 'Hierarchies of Dependent Logical Units' clause, clarified the phrase, "... or may be defined by an application client by use of configuration commands" by changing it to "... or may be defined by an application client (e.g., by the use of SCC-2 configuration commands)."

Most uses of 'SCSI-3' have been replaced with 'SCSI'. The SCSI working group requested this change in SPC-2 with the intent that it would be applied in SAM-2 too. The following note from the 'Substantial Changes' clause applies to this action. The proposed SCSI definition change has been implemented in SAM-2 and SPC-2 revision 9.

The use of "SCSI-3" might be seen as conflicting with the document's title "SCSI Architecture Model -2". One solution would be to change to "SCSI" in the Foreword, Introduction, and Scope clauses. However, making such a change also requires that the definition of SCSI be changed to exclude SCSI-2 and SCSI-1, a change that may not conform with the wishes of T10.

All changes are marked with change bars, except editorial changes in figures.

Revision 10 makes no effort to address the multi-port device issues raised by T10. On a best-effort basis, editor's notes have been added to indicated where information is known to be subject to revision based on unprocessed multi-port comments.

### 2.12 Revision 11 (16 July 1999)

Revision 11 incorporates the following T10 approved proposals:

99-144r1 Suggested changes to REPORT LUNS command for SPC-2

Revision 11 also includes the technical editor's attempts to address the multi-port device issues raised during the September 1998 General SCSI Working Group meeting. All notes taken at that meeting have been reviewed and addressed. The object name assigned to a multi-port device is SCSI Multi-port Unit (or SMU). Glossary and abbreviations definitions have been added for SMU. With only one or two exceptions, the changes made to address the multi-port device issues appear in 4.10 and include the addition of several sub-clauses under 4.10. These pages were distributed at the July 1999 T10 meetings marked as revision 10a. The results of the review of the distributed material by the July 1999 T10 meetings has been incorporated in revision 11.

Revision 11 also includes several grammatical changes from old notes. Changes appear in the definitions of 'confirmed protocol service', 'task', 'third-party command', and 'unconfirmed protocol service'. The object definition for 'Interconnect Subsystem' was enhanced to include the transfer of 'requests and responses' in addition to the previously defined 'data'.

A few corrections were made in revision 11 as a result of e-mail messages received from people reading SAM-2. The LINK bit was returned to bit 0 of the control byte. The error locating the LINK bit in bit 1 was introduced in conversion from WordPerfect to FrameMaker in revision 4. A clause cross reference for Target Identifier was corrected in clause 6.

### 2.13 Revision 12 (17 September 1999)

Revision 12 incorporates the following T10 approved proposals:

99-232r1 SAM-2 Tasks and Work

In response to comments from the working group during the discussion of 99-232r1, the phrase "without regard for ..." has been removed throughout. At the request of the working group, editorial changes the Logical Unit Reset clause was modified to clarify that persistent reservations are not cleared by a logical unit reset.

Revision 12 also corrected the reference to the T10 web site and removes the SCSI BBS from the cover page. Several uses of 'multi-port SCSI unit' were corrected to 'SCSI multi-port unit'. T10 approved 98-188r2 (incorporated in revision 10) provided for CDBs that are longer than 16 bytes, but failed to identify three locations in SAM-2 that need to except the very long CDB format. These are corrected in revision 12. The corrections to the link bit location (bit 0 not bit 1 in the control byte) made in revision 11 were not complete. A paragraph later in the clause describes the obsolete bit as 'bit 0', which revision 12 corrects to read 'bit 1'.

### 2.14 Revision 13 (22 March 2000)

Revision 13 incorporates the following T10 approved proposals:

99-343r1 Proposal for QUEUE FULL Clarification

Duplicated the following sentence: "A contingent allegiance (NACA=0) shall be cleared by the xxx function" from the CLEAR TASK SET description to the ABORT TASK SET description as an editorial change according to the recommendation of the SCSI Protocol Working Group (minutes in 99-309r0). Swapped the locations of the paragraphs before and after the first figure in 5.3.1 (Figure 29 - Model for buffered data transfers) to improve the readability of the clause. Updated the editor's contact information on the cover page.

### 2.15 Revision 14 (17 September 2000)

Revision 14 incorporates the following T10 approved proposals:

- 00-140r3 SAM-2 Task Identifier Readability Enhancements and Addition of Nexus to SAM-2
- 00-213r2 SAM-2 Byte Count That's Really Buffer Size
- 00-229r3 Task Aborted SAM changes
- 00-308 Minutes of T10 Meeting #39 Replacing SMU with TBD in SAM-2
- 00-309r2 Bidirectional data transfers in SAM-2
- 00-333r0 Standard Is A Functional Description

00-317r0 describes changes made by the editor while incorporating 00-213r2 and 00-309r2.

E-mail messages reported several problems with cross references in clause 4, they are corrected in revision 14.

### 2.16 Revision 15 (9 November 2000)

Revision 15 incorporates the following T10 approved proposals:

99-245r9	A Detailed Proposal For Access Controls
00-340r1	SAM-2 ASCQ references in section 5.4
00-345r1	Command Reference Number (CRN) into SAM-2

The list of standards in the SCSI Family was reviewed and updated, as is done for all SAM-2 revisions. No other changes have been made in revision 15, but 99-245r9 and 00-345r1 were heavily edited.

#### 2.17 Revision 16 (8 March 2001)

Revision 16 incorporates the following T10 approved proposals:

01-015r2 Making Target Reset optional in SAM-2

01-061r2 SAM-2 AER enable and disable requirement for protocols

The list of standards in the SCSI Family was reviewed and updated, as is done for all SAM-2 revisions. Also added the Command Reference Number to the parameter lists for the Send SCSI Command request and the SCSI Command Received indication, correcting an oversight in 00-345r1.

### 2.18 Revision 17 (1 May 2001)

Revision 17 reflects the editorial changes made as a result of the 30 April 2001 editing meeting. Examples of the changes made are:

- a) changing 'which' to 'that'
- b) removing hanging paragraphs
- c) capitalization corrections
- d) changing '=' to 'equals', '0' to 'zero' and '1' to 'one'

Change bars and strikeouts identify the changes.

### 2.19 Revision 18 (31 May 2001)

Revision 18 incorporates the following T10 approved proposals:

00-268r8 Defining Targets/Initiators as Ports

No other changes have been made in revision 18.

### 2.20 Revision 19 (25 July 2001)

Revision 19 incorporates the following T10 approved proposals:

00-425r4	Long Identifiers in SPC-3, SAM-2, SBC-2 and other XOR issues
01-134r1	SAM-2, SPC-3, SPI-4, SBC-2 WAKEUP and reset cleanup
01-182r1	SAM-2 device and port names

#### 01-185r1 SAM-2 layering terminology proposal

Although 00-425r4 was approved for inclusion in SAM-2, by the time the final revision was created the proposal contained no changes in SAM-2. So, no changes were made as a result of this proposal.

Editorial changes agreed by the editing meeting were incorporated subclauses 4.10 through 5.1. As requested by the 18 July 2001 CAP working group, all uses of 'name' (task state, assumed) have been changed to 'name task state' (e.g., 'enabled' has been changed to 'enabled task state') and three instances of 'entering a newly received task...in the task set' were changed to 'accepting a newly received task...in the task set' so that the wording would be consistent throughout the working draft.

### **3 Plans for Future Revisions**

This is a list of the work the technical editor considers required in future revisions of SAM-x. The list is not complete as of revision 12. Based on schedule considerations for SAM-2, these changes probably will be deferred to SAM-3.

### 3.1 Minor Changes

The terms "call", "procedure", and any related terms should have glossary definitions that clearly identify them as architectural abstractions. All of these concepts are wording conveniences used as shorthand by the architecture and model to express more complex concepts or concepts for which numerous implementations are possible. The technical editor also should search on the terms "call" and "procedure" to locate any uses and edit text at each usage point to clearly identify "call" and "procedure" as architectural model abstractions and not as indications of implementation requirements. In a similar vein, "protocol" is an architectural abstraction, however this may be better understood as an abstraction in the community of SCSI designers.

The term "service" appears to be an architectural abstraction too, it is defined totally on architectural abstractions ("calls" and "objects"). However, careful study is required to determine if "service" has some non-abstract, concrete meaning. If it does, the glossary definition should be changed.

Is it necessary to have definitions for "implementation option", "logical unit option", and "protocol option"? Surely, an option is an option and the context in which the word "option" appears is sufficient to identify whose option is being discussed.

The technical editor wishes to remove the definition of "ended command". Strictly speaking, the term "ended command" is not used anywhere in the working draft, thus allowing removal of the definition as a strictly editorial change. However, some consideration of the change appears prudent. The word "ended" is used frequently in the working draft, all uses appear to have the standard English meaning, but this thesis needs additional verification. Also, there is an "ended (task state)" that lacks a glossary definition and perhaps should have one.

The glossary definition of "layer" in uninformative, owing in part to the vague usage of "rank". A clearer, more specific definition is needed.

Is the term "protocol service request" really so general as to require its being defined in terms of "call" (an architectural abstraction)? Also, the technical editor believes that "protocol service response" should be defined as "A reply to the upper level protocol ...", not "A reply from the upper level protocol ..." Finally, would it be possible to cast both definitions in terms of specific entities from the SCSI roadmap, instead of "lower level protocol" and "upper level protocol" (see 3.2)?

Although it is used in the Foreword, Scope, and one figure title, the term "reference model" is little more than obfuscated wording for "model". Could it be replaced? Is "subsystem" really used as it is defined in the glossary? Many other SCSI standards use subsystem differently.

It certainly would be nice to avoid defining "task" in terms of architectural abstractions (e.g., "object"). Perhaps, the word "entity" could be used in its English meaning?

The technical editor cannot help wondering if there is a way to eliminate the "task slot" definition. It seems to overly restrict (or define) a target implementation.

In the definition of "third-party command", "an SCSI command" should be replaced by the more nebulous "SCSI commands". There is not a one-to-one relationship between an SCSI command sent to a logical unit and the number of third-party commands the logical unit issues to complete it. (Done in revision 11.)

It seems that task management function names sometimes appear in all capitals and bold, not capitalized and bold as is stated in 3.4.

Why is the following sentence from the end of the first paragraph in 4.2 so important:

"In such a model, each client or server is a single thread of execution which runs concurrently with all other clients or servers."

Are the requirements on protocols really contained only in 5.4 and 6.9, as is stated in 4.1?

Change all usage of "remote procedure call" to "procedure call", since "remote procedure call" is not defined.

Clause 5.3 is a mess. The data delivery services are given individual 5.3.x clauses but the command protocol services are not. 5.3.1 fails to identify the party responsible for establishing the parameters for the transfer of a buffer segment. The rule prohibiting input and output transfers by a single command is buried in a paragraph that starts with a discussion of buffer segmentation. The title on figure 29 "Model for buffered data transfers" suggests buffered data versus programed I/O data operation, which is not the intent. (Fixed by 00-309r2.) The technical editor was very tempted to rewrite the whole clause during the revision 4 conversion, but wrote this reminder to himself instead.

5.6.4.1 seems to imply that other methods for controlling AER besides the Control mode page are acceptable. Is this really the intent of T10?

The technical editor believes that persistent reservations should be excluded from the statement in 5.8.7 bullet c. Comments from T10?

#### **3.2 Substantial Changes**

Is it really necessary for SAM-2 to place requirements on the contents of other standards? Would the SCSI documents set be just as well served if SAM-2 acted as a guide to what readers might expect to find in other SCSI standards? With these thoughts in mind, a few (but not necessarily all) specific instances of needed changes are noted:

- a) The Foreword and Introduction clauses need to be modified to remove the work "requirements"; at the time of this writing, "capabilities" is the preferred replacement;
- b) Most of 1.1 probably would be obsolete; and
- c) A careful audit of the requirements statements will be needed to adjust those placing requirements on other standards.

The technical editor is considering a careful review of the working draft, with an eye toward overly abstract model abstractions. Examples are:

- a) Overly general layering terms and discussions; and
- b) Discussion of a new application client for each new request or task management function.

The layering seems overly general and thus confusing. SCSI has two (or at most three) layers. The question of two or three layers depends on whether the service delivery port is a layer. The two "main" layers are the command and control layer (application client, device server, and task manager) and the service delivery subsystem. The description appears amenable to substantial simplifications. LLP and ULP could disappear. Generalized interfaces could be replaced with a small number of specific interfaces. Does T10 see value in this kind of simplification?

The terms "SCSI application layer" and "SCSI protocol layer" appear to be redundant. Certainly, "SCSI application layer" is little more than a generalization of "application client". Perhaps, "SCSI application layer" and "SCSI protocol layer" can be removed. As if this confusion were not enough, the definition of "Upper Layer Protocol" clearly ties it to the application layer. This further suggests that SCSI has only two protocol layers.

The technical editor wonders how useful it is to say that the architectural model presumes the creation of a new application client for each new request or task management function. It is difficult to see how this formalism serves to produce a better understanding of the real-world usage of SCSI. In fact, other text in the working draft acknowledges that this formalism may not relate to reality at all. If this change were made, it might also be possible to simplify the following statements in 4.3:

"An application client represents a thread of execution whose functionality is independent of the interconnect and SCSI-3 protocol. In an implementation, that thread could correspond to the device driver and any other code within the operating system that is capable of managing I/O requests without requiring knowledge of the interconnect or SCSI-3 protocol."

Is the following 4.2 statement rigorously true?

"All allusions to a pending command or task management function in this standard are in the application client's frame of reference."

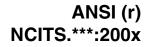
The use of "conventional procedure call" in the following 4.2 statement is at odds with the SAM definitions of procedure call as a modeling mechanism.

"From the client's standpoint, the behavior of a remote service invoked in this manner is indistinguishable from a conventional procedure call."

If the following two 4.2 statements are true, why are confirmed services defined?

"In this model, confirmation of successful request or response delivery by the sender is not required. The model assumes that delivery failures will be detected by the client's service delivery port."

The technical editor suspects that "confirmed service" has multiple definitions.





### American National Standards for Information Systems -

### SCSI Architecture Model - 2 (SAM-2)

Secretariat National Committee for Information Technology Standards

Approved mm dd yy

American National Standards Institute, Inc.

#### Abstract

This standard specifies the SCSI Architecture Model. The purpose of the architecture is to provide a common basis for the coordination of SCSI standards and to specify those aspects of SCSI I/O system behavior that are independent of a particular technology and common to all implementations.



American National Standard Approval of an American National Standard requires verification by ANSI that the requirements for due process, consensus, and other criteria for approval have been met by the standards developer. Consensus is established when, in the judgment of the ANSI Board of Standards Review, substantial agreement has been reached by directly and materially affected interests. Substantial agreement means much more than a simple majority, but not necessarily unanimity. Consensus requires that all views and objections be considered and that effort be made toward their resolution.

The use of American National Standards is completely voluntary; their existence does not in any respect preclude anyone, whether he or she has approved the standards or not, from manufacturing, marketing, purchasing, or using products, processes, or procedures not confirming to the standards.

The American National Standards Institute does not develop standards and will in no circumstances give interpretation on any American National Standard in the name of the American National Standards Institute. Requests for interpretations should be addressed to the secretariat or sponsor whose name appears on the title page of this standard.

**CAUTION NOTICE:** This American National Standard may be revised or withdrawn at any time. The procedures of the American National Standards Institute require that action be taken periodically to reaffirm, revise, or withdraw this standard. Purchasers of American National Standards may receive current information on all standards by calling or writing the American National Standards Institute.

CAUTION: The developers of this standard have requested that holders of patents that may be required for the implementation of the standard, disclose such patents to the publisher. However, neither the developers nor the publisher have undertaken a patent search in order to identify which, if any, patents may apply to this standard.

As of the date of publication of this standard and following calls for the identification of patents that may be required for the implementation of the standard, no such claims have been made. No further patent search is conducted by the developer or the publisher in respect to any standard it processes. No representation is made or implied that licenses are not required to avoid infringement in the use of this standard.

#### Published by American National Standards Institute 11 West 42nd Street, New York, NY 10036

Copyright 200n by American National Standards Institute All rights reserved.

Printed in the United States of America



Page

### Contents

1 Scope	1
1.1 Introduction	
1.2 Requirements precedence	
1.3 SCSI standards family	
	۲
2 Normative references	5
2.1 Normative references	5
2.2 Approved references	5
2.3 References under development	5
3 Definitions, symbols, abbreviations, and conventions	
3.1 Definitions	
3.2 Acronyms	
3.3 Keywords	
3.4 Editorial Conventions	
3.5 Numeric Conventions	
3.6 Notation Conventions	
3.6.1 Hierarchy diagram conventions	
3.6.2 Notation for procedures and functions	
3.6.3 Notation for state diagrams	17
4 SCSI Architecture Model	
4.1 Introduction	
4.2 The SCSI distributed service model	
4.3 The SCSI client-server model	
4.4 The SCSI structural model	
4.5 SCSI domain	
4.6 The service delivery subsystem	
4.6.1 Synchronizing client and server states	
4.6.2 Request/Response ordering	
4.7 SCSI devices	
4.7.1 SCSI initiator device	
4.7.2 SCSI target device	
4.7.3 SCSI target/initiator device	
4.7.4 SCSI port identifier	
4.7.5 SCSI task router	
4.7.6 SCSI device name	
4.7.7 SCSI port name	
4.8 Logical units	
4.9 Tasks	
4.9.1 The task object	
4.9.2 Task tags	
4.9.3 Identification of tasks	30
4.10 The nexus object	
4.11 SCSI ports	
4.11.1 SCSI port configurations	
4.11.2 SCSI devices with multiple ports	
4.11.3 Multiple port target SCSI device structure	
4.11.4 Multiple port initiator SCSI device structure	
4.11.5 Multiple port target/initiator SCSI device structure	
4.11.6 SCSI initiator device view of a multiple port SCSI target device	36

4.11.7 SCSI target device view of a multiple port SCSI initiator device	38
4.12 Model for dependent logical units	38
4.12.1 Introduction	
4.12.2 LUN 0 address	
4.12.3 Eight byte LUN structure	
4.12.4 Logical unit addressing method	
4.12.5 Peripheral device addressing method	
4.13 The SCSI model for distributed communications	
5 SCSI Command Model	47
5.1 The Execute Command remote procedure	
5.2 Command Descriptor Block (CDB)	
5.2.1 CDB Format	
5.2.2 OPERATION CODE byte	
5.2.3 CONTROL byte	
5.3 Status	
5.3.1 Status codes	
5.3.2 Status precedence	
5.4 Protocol Services in Support of Execute Command	
5.4.1 Overview	
5.4.1 Overview	
5.4.3 Data Transfer Protocol Services	
5.4.3.1 Introduction	
5.4.3.2 Data-In Delivery Service	
5.4.3.3 Data-Out Delivery service	
5.5 Task and command lifetimes	
5.6 Aborting tasks	
5.6.1 What causes a task to be aborted	
5.6.2 When an initiator aborts its own tasks	
5.6.3 When an initiator aborts another initiator's tasks	
5.7 Command processing examples	
5.7.1 Unlinked command example	
5.7.2 Linked command example	
5.8 Command processing considerations and exception conditions	
5.8.1 Auto Contingent Allegiance or Contingent Allegiance	60
5.8.1.1 Logical Unit response to Auto Contingent Allegiance or Contingent Allegiance	60
5.8.1.2 Clearing an Auto Contingent Allegiance condition	61
5.8.2 Overlapped commands	61
5.8.3 Incorrect Logical Unit selection	
5.8.4 Sense data	62
5.8.4.1 Sense data introduction	62
5.8.4.2 Asynchronous Event Reporting	
5.8.4.3 Autosense	
5.8.5 Unit Attention condition	
5.8.6 Hard reset	
5.8.7 Logical unit reset	
6 Task Management Functions	67
6.1 Introduction	
6.2 ABORT TASK	
6.3 ABORT TASK SET	
6.4 CLEAR ACA	
6.5 CLEAR TASK SET	
6.6 LOGICAL UNIT RESET	

	6.7 TARGET RESET	.70
	6.8 WAKEUP	70
	6.9 Task management protocol services	.71
	6.10 Task management function example	72
7 T	ask Set Management	
	7.1 Introduction to task set management	73
	7.2 Terminology	
	7.3 Controlling task set management	73
	7.4 Task management events	
	7.5 Task states	
	7.5.1 Overview	.74
	7.5.2 Enabled task state	
	7.5.3 Blocked task state	
	7.5.4 Dormant task state	
	7.5.5 Ended task state	
	7.5.6 Task states and task lifetimes	
	7.6 Task Attributes	
	7.6.1 SIMPLE Task	
	7.6.2 ORDERED Task	
	7.6.3 HEAD OF QUEUE Task	
	7.6.4 ACA Task	
	7.7 Task state transitions	
	7.8 Task set management examples	
	7.8.1 Introduction	
	7.8.2 Head of Queue tasks	
	7.8.3 Ordered tasks	
	7.8.4 ACA task	82

### Tables

	Page
1 Single Level LUN structure	
2 Mapping nexus to SAM-2 identifiers	
3 Eight byte LUN structure adjustments	
4 Eight Byte LUN structure	41
5 Format of addressing fields	
6 ADDRESS METHOD field values	
7 Logical unit addressing	
8 Peripheral device addressing	43
9 CDB Format	49
10 OPERATION CODE byte	
11 Group Code values	50
12 CONTROL byte	
13 Status codes	
14 Task Management Functions	

### Figures

•	Page
1 Requirements precedence	•
2 SCSI document roadmap	2
3 Example hierarchy diagram	15
4 Example state diagram	
5 Client-Server model	19
6 SCSI client-server model	
7 SCSI I/O system and domain model	
8 Overall SCSI domain model	
9 SCSI domain model	
10 Service delivery subsystem model	
11 SCSI initiator device model	
12 SCSI target device model	
13 SCSI target/initiator device model	
14 Logical unit model	
15 SCSI device functional models	
16 Multiple port target SCSI device structure model	
17 Multiple port SCSI initiator device structure model	
18 Multiple port target/initiator SCSI device structure model	35
19 SCSI target device configured in a single SCSI domain	
20 SCSI target device configured in multiple SCSI domains	
21 SCSI target device and SCSI initiator device configured in a single SCSI domain	
22 Dependent Logical Unit model	
23 Example of hierarchical system diagram	
24 Eight Byte LUN structure adjustments	
25 Protocol service reference model	
26 Protocol service model	
27 Request-Response ULP transaction and related LLP services	
28 Model for data-in and data-out data transfers	
29 Command processing events	
30 Linked command processing events	
31 Task management processing events	
32 Example of Dormant state task behavior	
33 Task states	
34 Head of Queue tasks and blocking boundaries (example 1)	
35 Head of Queue tasks and blocking boundaries (example 2)	
36 Ordered tasks and blocking boundaries	
37 ACA task example	

### Foreword

This foreword is not part of American National Standard NCITS.\*\*\*:200x.

The purpose of this standard is to provide a basis for the coordination of SCSI standards development and to define requirements, common to all SCSI technologies and implementations that are essential for compatibility with host SCSI application software and device-resident firmware across all SCSI protocols. These requirements are defined through a reference model that specifies the behavior and abstract structure that is generic to all SCSI I/O system implementations.

With any technical document there may arise questions of interpretation as new products are implemented. NCITS has established procedures to issue technical opinions concerning the standards developed by NCITS. These procedures may result in SCSI Technical Information Bulletins being published by NCITS.

These Bulletins, while reflecting the opinion of the Technical Committee that developed the standard, are intended solely as supplementary information to other users of the standard. This standard, ANSI NCITS.\*\*\*:200x, as approved through the publication and voting procedures of the American National Standards Institute, is not altered by these bulletins. Any subsequent revision to this standard may or may not reflect the contents of these Technical Information Bulletins.

Current NCITS practice is to make Technical Information Bulletins available through:

NCITS Online Store	http://www.techstreet.com/ncits.html
managed by Techstreet	Telephone: 1-734-302-7801 or
1327 Jones Drive	1-800-699-9277
Ann Arbor, MI 48105	Facsimile: 1-734-302-7811

or

Global Engineering	http://global.ihs.com/	
15 Inverness Way East	Telephone: 1-303-792-2181 or	
Englewood, CO 80112-5704	1-800-854-7179	
	Facsimile: 1-303-792-2192	

Requests for interpretation, suggestions for improvement and addenda, or defect reports are welcome. They should be sent to the NCITS Secretariat, National Committee for Information Technology Standards, Information Technology Institute, 1250 Eye Street, NW, Suite 200, Washington, DC 20005- 3922.

This standard was processed and approved for submittal to ANSI by the National Committee for Information Technology Standards (NCITS). Committee approval of the standard does not necessarily imply that all committee members voted for approval. At the time of it approved this standard, NCITS had the following members:

<<Insert NCITS member list>>

The NCITS Technical Committee T10 on Lower Level Interfaces, which reviewed this standard, had the following members:

<<Insert T10 member list>>

### Introduction

The SCSI Architecture Model (SAM-2) standard is divided into seven clauses:

Clause 1 is the scope.

Clause 2 enumerates the normative references that apply to this standard.

Clause 3 describes the definitions, symbols, and abbreviations used in this standard.

Clause 4 describes the overall SCSI architectural model

Clause 5 describes the SCSI command model element of the SCSI architecture

Clause 6 describes the task management functions common to SCSI devices

Clause 7 describes the task set management capabilities common to SCSI devices

### American National Standard

NCITS.\*\*\*:200x

American National Standard for Information Systems -Information Technology -SCSI Architecture Model - 2 (SAM-2)

### 1 Scope

### **1.1 Introduction**

The set of SCSI standards consists of this standard and the SCSI implementation standards described in 1.2. This standard defines a reference model that specifies common behaviors for SCSI devices, and an abstract structure that is generic to all SCSI I/O system implementations.

The set of SCSI standards specifies the interfaces, functions, and operations necessary to ensure interoperability between conforming SCSI implementations. This standard is a functional description. Conforming implementations may employ any design technique that does not violate interoperability.

### **1.2 Requirements precedence**

This standard defines generic requirements that pertain to SCSI implementation standards, and implementation requirements. An implementation requirement specifies behavior in terms of measurable or observable parameters that apply directly to an implementation. Examples of implementation requirements defined in this document are the command descriptor block format and the status values to be returned upon command completion.

Generic requirements are transformed to implementation requirements by an implementation standard. An example of a generic requirement is the hard reset behavior specified in 5.8.6.

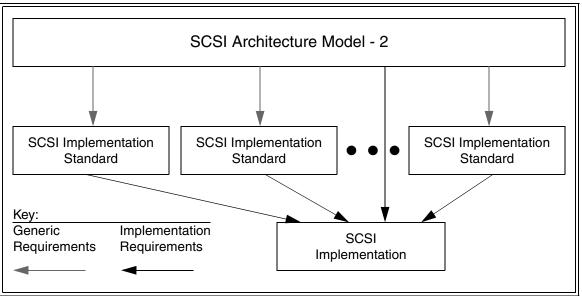


Figure 1 — Requirements precedence

As shown in figure 1, all SCSI implementation standards shall reflect the generic requirements defined herein. In addition, an implementation claiming SCSI compliance shall conform to the applicable implementation require-

ments defined in this standard and the appropriate SCSI implementation standards. In the event of a conflict between this document and other SCSI standards under the jurisdiction of technical committee T10, the requirements of this standard shall apply.

### 1.3 SCSI standards family

Figure 2 shows the relationship of this standard to the other standards and related projects in the SCSI family standards as of the publication of this standard.

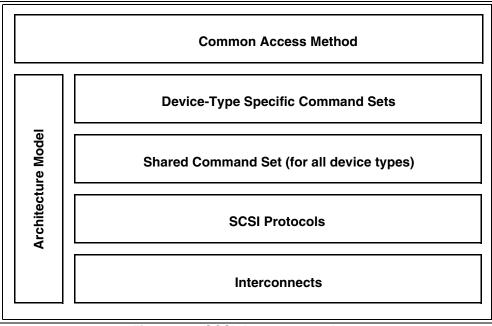


Figure 2 — SCSI document roadmap

The roadmap in figure 2 is intended to show the general applicability of the documents to one another. The figure is not intended to imply a relationship such as a hierarchy, protocol stack, or system architecture. It indicates the applicability of a standard to the implementation of a given transport.

The functional areas identified in figure 2 characterize the scope of standards within a group as follows:

**Architecture Model:** Defines the SCSI systems model, the functional partitioning of the SCSI standard set and requirements applicable to all SCSI implementations and implementation standards.

**Common Access Method:** Implementation standard that defines a host architecture and set of services for device access.

**Device-Type Specific Command Sets:** Implementation standards that define specific device types including a device model for each device type. These standards specify the required commands and behavior that is specific to a given device type and prescribe the rules to be followed by an initiator when sending commands to a device having the specific device type. The commands and behaviors for a specific device type may include by reference commands and behaviors that are shared by all SCSI devices.

**Shared Command Set:** An implementation standard that defines a model for all SCSI device types. This standard specifies the required commands and behavior that is common to all devices, regardless of device type, and prescribe the rules to be followed by an initiator when sending commands to any device.

I

**SCSI Protocols:** Implementation standards that define the rules for exchanging information so that different SCSI devices are capable of communicating.

**Interconnects:** Implementation standards that define the communications mechanism employed by the SCSI Protocols. These standards may describe the electrical and signaling rules essential for devices to interoperate over a given physical interconnect.

At the time this standard was generated, examples of the SCSI general structure included:

Interconnects:						
	Fibre Channel Arbitrated Loop	FC-AL	[ANSI X3.272:1996]			
	Fibre Channel Arbitrated Loop -2	FC-AL-2	[ISO/IEC 14165-122]			
	·		[ANSI NCITS.332:1999]			
	Fibre Channel Physical and Signalling Interface	FC-PH	[ISO/IEC 14165-111]			
	, , ,		[ANSI X3.230:1994]			
	Fibre Channel Physical Amendment 1		[ANSI X3.230/AM1:1996]			
	Fibre Channel 3rd Generation Physical Interface	FC-PH-3	[ISO/IEC 14165-113]			
			[ANSI X3.303-1998]			
	Fibre Channel Physical Interfaces	FC-PI	[T11/1235-D]			
	Fibre Channel Framing and Signaling Interface	FC-FS	[T11/1331-D]			
	High Performance Serial Bus		[ANSI IEEE 1394:1995]			
	SCSI Parallel Interface - 2	SPI-2	[ISO/IEC 14776-112]			
			[ANSI X3.302:1999]			
	SCSI Parallel Interface - 3	SPI-3	[ISO/IEC 14776-113]			
			[ANSI NCITS.336:2000]			
	SCSI Parallel Interface - 4	SPI-4	[ISO/IEC 14776-114]			
			[T10/1365-D]			
	Serial Storage Architecture Physical Layer 1	SSA-PH	[ANSI X3.293:1996]			
	Serial Storage Architecture Physical Layer 2	SSA-PH-2	[ANSI NCITS.307:1998]			
SC	SI Protocols:					
	Serial Storage Architecture Transport Layer 1	SSA-TL-1	[ANSI X3.295:1996]			
	Serial Storage Architecture Transport Layer 2	SSA-TL-2	[ANSI NCITS.308:1998]			
	SCSI-3 Fibre Channel Protocol	FCP	[ISO/IEC 14776-221]			
			[ANSI X3.269:1996]			
	SCSI-3 Fibre Channel Protocol - 2	FCP-2	[ISO/IEC 14776-222]			
			[T10/1144-D]			
	Serial Bus Protocol - 2	SBP-2	[ISO/IEC 14776-232]			
			[ANSI NCITS.325:1999]			
	Serial Bus Protocol - 3	SBP-3	[ISO/IEC 14776-233]			
		004 005	[T10/1467-D]			
	Serial Storage Architecture SCSI-2 Protocol	SSA-S2P	[ANSI X3.294:1996]			
	Serial Storage Architecture SCSI-3 Protocol	SSA-S3P	[ANSI NCITS.309:1998]			
	SCSI on Scheduled Transfer	SST	[T10/1380-D]			
	SCSI RDMA Protocol	SRP	[T10/1415-D]			
50	ared Command Sets:	000				
	SCSI-3 Primary Commands	SPC	[ISO/IEC 14776-311]			
	CCCI Drimony Commanda 0		[ANSI X3.301:1997]			
	SCSI Primary Commands - 2	SPC-2	[ISO/IEC 14776-312] [ANSI NCITS.351:200x]			
	SCSI Primary Commands - 3	SPC-3	[ISO/IEC 14776-313]			
	Soon minary Commanus - S	510-5	[T10/1416-D]			
			[110/1410-D]			

Device-Type Specific Command Sets:						
	SCSI-3 Block Commands	SBC	[ISO/IEC 14776-321]			
			[ANSI NCITS.306:1998]			
	SCSI Block Commands - 2	SBC-2	[ISO/IEC 14776-322]			
			[T10/1417-D]			
	SCSI-3 Stream Commands	SSC	[ISO/IEC 14776-331]			
	CCCI Stream Commande 0		[ANSI NCITS.335:2000]			
	SCSI Stream Commands - 2	SSC-2	[ISO/IEC 14776-332] [T10/1434-D]			
	SCSI-3 Medium Changer Commands	SMC	[ISO/IEC 14776-351]			
	Sect-5 Medium Changer Commands	000	[ANSI NCITS.314:1998]			
	SCSI Medium Changer Commands - 2	SMC-2	[ISO/IEC 14776-352]			
			[T10/1383-D]			
	SCSI-3 Multimedia Command Set	MMC	[ANSI X3.304:1997]			
	SCSI Multimedia Command Set - 2	MMC-2	[ISO/IEC 14776-362]			
			[ANSI NCITS.333:2000]			
	SCSI Multimedia Command Set - 3	MMC-3	[ISO/IEC 14776-363]			
			[T10/1363-D]			
	SCSI-3 Controller Commands	SCC	[ISO/IEC 14776-341]			
			[ANSI X3.276:1997]			
	SCSI Controller Commands - 2	SCC-2	[ISO/IEC 14776-342]			
	SCSI Reduced Block Commands	RBC	[ANSI NCITS.318:1998] [ISO/IEC 14776-326]			
	Sest neduced block commands	NDC	[ANSI NCITS.330:2000]			
	SCSI Reduced MultiMedia Commands	RMC	[T10/1364-D]			
	SCSI-3 Enclosure Services Commands	SES	[ISO/IEC 14776-371]			
			[ANSI NCITS.305:1998]			
	SCSI Specification for Optical Card Reader/Writer	OCRW	[ISO/IEC 14776-381]			
	Object-based Storage Devices Commands	OSD	[T10/1355-D]			
Arc	chitecture Model:	<b></b>				
	SCSI-3 Architecture Model	SAM	[ISO/IEC 14776-411]			
	CCCI Architecture Medel	CAMO	[ANSI X3.270:1996]			
	SCSI Architecture Model - 2	SAM-2	[ISO/IEC 14776-412] [T10/1157-D]			
			[110/1137-0]			
Common Access Method:						
	SCSI Common Access Method	CAM	[ISO/IEC 9316-421]			
			[ANSI X3.232:1996]			

The term SCSI is used to refer to the family of standards described in this subclause. The Small Computer System Interface - 2 standard (ISO/IEC 9316:1995-11) and the architecture that it describes are referred to herein as SCSI-2.

### 2 Normative references

### 2.1 Normative references

The following standards contain provisions that, by reference in the text, constitute provisions of this standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent editions of the standards listed below.

Copies of the following documents may be obtained from ANSI: approved ANSI standards, approved and draft international and regional standards (ISO, IEC, CEN/CENELEC, ITUT), and approved and draft foreign standards (including BSI, JIS, and DIN). For further information, contact ANSI Customer Service Department at 212-642-4900 (phone), 212-302-1286 (fax) or via the World Wide Web at http://www.ansi.org.

### 2.2 Approved references

*ISO/IEC 60027-2-am2 (1999-01),* Letter symbols to be used in electrical technology - Part 2: Telecommunications and electronics (Amendment 2)

ISO/IEC 9316:1995-11, Small Computer System Interface -2 standard, (SCSI-2) [ANSI X3.270:1996]

ISO/IEC 14776-312, SCSI Primary Commands - 2 SPC-2 [ANSI NCITS.351:200x]

### 2.3 References under development

At the time of publication, the following referenced standards were still under development. For information on the current status of the document, or regarding availability, contact the relevant standards body or other organization as indicated.

ISO/IEC 14776-313, SCSI Primary Commands - 3 SPC-3 [T10/1416-D]

### 3 Definitions, symbols, abbreviations, and conventions

### 3.1 Definitions

3.1.1 aborted command: A SCSI command that has been ended by aborting the task created to process it.

**3.1.2 ACA command:** A command performed by a task with the ACA attribute (see 3.3, 3.1.5 and 4.9).

**3.1.3 additional sense code:** A combination of the ADDITIONAL SENSE CODE and ADDITIONAL SENSE CODE QUALIFIER fields in the sense data (see 3.1.107 and SPC-2).

**3.1.4 application client:** An object that is the source of SCSI commands.

**3.1.5 auto contingent allegiance (ACA):** One of the conditions of a task set following the return of a CHECK CONDITION status. See 5.8.1.

**3.1.6 blocked task state:** The state of a task that is prevented from completing due to an ACA condition.

**3.1.7 blocking boundary:** A task set boundary denoting a set of conditions that inhibit tasks outside the boundary from entering the enabled task state.

**3.1.8 byte:** An 8-bit construct.

**3.1.9 call:** The act of invoking a procedure.

**3.1.10 client-server:** A relationship established between a pair of distributed objects where one (the client) requests the other (the server) to perform some operation or unit of work on the client's behalf.

**3.1.11 client:** An object that requests a service from a server.

**3.1.12 code value:** A one or a series of defined numeric values each representing an identified and described instance or condition. Code values are defined to be used in a specific field (see 3.1.37), in a procedure input data object (see 3.6.2), in a procedure output data object, or in a procedure result.

**3.1.13 command:** A request describing a unit of work to be performed by a device server.

**3.1.14 command descriptor block (CDB):** A structure used to communicate a command from an application client to a device server. A CDB may have a fixed length of up to 16 bytes or a variable length of between 12 and 260 bytes.

**3.1.15 completed command:** A command that has ended by returning a status and service response of TASK COMPLETE or LINKED COMMAND COMPLETE.

**3.1.16 completed task:** A task that has ended by returning a status and service response of TASK COMPLETE. The actual events comprising the TASK COMPLETE response are protocol specific.

**3.1.17 confirmation:** A response returned to an object that signals the completion of a service request.

**3.1.18 confirmed protocol service:** A service available at the protocol service interface that includes a confirmation of completion.

**3.1.19 contingent allegiance (CA):** One of the conditions of a task set following the return of a CHECK CONDITION status. A detailed definition of CA may be found in SCSI-2.

**3.1.20 Control mode page:** The mode page that identifies the settings of several device server behaviors that may be of interest to an application client or may be changed by an application client. Fields in the Control mode page are referenced by name in this standard and SPC-2 contains a complete definition of the Control mode page.

**3.1.21 current task:** A task that is in the process of sending status or transferring command data to or from the initiator.

**3.1.22 dependent logical unit:** A logical unit that is addressed via some other logical unit(s) in a hierarchical logical unit structure (see 3.1.40), also a logical unit that is at a higher numbered level in the hierarchy than the referenced logical unit (see 4.12).

**3.1.23 destination device:** The SCSI device to which a service delivery transaction is addressed. See source device (3.1.115).

**3.1.24 device identifier:** Synonymous with SCSI port identifier (see 3.1.99).

3.1.25 device model: The description of a type of SCSI target device (e.g., block, stream).

**3.1.26 device server:** An object within the logical unit that processes SCSI tasks according to the rules for task management described in clause 7.

**3.1.27 device service request:** A request, submitted by an application client, conveying a SCSI command to a device server.

**3.1.28 device service response:** The response returned to an application client by a device server on completion of a SCSI command.

**3.1.29 domain:** An I/O system consisting of a set of SCSI devices that interact with one another by means of a service delivery subsystem.

- **3.1.30 dormant task state:** The state of a task that is prevented from starting processing due to the presence of certain other tasks in the task set.
- **3.1.31 enabled task state:** The state of a task that may complete at any time. Alternatively, the state of a task that is waiting to receive the next command in a series of linked commands.

**3.1.32 ended command:** A command that has completed or aborted.

**3.1.33 faulted initiator:** The initiator to which a CHECK CONDITION status was returned. The faulted initiator condition disappears when the ACA or CA condition resulting from the CHECK CONDITION status is cleared.

**3.1.34 faulted task set:** A task set that contains a faulting task. The faulted task set condition disappears when the ACA or CA condition resulting from the CHECK CONDITION status is cleared.

3.1.35 faulting command: A command that completed with a status of CHECK CONDITION.

**3.1.36 faulting task:** A task that has completed with a status of CHECK CONDITION.

**3.1.37 field:** A group of one or more contiguous bits, part of a larger structure such as a CDB (see 3.1.14) or sense data (see 3.1.107).

**3.1.38 function complete:** A logical unit response indicating that a task management function has finished. The actual events comprising this response are protocol specific.

**3.1.39 hard reset:** A target action in response to a reset event in which the target port performs the operations described in 5.8.6.

**3.1.40 hierarchical logical unit:** An inverted tree structure for forming and parsing logical unit numbers (see 3.1.63) containing up to four addressable levels (see 4.12).

**3.1.41 I\_T nexus:** A nexus between an initiator and a target (see 4.10).

**3.1.42 I\_T\_L nexus:** A nexus between an initiator, a target, and a logical unit (see 4.10).

3.1.43 I\_T\_L\_Q nexus: A nexus between an initiator, a target, a logical unit, and a tagged task (see 4.10).

**3.1.44 I\_T\_L\_x nexus:** Either an I\_T\_L nexus or an I\_T\_L\_Q nexus (see 4.10).

**3.1.45 I/O operation:** An operation defined by an unlinked SCSI command, a series of linked SCSI commands or a task management function.

3.1.46 implementation: The physical realization of an object.

**3.1.47 implementation specific:** A requirement or feature that is defined in a SCSI standard but whose implementation may be specified by the system integrator or vendor.

**3.1.48 implementation option:** An option whose actualization within an implementation is at the discretion of the implementor.

**3.1.49 initiator:** Synonymous with SCSI initiator port (see 3.1.97).

**3.1.50 initiator device name:** A SCSI device name of a SCSI initiator device or of a SCSI target/initiator device when operating as a SCSI initiator device (see 4.7.1).

3.1.51 initiator identifier: Synonymous with initiator port identifier (see 4.7.1).

3.1.52 initiator port identifier: A value by which a SCSI initiator port is referenced within a domain (see 4.7.1).

**3.1.53 initiator port name:** A SCSI port name of a SCSI initiator port or of a SCSI target/initiator port when operating as a SCSI initiator port (see 4.7.1).

**3.1.54 interconnect subsystem:** One or more physical interconnects that appear as a single path for the transfer of information between SCSI devices in a domain.

**3.1.55 in transit:** Information that has been sent to a remote object but not yet received.

**3.1.56 layer:** A subdivision of the architecture constituted by subsystems of the same rank.

**3.1.57 linked CDB:** A CDB with the LINK bit in the CONTROL byte set to one.

**3.1.58 linked command:** One in a series of SCSI commands processed by a single task that collectively make up a discrete I/O operation. In such a series, each command has the same task identifier, and all, except the last, have the LINK bit in the CDB CONTROL byte set to one.

**3.1.59 logical unit:** A target-resident entity that implements a device model and processes SCSI commands sent by an application client.

**3.1.60 logical unit reset:** A logical unit action in response to a logical unit reset event in which the logical unit performs the operations described in 5.8.7.

**3.1.61 logical unit reset event:** An event that triggers a logical unit reset from a logical unit as described in 5.8.7.

**3.1.62 logical unit inventory:** The list of the logical unit numbers reported by a REPORT LUNS command (see SPC-2).

3.1.63 logical unit number (LUN): A 64-bit identifier for a logical unit.

**3.1.64 logical unit option:** An option pertaining to a logical unit, whose actualization is at the discretion of the logical unit implementor.

**3.1.65 lower level protocol (LLP):** A protocol used to carry the information representing upper level protocol transactions.

**3.1.66 media information:** Information stored within a SCSI device that is non-volatile (retained through a power cycle) and accessible to an initiator through the processing of SCSI commands.

**3.1.67 name:** A label of an object that is unique within a specified context and should never change (e.g., the term name and world wide identification (WWID) may be interchangeable).

**3.1.68 nexus:** A relationship between two SCSI devices and the initiator and target objects within those SCSI devices (see 4.10).

**3.1.69 object:** An architectural abstraction or container that encapsulates data types, services, or other objects that are related in some way.

**3.1.70 peer-to-peer protocol service:** A service used by an upper level protocol implementation to exchange information with its peer.

3.1.71 peer entities: Entities within the same layer.

3.1.72 pending task: A task that is not a current task.

**3.1.73 physical interconnect:** A single physical pathway for the transfer of information between SCSI devices in a domain.

**3.1.74 port:** Synonymous with SCSI port (see 3.1.98).

**3.1.75 procedure:** An operation that is invoked through an external calling interface.

**3.1.76 protocol:** The rules governing the content and exchange of information passed between distributed objects through the service delivery subsystem.

3.1.77 protocol option: An function whose definition within a SCSI protocol standard is optional.

**3.1.78 protocol service confirmation:** A signal from the lower level protocol service layer notifying the upper ayer that a protocol service request has completed.

**3.1.79 protocol service indication:** A signal from the lower level protocol service layer notifying the upper level that a protocol transaction has occurred.

**3.1.80 protocol service request:** A call to the lower level protocol service layer to begin a protocol service transaction.

**3.1.81 protocol service response:** A reply from the upper level protocol layer in response to a protocol service indication.

**3.1.82 queue:** The arrangement of tasks within a task set (see 3.1.132), usually according to the temporal order in which they were created.

**3.1.83 receiver:** A client or server that is the recipient of a service delivery transaction.

**3.1.84 reference model:** A standard model used to specify system requirements in an implementation-independent manner.

**3.1.85 request:** A transaction invoking a service.

**3.1.86 request-response transaction:** An interaction between a pair of distributed, cooperating objects, consisting of a request for service submitted to an object followed by a response conveying the result.

**3.1.87 request-confirmation transaction:** An interaction between a pair of cooperating objects, consisting of a request for service submitted to an object followed by a response from the object confirming request completion.

**3.1.88 reset event:** A protocol specific event that triggers a hard reset from a SCSI device as described in 5.8.6.

**3.1.89 response:** A transaction conveying the result of a request.

**3.1.90 SCSI application layer:** The protocols and procedures that implement or invoke SCSI commands and task management functions by using services provided by a SCSI protocol layer.

**3.1.91 SCSI device:** A device that contains one or more SCSI ports that are connected to a service delivery subsystem and supports a SCSI application protocol.

3.1.92 SCSI device identifier: Synonymous with SCSI port identifier (see 3.1.99).

**3.1.93 SCSI device name:** A name (see 3.1.67) of a SCSI device that is world wide unique within the protocol of a SCSI domain in which the SCSI device has SCSI ports (see 4.7.6). The SCSI device name may be made available to other SCSI devices or SCSI ports in that SCSI domain in protocol specific ways.

**3.1.94 SCSI I/O system:** An I/O system, consisting of two or more SCSI devices, a SCSI interconnect and a SCSI protocol that collectively interact to perform SCSI I/O operations.

**3.1.95 SCSI identifier:** Synonymous with SCSI port identifier (see 3.1.99).

**3.1.96 SCSI initiator device:** A SCSI device containing application clients and SCSI initiator ports that originate device service and task management requests to be processed by a target SCSI device. When used this term refers to SCSI initiator devices or SCSI target/initiator devices that are using the SCSI target/initiator port as a SCSI initiator port.

**3.1.97 SCSI initiator port:** A SCSI initiator device object acts as the connection between application clients and the service delivery subsystem through which requests and responses are routed. In all cases when this term is used it refers to an initiator port or a SCSI target/initiator port operating as a SCSI initiator port.

**3.1.98 SCSI port:** A device-resident object that connects the application client, device server or task manager to the service delivery subsystem through which requests and responses are routed. SCSI port is synonymous with port and either a SCSI initiator port (see 3.1.97) or a SCSI target port (see 3.1.103).

**3.1.99 SCSI port identifier:** A value by which a SCSI port is referenced within a domain. The SCSI port identifier is either an initiator port identifier (see 3.1.52) or a target port identifier (see 3.1.122).

**3.1.100 SCSI port name:** A name (see 3.1.67) of a SCSI port that is world wide unique within the protocol of the SCSI domain of that SCSI port (see 4.7.7). The name may be made available to other SCSI devices or SCSI ports in that SCSI domain in protocol specific ways.

**3.1.101 SCSI protocol layer:** The protocol and services used by a SCSI application layer to transport data representing a SCSI application protocol transaction.

**3.1.102 SCSI target device:** A SCSI device containing logical units and SCSI target ports that receives device service and task management requests for processing. When used this term refers to SCSI target devices or SCSI target/initiator devices that are using the SCSI target/initiator port as a SCSI target port.

**3.1.103 SCSI target port:** A SCSI target device object that contains a task router and acts as the connection between device servers and task managers and the service delivery subsystem through which requests and responses are routed. When this term is used it refers to a SCSI target port or a SCSI target/initiator port operating as a SCSI target port.

**3.1.104 SCSI target/initiator device:** A device that has all the characteristics of a SCSI target device and a SCSI initiator device.

**3.1.105 SCSI target/initiator port:** A device-resident object that has all the characteristics of a SCSI target port and a SCSI initiator port.

**3.1.106 sender:** A client or server that originates a service delivery transaction.

**3.1.107 sense data:** Data returned to an application client as a result of an autosense operation, asynchronous event report, or REQUEST SENSE command (see 5.8.4). Fields in the sense data are referenced by name in this standard. See SPC-2 for a complete sense data format definition.

3.1.108 sense key: A field in the sense data. See 3.1.107 and SPC-2.

**3.1.109 server:** A SCSI object that performs a service on behalf of a client.

**3.1.110 service:** Any operation or function performed by a SCSI object that is invoked by other SCSI objects.

**3.1.111 service delivery failure:** Any non-recoverable error causing the corruption or loss of one or more service delivery transactions while in transit.

**3.1.112 service delivery subsystem:** That part of a SCSI I/O system that transmits service requests to a logical unit or target and returns logical unit or target responses to an initiator.

3.1.113 service delivery transaction: A request or response sent through the service delivery subsystem.

**3.1.114 signal:** (n) A detectable asynchronous event possibly accompanied by descriptive data and parameters. (v) The act of generating such an event.

**3.1.115 source device:** The SCSI device from which a service delivery transaction originates. See destination device (see 3.1.23).

**3.1.116 standard INQUIRY data:** Data returned to an application client as a result of an INQUIRY command. Fields in the standard INQUIRY data are referenced by name in this standard and SPC-2 contains a complete definition of the standard INQUIRY data format.

**3.1.117 subsystem:** An element in a hierarchically partitioned system that interacts directly only with elements in the next higher division or the next lower division of that system.

**3.1.118 suspended information:** Information stored within a logical unit that is not available to any pending tasks.

**3.1.119 target:** Synonymous with SCSI target port (see 3.1.103).

**3.1.120 target device name:** A SCSI device name of a SCSI target device or of a SCSI target/initiator device when operating as a SCSI target device (see 4.7.2).

**3.1.121 target identifier:** Synonymous with target port identifier (see 4.7.2).

**3.1.122 target port identifier:** A value by which a SCSI target port is referenced within a domain (see 4.7.2).

**3.1.123 target port name:** A SCSI port name of a SCSI target port or of a SCSI tar-get/ initiator port when operating as a SCSI target port (see 4.7.2).

**3.1.124 task:** An object within the logical unit representing the work associated with a command or a group of linked commands.

**3.1.125 task abort event:** An event or condition indicating that the task has been aborted by means of a task management function (see 7.4).

**3.1.126 task completion event:** An event or condition indicating that the task has ended with a service response of TASK COMPLETE (see 7.4).

3.1.127 task ended event: An event or condition indicating that the task has completed or aborted (see 7.4).

**3.1.128 task management function:** A task manager service capable of being invoked by an application client to affect the processing of one or more tasks.

**3.1.129 task management request:** A request submitted by an application client, invoking a task management function to be processed by a task manager.

**3.1.130 task management response:** The response returned to an application client by a task manager on completion of a task management request.

**3.1.131 task manager:** A server within the target that processes task management functions.

**3.1.132 task set:** A group of tasks within a logical unit, whose interaction is dependent on the task management (queuing), CA, and ACA rules. (See 4.8.)

**3.1.133 task slot:** Resources within the logical unit that may be used to contain a task.

**3.1.134 third-party command:** A SCSI command that requires a logical unit within the target device to assume the initiator role and send SCSI command(s) to a target device.

**3.1.135 transaction:** A cooperative interaction between two objects, involving the exchange of information or the processing of some service by one object on behalf of the other.

**3.1.136 unconfirmed protocol service:** A service available at the protocol service interface that does not result in a completion confirmation.

**3.1.137 unlinked command:** A SCSI command having the LINK bit set to zero in the CDB CONTROL byte.

**3.1.138 upper level protocol (ULP):** An application specific protocol processed through services provided by a lower level protocol.

**3.1.139 wakeup:** A SCSI target port returning from the sleep power condition to the active power condition (see SPC-3).

**3.1.140 wakeup event:** An event that triggers a wakeup from a SCSI target port as described in SPC-3.

### 3.2 Acronyms

I

- ACA Auto Contingent Allegiance (see 3.1.5)
- AER Asynchronous Event Reporting
- CA Contingent Allegiance (see 3.1.13 and SCSI-2)
- CAM Common Access Method (see 1.3)
- CDB Command Descriptor Block (see 3.1.14)
- LLP Lower Level Protocol (see 3.1.65)
- LUN Logical Unit Number (see 3.1.63)
- SBC SCSI-3 Block Commands (see 1.3)
- SCSI The architecture defined by the family of standards described in 1.3
- SCSI-2 The architecture defined by the Small Computer System Interface 2 standard (ISO/IEC 9316:1995-11)
- SIM SCSI Interface Module (a component of CAM software, see CAM)
- SPC-2 SCSI Primary Commands -2 (see 1.3)
- SPC-3 SCSI Primary Commands -3 (see 1.3)
- ULP Upper Level Protocol (see 3.1.138)

### 3.3 Keywords

**3.3.1 expected:** A keyword used to describe the behavior of the hardware or software in the design models assumed by this standard. Other hardware and software design models may also be implemented.

**3.3.2 invalid:** A keyword used to describe an illegal or unsupported bit, byte, word, field or code value. Receipt by a device server of an invalid bit, byte, word, field or code value shall be reported as error.

**3.3.3 mandatory:** A keyword indicating an item that is required to be implemented as defined in this standard.

**3.3.4 may:** A keyword that indicates flexibility of choice with no implied preference (synonymous with "may or may not").

**3.3.5 may not:** A keyword that indicates flexibility of choice with no implied preference (synonymous with "may or may not").

**3.3.6 obsolete:** A keyword indicating that an item was defined in prior SCSI standards but has been removed from this standard.

**3.3.7 option, optional:** Keywords that describe features that are not required to be implemented by this standard. However, if any optional feature defined by this standard is implemented, then it shall be implemented as defined in this standard.

**3.3.8 protocol specific:** Implementation of the referenced item is defined by a transport protocol standard (see 1.3).

**3.3.9 reserved:** A keyword referring to bits, bytes, words, fields and code values that are set aside for future standardization. A reserved bit, byte, word or field shall be set to zero, or in accordance with a future extension to this standard. Recipients are not required to check reserved bits, bytes, words or fields for zero values. Receipt of reserved code values in defined fields shall be reported as error.

**3.3.10 shall:** A keyword indicating a mandatory requirement. Designers are required to implement all such mandatory requirements to ensure interoperability with other products that conform to this standard.

**3.3.11 should:** A keyword indicating flexibility of choice with a strongly preferred alternative; equivalent to the phrase "it is strongly recommended".

**3.3.12 vendor specific:** Specification of the referenced item is determined by the device vendor.

### **3.4 Editorial Conventions**

Certain words and terms used in this standard have a specific meaning beyond the normal English meaning. These words and terms are defined either in the glossary or in the text where they first appear.

Upper case is used when referring to the name of a numeric value defined in this specification or a formal attribute possessed by an object. When necessary for clarity, names of objects, procedures, parameters or discrete states are capitalized or set in bold type. Names of fields are identified using small capital letters (e.g., NACA bit).

Callable procedures are identified by a name in bold type, such as **Execute Command** (see clause 5). Names of procedural arguments are denoted by capitalizing each word in the name. For instance, Task Identifier is the name of an argument in the **Execute Command** procedure call.

Quantities having a defined numeric value are identified by large capital letters. CHECK CONDITION, for example, refers to the numeric quantity defined in table 13. Quantities having a discrete but unspecified value are identified using small capital letters. As an example, TASK COMPLETE, indicates a quantity returned by the **Execute Command** procedure call (see clause 5). Such quantities are usually associated with an event or indication whose observable behavior or value is specific to a given implementation standard.

Lists sequenced by letters (e.g., a-red, b-blue, c-green) show no priority relationship between the listed items. Numbered lists (e.g., 1-red, 2-blue, 3-green) show a priority ordering between the listed items.

If a conflict arises between text, tables, or figures, the order of precedence to resolve the conflicts is text; then tables; and finally figures. Not all tables or figures are fully described in the text. Tables show data format and values.

Notes do not constitute any requirements for implementors.

# **3.5 Numeric Conventions**

Digits 0-9 in the text of this standard that are not immediately followed by lower-case "b" or "h" are decimal values. Digits 0 and 1 immediately followed by lower case "b" are binary values. Digits 0-9 and the upper case letters "A"-"F" immediately followed by lower-case "h" are hexadecimal values.

Large numbers are separated by spaces (e.g., 12 345, not 12,345).

## **3.6 Notation Conventions**

## 3.6.1 Hierarchy diagram conventions

Hierarchy diagrams show how objects are related to each other. The hierarchy diagram of figure 3, for example, shows the relationships among the objects comprising an object called Book. For this example, a Book object is defined as containing a Table of Contents, an optional Preface, one or more Chapter(s), and an optional Index. Further contents definitions are provided for Preface and Chapter. A Preface contains zero or more Figure(s) as well as one instance of Outline or one instance of Introductory Text or one instance of Outline and one instance of Introductory Text. A Chapter contains one or more Section(s) and zero or more Figure(s).

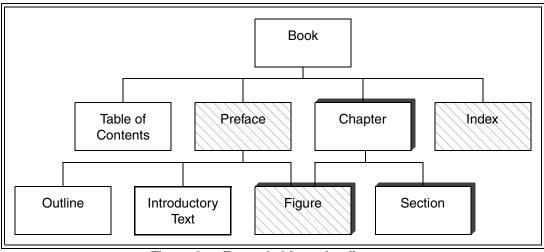


Figure 3 — Example hierarchy diagram

In the corresponding hierarchy diagram, labeled boxes denote the above objects. The composition and relation of one object to others is shown by the connecting lines. In this case, the connecting lines indicate the relationship between the Book object and its constituent objects Table of Contents, Preface, Chapter and Index. Similarly, connecting lines show that a Chapter object contains the objects Section and Figure. Note that the Figure object also may be a component of the Preface object.

In the hierarchy diagram, objects that are required to have one and only one instance are shown as simple boxes, as is the case for the Book and Table of Contents objects. The hierarchy diagram also shows multiple instances of an object by the presence of a shadow, as is the case for the Chapter, Figure and Section objects. Objects that are optional are indicated by light diagonal lines, as is the case for the Preface, Figure and Index objects. An object that may not have any instances, have only one instance, or have multiple instances is shown with both diagonal lines and a shadow, as is the case for the Figure object. The instance indications shown in a hierarchy diagram are approximate, detailed requirements appear in the accompanying text.

#### 3.6.2 Notation for procedures and functions

In this standard, the model for functional interfaces between objects is the callable procedure. Such interfaces are specified using the following notation:

## [Result =] Procedure Name (IN ([input-1] [,input-2] ...]), OUT ([output-1] [,output-2] ...))

Where:

Result: A single value representing the outcome of the procedure or function.

Procedure Name:	A descriptive name for the function to be performed.
Input-1, Input-2,:	A comma-separated list of names identifying caller-supplied input data objects.
Output-1, Output-2,:	A comma-separated list of names identifying output data objects to be returned by the procedure.

"[...]": Brackets enclosing optional or conditional parameters and arguments.

This notation allows data objects to be specified as inputs and outputs. The following is an example of a procedure specification:

Found = Search (IN (Pattern, Item List), OUT ([Item Found]))

Where:

```
Found = Flag
```

Flag, if set, indicates that a matching item was located.

Input Arguments:

Pattern =	/* Definition of <b>Pattern</b> object */
	Object containing the search pattern.

Item List = Item<NN> /\* Definition of Item List as an array of NN Item objects\*/ Contains the items to be searched for a match.

**Output Arguments:** 

Item Found = Item ... /\* Item located by the search procedure \*/ This object is only returned if the search succeeds.

#### 3.6.3 Notation for state diagrams

All state diagrams use the notation shown in figure 4.

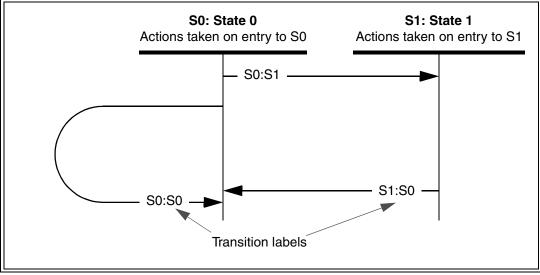


Figure 4 — Example state diagram

The state diagram is followed by a list of the state transitions, using the transition labels. Each transition is described in the list with particular attention to the conditions that cause the transition to occur and special conditions related to the transition. Using figure 4 as an example, the transition list might read as follows:

Transition S0:S1: This transition occurs when state S0 is exited and state S1 is entered.

Transition S1:S0: This transition occurs when state S1 is exited and state S0 is entered.

**Transition S0:S0:** This transition occurs when state S0 transitions to itself. It is particularly important to note that the actions taken whenever state S0 is entered are repeated every time this transition occurs.

A system specified in this manner has the following properties:

- a) Time elapses only within discrete states;
- b) State transitions are logically instantaneous; and
- c) Every time a state is entered, the actions of that state are started. Note that this means that a transition that points back to the same state restarts the actions from the beginning.

# **4 SCSI Architecture Model**

## 4.1 Introduction

The purpose of the SCSI architecture model is to:

- a) Provide a basis for the coordination of SCSI standards development that allows each standard to be placed into perspective within the overall SCSI Architecture model;
- b) Identify areas for developing standards and provide a common reference for maintaining consistency among related standards so that independent teams of experts may work productively and independently on the development of standards within each functional area; and
- c) Provide the foundation for application compatibility across all SCSI interconnect and protocol environments by specifying generic requirements that apply uniformly to all implementation standards within each functional area.

The development of this standard is assisted by the use of an abstract model. To specify the external behavior of a SCSI system, elements in a system are replaced by functionally equivalent components within this model. Only externally observable behavior is retained as the standard of behavior. The description of internal behavior in this standard is provided only to support the definition of the observable aspects of the model. Those aspects are limited to the generic properties and characteristics needed for host applications to interoperate with SCSI devices in any SCSI interconnect and protocol environment. The model does not address other requirements that may be essential to some I/O system implementations such as the mapping from SCSI device addresses to network addresses, the procedure for discovering SCSI devices on a network and the definition of network authentication policies for SCSI initiators or targets. These considerations are outside the scope of the architecture model.

The set of SCSI standards specifies the interfaces, functions, and operations necessary to ensure interoperability between conforming SCSI implementations. This standard is a functional description. Conforming implementations may employ any design technique that does not violate interoperability.

The SCSI architecture model is described in terms of objects (see 3.1.69), protocol layers and service interfaces between objects. As used in this standard, objects are abstractions, encapsulating a set of related functions, data types, and other objects. Certain objects, such as an interconnect, are defined by SCSI, while others, such as a task, are needed to understand the functioning of SCSI but have implementation definitions outside the scope of SCSI. That is, although such objects exhibit well-defined, observable behaviors, they do not exist as separate physical elements. An object may be a single numeric parameter, such as a logical unit number, or a complex entity that performs a set of operations or services on behalf of another object.

Service interfaces are defined between distributed objects and protocol layers. The template for a distributed service interface is the client-server model described in 4.2. The structure of a SCSI I/O system is specified in 4.4 by defining the relationship among objects. The set of distributed services to be provided are specified in clause 5 and clause 6.

Requirements that apply to each SCSI protocol standard are specified in the protocol service model described in 5.4 and 6.9. The model describes required behavior in terms of layers, objects within layers and protocol service transactions between layers.

# 4.2 The SCSI distributed service model

Service interfaces between distributed objects are represented by the client-server model shown in figure 5. Dashed horizontal lines with arrowheads denote a single request-response transaction as it appears to the client and server. The solid lines with arrowheads indicate the actual transaction path through the service delivery subsystem. In such a model, each client or server is a single thread of processing that runs concurrently with all other clients or servers.

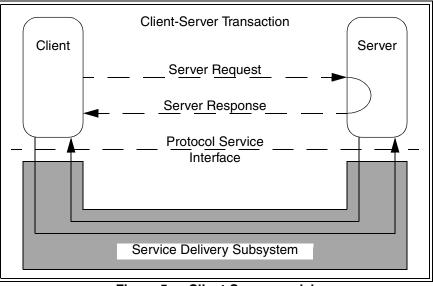


Figure 5 — Client-Server model

A client-server transaction is represented as a remote procedure call with inputs supplied by the caller (the client). The procedure is processed by the server returns outputs and a procedure status. A client directs requests to a remote server, via the client's service delivery subsystem, and receives a completion response or a failure notification. The request identifies the server and the service to be performed and includes the input data. The response conveys the output data and request status. The function of the service delivery subsystem is to transport an error-free copy of the request or response between sender and receiver. A failure notification indicates that a condition has been detected, such as a reset, or service delivery failure, that precludes request completion.

As seen by the client, a request becomes pending when it is passed to the service delivery subsystem for transmission. The request is complete when the server response is received or when a failure notification is sent. As seen by the server, the request becomes pending upon receipt and completes when the response is passed to its service delivery subsystem for return to the client. As a result there may be a time skew between the server and client's perception of request status and logical unit state. All references to a pending command or task management function in this standard are in the application client's point of view.

Client-server relationships are not symmetrical. A client may only originate requests for service. A server may only respond to such requests. The client calls the server-resident procedure and waits for completion. From the client's point of view, the behavior of a remote service invoked in this manner is indistinguishable from a conventional procedure call. In this model, confirmation of successful request or response delivery by the sender is not required. The model assumes that delivery failures are detected by the client's SCSI port or within service delivery subsystem.

## 4.3 The SCSI client-server model

As shown in figure 6, each SCSI target device provides device services performed by the logical units under the control of the target and task management functions performed by the task manager. A logical unit is an object that implements one of the device functional models described in the SCSI command standards and processes SCSI commands such as reading from or writing to the media. Each pending SCSI command or series of linked commands defines a unit of work to be performed by the logical unit. Each unit of work is represented within the target by a task that may be externally referenced and controlled through requests issued to the task manager.

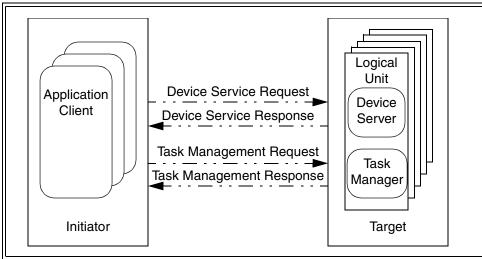


Figure 6 — SCSI client-server model

All requests originate from application clients residing within an initiator device. An application client represents a thread of processing whose functionality is independent of the interconnect and SCSI protocol. In an implementation, that thread could correspond to the device driver and any other code within the operating system that is capable of managing I/O requests without requiring knowledge of the interconnect or SCSI protocol. In the architecture model, an application client is created to issue a single SCSI command or task management function. An application client ceases to exist once the command or task management function ends. Consequently, there is one application client for each pending command or task management request. Within the initiator, one or more controlling entities, whose definition is outside the scope of the architecture model, oversee the creation of and interaction among application clients.

As described in 4.2, each request takes the form of a procedure call with arguments and a status to be returned. An application client may request processing of a SCSI command through a request directed to the device server within a logical unit. Each device service request contains a command descriptor block, defining the operation to be performed, along with a list of command specific inputs and other parameters specifying how the command is to be processed. If supported by a logical unit, a sequence of linked commands may be used to define an extended I/O operation.

A task is an object within the logical unit representing the work associated with a command or series of linked commands. A new command or the first in a series of linked commands causes the creation of a task. The task persists until a command completion response is sent or until the task is ended by a task management function or exception condition. For an example of the processing for a single command see 5.7.1. For an example of linked command processing see 5.7.2.

An application client may request processing of a task management function through a request directed to the task manager within the logical unit. The interactions between the task manager and application client when a task management request is processed are shown in 6.10.

# 4.4 The SCSI structural model

The SCSI structural model represents a view of the elements comprising a SCSI I/O system as seen by the application clients interacting with the system. This view is similar to that seen by a CAM device driver interacting with the system through the CAM SIM layer. As shown in figure 7, the fundamental object is the SCSI domain that represents an I/O system. A domain is made up of SCSI devices and a service delivery subsystem that transports commands and data. A SCSI device contains application clients or device servers or both and the infrastructure to support them.

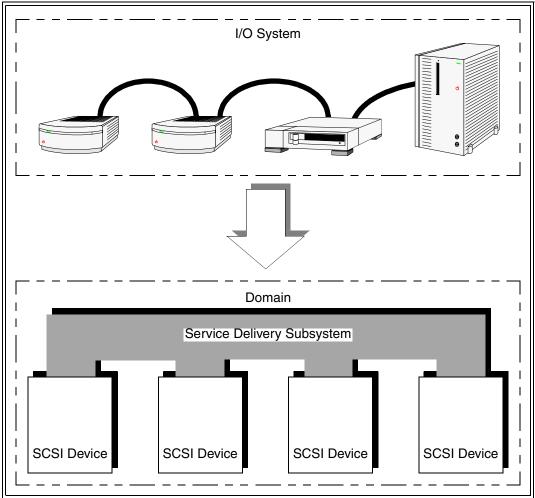


Figure 7 — SCSI I/O system and domain model

Figure 8 shows the main functional components of the SCSI domain. The following clauses define these components in greater detail.

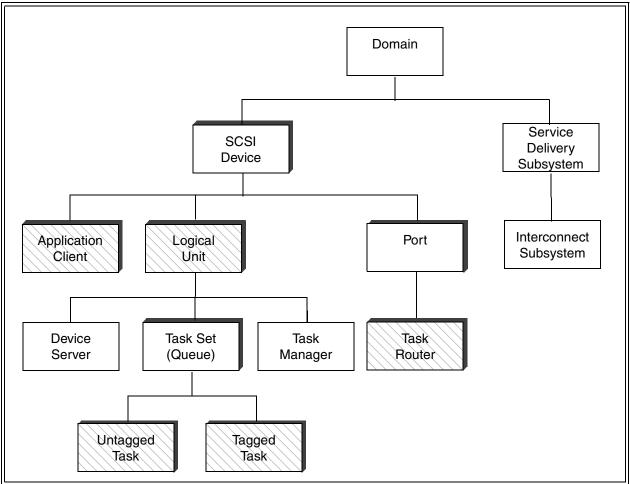


Figure 8 — Overall SCSI domain model

# 4.5 SCSI domain

A SCSI domain is composed of at least one SCSI device, at least one target port and at least one initiator port interconnected by a service delivery subsystem (see figure 9).

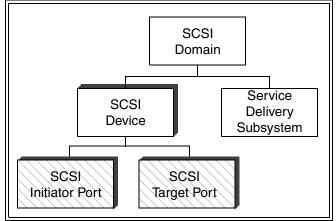


Figure 9 — SCSI domain model

A SCSI device is an object that originates or services SCSI commands. As described in 4.7, when a SCSI device originates a command it is called a SCSI initiator device and that command is transmitted through an initiator port or a SCSI target/initiator port. A SCSI device containing logical units that service commands is called a SCSI target device and receives commands through a SCSI target port or a SCSI target/initiator port. The service delivery subsystem connects all the SCSI ports in the SCSI domain, providing a subsystem through which application clients and device servers communicate (see 4.6). The boundaries of a SCSI domain are established by the system implementor, within the constraints of a specific SCSI protocol and interconnect standards.

## 4.6 The service delivery subsystem

The service delivery subsystem connects SCSI ports (see 3.1.98) and is composed of an interconnect subsystem (see figure 10).

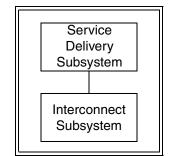


Figure 10 — Service delivery subsystem model

The interconnect subsystem is a set of one or more physical interconnects that appear to a client or server as a single path for the transfer of requests, responses, and data between SCSI Devices.

The service delivery subsystem is assumed to provide error-free transmission of requests and responses between client and server. Although a device driver in a SCSI implementation may perform these transfers through several interactions with its SCSI protocol layer, the architecture model portrays each operation, from the viewpoint of the application client, as occurring in one discrete step. In this model, the data comprising an outgoing request is sent

in a single package containing all the information required to process the remote procedure call. Similarly, an incoming server response is returned in a package enclosing the output data and status. The request or response package is sent when it is passed to the SCSI port for transmission; it is in transit until delivered and received when it has been forwarded to the receiver via the destination device's SCSI port.

### 4.6.1 Synchronizing client and server states

The client is usually informed of changes in server state through the arrival of server responses. In the architecture model such state changes occur after the server has sent the associated response and possibly before the response has been received by the SCSI initiator device. Some SCSI protocols, however, may require the SCSI target device to verify that the response has been received successfully before completing a state change. State changes controlled in this manner are said to be synchronized. Since synchronized state changes are not assumed or required by the architecture model, there may be a time lag between the occurrence of a state change within the SCSI target device and the SCSI initiator device's awareness of that change.

The model assumes that state synchronization, if required by a SCSI protocol standard, is enforced by the service delivery subsystem transparently to the server. That is, whenever the server invokes a protocol service to return a response as described in 6.9 and 5.4, it is assumed that the service delivery port for such a protocol does not return control to the server until the response has been successfully delivered to the SCSI initiator device.

#### 4.6.2 Request/Response ordering

In this standard, request or response transactions are said to be in order if, relative to a given pair of sending and receiving SCSI ports, transactions are delivered in the order they were sent.

A sender may occasionally require control over the order in which its requests or responses are presented to the receiver (e.g., the sequence in which requests are received is often important whenever a SCSI initiator device issues a series of SCSI commands with the ORDERED attribute to a logical unit as described in clause 7). In this case, the order in which these commands are completed, and hence the final state of the logical unit, may depend on the order in which these commands are received. Similarly, the SCSI initiator device acquires knowledge about the state of pending commands and task management functions and may subsequently take action based on the nature and sequence of SCSI target device responses (e.g., if the SCSI initiator device aborts a command whose completion response is in transit and the abort response is received out of order, the SCSI initiator device could incorrectly conclude that no further responses are expected from that command).

Editors Note 1 - ROW: 00-268r8 removed an example in the following paragraph.

The manner in which ordering constraints are established is vendor specific. An implementation may choose to delegate this responsibility to the application client (e.g., the device driver). In some cases, in-order delivery may be an intrinsic property of the service delivery subsystem or a requirement established by the SCSI protocol standard.

The SCSI architecture model assumes in-order delivery to be a property of the service delivery subsystem. This assumption is made to simplify the description of behavior and does not constitute a requirement. In addition, this specification makes no assumption about, or places any requirement on the ordering of requests or responses between tasks or task management functions received from different SCSI initiator ports.

# 4.7 SCSI devices

A SCSI device is a SCSI target device, a SCSI initiator device, or a SCSI target/initiator device.

A SCSI initiator device contains at least one SCSI initiator port and is capable of originating SCSI commands and task management requests (see 4.7.1). A SCSI target device contains at least one SCSI target port and is capable of processing SCSI commands and task management requests (see 4.7.2). A SCSI target/initiator device contains at least one SCSI target/initiator port and is capable of originating and processing SCSI commands and task management requests (see 4.7.2). A SCSI target/initiator device contains at least one SCSI target/initiator port and is capable of originating and processing SCSI commands and task management requests (see 4.7.2). To be functional, a SCSI domain needs to contain a SCSI target port or a SCSI target/initiator port operating as a SCSI target port and a SCSI initiator port or SCSI target/initiator port operating as a SCSI initiator port.

## 4.7.1 SCSI initiator device

A SCSI initiator device (see figure 11) contains:

- a) zero or more initiator device names;
- b) one or more SCSI initiator ports each containing an initiator port identifier and an optional initiator port name;
- c) zero or more application clients.

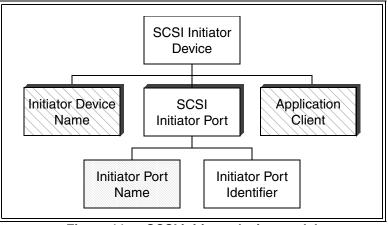


Figure 11 — SCSI initiator device model

An initiator port identifier is a value that is the SCSI port identifier (see 4.7.4) for an initiator port.

An initiator device name is a name (see 3.1.67) that is a SCSI device name (see 4.7.6) for the initiator device. A protocol standard may place additional requirements on initiator device names.

An initiator port name is a name (see 3.1.67) that is the SCSI port name (see 4.7.7) for the initiator port. A protocol standard may place additional requirements on initiator port names.

An application client is the source of commands and task management functions. This model assumes that a SCSI initiator device contains one application client for each pending command or task management function.

## 4.7.2 SCSI target device

A SCSI target device (see figure 12) contains:

- a) zero or more target device names;
- b) one or more SCSI target ports each containing a task router, SCSI target port identifier, and an optional target port name; and
- c) one or more logical units.

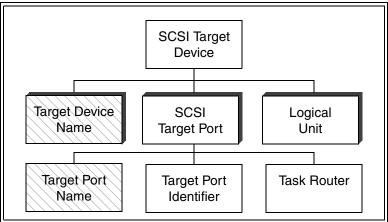


Figure 12 — SCSI target device model

A SCSI target port identifier is a value that is a SCSI port identifier (see 4.7.4) for a SCSI target port.

A target device name is a name (see 3.1.67) that is a SCSI device name (see 4.7.6) for the target device. A protocol standard may place additional requirements on target device names.

A target port name is a name (see 3.1.67) that is the SCSI port name (see 4.7.7) for the target port. A protocol standard may place additional requirements on target port names.

A task router routes commands and task management functions between the service delivery subsystem and the appropriate logical unit's task manager (see 4.7.5).

A logical unit is the object to which SCSI commands are addressed. One of the logical units within the SCSI target device shall be accessed using the logical unit number zero. See 4.8 for a description of the logical unit.

## 4.7.3 SCSI target/initiator device

A SCSI target device (see figure 13) contains:

- a) zero or more target device names;
- b) zero or more initiator device names;
- c) one or more SCSI target/initiator ports each containing a task router, target port identifier, an initiator port identifier, an optional target port name and an optional initiator port name;
- d) one or more logical units; and
- e) zero or more application clients.

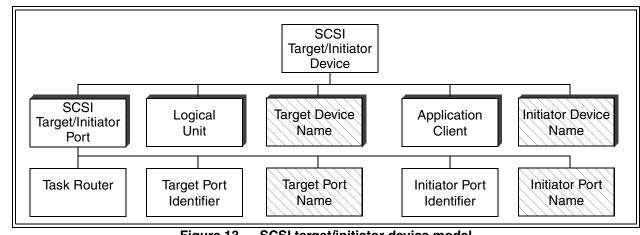


Figure 13 — SCSI target/initiator device model

The target port identifier and the initiator port identifier are values containing a SCSI port identifier (see 4.7.4) for a SCSI target/initiator port. The target port identifier and the initiator port identifier may or may not be identical.

The target device name and initiator device name are names (see 3.1.67) that are SCSI device names (see 4.7.6) for the target/initiator device when operating as a target device and initiator device, respectively. A target device name and an initiator device name may or may not be identical. A protocol standard may place additional requirements on target device names and initiator device names.

The target port name and initiator port name are names (see 3.1.67) that are the SCSI port name (see 4.7.7) for the target/initiator port when operating as a target port and initiator port, respectively. The target port name and the initiator port name may or may not be identical. A protocol standard may place additional requirements on target port names and initiator port names.

When the SCSI target/initiator device is operating as a SCSI target device a task router routes the commands and task management functions between the service delivery subsystem and the appropriate logical unit (see 4.7.5). A logical unit is the object to which SCSI commands are sent. One of the logical units within the SCSI target/initiator device shall be accessed using the logical unit number zero. See 4.8 for a description of the logical unit.

When the SCSI target/initiator device is operating as a SCSI initiator device an application client is the source of commands and task management functions. This model assumes that there is one application client for each pending command or task management function.

## 4.7.4 SCSI port identifier

The SCSI port identifier is equivalent to SCSI identifier and is the object name used to represent either an initiator port identifier for an initiator, or a target port identifier for a target. SCSI port identifier is used when either a SCSI initiator port or SCSI target port might be applicable or when other context in the description identifies the SCSI initiator port or SCSI target port usage.

## 4.7.5 SCSI task router

The task router routes tasks and task management functions to the selected logical unit. Any task that is sent to a logical unit that is not known to the task router shall be routed to a default logical unit (e.g., LUN 0). Any task management function that is not sent to a specific logical unit shall be broadcast to all logical units known to the task router.

## 4.7.6 SCSI device name

A SCSI device name is an optional name (see 3.1.67) for a SCSI device that is world wide unique within the protocol of a SCSI domain in which the SCSI device has SCSI ports. A SCSI device may have more than one name if that device has SCSI ports in different protocol SCSI domains. A SCSI device name shall never change and may be used to persistently identify a SCSI device in contexts where specific references to port names or port identifiers is not required.

A protocol standard may require that a SCSI device include a SCSI device name if the device has SCSI ports in a SCSI domain of that protocol. The SCSI device name may be made available to other SCSI devices or SCSI ports in a given SCSI domain in protocol specific ways.

#### 4.7.7 SCSI port name

A SCSI port name is an optional name (see 3.1.67) of a SCSI port that is world wide unique within the protocol of the SCSI domain of that SCSI port. A SCSI port may have at most one name. A SCSI port name shall never change and may be used to persistently identify a SCSI initiator port or SCSI target port in contexts similar to those where a SCSI port identifier (see 4.7.4) may be used.

A protocol standard may require that a SCSI port include a SCSI port name if the SCSI port is in a SCSI domain of that protocol. The SCSI port name may be made available to other SCSI devices or SCSI ports in the given SCSI domain in protocol specific ways.

## 4.8 Logical units

A logical unit (see figure 14) contains:

- a) A logical unit number;
- b) a device server;
- c) a task manager; and
- d) one or more task sets each may contain zero or more untagged tasks or a combination of zero or more tagged tasks and zero or more untagged tasks.

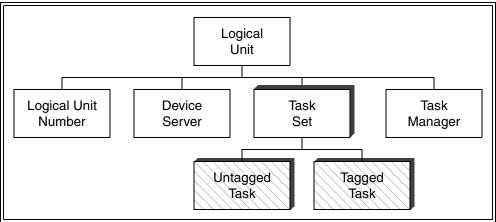


Figure 14 — Logical unit model

A logical unit number is a field containing up to 64 bits that identifies the logical unit within a SCSI target device. If a SCSI target device contains 256 or fewer logical units none of which are dependent logical units (see 4.12), then its Logical Unit Numbers shall have the format shown in table 1, which is a single level subset of the format described in 4.12.

Bit Byte	7	6	5	4	3	2	1	0
0	ADDRESS ME	ETHOD (00b)		BUS IDENTIFIE	r (00h)			
1				SINGLE LEVEL	LUN (00h to	FFh, inclusiv	/e)	
2	(MSB)			Null accord		)00h)		
3				Null second		JUUTI)		(LSB)
4	(MSB)			Null third leve	el LUN (0000	h)		
5								(LSB)
6	(MSB)			Null forth lev	el LUN (0000	h)		
7					-			(LSB)

#### Table 1 — Single Level LUN structure

In the single level subset format, all LUN structure fields shall be zero except the SINGLE LEVEL LUN field (see table 1). The value in the single level LUN field shall be between 0 and 255. The 00b in the ADDRESS METHOD field and the 00h in the BUS IDENTIFIER field indicate addressing for a logical unit at the current level (see 4.12.3). When the single level subset format is used, the HISUP bit shall be set in the standard INQUIRY data returned by logical unit 0 (see SPC-2).

If any Logical Unit within the scope of a SCSI target device includes dependent logical units in its composition, all logical unit numbers within the scope of the SCSI target device shall have the format described in 4.12.

A device server is the object that processes the operations requested by the received commands.

The task manager controls the sequencing of one or more tasks within a logical unit. The task manager also carries out the task management functions specified in clause 6. There is one task manager per logical unit.

The order in which task management requests are processed is not specified by this standard. This standard does not require in-order delivery of such requests, as defined in 4.6.2, or processing by the task manager in the order received. To guarantee the processing order of task management requests referencing a specific logical unit, an initiator should, therefore, not have more than one such request pending to that logical unit.

A task set is composed of zero or more untagged tasks or a combination of zero or more tagged tasks and zero or more untagged tasks. See 4.9 for additional restrictions on the untagged tasks and tagged tasks in a task set.

For convenience, task (see 4.9) refers to either a tagged task or an untagged task. The interactions among the tasks in a task set are determined by the rules for task set management specified in clause 7 and the auto contingent allegiance and contingent allegiance rules specified in 5.8.1 and SCSI-2. The number of task sets per logical unit and the boundaries between task sets are governed by the TST field in the Control mode page (see SPC-2).

## 4.9 Tasks

## 4.9.1 The task object

Editors Note 2 - ROW: A proposal is expected that will make additional changes in this subclause intended to replace the usage of task identifiers with nexus.

The task object represents either a tagged task or an untagged task. The composition of a task includes a definition of the work to be performed by the logical unit in the form of a command or a group of linked commands. A tagged task is composed of a definition of the work to be performed by the logical unit, a tagged task identifier (see 4.9.3), a task attribute (see 7.6), and an  $I_T_L_Q$  nexus (see 4.10). An untagged task is composed of a definition of the logical unit, a untagged task identifier (see 4.9.3), an  $I_T_L$  nexus (see 4.10), and implicitly a SIMPLE task attribute (see 7.6). Task identifier (see 4.9.3) refers to either a tagged task identifier.

A tagged task includes a tag (see 4.9.2) in its tagged task identifier that allows many uniquely identified tagged tasks to be present concurrently in a single task set. A tagged task also includes one of the task attributes described in 7.6 that allows the initiator to specify processing relationships between various tagged tasks. An untagged task does not include a tag in any of its component definitions, thus restricting the number of concurrent untagged tasks in a single task set to one per initiator. Also, an untagged task is assumed to have a SIMPLE task attribute, leaving the initiator no control over its relationship to other tasks in the task set.

Every SCSI protocol shall support tagged and untagged tasks. Support for tagged tasks by a logical unit is optional.

A task identifier that is in use shall be unique as seen by the initiator originating the command and the logical unit to which the command was addressed. A task identifier is in use over the interval bounded by the events specified in 5.5). A task identifier is unique if one or more of its components is unique within the scope specified above. By implication, therefore, an initiator shall not cause the creation of more than one untagged task having identical values for the target identifier and logical unit number. Conversely, an initiator may create more than one task with the same tag value, provided at least one of the remaining task identifier components is unique.

## 4.9.2 Task tags

A tag is a field containing up to 64 bits that is a component of a tagged task identifier. An initiator assigns tag values in each tagged task identifier in a way that ensures that the identifier uniqueness requirements stated in 4.9 are met.

## 4.9.3 Identification of tasks

A device server identifies a task with a task identifier. The task identifier object represents either a tagged task identifier or an untagged task identifier. A tagged task identifier is composed of an initiator identifier (see 4.7.1), a logical unit number (see 4.8) and a tag (see 4.9.2). An untagged task identifier is composed of an initiator identifier and a logical unit number.

# 4.10 The nexus object

The nexus object is a relationship between a SCSI initiator port, a SCSI target port, optionally a logical unit. and optionally a task.

The nexus object may refer to any one or all of the following relationships:

- a) one SCSI initiator port to one SCSI target port (an I\_T nexus);
- b) one SCSI initiator port to one SCSI target port to one logical unit (an I\_T\_L nexus);
- c) one SCSI initiator port to one SCSI target port to one logical unit to one tagged task (an I\_T\_L\_Q nexus); or
- d) either an I\_T\_L nexus or an I\_T\_L\_Q nexus (denoted as an I\_T\_L\_x nexus).
- Table 2 maps the nexus object to other identifier objects.

Nexus	Identifiers that form nexus	Reference
I_T	Initiator Port Identifier Target Port Identifier	4.7.1 4.7.2
I_T_L	Initiator Port Identifier Target Port Identifier Logical Unit Number	4.7.1 4.7.2 4.8
I_T_L_Q	Initiator Port Identifier Target Port Identifier Logical Unit Number Tag	4.7.1 4.7.2 4.8 4.9.2

#### Table 2 — Mapping nexus to SAM-2 identifiers

# 4.11 SCSI ports

## 4.11.1 SCSI port configurations

A SCSI device may contain only SCSI target ports, only SCSI initiator ports, only SCSI target/initiator ports or any combination of ports. Some of the port configurations possible for a SCSI device are shown in figure 15.

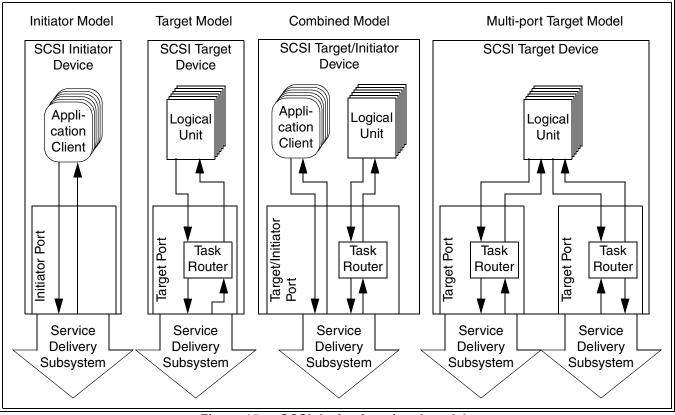


Figure 15 — SCSI device functional models

A target/initiator SCSI device is referred to by the role it's port takes when it participates in an I/O operation. When a SCSI target/initiator device receives SCSI commands or task management functions, the SCSI target/initiator device takes on the characteristics of and is referred to as a SCSI target device. When a SCSI target/initiator device issues SCSI commands or task management functions, the SCSI target/initiator device takes on the characteristics of and is referred to as a SCSI target/initiator device takes on the characteristics of and so referred to as a SCSI target/initiator device takes on the characteristics of and is referred to as a SCSI target/initiator device takes on the characteristics of and is referred to as a SCSI target/initiator device.

## 4.11.2 SCSI devices with multiple ports

The model for a SCSI device with multiple ports is a single SCSI target device (see 4.7.2), SCSI initiator device (see 4.7.1), or SCSI target/initiator device (see 4.7.3) with multiple ports. Similarly, a single SCSI target port or SCSI initiator port may respond to multiple SCSI identifiers. The model for such a SCSI device also is one of multiple SCSI target ports or SCSI initiator ports, one for each SCSI identifier.

The SCSI identifiers representing the ports shall meet the requirements for initiator port identifiers (see 4.7.1) or target port identifiers (see 4.7.2) or both. SCSI target/initiator devices with multiple ports implement both target and initiator models and combine the SCSI target/initiator port structures in vendor specific ways that meet product requirements while maintaining the multi-port model for the target and initiator functions performed by the product.

How a multiple port SCSI device is viewed by counterpart SCSI devices in the SCSI domain also depends on whether a SCSI initiator port is examining a SCSI target port or SCSI target/initiator port, or a SCSI target port is servicing a SCSI initiator port or SCSI target/initiator port. The structures and views of SCSI devices are asymmetric for SCSI target ports, and SCSI initiator ports.

### 4.11.3 Multiple port target SCSI device structure

Figure 16 shows the structure of a SCSI target device with multiple SCSI target ports. Each SCSI target port consists of a task router that is shared by a collection of logical units. Each logical unit contains a single task manager and a device server.

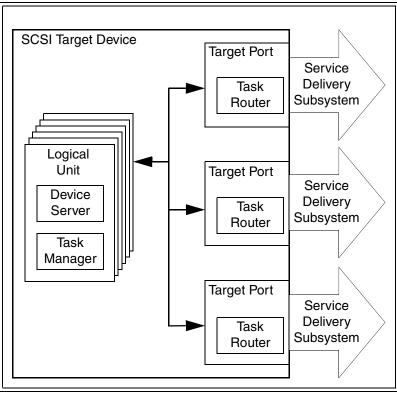


Figure 16 — Multiple port target SCSI device structure model

Two-way communications shall be possible between all logical units and all SCSI target ports, however, communications between any logical unit and any SCSI target port may occasionally be inactive. Two-way communications shall be available between each task manager and all task routers. Each SCSI target port shall accept commands sent to LUN 0 and the task router shall route them to a device server for processing. The REPORT LUNS commands (see SPC-2) shall be accepted by logical unit 0 from any SCSI target port and shall return the logical unit inventory available via that SCSI target port. The availability of the same logical unit through multiple SCSI target ports is discovered by matching SCSI port identifier values in the INQUIRY command vital product data page (see SPC-2).

#### 4.11.4 Multiple port initiator SCSI device structure

Figure 17 shows the structure of a SCSI initiator device with multiple SCSI initiator ports. Each SCSI initiator port is shared by a collection of application clients.

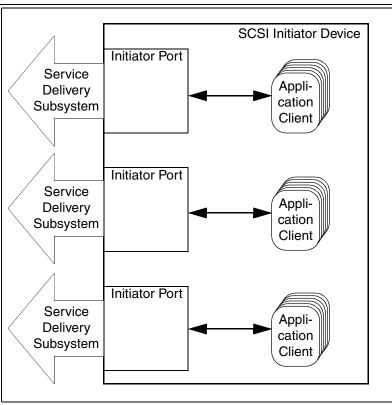


Figure 17 — Multiple port SCSI initiator device structure model

Two-way communications shall be possible between an application client and it's associated SCSI initiator port. Mechanisms by which a SCSI target device would have the ability to discover that it is communicating with multiple ports on a single SCSI initiator device are beyond the scope of any standards in the SCSI family of standards.

## 4.11.5 Multiple port target/initiator SCSI device structure

Figure 18 shows the structure of a SCSI target/initiator device with multiple SCSI target/initiator ports. Each SCSI target/initiator port consists of a task router and is shared by a collection of logical units and application clients. Each logical unit contains a single task manager and a device server.

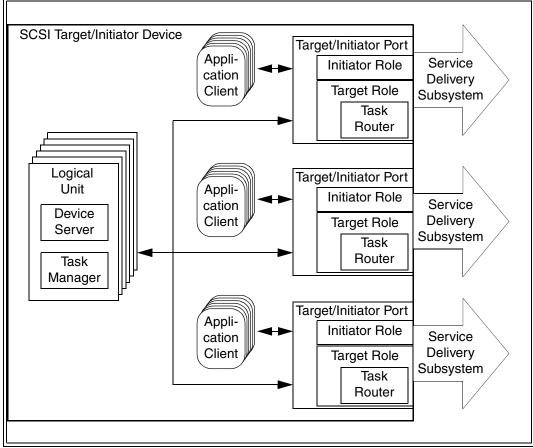


Figure 18 — Multiple port target/initiator SCSI device structure model

Two-way communications shall be possible between all logical units and all SCSI target/initiator ports, however, communications between any logical unit and any SCSI target/initiator port may occasionally be inactive. Two-way communications shall be possible between an application client and it's associated SCSI target/initiator port. Each SCSI target/initiator port shall accept commands sent to LUN 0 and the task router shall route them to a device server for processing. The REPORT LUNS commands (see SPC-2) shall be accepted by logical unit 0 from any SCSI target/initiator port and shall return the logical unit inventory available via that SCSI target/initiator port. The availability of the same logical unit through multiple SCSI target/initiator ports is discovered by matching SCSI port identifier values in the INQUIRY command vital product data page (see SPC-2).

Mechanisms by which a SCSI target device would have the ability to discover that it is communicating with multiple ports on a SCSI target/initiator device are beyond the scope of any standards in the SCSI family of standards.

#### 4.11.6 SCSI initiator device view of a multiple port SCSI target device

A SCSI target device may be connected to multiple domains such that a SCSI initiator port is only allowed to only communicate with logical units using a single SCSI target port. This would restrict application clients from determining if a SCSI target device has multiple SCSI ports.

However, SCSI target devices with multiple SCSI ports may be configured where application clients have the ability to discover that one or more logical units are accessible via multiple SCSI target ports. Figure 19 and figure 20 show two examples of such configurations.

Figure 19 shows a SCSI target device with multiple SCSI target ports participating in a single SCSI domain with two SCSI initiator devices. There are three SCSI devices, one of which has two SCSI target ports, one with one SCSI initiator port, and one with one SCSI initiator port. There are two SCSI target port identifiers and two initiator port identifiers in this SCSI domain. Using the INQUIRY command vital product data page (see SPC-2), the application clients in each of the SCSI initiator devices have the ability to discover the logical units in the SCSI target devices are accessible via multiple SCSI target port identifiers (i.e., SCSI target ports) and map the configuration of the SCSI target devices.

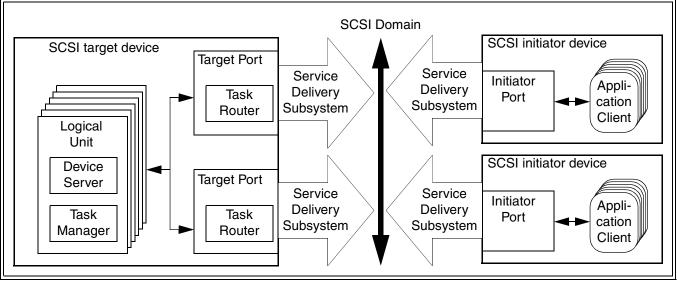


Figure 19 — SCSI target device configured in a single SCSI domain

Figure 20 shows a SCSI target device with multiple SCSI target ports participating in two SCSI domains and a SCSI initiator device with multiple SCSI initiator ports participating in the same two SCSI domains. There is one SCSI target device with two SCSI target ports and one SCSI initiator device with two SCSI initiator ports. There is one SCSI target port identifier and one initiator port identifier in each of the two SCSI domains. Using the INQUIRY

command vital product data page (see SPC-2), the application clients in the SCSI initiator device have the ability to discover that logical units in the SCSI target device are accessible via multiple ports and map the configuration. However, the methods available to application clients to distinguish between the configuration shown in figure 19 are beyond the scope of the SCSI family of standards.

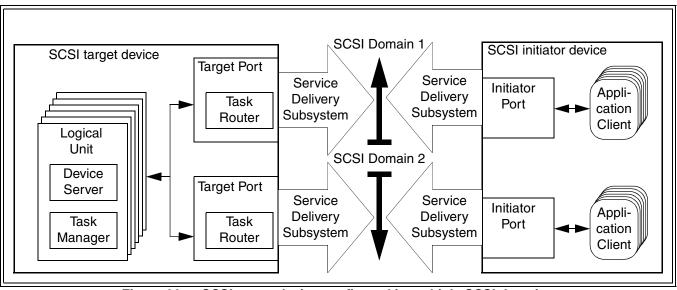


Figure 20 — SCSI target device configured in multiple SCSI domains

Figure 21 shows the same configuration as figure 20 except that the two SCSI domains have been replaced by a single SCSI domain.

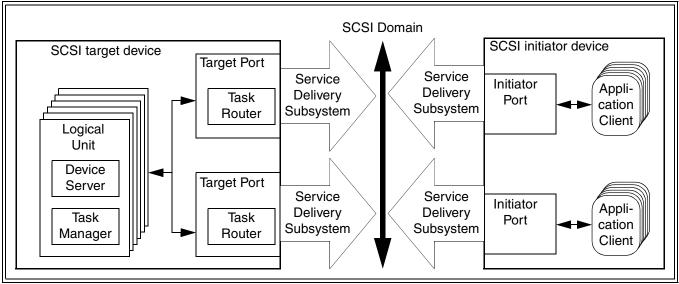


Figure 21 — SCSI target device and SCSI initiator device configured in a single SCSI domain

This model for application client determination of multiple SCSI target port configurations relies on information that is available only to the application clients via SCSI commands. The SCSI initiator ports in the SCSI initiator devices (figure 19) or SCSI initiator device (figure 20 and figure 21) are unable to distinguish the multiple SCSI target ports from individual SCSI target ports in two separate SCSI target devices.

### 4.11.7 SCSI target device view of a multiple port SCSI initiator device

An SCSI target device does not have the ability to detect the presence of an SCSI initiator device with multiple SCSI initiator ports. Therefore, a SCSI target device handles an SCSI initiator device with multiple SCSI initiator ports exactly as it would handle multiple separate SCSI initiator devices. For example, an SCSI target device handles the configurations shown in figure 20 and figure 21 in exactly the same way it handles the configuration show in figure 19.

NOTE 1 - The implications of this view of an SCSI initiator device are more far reaching than are immediately apparent. For example, if an SCSI initiator device makes an exclusive access reservation via one SCSI initiator port, then access will be denied to the other SCSI initiator port(s) on that same SCSI initiator device.

## 4.12 Model for dependent logical units

#### 4.12.1 Introduction

Optionally, the model for a logical unit (see 4.8) may include one or more unique logical units embedded within another logical unit. The embedded logical units are called dependent logical units (see 3.1.22). In such cases, the model hierarchy diagram in 4.8 is enhanced to become the diagram shown in figure 22.

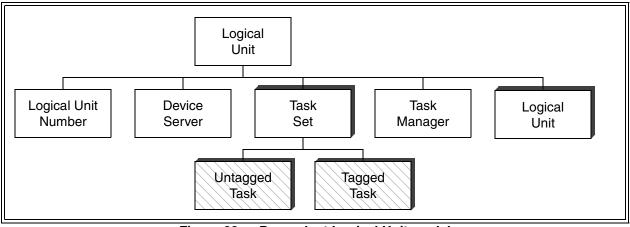


Figure 22 — Dependent Logical Unit model

When the dependent logical unit model is utilized, the hierarchical logical unit structure defined in this subclause shall be used. If any logical unit within a SCSI target device includes dependent logical units, all logical unit numbers within the SCSI target device shall have the format described in this subclause. A device server that implements the hierarchical structure for dependent logical units described in this subclause shall set the HISUP bit in the standard INQUIRY data returned by logical unit 0 (see SPC-2). In the cases defined by 4.8, SCSI target devices that do not implement dependent logical units are required to implement a subset of the logical unit structure described in this subclause.

As shown in figure 23, the hierarchical logical unit structure is an inverted tree containing up to four addressable levels. The example in figure 23 is a three-level system that consists of:

- a) One initiator that has three SCSI devices attached on a single SCSI bus that is not expandable. One of the SCSI devices is a dual ported SCSI bridge controller.
- b) One initiator has three SCSI devices attached on a single SCSI bus that is expandable. One of the SCSI devices contains a dual ported SCSI bridge controller.

- c) The SCSI bridge controller has three SCSI buses with SCSI devices attached and is capable of driving more SCSI buses.
  - A) Two of the SCSI buses contain two SCSI devices each and these SCSI buses are not expandable. One of the SCSI devices contains a SCSI bridge controller.
  - B) One of the SCSI buses contains two SCSI devices and is expandable.
  - C) The SCSI bridge controller has three SCSI buses with SCSI devices attached and is capable of driving more SCSI buses.
    - a) Two of the SCSI buses contain two SCSI devices each and these SCSI buses are not expandable.
    - b) One of the SCSI buses contains two SCSI devices and is expandable.

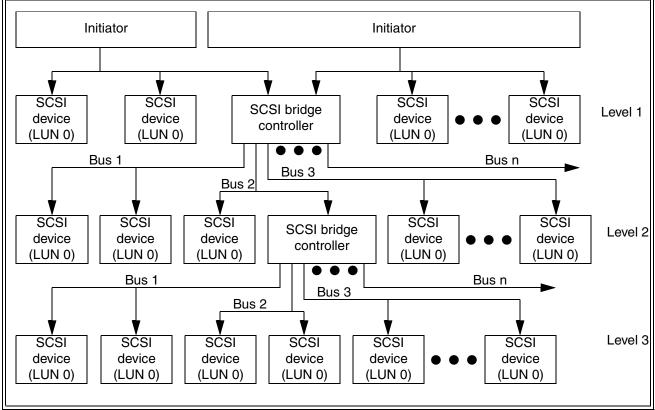


Figure 23 — Example of hierarchical system diagram

Devices at each level in the tree are referenced by one of the following address methods:

- a) Logical unit address method (see 4.12.4);
- b) Peripheral device address method (see 4.12.5); and
- c) Device type specific.

All peripheral device addresses, except LUN 0 (see 4.12.2), default to vendor specific values. All addressable entities may default to vendor specific values or may be defined by an application client (e.g., by the use of SCC-2 configuration commands).

Within the hierarchical system there may be target devices that have multiple logical units connected to them through separate physical interconnects. These physical interconnects are referred to as buses. A target device that has SCSI devices attached to these buses shall assign numbers, other than zero, to those buses. The bus numbers shall be used as components of the logical unit numbers to the logical units attached to those buses, as described in the clauses below.

Target devices shall assign a bus number of zero to all the logical units under control by the target that are not connected through a separate physical interconnect.

### 4.12.2 LUN 0 address

All SCSI devices shall accept LUN 0 as a valid address. For SCSI devices that support the hierarchical addressing model the LUN 0 shall be the logical unit that an application client addresses to determine information about the target and the logical units contained within the target.

To address the LUN 0 of a SCSI device the peripheral device address method shall be used.

## 4.12.3 Eight byte LUN structure

The eight byte LUN structure (see table 4) allows up to four levels of devices to be addressed under a single target. Each level shall use byte 0 and byte 1 to define the address and/or location of the SCSI device to be addressed on that level.

If the LUN indicates that the command is to be relayed to the next layer then the current layer shall use byte 0 and byte 1 of the eight byte LUN structure to determine the address of the device to which the command is to be sent. When the command is sent to the target the eight byte LUN structure that was received shall be adjusted to create a new eight byte LUN structure (see table 3 and figure 24).

Devices shall keep track of the addressing information necessary to transmit information back through all intervening layers to the task's originating initiator.

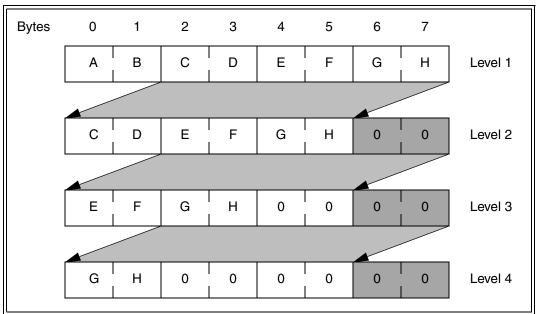


Figure 24 — Eight Byte LUN structure adjustments

В	Byte position				
Old		New			
0&1	Moves to	Not Used			
2&3	Moves to	0&1			
4 & 5	Moves to	2&3			
6&7	Moves to	4 & 5			
N/A	zero fill	6&7			

#### Table 3 — Eight byte LUN structure adjustments

The eight byte LUN structure requirements as viewed from the application client are shown in table 4.

Table 4 —	Eight By	te LUN	structure
-----------	----------	--------	-----------

Bit Byte	7	6	5	4	3	2	1	0
0	(MSB)	_						
1				FIRST LEVEL A	DDRESSING			(LSB)
2	(MSB)					<b>`</b>		
3				SECOND LEVE	LADDRESSING	а		(LSB)
4	(MSB)							
5				THIRD LEVEL /	ADDRESSING			(LSB)
6	(MSB)					、		
7				FOURTH LEVE	L ADDRESSING	1		(LSB)

The FIRST LEVEL ADDRESSING field indicates the first level address of a device. See table 5 for a definition of the FIRST LEVEL ADDRESSING field.

The SECOND LEVEL ADDRESSING field indicates the second level address of a device. See table 5 for a definition of the SECOND LEVEL ADDRESSING field.

The THIRD LEVEL ADDRESSING field indicates the third level address of a device. See table 5 for a definition of the THIRD LEVEL ADDRESSING field.

The FOURTH LEVEL ADDRESSING field indicates the fourth level address of a device. See table 5 for a definition of the FOURTH LEVEL ADDRESSING field.

The device pointed to in the FIRST LEVEL ADDRESSING, SECOND LEVEL ADDRESSING, THIRD LEVEL ADDRESSING, and FOURTH LEVEL ADDRESSING fields may be any physical or logical device addressable by an application client.

Bit Byte	7	6	5	4	3	2	1	0
n-1	ADDRESS	METHOD	(MSB)					
n				ADDRESS MET	HOD SPECIFIC	)		(LSB)

#### Table 5 — Format of addressing fields

Code	Description	Reference
10b	Logical unit addressing method	4.12.4
00b	Peripheral device addressing method	4.12.5
01b	Device type specific	
11b	Reserved	

 Table 6 — ADDRESS METHOD field values

#### 4.12.4 Logical unit addressing method

All SCSI commands are allowed when the logical unit address method is selected, however logical units are only required to support mandatory SCSI commands. Devices are not required to relay commands, from the application client, to a dependent logical unit. Any command that is not supported or relayed to a lower addressing layer shall be terminated with a CHECK CONDITION status. The sense key shall be set to ILLEGAL REQUEST and the additional sense code shall be set to INVALID COMMAND OPERATION CODE.

If the logical unit addressing method is selected the device shall relay the received command, if not filtered, to the addressed logical unit.

NOTE 2 - A SCSI device may filter commands to prevent an application client from issuing (e.g., a write command to a specific logical unit). A reason for doing this would be to prevent an application client from bypassing configuration requirements at an intermediate level of the hierarchy.

See table 7 for the definition of the ADDRESS METHOD SPECIFIC field used when the logical unit addressing method is selected.

Bit Byte	7	6	5	4	3	2	1	0
n-1	1	0			TAR	GET		
n		BUS NUMBER				LUN		

Table 7 — Logical unit addressing

The TARGET field, BUS NUMBER field, and LUN field address the logical unit to which the received command shall be relayed. The command shall be relayed to the logical unit (LUN field value) within target (TARGET field value) located on bus (BUS NUMBER field value). The target information in the TARGET field may be a target identifier (see 4.7.2) or it may be a mapped representation of a target identifier, when the range of possible target identifiers is too large to fit in the TARGET field.

NOTE 3 - The value of targets within the TARGET field are defined by individual standards. (e.g., SCSI Parallel Interface -2 standard defines targets to be in the range 0 to 7, 0 to 15, and 0 to 31).

#### 4.12.5 Peripheral device addressing method

All SCSI commands are allowed when the peripheral device address method is selected, however peripheral devices are only required to support mandatory SCSI commands. Devices are not required to relay commands, from the application client, to a lower layer. Any command that is not supported or relayed shall be terminated with a CHECK CONDITION status. The sense key shall be set to ILLEGAL REQUEST and the additional sense code shall be set to INVALID COMMAND OPERATION CODE.

If the peripheral device addressing method is selected the device shall relay the received command, if not filtered, to the addressed peripheral device.

NOTE 4 - A SCSI device may filter commands to prevent an application client from issuing (e.g., a write command to a specific peripheral device). A reason for doing this would be to prevent an application client from bypassing configuration requirements at an intermediate level of the hierarchy.

See table 8 for the definition of the ADDRESS METHOD SPECIFIC field used when the peripheral device addressing method is selected.

Bit Byte	7	6	5	4	3	2	1	0
n-1	0	0		BUS IDENTIFIE	R			
n				TARGET/LUN				

#### Table 8 — Peripheral device addressing

The BUS IDENTIFIER field identifies the bus or path that the SCSI device shall use to relay the received command. The BUS IDENTIFIER field may use the same value encoding as the BUS NUMBER field (see 4.12.4). However, bus identifier zero shall indicate that the command is to be relayed to a logical unit within the SCSI device at the current level.

The TARGET/LUN field indicates the address of the peripheral device to which the SCSI device shall relay the received command. The meaning and usage of the TARGET/LUN field depends on whether the BUS IDENTIFIER field contains zero.

A BUS IDENTIFIER field of zero indicates a logical unit at the current level. This representation of a logical unit may be used either when the SCSI device at the current level does not use hierarchical addressing for assigning LUNs to entities or when the SCSI device at the current level includes entities that need LUNs but are not attached to SCSI buses (e.g., fans, cache, controllers, etc.). When the BUS IDENTIFIER field contains zero, the command shall be relayed to the current level logical unit (TARGET/LUN field value) within or joined to the current level SCSI device.

A bus identifier field greater than zero represents physical SCSI interconnect that connects a group of SCSI devices to the current level SCSI device. Each physical interconnect shall be assigned a unique number from 1 to 63. These bus identifiers shall be used in the BUS IDENTIFIER field when assigning addresses to peripheral devices attached to the physical interconnects. When the BUS IDENTIFIER field is greater than zero, the command shall be relayed to the logical unit zero within target (TARGET/LUN field value) located physical interconnect (BUS IDENTIFIER field value). The target information in the TARGET/LUN field may be a target identifier (see 4.7.2) or it may be a mapped representation of a target identifier, when the range of possible target identifiers is too large to fit in the TARGET/LUN field.

NOTE 5 - The value of target identifiers within the TARGET/LUN field are defined by individual standards. (e.g., SCSI Parallel Interface -2 standard defines targets to be in the range 0 to 7, 0 to 15, and 0 to 31).

The SCSI device located within the current level shall be addressed by a BUS IDENTIFIER field and a TARGET/LUN field of all zeros, also known as LUN 0 (see 4.12.2).

## 4.13 The SCSI model for distributed communications

The SCSI model for communications between distributed objects is based on the technique of layering. In the layering technique, the initiator and target I/O systems are viewed as being logically composed of the ordered set of subsystems represented for convenience by the vertical sequence shown in figure 25.

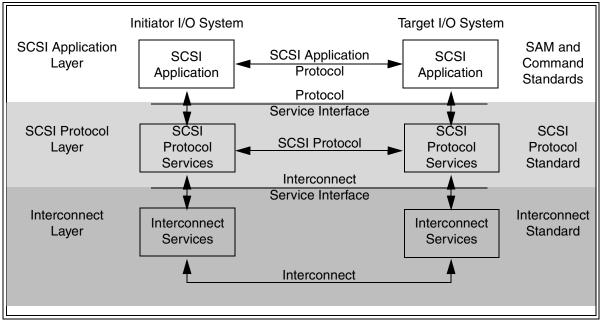


Figure 25 — Protocol service reference model

The layers comprising this model and the specifications defining the functionality of each layer are denoted by horizontal sequences. A layer consists of peer entities that communicate with one another by means of a protocol. Except for the physical interconnect layer, such communication is accomplished by invoking services provided by the adjacent lower layer. By convention, the layer from which a request for service originates is called the upper level protocol layer or ULP layer. The layer providing the service is referred to as the lower level protocol layer or LLP layer. The following layers are defined:

**SCSI application layer:** Contains the clients and servers that originate and process SCSI I/O operations by means of a SCSI application protocol.

SCSI protocol layer: Consists of the services and protocols through which clients and servers communicate; and

**Physical interconnect layer:** Comprised of the services, signaling mechanism and interconnect subsystem needed for the physical transfer of data from sender to receiver. In the SCSI model, the physical interconnect layer is known as the service deliver subsystem.

The set of protocol services implemented by the service delivery subsystem are intended to identify external behavioral requirements that apply to SCSI protocol specifications. While these protocol services may serve as a guide for designing reusable software or firmware that is adaptable to different SCSI protocols, there is no requirement for an implementation to provide the service interfaces specified in this standard.

Interactions between the ULP and LLP layers are defined with respect to the ULP layer and may originate in either layer. An outgoing interaction is modeled as a procedure call invoking an LLP service. An incoming interaction is modeled as a signal sent by the LLP layer that may be accompanied by parameters or data. Both types of interaction are described using the notation for procedures specified in 3.6.2. In this model, input arguments are defined relative to the layer receiving an interaction (i.e., an input is a parameter supplied to the receiving layer by the layer initiating the interaction).

The following types of service interactions between layers are defined:

**Protocol service request:** A request from the ULP layer invoking a service provided by the LLP layer.

**Protocol service indication:** A signal from the LLP layer informing the ULP layer that an asynchronous event has occurred (e.g., a reset or the receipt of a peer-to-peer protocol transaction).

**Protocol service response:** A call to the LLP layer invoked by the ULP layer in response to a protocol service indication. A protocol service response may be invoked to return a reply to the ULP peer.

**Protocol service confirmation:** A signal from the LLP layer notifying the ULP layer that a protocol service request has completed. A confirmation may communicate parameters that indicate the completion status of the protocol service request or any other status. A protocol service confirmation may be used to convey a response from the ULP peer.

The services provided by an LLP layer are either confirmed or unconfirmed. A ULP service request invoking a confirmed service always results in a confirmation from the LLP layer.

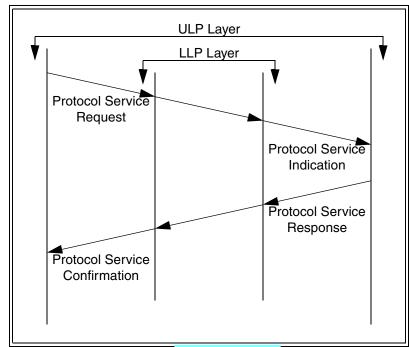


Figure 26 shows the relationships between the four protocol service types.

Figure 26 — Protocol service model

Figure 27 shows how protocol services may be used to process a client-server request-response transaction at the SCSI application layer.

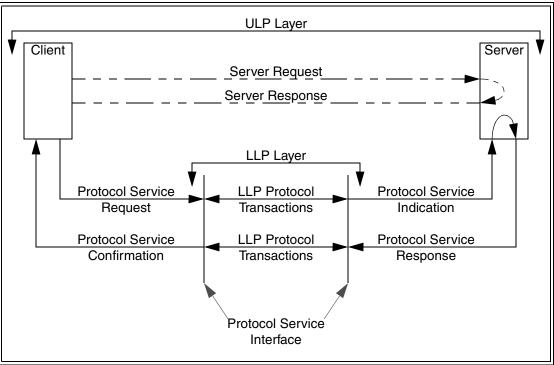


Figure 27 — Request-Response ULP transaction and related LLP services

The dashed lines in figure 27 show a SCSI application protocol transaction as it might appear to sending and receiving entities within the client and server. The solid lines in figure 27 show the corresponding protocol services and LLP transactions that are used to physically transport the data.

# **5 SCSI Command Model**

## 5.1 The Execute Command remote procedure

An application client invokes the following remote procedure to process a SCSI command:

## Service response =Execute Command (IN (I\_T\_L\_x Nexus, CDB, [Task Attribute], [Data-In Buffer Size], [Data-Out Buffer], [Data-Out Buffer Size], [Autosense Request], [Command Reference Number]), OUT ([Data-In Buffer], [Sense Data], Status))

## Input Arguments:

I_T_L_x Nexus:	Either an I_T_L nexus or an I_T_L_Q nexus (see 4.10).
CDB:	Command descriptor block (see 5.2).
Task Attribute:	A value specifying one of the task attributes defined in 7.6. This argument shall not be specified for an untagged command or the second and subsequent com-
	mands in a sequence of linked commands. (Untagged tasks shall implicitly have the SIMPLE attribute.) The attribute of a task that processes linked commands shall be set according to the Task Attribute argument specified for the first com- mand in the sequence.
Data-In Buffer Size:	The number of bytes available for data transfers to the Data-In Buffer (see 5.4.3).
Data-Out Buf er:	A buffer containing command specific information to be sent to the logical unit, such as data or parameter lists needed to service the command. This model permits the target to assume that the content of the data-but buffer does not change while the device server is processing the command.
<b>L</b>	Editors Note 3 - HOW: Why not just have the model assume instead of having the model let the target assume.
Data-Out Buffer Size:	
	having the model let the target assume. The number of bytes available for data transfers from the Data-Out Buffer (see

Output Arguments:

I

Data-In Buffer:	A buffer to contain command specific information returned by the logical unit on command completion. The application client shall not assume that the buffer contents are valid unless the command completes with a status of GOOD, INTERMEDIATE, or INTERMEDIATE-CONDITION MET. While some valid data may be present for other values of status, the application client should obtain additional information from the logical unit, such as sense data, to determine the state of the buffer contents.
Sense Data:	A buffer to contain sense data returned by means of the autosense mechanism

(see 5.8.4.3).Status: A one-byte field containing command completion status (see 5.3). If the command ends with a service response of SERVICE DELIVERY OR TARGET FAILURE, the

application client shall consider this parameter to be undefined.

Service Response assumes one of the following values:

TASK COMPLETE:	A logical unit response indicating that the task has ended. The status parameter shall have one of the values specified in 5.3 other than INTERMEDIATE or INTERMEDIATE-CONDITION MET.						
	Logical unit responses indicating that a linked command has completed successfully. As specified in 5.3, the status parameter shall have a value of INTERMEDIATE or INTERMEDIATE-CONDITION MET.						
	The command has been ended due to a service delivery failure or target device malfunction. All output parameters may be invalid.						

The actual protocol events corresponding to a response of TASK COMPLETE, LINKED COMMAND COMPLETE or SERVICE DELIVERY OR TARGET FAILURE shall be specified in each protocol standard.

An application client requests processing of a linked command by setting the LINK bit to one in the CDB CONTROL byte as specified in 5.2.3. The task attribute is determined by the Task Attribute argument specified for the first command in the sequence. Upon receiving a response of LINKED COMMAND COMPLETE, an application client may issue the next command in the series through an **Execute Command** remote procedure call having the same  $I_T_L_x$  nexus and omit the Task Attribute argument. If the application client issues the next command without waiting for one of the linked command complete responses, the overlapped command condition described in 5.8.2 may result.

# 5.2 Command Descriptor Block (CDB)

## 5.2.1 CDB Format

The CDB defines the operation to be performed by the device server. For some commands, the CDB is accompanied by a list of command parameters contained in the Data-Out buffer defined in clause 5. The parameters required for each command are specified in the applicable SCSI command standards.

Validation of reserved fields in a CDB is a logical unit option. If a logical unit validates reserved CDB fields and receives a reserved field within the CDB that is not zero or receives a reserved CDB code value, the logical unit shall terminate the command with CHECK CONDITION status; the sense key shall be set to ILLEGAL REQUEST with an additional sense code of INVALID FIELD IN CDB (see the SPC-2 standard). It shall also be acceptable for a logical unit to interpret a field or code value in accordance with a future revision to a SCSI standard.

For all commands, if the logical unit detects an invalid parameter in the CDB, then the logical unit shall complete the command without altering the medium.

All command descriptor blocks shall have an OPERATION CODE as the first byte. All command descriptor blocks (except the CDB for operation code 7Fh) shall have a CONTROL byte as the last byte. The format for the command descriptor blocks with operation code 7Fh is defined in SPC-2.

The general format for all command descriptor blocks except the CDB for operation code 7Fh is shown in table 9 The remaining parameters depend on the command to be processed. All SCSI protocol specifications shall accept command descriptor blocks less than or equal to 16 bytes in length. Command descriptor blocks using the format shown in table 9 shall not exceed sixteen bytes in length.

Bit Byte	7	6	5	4	3	2	1	0	
0	OPERATION CODE								
1									
n-1	Command specific parameters								
n	CONTROL								

#### 5.2.2 OPERATION CODE byte

The first byte of a SCSI CDB shall contain an operation code. The OPERATION CODE (see table 10) of the CDB has a GROUP CODE field and a COMMAND CODE field. The three-bit GROUP CODE field provides for eight groups of command codes. The five-bit COMMAND CODE field provides for thirty-two command codes in each group. A total of 256 possible operation codes exist. Operation codes are defined in the SCSI command standards. The group code for CDBs specified therein shall correspond to the length of the command descriptor as set forth in table 11.

#### Table 10 — OPERATION CODE byte

Bit	7	6	5	4	3	2	1	0	
	GROUP CODE			COMMAND CODE					

The value in the GROUP CODE field specifies one of the groups shown in table 11.

	Group Code	Meaning					
	0	6 byte commands					
	1	10 byte commands					
	2	10 byte commands					
	3	reserved <sup>a</sup>					
	4	16 byte commands					
	5	12 byte commands					
	6	vendor specific					
	7	vendor specific					
a	<ul> <li>The format commands using the group code 3 and operation code 7Fh is described in SPC-2. With the exception of operation code 7Fh, all group code 3 operation codes are reserved.</li> </ul>						

Table 11 — Group Code values

#### 5.2.3 CONTROL byte

The CONTROL byte is the last byte of every CDB. The CONTROL byte is defined in table 12.

#### Table 12 — CONTROL byte

Bit	7	6	5	4	3	2	1	0
	Vendor	specific		Reserved		NACA	Obsolete	LINK

All SCSI protocol specifications and protocol implementations shall provide the functionality needed for a logical unit to implement the NACA bit and LINK t as described herein.

The NACA (Normal ACA) bit is used to control the rules for handling an ACA condition caused by the command. The actions to be taken by a logical unit in response to an auto contingent allegiance condition for NACA bit values of one or zero are specified in 5.8.1.1. All logical units shall implement support for the NACA value of zero and may support the NACA value of one. The ability to support a NACA value of one is indicated in standard INQUIRY data (see the SPC-2 standard).

If the NACA bit is set to a value that is not supported, the logical unit shall complete the command with a status of CHECK CONDITION and a sense key of ILLEGAL REQUEST. The rules for handling the resulting auto contingent allegiance condition shall be in accordance with the supported bit value.

The LINK bit is used to continue the task across multiple commands. Support for the LINK bit is a logical unit option. A LINK bit of one indicates that the initiator requests continuation of the task across two or more SCSI commands. If the LINK bit is one and the command completes successfully, a logical unit that supports the LINK bit shall continue the task and return a status of INTERMEDIATE or INTERMEDIATE-CONDITION MET and a service response of LINKED COMMAND COMPLETE (see 5.3). The logical unit shall complete the command with a status of CHECK CONDITION and a sense key of ILLEGAL REQUEST if the LINK bit is set to one and the logical unit does not support linked commands.

Bit 1 provides an obsolete way to request interrupts between linked commands. If bit 1 is equal to one, device servers not implementing the obsolete capability shall terminate the command with OHEOK CONDITION status and the sense key shall be set to ILLEGAL REQUEST.

# 5.3 Status

#### 5.3.1 Status codes

The status codes are specified in table 13. Status shall be sent from the logical unit to the application client whenever a command ends with a service response of TASK COMPLETE or LINKED COMMAND COMPLETE. The receipt of any status, except INTERMEDIATE or INTERMEDIATE-CONDITION MET, shall indicate that the associated task has ended.

·	
Status Code	Status
00h	GOOD
02h	CHECK CONDITION
04h	CONDITION MET
08h	BUSY
10h	INTERMEDIATE
14h	INTERMEDIATE-CONDITION MET
18h	RESERVATION CONFLICT
22h	Obsolete
28h	TASK SET FULL
30h	ACA ACTIVE
40h	TASK ABORTED
All other codes	Reserved

Definitions for each status code are given below.

**GOOD.** This status indicates that the Device Server has successfully completed the task.

**CHECK CONDITION.** This status indicates that an auto contingent allegiance or contingent allegiance condition has occurred (see 5.8.1). <del>Optionally,</del> autosense data may be delivered (see 5.8.4.3).

**CONDITION MET.** This status shall be returned whenever the requested operation specified by an unlinked command is satisfied (see the PRE-FETCH commands in the SBC standard).

**BUSY.** This status indicates that the logical unit is busy. This status shall be returned whenever a logical unit is unable to accept a command from an otherwise acceptable initiator (i.e., no reservation conflicts). The recommended initiator recovery action is to issue the command again at a later time.

**INTERMEDIATE.** This status or INTERMEDIATE-CONDITION MET shall be returned for each successfully completed command in a series of linked commands (except the last command), unless the command is terminated with CHECK CONDITION, RESERVATION CONFLICT, TASK SET FULL, BUSY status. If INTERMEDIATE or INTERMEDIATE-CONDITION MET status is not returned, the series of linked commands is terminated and the task is ended.

**INTERMEDIATE-CONDITION MET.** This status is returned whenever the operation requested by a linked command is satisfied (see the PRE-FETCH commands in the SBC standard), unless the command is terminated with CHECK CONDITION, RESERVATION CONFLICT, TASK SET FULL, BUSY status. If INTERMEDIATE or INTERMEDIATE-CONDITION MET status is not returned, the series of linked commands is terminated and the task is ended.

**RESERVATION CONFLICT.** This status shall be returned whenever an initiator attempts to access a logical unit or an element of a logical unit that is reserved with a conflicting reservation type for another SCSI initiator. (See the RESERVE, RELEASE, PERSISTENT RESERVE OUT and PERSISTENT RESERVE IN commands in the SPC-2 standard). The recommended initiator recovery action is to issue the command again at a later time. Removing a persistent reservation belonging to a failing initiator may require the processing of a PERSISTENT RESERVE OUT command with the Preempt or Preempt and Clear actions (see the SPC-2 standard).

**TASK SET FULL.** This status shall be implemented if the logical unit supports the creation of tagged tasks (see 4.9). This status shall not be implemented if the logical unit does not support the creation of tagged tasks.

When the logical unit has at least one task in the task set for an initiator and a lack of task set resources prevents accepting a newly received tagged task from that initiator in the task set, TASK SET FULL shall be returned. When the logical unit has no task in the task set for an initiator and a lack of task set resources prevents accepting a newly received tagged task from that initiator in the task set, BUSY should be returned.

When the logical unit has at least one task in the task set and a lack of task set resources prevents accepting a newly received untagged task in the task set, BUSY should be returned.

The logical unit should allow at least one queued command for each supported initiator that has identified itself to the target by a protocol specific procedure or by the successful transmission of a command.

**ACA ACTIVE.** This status shall be returned when an auto contingent allegiance exists within a task set and an initiator issues a command for that task set when at least one of the following is true:

- a) There is a task with the ACA attribute in the task set;
- b) The initiator issuing the command did not cause the ACA condition;
- c) The task created to process the command did not have the ACA attribute and the NACA bit was set to one in the CDB CONTROL byte of the faulting command (see 5.8.1).

The initiator may reissue the command after the ACA condition has been cleared.

**TASK ABORTED.** This status shall be returned when a task is aborted by another initiator and the Control mode page TAS bit is one (see 5.6.3).

#### 5.3.2 Status precedence

If more than one condition applies to a completed task, the report of a BUSY, RESERVATION CONFLICT, ACA ACTIVE or TASK SET FULL status shall take precedence over the return of any other status for that task.

# 5.4 Protocol Services in Support of Execute Command

### 5.4.1 Overview

The protocol services that support the **Execute Command** remote procedure call are described in 5.4. Two groups of protocol services are described. The protocol services that support the request and confirmation for the **Execute Command** remote procedure call are described in 5.4.2. The protocol services that support the data transfers associated with processing a SCSI command are described in 5.4.3.

### 5.4.2 Execute Command Request/Confirmation Protocol Services

All SCSI protocol specifications shall define the protocol specific requirements for implementing the Sond SCSI Command Protocol service request and the Command Complete Received confirmation and Send Command Complete response by a SCSI protocol standard is optional. All SCSI I/O systems shall implement these protocols as defined in the applicable protocol specification.

Unless stated otherwise, argument definitions and the circumstances under which a conditional argument must be present are the same as in clause 5.

### Protocol Service Request:

Send SCSI Command (IN (I\_T\_L\_x Nexus, CDB, [Task Attribute], [Data-In Buffer Size], [Data-Out Buffer], [Data-Out Buffer Size], [Autosense Request], [Command Reference Number] ))

**Protocol Service Indication:** 

### SCSI Command Received (IN (I\_T\_L\_x Nexus, [Task Attribute], CDB, [Autosense Request], [Command Reference Number] ))

Autosense Request: This parameter is only present if the Autosense Request parameter was specified in the Send SCSI Command call and autosense delivery is supported by the SCSI protocol and logical unit.

Protocol Service Response (from device server):

## Send Command Complete (IN (I\_T\_L\_x Nexus, [Sense Data], Status, Service Response ))

The **Sense Data** argument, if present, instructs the target's service delivery port to return sense information to the initiator automatically (see 5.8.4.3).

#### Protocol Service Confirmation:

Command Complete Received (IN (I\_T\_L\_x Nexus, [Data-In Buffer], [Sense Data], Status, Service Response ))

## 5.4.3 Data Transfer Protocol Services

### 5.4.3.1 Introduction

The data transfer services described in 5.4.3 are provided to complete the functional model of target protocol services that support the **Execute Command** remote procedure call. All SCSI protocol standards shall define the protocols required to implement these services.

The application client's data-in buffer and/or data-out buffer each appears to the device server as a single, logically contiguous block of memory large enough to hold all the data required by the command (see figure 28). The model allows either unidirectional or bidirectional data transfer. That is, the processing of a SCSI command may require the transfer of data from the application client using the data-out buffer, or to the application client using both the data-in buffer and the data-out buffer.

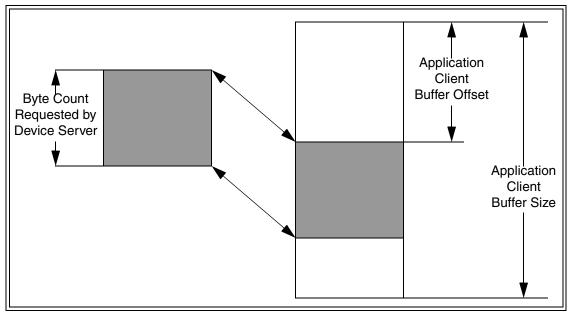


Figure 28 — Model for data-in and data-out data transfers

It is assumed that the buffering resources available to the logical unit are limited and may te much less than the amount of data that is capable of being transferred in one SCSI command. In this case, such data must be moved between the application client and the media in segments that are smaller man me mansfer size specified in the SCSI command. The amount of data moved per request is usually a function of the buffering resources available to the logical unit. Figure 28 shows the model for such incremental data transfers.

The movement of data between the application client and device server is controlled by the following parameters:

- Application Client The total number of bytes in the application client's buffer (data-in or data-out). Buffer Size:
- Application Client Offset in bytes from the beginning of the application client's buffer (data-in or **Buffer Offset:** data-out) to the first byte of transferred data.
- Byte Count Requested Number of bytes to be moved by the data transfer request. by Device Server:

For any specific data transfer protocol service request, the **Byte Count Requested by Device Server** is less than or equal to the **Application Client Buffer Size** minus the **Application Client Buffer Offset**.

If a SCSI protocol supports random buffer access, as described below, he offset and byte count specified for each data segment to be transferred may overlap. In this case the total number of bytes moved for a command is not a reliable indicator of transfer extent and shall not be used by an initiator or target implementation to determine whether all data has been transferred.

All SCSI protocol specifications and initiator implementations shall support a resolution of one byte for the above parameters. A target device may support an convenient resolution.

Random buffer access occurs when the device server requests data transfers to or from segments of the application client's buffer that have an arbitrary offset and extent. Buffer access is sequential when successive transfers access a series of monotonically increasing, adjoining buffer segments. Support for random buffer access by a SCSI protocol specification is optional. A device server implementation designed for any protocol implementation should be prepared to use sequential buffer access when necessary.

The following clauses specify the LLP confirmed services used by the device server to request the transfer of command data to or from the application client. The initiator protocol service interactions are unspecified.

#### 5.4.3.2 Data-In Delivery Service

**Request:** 

#### Send Data-In (IN (I\_T\_L\_x Nexus, Device Server Buffer, Application Client Buffer Offset, Request Byte Count ))

Argument descriptions:

I_T_L_x Nexus:	either an I_T_L nexus or an I_T_L_Q nexus (see 4.10).
Device Server Buffer:	Buffer from which data is to be transferred.
	Offset in bytes from the beginning of the application client's buffer to the first byte of transferred data.
Request Byte Count:	Number of bytes to be moved by this request.

## **Confirmation:**

#### Data-In Delivered (IN (I\_T\_L\_x Nexus ))

This confirmation notifies the device server that the specified data was successfully delivered to the application client buffer.

#### 5.4.3.3 Data-Out Delivery service

#### Request:

Receive Data-Out (IN (I\_T\_L\_x Nexus, Application Client Buffer Offset, Request Byte Count, Device Server Buffer ))

Argument Descriptions: See 5.4.3.2.

**Confirmation:** 

Data-Out Received (IN (I\_T\_L\_x Nexus ))

This confirmation notifies the device server that the requested data has been successfully delivered to its buffer.

# 5.5 Task and command lifetimes

This subclause specifies the events delimiting the beginning and end of a task or pending SCSI command from the viewpoint of the device server and application clier NP he device server shall create a task upon receiving a SCSI Command Received indication unless the commanu represents a continuation of a linked command as described in clause 5.

The task shall exist until:

- a) The device server sends a protocol service response for the task of TASK COMPLETE; or
- b) The task is aborted as described in 5.6.

A SCSI command is pending when the associated SCSI Command Received indication is passed to the device server. The command ends on the occurrence of one of the conditions described above or when the device server sends a service response for the task of LINKED COMMAND COMPLETE.

The application client assumes that the task exists from the time the **Send SCSI Command** protocol service request is invoked until it receives one of the following target responses:

- a) A service response of TASK COMPLETE for that task;
- b) Notification of a unit attention condition with one of the following additional sense codes:
  - a) COMMANDS CLEARED BY ANOTHER INITIATOR (if in reference to the task set containing the task);
  - b) any additional sense code whose ADDITIONAL SENSE CODE field contains 29h (e.g., POWER ON, RESET, OR BUS DEVICE RESET OCCURRED; POWER ON OCCURRED; SCSI BUS RESET OCCURRED; BUS DEVICE RESET FUNCTION OCCURRED; DEVICE INTERNAL RESET; TRANS-CEIVER MODE CHANGED TO SINGLE-ENDED; or TRANSCEIVER MODE CHANGED TO LVD);
- c) A service response of SERVICE DELIVERY OR TARGET FAILURE for the command. In this case, system implementations shall guarantee that the task associated with the failed command has ended;
- d) A service response of FUNCTION COMPLETE following an ABORT TASK task management request directed to the specified task;
- e) A service response of FUNCTION COMPLETE following an ABORT TASK SET or a CLEAR TASK SET task management function directed to the task set containing the specified task; or
- f) A service response of FUNCTION COMPLETE in response to a LOGICAL UNIT RESET or TARGET RESET.

The application client assumes the command is pending from the time it calls the **Send SCSI Command** protocol service until one of the above responses or a service response of linked command complete is received.

As discussed in 4.6.1, when a SCSI protocol does not require state synchronization, normally there is a time skew between the completion of a device server request-response transaction as seen by the application client and device server. As a result, the lifetime of a task or command as it appears to the application client normally is different from the lifetime observed by the device server.

# 5.6 Aborting tasks

### 5.6.1 What causes a task to be aborted

A task is aborted when an event or initiator action causes termination of the task prior to its normal successful completion.

The following events cause a task or several tasks to be aborted:

- a) The return of an **Execute Command** service response of SERVICE DELIVERY OR TARGET FAILURE as described in clause 5;
- b) A power on condition; or
- c) Protocol specific events.

The action of an initiator may abort task(s) created by the initiator itself or task(s) created by another initiator or both its own tasks and other initiator(s) task(s).

The following initiator actions affect only the task(s) created by the initiator that takes the action:

- a) Completion of an ABORT TASK task management function directed to the specified task;
- b) Completion of an ABORT TASK SET task management function under the conditions specified in 6.3;
- c) An ACA or CA condition was cleared and the QERR field was set to 11b in the Control mode page (see

d) An ACA condition was cleared and the task had the ACA attribute.

The following initiator actions affect the task(s) created by the initiator that takes the action and/or task(s) created by other initiators:

- a) Completion of a CLEAR TASK SET task management function referencing the task set containing the specified task;
- b) An ACA or CA condition was cleared and the QERR field was set to 01b in the Control mode page (see SPC-2);
- c) Completion of a PERSISTENT RESERVE OUT command with a PREEMPT AND ABORT service action directed to the initiator that created the task;
- d) A logical unit reset (see 5.8.7); or
- e) A hard reset (see 5.8.6).

## 5.6.2 When an initiator aborts its own tasks

When an initiator acts to cause its own task(s) to be aborted, no notification that the task(s) have been aborted shall be returned to the initiator other than the completion response for the command or task management function action that caused the task(s) to be aborted and notification(s) associated with related effects of the action (e.g., a target reset unit attention condition).

## 5.6.3 When an initiator aborts another initiator's tasks

When an initiator acts to cause the task(s) of another initiator to be aborted, the other initiator shall be notified that the task(s) have been aborted. The method of notifying the other initiator shall depend on the setting of the TAS bit in the Control mode page that applies to the other initiator.

If the TAS bit is zero, the method of notification shall be a unit attention condition. The additional sense code set for the unit attention condition depends on the action that caused the task(s) to be aborted.

If the TAS bit is one, the method of notification shall be the termination of each aborted task with a TASK ABORTED status. When the TAS bit is one, the COMMANDS CLEARED BY ANOTHER INITIATOR unit attention condition shall not be established, however, the establishment of any other applicable unit attention condition shall not be affected.

When a device server is completing one or more tasks from an initiator with the TASK ABORTED status it should complete all of those tasks before new tasks from that initiator are entered into the task set.

# 5.7 Command processing examples

## 5.7.1 Unlinked command example

An unlinked command is used to show the events associated with the processing of a single device service request (see figure 29). This example does not include error or exception conditions.

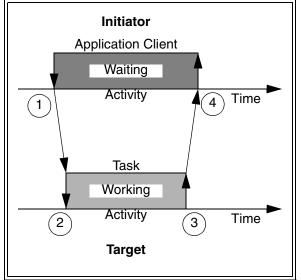


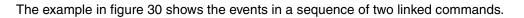
Figure 29 — Command processing events

The numbers in figure 29 identify the events described below.

- T is application client performs an Execute Command remote procedure call by invoking the Send SCSI Command protocol service to send the CDB and other input parameters to the logical unit.
- 2. The device server is notified through a SCSI **Command Received** indication containing the CDB and command parameters. A task is created and entered into the task set. The device server may invoke the appropriate data delivery service one or more times to complete command processing.
- 3. The task ends upon completion of the command. On command completion, the **Send Command Complete** p otocol service is invoked to return a status of GOOD and a service response of TASK COMPLETE.
- 4. A confirmation of **Command Complete Received** is passed to the ULP by the initiator's service delivery subsystem.

## 5.7.2 Linked command example

A task may consist of multiple commands linked together. After the logical unit notifies the application client that a linked command has successfully completed, the application client issues the next command in the series.



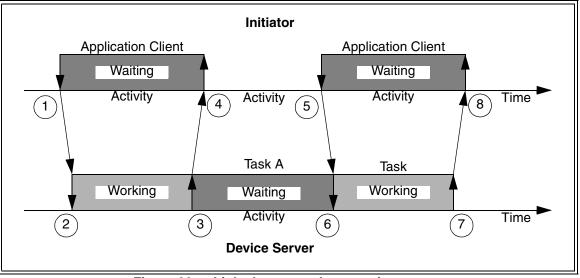


Figure 30 — Linked command processing events

The numbers in figure 30 Identify the events described below.

- 1. The application client performs an **Execute Command** remote procedure call by invoking the **Send SCSI Command** protocol service to send the CDB and other input parameters to the logical unit. The LINK bit is set to one in the CDB CONTROL byte (see 5.2.3).
- 2. The target's service delivery port issues **SCSI Command Received** to the device server. The device server creates a task (Task A) and enters it into the task set.
- 3. Upon completion of the first command, the device server invokes the **Send Command Complete** protocol service with the Status argument set to INTERMEDIATE or INTERMEDIATE-CONDITION MET and a Service Response of LINKED COMMAND COMPLETE. Task A is not terminated.
- 4. The initiator's service delivery port returns the status and service response to the ULP by means of a **Command Complete Received** confirmation.
- The application client performs an Execute Command remote procedure call by means of the Send SCSI Command protocol service as described in step 1. The Task Attribute argument is omitted. The LINK bit in the CDB CONTROL byte is clear.
- 6. The device server receives the last command in the sequence and processes the operation.
- 7. The command completes successfully. Task A is terminated. A **Send Command Complete** protocol service response of TASK COMPLETE, with status GOOD, is sent to the application client.
- 8. The LLP delivers an **Command Complete Received** confirmation to the application client that contains the service response and status.

# 5.8 Command processing considerations and exception conditions

### 5.8.1 Auto Contingent Allegiance or Contingent Allegiance

The auto contingent allegiance (NACA equals one, see 5.2.3) or contingent allegiance (NACA equals 0) condition shall exist within the task set when the logical unit completes a command by returning a CHECK CONDITION status (see 5.3).

#### 5.8.1.1 Logical Unit response to Auto Contingent Allegiance or Contingent Allegiance

The auto contingent allegiance (NACA equals one, see 5.2.3) or contingent allegiance (NACA equals zero) condition shall not cross task set boundaries and shall be preserved until it is cleared as described in 5.8.1.2. If requested by the application client and supported by the protocol and logical unit, sense data shall be returned as described in 5.8.4.3.

Notes:

- 6 The SCSI-2 contingent allegiance condition has had an alternate added and the extended contingent allegiance condition has been replaced in SCSI by auto contingent allegiance in conjunction with the NACA bit.
- 7 If the SCSI protocol does not enforce state synchronization as described in 4.6.1, there may be a time delay between the occurrence of the auto contingent allegiance or contingent allegiance condition and the time at which the initiator becomes aware of the condition.

After sending status and a service response of TASK COMPLETE, the logical unit shall modify the state of all tasks in the faulted task set as described in clause 7. A task created by the faulted initiator while the auto contingent allegiance condition is in effect may be entered into the faulted task set under the conditions described below.

As described in 5.8.1.2, the setting of the NACA bit in the CONTROL byte of the faulting command CDB determines the rules that apply to an ACA or CA condition caused by that command.

If the NACA bit was set to zero the SCSI-2 contingent allegiance rules shall apply.

If the NACA bit was set to one in the CONTROL byte of the faulting command, then a new task created by the faulted initiator while the ACA condition is in effect shall not be entered into the faulted task set unless all of the following conditions are true:

- a) The task has the ACA attribute; and
- b) No other task from the faulted initiator having the ACA attribute is in the task set.

If the task is from the faulted initiator and any of the conditions listed above are not met, the newly created task shall not be entered into the task set and shall be completed with a status of ACA ACTIVE.

If a task having the ACA attribute is received and no auto contingent allegiance condition is in effect for the task set or if the NACA bit was set to zero in the CDB for the faulting command (i.e., a contingent allegiance condition is in effect), then the ACA task shall be completed with a status of CHECK CONDITION. The sense key shall be set to ILLEGAL REQUEST with an additional sense code of INVALID MESSAGE ERROR. As noted in 5.8.1.2, any existing contingent allegiance condition shall be cleared and a new auto contingent allegiance (NACA equals one) or contingent allegiance (NACA equals zero) condition shall be established.

The handling of tasks created by initiators other than the faulted initiator depends on the value in the TST field in the Control mode page (see SPC-2).

If the TST field contains 000b, tasks created by other initiators while the ACA or CA condition is in effect shall not be entered into the faulted task set (except for a PERSISTENT RESERVE command with a Preempt and Clear action as described in 5.8.1.2). Tasks rejected from the task set due to the presence of an ACA or CA condition shall be completed with a status of ACA ACTIVE (if NACA equals one in the new command's CDB CONTROL byte, see 5.2.3) or BUSY (if NACA equals zero).

If the TST field contains 001b, tasks created by one initiator shall not be rejected based on an ACA or CA condition in effect for another initiator. Only ACA or CA condition for the sending initiator (as well as other task set management considerations described in clause 7) shall affect acceptance into the task set or rejection for a task from that initiator.

## 5.8.1.2 Clearing an Auto Contingent Allegiance condition

If the NACA bit is set to zero in the CONTROL byte of the faulting command, then the SCSI-2 rules for clearing contingent allegiance shall apply. In addition, the logical unit shall clear the associated contingent allegiance condition upon sending sense data by means of the autosense mechanism described in 5.8.4.3.

While the SCSI-2 rules for clearing the CA condition are in effect, a logical unit that supports the CLEAR ACA task management function shall ignore all CLEAR ACA requests and shall return a service response of FUNCTION COMPLETE (see 6.4).

If the logical unit accepts a value of one for the NACA bit and this bit was set to one in the CONTROL byte of the faulting command, then the SCSI-2 rules for clearing a contingent allegiance condition shall not apply. In this case, the ACA condition shall only be cleared:

- a) As the result of a power on or a logical unit reset (see 5.8.7);
- b) Through a CLEAR ACA task management function issued by the faulting initiator as described in 6.4;
- c) Through a Preempt and Clear action of a PERSISTENT RESERVE OUT command that clears the tasks of the faulting initiator (see the SPC-2 standard); or
- d) A command with the ACA attribute terminates with a CHECK CONDITION status.

The state of all tasks in the task set when an auto contingent allegiance condition is cleared shall be modified as described in clause 7.

#### 5.8.2 Overlapped commands

An overlapped command occurs when a task manager detects a duplicate I\_T\_L\_x nexus (see 4.9.1) in a command before a previous task to which that address was assigned completes its task lifetime as described in 5.5. Each SCSI protocol standard shall specify whether or not a task manager is required to detect overlapped commands.

A task manager that detects an overlapped command shall abort all tasks for the **initiator** in the task set and the device server shall return CHECK CONDITION status for that command. If the overlapped command condition was caused by an untagged task or a tagged task with a tag value exceeding FFh, then the sense key shall be set to ABORTED COMMAND and the additional sense code shall be set to OVERLAPPED COMMANDS ATTEMPTED. Otherwise, an additional sense code of TAGGED OVERLAPPED TASKS shall be returned with the ADDITIONAL SENSE CODE QUALIFIER field set to the value of the duplicate tag.

Notes:

8 An overlapped command may be indicative of a serious error and, if not detected, could result in corrupted data. This is considered a catastrophic failure on the part of the initiator. Therefore, vendor specific error recovery procedures may be required to guarantee the data integrity on the medium. The target logical unit may return additional sense data to aid in this error recovery procedure (e.g., sequential-access devices may return the residue of blocks remaining to be written or read at the time the second command was received).

9 Some logical units may not detect an overlapped command until after the CDB has been received.

#### 5.8.3 Incorrect Logical Unit selection

The target's response to an incorrect logical unit number is described in the following paragraphs.

The logical unit number may be incorrect because:

a) The target does not support the logical unit (e.g., some targets support only one peripheral device).

In response to any other command except REQUEST SENSE and INQUIRY, the target shall terminate the command with CHECK CONDITION status. Sense data shall be set to the values specified for the REQUEST SENSE command in item b below;

b) The target supports the logical unit, but the peripheral device is not currently attached to the target.

In response to an INQUIRY command the target shall return the INQUIRY data with the peripheral qualifier set to the value required in the SPC-2 standard. In response to a REQUEST SENSE command, the target shall return sense data. The sense key shall be set to ILLEGAL REQUEST and the additional sense code shall be set to LOGICAL UNIT NOT SUPPORTED.

In response to any other command except REQUEST SENSE and INQUIRY, the target shall terminate the command with CHECK CONDITION status. Sense data shall be set to the values specified for the REQUEST SENSE command above;

c) The target supports the logical unit and the peripheral device is attached, but not operational.

In response to an INQUIRY command the target shall return the INQUIRY data with the peripheral qualifier set to the value required in the SPC-2 standard. In response to REQUEST SENSE, the target shall return sense data.

The target's response to any command other than INQUIRY and REQUEST SENSE is vendor specific; or

d) The target supports the logical unit but is incapable of determining if the peripheral device is attached or is not operational when it is not ready.

In response to an INQUIRY command the target shall return the INQUIRY data with the peripheral qualifier set to the value specified in the SPC-2 standard. In response to a REQUEST SENSE command the target shall return the REQUEST SENSE data with a sense key of NO SENSE unless an auto contingent allegiance exists.

The target's response to any other command is vendor specific.

#### 5.8.4 Sense data

#### 5.8.4.1 Sense data introduction

Sense data shall be made available by the logical unit in the event a command completes with a CHECK CONDITION status or other conditions. The format, content and conditions under which sense data shall be prepared by the logical unit are specified in this standard, the SPC-2 standard, the applicable device command standard and applicable SCSI protocol standard.

Sense data shall be preserved by the logical unit for the initiator until it is transferred by one of the methods listed below or until another task from that initiator is entered into the task set.

The sense data may be transferred to the initiator through any of the following methods:

- a) The REQUEST SENSE command specified in the SPC-2 standard;
- b) An asynchronous event report; or
- c) Autosense delivery.

#### The following clauses describe the last two transfer methods.

### 5.8.4.2 Asynchronous Event Reporting

Asynchronous Event Reporting is used by a logical unit to signal another device that an asynchronous event has occurred. The mechanism automatically returns sense data associated with the event. Each SCSI protocol specification shall describe a mechanism for Asynchronous Event Reporting. (In this subclause, references to Asynchronous Event Reporting assume that the device to be notified has enabled asynchronous event reports from the target.) Support for asynchronous event reporting is a logical unit option.

NOTE 10 - A SCSI device that is capable of producing asynchronous event reports at initialization time should provide means to defeat these reports. This may be done with a switch or jumper wire. Devices that implement saved parameters may alternatively save the asynchronous event reporting permissions either on a per SCSI device basis or as a system wide option.

Parameters managing the use of asynchronous event reporting are contained in the control mode page (see the SPC-2 standard).

Asynchronous Event Reporting is used to signal a device that one of the four events listed below has occurred:

- a) an error condition was encountered after command completion;
- b) a newly initialized device is available;
- c) some other type of unit attention condition has occurred; or
- d) an asynchronous event has occurred.

An example of the first case above occurs in a device that implements a write cache. If the target is unable to write cached data to the medium, it may use an asynchronous event report to inform the initiator of the failure.

An example of the second case above is a logical unit that generates an asynchronous event report, following a power-on cycle, to notify other SCSI devices that it is ready to accept I/O commands.

An example of the third case above occurs in a device that supports removable media. Asynchronous event reporting may be used to inform an initiator of a not-ready-to-ready transition (medium changed) or of an operator initiated event (e.g., activating a write protect switch or activating a start or stop switch).

An example of the fourth case above is a sequential-access device performing a REWIND command with the IMMEDIATE bit set to one. An asynchronous event report may be used to inform an initiator that the beginning of medium has been reached. Completion of a CD-ROM AUDIO PLAY command started in the immediate mode is another example of this case.

Sense data accompanying the report identifies the condition (see 5.8.4.1).

An error condition or unit attention condition shall be reported to a specific initiator once per occurrence of the event causing it. The logical unit may choose to use an asynchronous event report or to return CHECK CONDITION status on a subsequent command, but not both. Notification of an error condition encountered after command completion shall be returned only to the initiator that sent the affected task or tasks.

Asynchronous event reports may be used to notify devices that a system resource has become available. If a logical unit uses this method of reporting, the sense key in the AER sense data shall be set to UNIT ATTENTION.

#### 5.8.4.3 Autosense

Autosense is the automatic return of sense data to the application client coincident with the completion of a SCSI command under the conditions described below. The return of sense data in this way is equivalent to an explicit command from the application client requesting sense data immediately after being notified that an ACA condition has occurred. Inclusion of autosense support in a SCSI protocol standard is optional.

As specified in clause 5, the application client may request autosense service for any SOSI command. If supported by the protocol and logical unit and requested by the application client, the device server shall only return sense data in this manner coincident with the completion of a command with a status of CHECK CONDITION. After autosense data is sent, the sense data and the CA (NACA equals zero), if any, shall then be cleared. Autosense shall not affect ACA (NACA equals one), see 5.8.1.

Protocol standards that support autosense shall require an autosense implementation to:

- a) Notify the logical unit when autosense data has been requested for a command; and
- b) Inform the application client when autosense data has been returned upon command completion (see clause 5).

It is not an error for the application client to request the automatic return of sense data when autosense is not supported by the SCSI protocol or logical unit implementation. If the application client requested the return of sense data through the autosense facility and the protocol service layer does not support this feature, then the confirmation returned by the initiator's service delivery port should indicate that no sense data was returned. If the protocol service layer supports autosense but the logical unit does not, then the target should indicate that no sense data was returned. In either case, sense information shall be preserved and the application client may issue a command to retrieve it.

#### 5.8.5 Unit Attention condition

Each logical unit shall generate a unit attention condition whenever the logical unit has been reset as described in 5.8.7 or by a power-on reset. In addition, a logical unit shall generate a unit attention condition for each initiator whenever one of the following events occurs:

- a) A removable medium may have been changed;
- b) The mode parameters in effect for this initiator have been changed by another initiator;
- c) The version or level of microcode has been changed;
- d) Tasks for this initiator were cleared by another initiator;
- e) INQUIRY data has been changed;
- f) The logical unit inventory has been changed;
- g) The mode parameters in effect for the initiator have been restored from non-volatile memory;
- h) A change in the condition of a synchronized spindle; or
- i) Any other event requiring the attention of the initiator.

Logical units may queue unit attention conditions. After the first unit attention condition is cleared, another unit attention condition may exist (e.g., a power on condition followed by a microcode change condition).

A unit attention condition shall persist on the logical unit for each initiator until that initiator clears the condition as described in the following paragraphs.

If an INQUIRY or a REPORT LUNS command is received from an initiator to a logical unit with a pending unit attention condition (before the logical unit generates the auto contingent allegiance or contingent allegiance condition), the logical unit shall perform the command and shall not process the unit attention condition. If the unit attention condition was established in response to a change in the logical unit inventory, the unit attention condition shall be cleared for all logical units for the initiator that sent the REPORT LUNS command. In all other cases, the INQUIRY or REPORT LUNS command shall not clear the unit attention condition.

If a request for sense data is received from an initiator with a pending unit attention condition (b) fore the logical unit establishes the auto contingent allegiance or contingent allegiance condition), then the logical unit shall either:

- a) Report any pending sense data and preserve the unit attention condition on the logical unit; or,
- b) Report the unit attention condition.

If the second option is chosen (reporting the unit attention condition), the logical unit may discard any pending sense data and may clear the unit attention condition for that initiator.

If the logical unit has already generated the auto contingent allegiance or contingent allegiance condition for the unit attention condition, the logical unit shall perform the second action listed above. If NACA for the REQUEST SENSE command is zero and the command is untagged the contingent allegiance condition shall be cleared.

If an initiator issues a command other than INQUIRY, REPORT LUNS, or REQUEST SENSE while a unit attention condition exists for that initiator (prior to generating the auto contingent allegiance or contingent allegiance condition for the unit attention condition), the logical unit shall not perform the command and shall report ACA ACTIVE (NACA equals one, see 5.2.3) or BUSY (NACA equals zero) status.

If a logical unit successfully sends an asynchronous event report informing the initiator of the unit attention condition, then the logical unit shall clear the unit attention condition for that initiator on the logical unit (see 5.8.4.2).

# 5.8.6 Hard reset

A hard reset is a target port action in response to a reset event within the service delivery subsystem. A wakeup event is a reset event. The definition of additional reset events is protocol specific. Each SCSI protocol standard that defines reset events shall specify the target port's action in response to reset events.

The target port's response to a hard reset shall include initiating the equivalent of a logical unit reset for all logical units as described in 5.8.7.

While the task manager response to task management requests is subject to the presence of access restrictions, as managed by ACCESS CONTROL OUT commands (see SPC-3), a hard reset in response to a reset event within the service delivery subsystem shall be unaffected by access controls.

#### 5.8.7 Logical unit reset

A logical unit reset is:

- a) an action in response to a LOGICAL UNIT RESET task management request (see 6.6) or some other logical unit reset event; or
- b) part of an action in response to a TARGET RESET task management function (see 6.7) or a hard reset (see 5.8.6).

The definition of logical unit reset events i

vendor specific or dependent on the protocol.

#### To process a logical unit reset the logical unit shall:

- a) Abort all tasks in its task set(s) as described in 5.6;
- b) Clear an auto contingent allegiance (NACA equals one, see 5.2.3) or contingent allegiance (NACA equals zero) condition, if one is present;
- c) Release all reservations established using the reserve/release management method (persistent reservations shall not be affected);
- d) Return the logical unit's operating mode to the appropriate initial conditions, similar to those conditions that would be found following device power-on. The MODE SELECT parameters (see the SPC-2 standard) shall be restored to their last saved values if saved values have been established. MODE SELECT parameters for which no saved values have been established shall be returned to their default values;
- e) Set a unit attention condition (see 5.8.5); and
- f) Initiate a logical unit reset for all dependent logical units (see 4.12).

In addition to the above, the logical unit shall perform any additional functions required by the applicable standards.

# **6 Task Management Functions**

# 6.1 Introduction

Task management functions provide an initiator with a way to explicitly control the processing of one or more tasks. An application client invokes a task management function by means of a procedure call having the following format:

### Service Response = Function name (IN (nexus))

Service Response:

One of the following protocol specific responses shall be returned:

FUNCTION COMPLETE:	A task manager response indicating that the requested function is complete. The task manager shall unconditionally return this response upon completion of a task management request supported by the logical unit or target device to which the request was directed. Upon receiving a request to process an unsupported function, the task manager may return this response or the FUNCTION REJECTED response described below.
FUNCTION REJECTED:	An optional task manager response indicating that the operation is not supported by the object to which the function was directed (e.g., the logical unit or target device).
	The request was terminated due to a service delivery failure or target malfunction. The target may or may not have successfully performed the specified function.

Each SCSI protocol standard shall define the actual events comprising each of the above service responses.

The task management functions are summarized in table 14.

Task Management Function	Nexus	Reference
ABORT TASK	I_T_L_Q	6.2
ABORT TASK SET	I_T_L	6.3
CLEAR ACA	I_T_L	6.4
CLEAR TASK SET	I_T_L	6.5
LOGICAL UNIT RESET	I_T_L	6.6
TARGET RESET	I_T	6.7
WAKEUP	I_T	6.8

#### Table 14 — Task Management Functions

Argument descriptions:

**Nexus:** A non-specific initiator-target nexus (see 4.10).

I\_T Nexus: An initiator and target nexus (see 4.10).

I\_T\_L Nexus: An initiator, target, and logical unit nexus (see 4.10).

I\_T\_L\_Q Nexus: An initiator, target, logical unit, and tag nexus (see 4.10).

NOTE 11 - The ABORT TASK, ABORT TASK SET, CLEAR TASK SET, LOGICAL UNIT RESET, TARGET RESET, and WAKEUP functions provide a means to abort one or more tasks prior to normal completion.

All SCSI protocol standards shall provide the functionality needed for a task manager to implement all of the task management functions defined in clause 6.

The task manager response to task management requests is subject to the presence of access restrictions, as managed by ACCESS CONTROL OUT commands (see SPC-3), as follows:

- a) a task management request of ABORT TASK, ABORT TASK SET or CLEAR ACA shall not be affected by the presence of access restrictions;
- b) a task management request of CLEAR TASK SET or LOGICAL UNIT RESET received from an initiator that is denied access to the logical unit (either because it has no access rights or because it is in the pending-enrolled state) shall cause no change to the logical unit;
- c) a TARGET RESET task management request shall initiate a logical unit reset as described in 5.8.7 for all logical units to which the initiator has access, and shall cause no change to any logical units to which the initiator is denied access; and
- d) the task management function Service Response shall not be affected by the presence of access restrictions.

# 6.2 ABORT TASK

Function call:

## Service Response = ABORT TASK (IN (I\_T\_L\_Q Nexus) )

Description:

This function shall be supported by a logical unit if it supports tagged tasks and may be supported by a logical unit if it does not support tagged tasks.

The task manager shall abort the specified task if it exists. Previously established conditions, including MODE SELECT parameters, reservations, and auto contingent allegiance shall not be changed by the ABORT TASK function.

If the logical unit supports this function, a response of FUNCTION COMPLETE shall indicate that the task was aborted or was not in the task set. In either case, the target shall guarantee that no further responses from the task are sent to the initiator.

# 6.3 ABORT TASK SET

Function Call:

## Service Response = ABORT TASK SET (IN (I\_T\_L Nexus))

Description:

This function shall be supported by all logical units.

The task manager shall abort all tasks in the task set that were created by the initiator as described in 5.6.

The task manager shall perform an action equivalent to receiving a series of ABORT TASK requests. All tasks from that initiator in the task set serviced by the logical unit shall be aborted. Tasks from other initiators or in other task

sets shall not be aborted. Previously established conditions, including MODE SELECT parameters, reservations, and auto contingent allegiance shall not be changed by the ABORT TASK SET function. A contingent allegiance (NACA equals zero) shall be cleared by the ABORT TASK SET function.

# 6.4 CLEAR ACA

Function Call

### Service response = CLEAR ACA (IN (I\_T\_L Nexus) )

Description:

This function shall be supported by a logical unit if it accepts a NACA bit value of one in the CDB CONTROL byte (see 5.2.3).

The initiator invokes CLEAR ACA to clear an auto contingent allegiance condition from the task set serviced by the logical unit according to the rules specified in 5.8.1.2. If successful, this function shall be terminated with a service response of FUNCTION COMPLETE.

If the task manager clears the auto contingent allegiance condition, any task within that task set may be completed subject to the rules for task set management specified in clause 7.

# 6.5 CLEAR TASK SET

Function Call:

## Service response = CLEAR TASK SET (IN (I\_T\_L Nexus) )

Description:

This function shall be supported by all logical units, except in the following cases, when support for this function is optional:

- a) the logical unit does not support tagged tasks (see 4.9); or
- b) the logical unit supports the basic task management model (see 7.3).

All tasks in the appropriate task set as defined by the TST field in the Control mode page (see SPC-2) shall be aborted as described in 5.6. The medium may have been altered by partially processed commands. All pending status and sense data for the appropriate task set shall be cleared.

Previously established conditions, including MODE SELECT parameters, reservations, and auto contingent allegiance (NACA equals one, see 5.2.3) shall not be changed by the CLEAR TASK SET function. A contingent allegiance (NACA equals zero) shall be cleared by the CLEAR TASK SET function.

# 6.6 LOGICAL UNIT RESET

Function Call:

## Service Response = LOGICAL UNIT RESET (IN (I\_T\_L Nexus) )

Description:

This function shall be supported by all logical units.

Before returning a FUNCTION COMPLETE response, the logical unit shall perform the logical unit reset functions specified in 5.8.7. A unit attention condition for all initiators that have access shall be created on the logical unit and dependent logical unit(s), if any, as specified in 5.8.5.

NOTE 12 - Previous versions of this standard only required LOGICAL UNIT RESET support in logical units that supported hierarchical logical units.

# 6.7 TARGET RESET

Function Call:

### Service Response = TARGET RESET (IN (I\_T Nexus))

Description:

Before returning a FUNCTION COMPLETE response, the target port shall perform logical unit reset functions specified in 5.8.7 for every logical unit. A unit attention condition for all initiators that have access shall be created on each of these logical units as specified in 5.8.5.

An initiator should issue LOGICAL UNIT RESETs only to the logical units it is using rather than issuing a TARGET RESET. This avoids resetting logical units that other initiators may be using.

NOTE 13 - Previous versions of this standard required TARGET RESET support in all targets. SCSI protocols may or may not require that TARGET RESET be supported. SCSI protocols may require additional actions beyond those specified here.

# 6.8 WAKEUP

Function Call:

#### Service response = WAKEUP (IN (I\_T Nexus))

Description:

Protocols may or may not define the WAKEUP function. This function may be supported by protocols whose interconnects support a shared wakeup signal or individual wakeup signals for each target port. This function may be supported by devices on protocols which support the function. This function causes a wakeup event (see SPC-3) to be sent to either:

- a) the specified SCSI target port, on protocols supporting individual wakeup signals; or
- b) all SCSI target ports connected to the interconnect, on protocols supporting a shared wakeup signal.

The wakeup function is a reset event and shall cause a hard reset in the recipient target port(s).

# 6.9 Task management protocol services

The protocol services described in this subclause are used by an initiator and target to process a task management remote procedure call. The following arguments are passed:

**Nexus:** A non-specific initiator-target nexus (see 4.10).

Function Identifier: Parameter encoding the task management function to be performed.

All SCSI protocol standards shall define the protocol specific requirements for implementing the Send Task Management Request protocol service and the Received Function-Executed confirmation described below. Support for the Task Management Request Received indication and Task Management Function Executed protocol service response by the SCSI protocol standard is optional. All SCSI I/O systems shall implement these protocols as defined in the applicable protocol specification.

Request sent by an initiator and application client to a target's task manager:

#### Send Task Management Request (IN (Nexus, Function Identifier))

Indication received by the task manager:

#### Task Management Request Received (IN (Nexus, Function Identifier))

Response from task manager to initiator and application client:

#### Task Management Function Executed (IN (Nexus, Service Response))

The **Service Response** parameter encodes a value representing one of the following:

**FUNCTION REJECTED:** The task manager does not implement the requested function.

FUNCTION COMPLETE: The requested function has been completed.

Confirmation received by application client:

#### Received Function-Executed (IN (Nexus, Service Response ) )

Since the nexus may not uniquely identify the transaction, there may be no way for an initiator to associate a confirmation with a request. A SCSI protocol that does not provide such an association should not allow an initiator to have more than one pending task management request per I\_T\_L nexus.

# 6.10 Task management function example

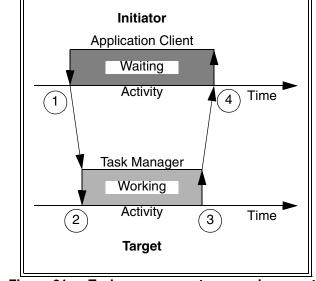


Figure 31 shows the sequence of events associated with a task management function.

Figure 31 — Task management processing events

The numbers in figure 31 identify the events described below.

- 1. The application client issues a task management request by invoking the **Send Task Management Request** protocol service.
- 2. The task manager is notified through a **Task Management Request Received** and begins processing the function.
- 3. The task manager performs the requested operation and responds by invoking the **Task Management Function Executed** protocol service to notify the application client. The **Service Response** parameter is set to a value of FUNCTION COMPLETE.
- 4. A Received Function-Executed confirmation is received by the application client.

# 7 Task Set Management

# 7.1 Introduction to task set management

Clause 7 describes some of the controls application clients have over task set management behaviors (see 7.3). Clause 7 also specifies task set management requirements in terms of task states (see 7.5), task attributes (see 7.6), the events that cause transitions between task states (see 7.4 and 7.5), and a map of task state transitions (see 7.7). Clause 7 concludes with several task set management examples (see 7.8).

Task behavior, as specified in clause 7, refers to the functioning of a task as observed by an application client within the initiator -- including the results of command processing and interactions with other tasks. Examples of behavior not observable by the application client are the physical activity on the interconnect or the format of transmitted data packets associated with a command. To define these and other aspects of behavior, SCSI protocol and interconnect standards may impose other requirements, outside the scope of this standard that are related to observable behavior within the protocol or interconnect layers.

The rules for task set management only apply to a task after it has been entered into a task set. A task shall be entered into a task set unless a condition exists that causes that task to be completed with a status of BUSY, RESERVATION CONFLICT, TASK SET FULL, ACA ACTIVE or CHECK CONDITION when caused by the detection of an overlapped command. A task may also be completed because of a CHECK CONDITION status caused by certain protocol specific errors.

# 7.2 Terminology

Editors Note 4 - ROW: All of these terms are defined in the clause 3 glossary. The phrasing is different between the two sets of definitions with each set having better wording in one case or another. I recommend that the best wording from both sets be placed in the clause 3 glossary and that these definitions be removed.

7.2.1 suspended information: Information within the logical unit that is not available to a pending task.

**7.2.2 current task:** A task that has a data transfer protocol service request in progress (see 5.4.3) or is in the process of sending command status. Each SCSI protocol standard shall define the protocol specific conditions under which a task is considered a current task.

**7.2.3 pending task:** Any task that is not a current task.

# 7.3 Controlling task set management

The Control mode page (see SPC-2) contains fields that specify particular task set management behaviors. The standard INQUIRY data CmdQue bit (see SPC-2) indicates support for tagged tasks (command queuing). One specific combination of task set management behaviors is identified as the basic task management model. Support for the basic task management model is indicated by values returned in the CMDQUE and BQUE bits in the standard INQUIRY data (see SPC-2). The basic task management model requires the following task set management behaviors:

a) The only task attribute supported shall be SIMPLE;

- b) The device server may reorder the actual processing sequence of tasks in any manner. Any data integrity exposures related to task sequence order shall be explicitly handled by the application client using the appropriate commands;
- c) All the tasks in the task set that are in the blocked task state shall be aborted after an ACA or CA condition is cleared;
- d) It shall not be possible to disable tagged queuing; and
- e) Support for the CLEAR TASK SET task management function is optional.

# 7.4 Task management events

The following describe the events that drive changes in task state.

All older tasks ended:	All tasks have ended that were accepted into the task set earlier in time than the referenced task.	
	All Head of Queue and Ordered tasks have ended that were accepted into the task set earlier in time than the referenced task.	
ACA:	An auto contingent allegiance condition has occurred (NACA equals one, see 5.2.3).	
CA:	An contingent allegiance condition has occurred (NACA equals zero).	
task abort:	A task has been aborted as described in 5.6.	
task completion:	The device server has sent a service response of TASK COMPLETE for the task (see clause 5 and 5.5).	
task ended:	A task has completed or aborted.	
ACA cleared:	An ACA condition has been cleared.	
CA cleared:	An CA condition has been cleared.	

# 7.5 Task states

## 7.5.1 Overview

The model employs four tasks states, described in 7.5.2, 7.5.3, 7.5.4, and 7.5.5. To simplify the discussion in clause 7, "enabled task" may be used to refer to a task in the enabled task state, "blocked task" may be used to refer to a task in the blocked task state, and so forth.

## 7.5.2 Enabled task state

A task in the enabled task state may become a current task and may complete at any time, subject to the task completion constraints specified in the Control mode page (see the SPC-2 standard). A task that has been accepted into the task set shall not complete or become a current task unless it is in the enabled task state.

Except for the use of target resources required to preserve task state, a task shall produce no effects detectable by the application client before the task's first transition to the enabled task state. Although, before entering this state for the first time, the task may perform other activities visible to lower layers – such as pre-fetching data to be written to the media – this activity shall not result in a detectable change in device state as perceived by an application client. In addition, the behavior of a completed task, as defined by the commands it has processed, shall not be affected by the task's states before it enters the enabled task state.

## 7.5.3 Blocked task state

A task in the blocked task state is prevented from completing due to an ACA or CA condition. A task in this state shall not become a current task. While a task is in the blocked task state, any information the logical unit has or accepts for the task shall be suspended. If the TST field in the Control mode page (see SPC-2) equals 000b the blocked task state is independent of the initiator. If the TST field equals 001b the blocked task state applies only to the faulted initiator.

## 7.5.4 Dormant task state

A task in the dormant task state is prevented from completing due to the presence of certain other tasks in the task set. A task in this state shall not become a current task. While a task is in the dormant task state, any information the logical unit has or accepts for the task shall be suspended.

# 7.5.5 Ended task state

A task in the ended task state is removed from the task set.

# 7.5.6 Task states and task lifetimes

Figure 32 shows the events corresponding to two task processing sequences. Except for the dormant task state between times A and B in case 1, logical unit conditions and the commands processed by the task are identical. Assuming in each case the task completes with a status of GOOD at time C, the system state observed by the application client for case 1 shall be indistinguishable from the state observed for case 2.

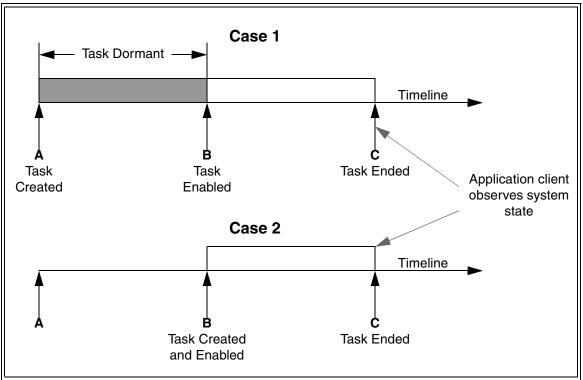


Figure 32 — Example of Dormant state task behavior

# 7.6 Task Attributes

## 7.6.1 SIMPLE Task

A task having the Simple attribute shall be accepted into the task set in the dormant task state. The task shall not enter the enabled task state until all older Head of Queue and older Ordered tasks in the task set have ended (see 7.4).

## 7.6.2 ORDERED Task

A task having the Ordered attribute shall be accepted into the task set in the dormant task state. The task shall not enter the enabled task state until all older tasks in the task set have ended (see 7.4).

## 7.6.3 HEAD OF QUEUE Task

A task having the Head of Queue attribute shall be accepted into the task set in the enabled task state.

# 7.6.4 ACA Task

A task having the ACA attribute shall be accepted into the task set in the enabled task state. There shall be no more than one ACA task per task set (see 5.8.1.1).

# 7.7 Task state transitions

The task state diagram of figure 33 shows the behavior of a single task in response to an external event.

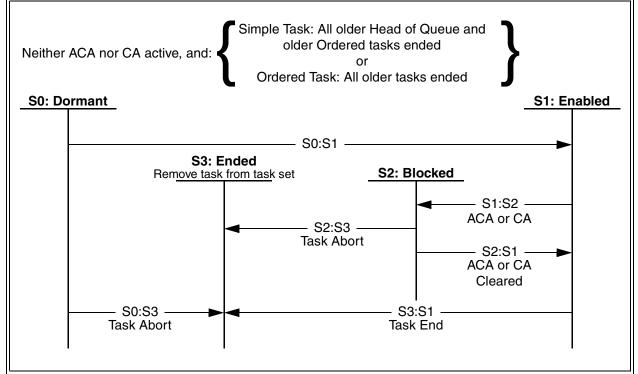


Figure 33 — Task states

I

I

The following clauses describe task state transitions, actions and associated triggering events as they appear to an application client. Although the logical unit response to events affecting multiple tasks, such as a CLEAR TASK SET, may be different from the response to an event affecting a single task, from the viewpoint of the application client the collective behavior appears as a series of state changes occurring to individual tasks.

Editors Note 5 - ROW: The subclause numbers have been removed from the transition definitions because 1) the format is not compatible with normal subclause headers and 2) the absence of subclause numbers on the transitions means that the paragraphs above are not hanging.

**Transition S0:S1 (Ordered Task):** Provided an ACA or a CA condition does not exist or if the TST field contains 001b in the Control mode page (see SPC-2) provided the task is not for the faulted initiator and the QERR field is not 01b in the Control mode page, a dormant task having the ORDERED attribute shall enter the enabled task state when all older tasks have ended. If the TST field contains 000b in the Control mode page, this transition shall not occur while an ACA or a CA condition is in effect for any initiator. If the TST field contains 001b in the Control mode page, this transition shall not occur while an ACA or a CA condition is in effect for a CA condition is in effect for the initiator that created the ordered task.

**Transition SO:S1 (Simple task):** Provided an ACA or a CA condition does not exist or if the TST field contains 001b in the Control mode page (see SPC-2) provided the task is not for the faulted initiator, a dormant task having the SIMPLE attribute shall enter the enabled task state when all older Head of Queue and older Ordered tasks have ended. If the TST field contains 000b in the Control mode page, this transition shall not occur while an ACA or a CA condition is in effect for any initiator. If the TST field contains 001b in the Control mode page, this transition shall not occur while an ACA or a CA condition is in effect for a CA condition is in effect for the initiator that created the simple task.

Transitions S0:S3, S2:S3: A task abort event shall cause the task to unconditionally enter the ended task state.

**Transition S1:S2:** If the TST field contains 000b in the Control mode page, an ACA or a CA condition shall cause an enabled task to enter the blocked task state. If the TST field contains 001b in the Control mode page, an ACA or a CA condition shall cause an enabled task for the faulted initiator to enter the blocked task state.

**Transition S1:S3:** A task that has completed or aborted shall enter the ended task state. This is the only state transition that applies to an ACA task.

**Transition S2:S1:** When an ACA or a CA condition is cleared and the QERR field is 00b in the Control mode page, a blocked task shall re-enter the enabled task state. When an ACA or a CA condition is cleared and the QERR field is 11b in the Control mode page, a blocked task for other than the faulting initiator shall re-enter the enabled task state.

# 7.8 Task set management examples

## 7.8.1 Introduction

Several task set management scenarios are shown in 7.8.2, 7.8.3, and 7.8.4. The examples are valid for single or multi-initiator cases, when the TST field contains 000b. In this case, the interaction among tasks in a task set is independent of the initiator originating a task. The examples are also valid for a single initiator, when the TST field contains 001b. In this case, task set management proceeds independently for each initiator and the events and transitions in one initiator's task set do not affect the task set management for another initiator's task set. Throughout these examples, the scope of the task set box drawn in each snapshot depends on the setting of the TST field in the Control mode page (see SPC-2).

The figure accompanying each example shows successive snapshots of a task set after various events, such as task creation or completion. In all cases, the constraints on task completion order established using the QUEUE ALGORITHM MODIFIER field and DQUE bit in the Control mode page (see SPC-2) are not in effect.

A task set is shown as an ordered list or queue of tasks with the head of the queue towards the top of the page. A new Head of Queue task always enters the task set at the head, displacing older Head of Queue tasks. Simple, Ordered and ACA tasks always enter the task set at the end of the queue.

Tasks, denoted by rectangles, are numbered in ascending order from oldest to most recent. Fill, shape and line weight are used to distinguish task states and attributes as follows:

Task attributes:

- a) Simple tasks -- rounded corners;
- b) Ordered -- square corners and thin lines;
- c) Head of Queue -- square corners and thick lines; or
- d) ACA tasks -- square corners and thin dashed lines.

Task states:

- a) Enabled -- no fill;
- b) Dormant -- grey (50 percent fill); or
- c) Blocked -- black.

Editors Note 6 - ROW: I believe that it is more appropriate to remove the subclause heading below than to add new subclause headings for each of the descriptive elements above.

#### 7.8.x Blocking boundaries

The conditions preventing a dormant task from entering enabled task state (except for ACA and CA conditions) are shown by means of "blocking boundaries". Such boundaries appear as horizontal lines with an arrow on both ends. The tasks causing the barrier condition are described as part of each example. A task is impeded by the barrier if it is between the boundary and the end of the queue. When no ACA or CA is in effect, a task enters the enabled task state after all intervening barriers have been removed.

## 7.8.2 Head of Queue tasks

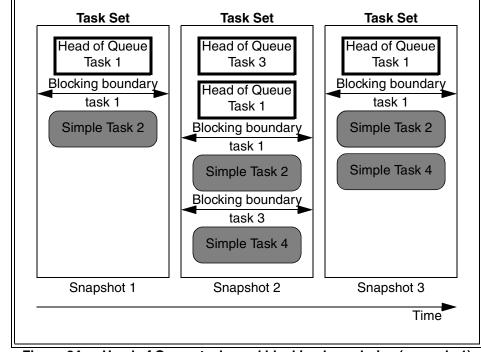


Figure 34 shows task set conditions when several Head of Queue tasks are processed.

Figure 34 — Head of Queue tasks and blocking boundaries (example 1)

In snapshot 1 the task set initially contains one Head of Queue and one Simple task. As shown by the blocking boundary, simple task 2 is in the dormant task state because of the older Head of Queue task. Snapshot 2 shows the task set after Head of Queue task 3 and Simple task 4 are created. The new Head of Queue task is placed at the front of the queue in the enabled task state, displacing task 1. Snapshot 3 shows the task set after task 3 completes. Since the conditions indicated by the task 1 blocking boundary are still in effect, tasks 2 and 4 remain in the dormant task state.

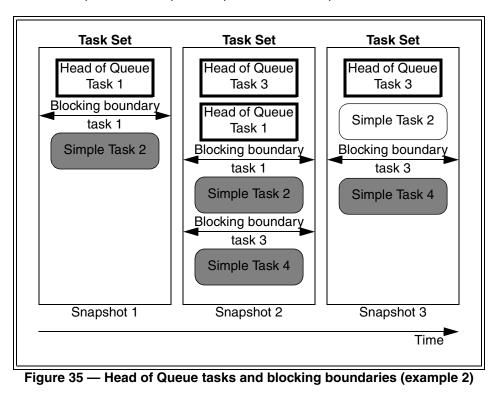
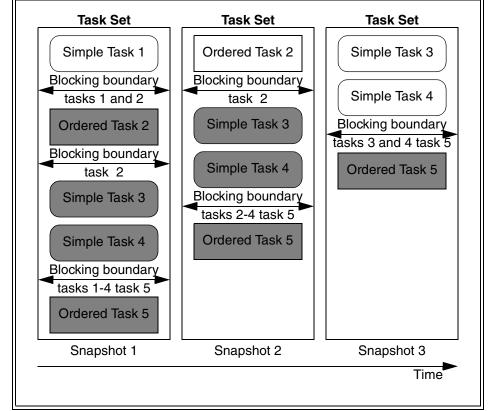


Figure 35 is the same as the previous example, except that task 1 completes instead of task 3.

The completion of task 1 allows task 2 to enter the enabled task state. Simple task 4 is placed in the dormant task state until task 3 completes.

## 7.8.3 Ordered tasks



An example of Ordered and Simple task interaction is shown in figure 36.

Figure 36 — Ordered tasks and blocking boundaries

The state of dormant tasks 2 through 5 is determined by the following rules:

Tasks 2 and 5 -- An Ordered task is not allowed to enter the enabled task state until all older tasks have ended. Tasks 3 and 4 -- A Simple task is not allowed to enter the enabled task state until all older Head of Queue and older Ordered tasks have ended.

These constraints are shown by the blocking boundaries in snapshot 1.

In snapshot 2, the completion of task 1 allows ordered task 2 to enter the enabled task state. Since the initial constraints on tasks 3, 4 and 5 are still in effect, these tasks must remain in the dormant task state. As shown in snapshot 3, the completion of task 2 triggers two state changes: the transitions of task 3 and task 4 to the enabled task state. Task 5 must remain in the dormant task state until these tasks end.

## 7.8.4 ACA task

Figure 37 shows the effects of an ACA condition on the task set. This example assumes the QERR field is set to 00b in the Control mode page (see the SPC-2 standard). Consequently, clearing an ACA condition does not cause tasks to be aborted.

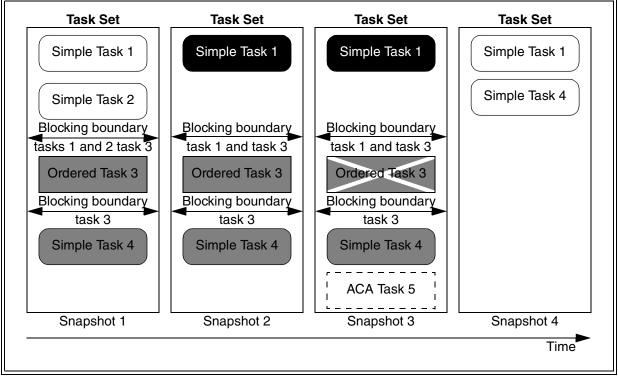


Figure 37 — ACA task example

The completion of task 2 with CHECK CONDITION status causes task 1 to enter the blocked task state shown in snapshot 2. In snapshot 3, Ordered task 3 is aborted using the ABORT TASK task management function and ACA task 5 is created to perform additional handling for the exception. Once the ACA condition is cleared, (snapshot 4) Simple task 1 is allowed to reenter the enabled task state. Since there are no Head of Queue or Ordered tasks older than task 4, it too is allowed enter the enabled task state.